

# Public Document Pack



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PUBLIC

To: Members of Cabinet

Wednesday, 3 March 2021

Dear Councillor,

Please attend a meeting of the **Cabinet** to be held at **2.00 pm** on **Thursday, 11 March 2021** in This meeting will be held virtually. As a member of the public you can view the meeting via the County Council's website. The website will provide details of how you can access the meeting, the agenda for which is set out below.

Yours faithfully

A handwritten signature in black ink that reads 'Helen E. Barrington'.

**Helen Barrington**  
**Director of Legal and Democratic Services**

## **AGENDA**

### **PART I - NON-EXEMPT ITEMS**

1. To receive apologies for absence
2. To receive declarations of interest (if any)
3. To consider Minority Group Leader questions (if any)

4. To confirm the minutes of the meeting of Cabinet held on 11 February 2021 (Pages 1 - 16)
5. To receive minutes of Cabinet Member meetings as follows:
  - 5 (a) Young People - 2 February 2021 (Pages 17 - 20)
  - 5 (b) Adult Care - 4 & 18 February 2021 (Pages 21 - 24)
  - 5 (c) Health & Communities - 4 February 2021 (Pages 25 - 30)
  - 5 (d) Strategic Leadership, Culture & Tourism - 4 February 2021 (Pages 31 - 34)
  - 5 (e) Clean Growth & Regeneration - 11 February 2021 (Pages 35 - 36)
  - 5 (f) Corporate Services - 11 February 2021 (Pages 37 - 38)
  - 5 (g) Highways, Transport & Infrastructure - 11 February 2021 (Pages 39 - 42)

To consider reports as follows:

- 6 (a) Scrutiny of Next Steps in relation to Direct Care Homes for Older People - update on progress (Pages 43 - 50)
- 6 (b) Council Plan 2021-2025 (Pages 51 - 106)
- 6 (c) Departmental Service Plans 2021-2025 (Pages 107 - 302)
- 6 (d) Capital Budget Monitoring/Forecast 2020-21 as at Quarter 3 (Pages 303 - 312)
- 6 (e) Public Sector Decarbonisation Scheme and the Installation of Low Carbon Heat Technologies (Pages 313 - 318)
- 6 (f) Temporary Payment Arrangements to Bus, Coach & Taxi Operators for Contracted Transport Services and Concessionary Fares Reimbursement due to the ongoing impact of Coronavirus (Pages 319 - 330)
- 6 (g) Rural Gigabit Broadband Top Up Voucher Scheme (Pages 331 - 336)
- 6 (h) Future Highways Model and Highways Capital Programme (Pages 337 - 416)
- 6 (i) Director of Public Health Annual Report (Pages 417 - 420)
- 6 (j) Reimbursement of Stop Smoking Pharmacotherapy Product Costs for the Financial Year 2021-22 (Pages 421 - 424)

- 6 (k) Feeding Derbyshire - Affordable Food Network (Pages 425 - 428)
- 6 (l) Urgent Officer Decision - Implementation of Community Testing in Derbyshire (Pages 429 - 456)
- 6 (m) Home Care Fees 2021-22 (Pages 457 - 468)
- 6 (n) Care Home and Day Care Fees 2021-22 (Pages 469 - 492)
- 6 (o) Withdrawal of bi-weekly review of specific Urgent Officer Decisions during the Coronavirus Pandemic (Pages 493 - 774)
- 6 (p) Children's Services Capital Programme 2020-21 Expansion Project at the Ecclesbourne School (Pages 775 - 778)
- 6 (q) Children's Services - Proposed alteration of the Lower Age Limit of Brackenfield SEND School (Pages 779 - 786)
- 6 (r) Proposed conversion of Mickley Infant School to a Primary School (Pages 787 - 796)
- 6 (s) Early Years Funding Settlement 2021-22 (Pages 797 - 802)
- 6 (t) Central School Services Block and Pupil Growth Fund Settlement 2021-22 (Pages 803 - 808)
- 6 (u) Children's Services Capital Programme 2020-21 - Further Allocation (Pages 809 - 812)
- 6 (v) High Needs Block Funding Settlement 2021-22 (Pages 813 - 824)
- 6 (w) Sufficiency of Educational Provision for Children with Special Educational Needs and Disability (SEND) (Pages 825 - 886)
- 6 (x) Derbyshire Short Break Principles Proposal (Pages 887 - 908)
- 6 (y) Report on the Covid-19 Testing Programmes in Mainstream Schools with Secondary Aged Children (A) and Primary Aged Children (including Maintained Nursery Schools) (B) (Pages 909 - 928)
- 7. Exclusion of the Public

To move "That under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local

Government Act 1972 would be disclosed to them.”

**PART II - EXEMPT ITEMS**

8. To receive declarations of interest (if any)
9. To consider Minority Group Leader questions (if any)
10. To confirm the exempt minutes of the meeting of Cabinet held on 11 February 2021 (Pages 929 - 934)
11. To receive exempt minutes of Cabinet Member meetings as follows:
  - 11 (a) Strategic Leadership, Culture & Tourism - 4 February 2021 (Pages 935 - 936)
  - 11 (b) Corporate Services - 11 February 2021 (Pages 937 - 938)
  - 11 (c) Highways, Transport & Infrastructure - 11 February 2021 (Pages 939 - 940)

To consider exempt reports as follows:

- 12 (a) Contract for the Supply of Mobile Voice and Data Services (Pages 941 - 946)
- 12 (b) Microsoft Enterprise Desktop Licensing Agreement (Pages 947 - 958)
- 12 (c) Development of land at Glossop in partnership with a Community Sports Club (Pages 959 - 968)
- 12 (d) Extension of variation of payment for the provision of Household Waste Recycling Centre Services due to Coronavirus (Covid-19) (Pages 969 - 972)
- 12 (e) Project Support - External Advisors (Pages 973 - 978)
- 12 (f) Use of ESPO Consultancy Services Framework for Appointment of Technical Advisors (Pages 979 - 986)
- 12 (g) Extension of contracts beyond current award period of the Advisory Services in General Practices and Advisory Services for Community Wellbeing Approach (Pages 987 - 990)

PUBLIC

**MINUTES** of a meeting of **CABINET** held virtually on 11 February 2021.

**PRESENT**

Councillor B Lewis (in the Chair)

Councillors A Dale, A Foster, C A Hart, T King, S A Spencer and J Wharmby

**Declarations of Interest**

Councillor S A Spencer declared an interest in agenda item 6(f) - A515 Ashbourne Transport Study – Preferred Option Selection as members of his family owned land on the preferred route of the Western Bypass.

**21/21** **MINORITY GROUP LEADERS' QUESTIONS**

There were no Minority Group Leaders' questions.

**22/21** **MINUTES RESOLVED** that the non-exempt minutes of the meetings of Cabinet held on 14 and 21 January 2021 be confirmed as a correct record.

**23/21** **CABINET MEMBER MEETINGS - MINUTES RESOLVED** to receive the non-exempt minutes of Cabinet Member meetings as follows:

- (a) Adult Care – 7 and 21 January 2021
- (b) Health & Communities – 7 January 2021
- (c) Corporate services – 14 January 2021
- (d) Strategic Leadership, Culture & Tourism – 19 January 2021
- (e) Highways, Transport & Infrastructure – 28 January 2021

**24/21** **CORPORATE PROPERTY – GOVERNANCE AND DECISION - MAKING** (Corporate Services) The Managing Executive Director sought Cabinet approval on new governance and decision arrangements following the implementation of a new operating model for the Corporate Property Division.

In February 2019, Cabinet approved a new operating model for the Council's Corporate Property service. Since that date, Cabinet had approved further reports to implement the new operating model. This included creating a new Senior Management Team for the service (July 2019); establishing a joint venture to support property rationalisation (February 2020) and the externalisation of non-core property services to two new joint ventures (March

2020). The report brought together all the various aspects of the new operating model by establishing coordinated and corporate governance arrangements. Appendix 1 to the report illustrated the various levels of governance within the Council for land and buildings property related decisions.

The management structure for Corporate Property was based on six interdependent sections each led by a Head of Service. The organisation was based on the property life cycle and different Heads of Service were responsible for different aspects of governance and decision making, further details of which were presented. The relationship of these sections to the strategic plan for property, the Asset Management Framework and corporate management of land and buildings was illustrated in Appendix 2, Asset Optimisation to the report.

The Asset Management Framework documents the strategy the Council had for using and managing its land and buildings to support the Council's strategic outcomes and priorities. It takes its lead from the Council's three strategic pillars; Vision Derbyshire, Enterprising Council and Thriving Communities. The implementation of the Asset Management Framework ("AMF") was led by the Corporate Asset Management Group ("CAMG"), which was a cross-service senior management forum, chaired by the Assistant Director of Corporate Property (Asset Management).

Within the Corporate Property Division, the delivery of the AMF was supported by the Strategic Asset Review Board ("SARB"). This officer level group sought to ensure collaboration of the six sections within the Division on the process of reviewing and managing the Council's property assets. The work of the SARB whose membership also included finance and legal services officers was reported to the CAMG.

The Council had adopted the Leader and Cabinet model for its decision-making arrangements. The Leader of the Council was responsible for the appointment of the Cabinet and allocating areas of political responsibility to members of the Cabinet. In accordance with the Council's constitution and delegated principles included therein, areas of responsibility for decision-making were allocated to Cabinet Members, Executive Directors and Directors. The responsibilities for the Cabinet Member for Corporate Services included: asset management, efficiency and value for money (property rationalisation), property and the acquisition and disposal of land. The Derbyshire Property Board brings together key members and officers to direct the CAMG and when available, to use their respective delegated authorities to provide authority for recommendations from the CAMG.

The Council's constitution provides for committees and sub-committees to be established which have specific responsibilities, with the operation of the

committees controlled by the Council Procedure Rules. Committees provide for different types of engagement and scrutiny and for the Council as a shareholder to the Joint Venture companies, the committee was considered to be the appropriate forum for the Council to oversee the performance of these companies. Accordingly, it was recommended that the full Council approve a new DCC Trading Committee. Details of the terms of reference and membership were presented in the report. In advance of approval by the Council, it was recommended that the new Committee operated in shadow form.

The formal governance arrangements for PSP (Derbyshire LLP (the "LLP") are set out in the Members' and Procedure Agreements.

The Members' Agreement – sets out how the Partners deal with each other and approve decisions made in pursuance of the Partnership business using the 4e Process – the forum for this is the Partnership Board;

The Procedure Agreement – sets out the role of the Partnership Executive, who have operational and day to day management of the Partnership and the Projects Team, who develop and progress projects through the Project Flow and budget processes and ensure project financial and legal compliance and validation by using the 4e Process.

The formal governance for each Joint Venture Company ("JVC") was set out in the Joint Venture Shareholders Agreement and the Services Contract. There were separate documents for each JVC, however, the content was similar.

Joint Venture Shareholders Agreement – sets out the shareholders' and directors' obligations and responsibilities, how the company operates, any reserved matters, dividend payments, dispute resolution and termination provisions.

Services Contract – details the services to be provided to the Council by the JVC. It includes the responsibilities of both the JVC and the Council, the specification, payment arrangements, TUPE arrangements, performance measurement, reporting, changes to the specification, dispute resolution and termination.

Each JVC had a Board of Directors, Cabinet had previously approved in March 2020, through the Managing Executive Director, that the Council's nominated directors for each JVC were the Director of Corporate Property and the Assistant Director of Finance (Financial Management) The two Boards were separate entities, although for ease, meet jointly and had the same directors and non-executive directors, as illustrated in Appendix 3 to the report.

**RESOLVED** to (1) approve the governance arrangements within the Council to oversee the management of the Council's land and building assets and its supply chain partners; and

(2) recommends that full Council establishes a DCC Trading Committee and puts in place Shadow arrangements pending the creation of the Committee.

**24/21 SUPPLY OF ICT HARDWARE, ACCESSORIES AND ASSOCIATED SERVICES** (Corporate Services) The Managing Executive Director sought approval under Protocol 2a of the Councils Financial Regulations to use a Crown Commercial Services (CCS) framework agreement (RM6068 – Technology Products & Associated Services) for the supply of ICT Hardware, Accessories and Associated Services.

The current contract with XMA Ltd for ICT Hardware (EA16 e-Auction) commenced on 1 June 2016 and was procured via a CCS Framework (RM1054 - eA#16) for a period of 2 years with an option to extend annually for a further 2 years. The awarded value of the contract was £2,384,033. The Council has used the CCS Framework for the supply of ICT Hardware.

The supply of ICT Hardware was fundamental for users across the Council to enable them to work flexibly and efficiently. An option to extend the existing contract was undertaken in May 2020. This was due to the impact of Covid-19 as the procurement being carried out was unable to be completed as resources were diverted to priority areas in response to the pandemic. Therefore, the Council now needed to go to procure a new contract, as there were no more available options to extend the contract.

The new contract would commence as soon as possible, approximately May/June 2021 for a period of 2 years with an option to extend annually for a further 2 years. The benefits of a CSS Framework are as follows:

- Being able to purchase from any supplier within the Framework,
- A robust contract with Framework terms and conditions would be in place, which was similar to the one that the Council were currently operating under;
- There would be a continuation of a guaranteed supply of ICT Hardware;
- The Council had access to competitive pricing and access to a wide range of available products and suppliers through mini competitions; and
- Reduced timescales for the re-procurement to ensure a continuity of supply of ICT Hardware.

A business case for use of the CCS framework had been approved by the Director of Finance & ICT and the Director of Legal Services. The award of



the contract via the CCS framework was a matter which was delegated to the Managing Executive Director, Commissioning, Communities and Policy in accordance with Protocol 2B of the Council's Financial Regulations.

**RESOLVED** to approve the use of the Crown Commercial Services Framework (RM6068 – Technology Products Lot 2) to award a contract for 2 years with an option to extend annually for a further 2 years.

**25/21**      **SUPPLY OF IT ACCESSORIES** (Corporate Services) The Managing Executive Director sought approval under Protocol 2a of the Council's Financial Regulations to use a Crown Commercial Services (CCS) framework agreement (RM6068 – Technology Products Lot 2) for the supply of IT Accessories.

The current contract with XMA Ltd for IT Accessories (PC Components) commenced on 12 May 2019. This was procured via a CCS Framework (RM3733 – Technology Products 2) for a period of 2 years with an option to extend annually for a further 2 years. The awarded value of the contract was £220,000.

The Council had used the CCS Framework for the supply of IT accessories including but not limited to the following:

- General IT Accessories - mice, keyboards, cables, adaptors, headsets and data storage e.g. memory cards;
- Assistive Hardware - ergonomic mice and keyboards;
- Digital IT Equipment - projectors, digital cameras and dictation recorders; and
- Mobile Phone and Telephony Accessories - mobile phone cases, chargers and headsets.

The supply of IT Accessories was fundamental for users across the Council to enable them to work flexibly and efficiently.

Although an option to extend the existing contract was available, the Council would not be taking up this option due to an overspend of the current contract. It was anticipated that the Council would be close to the limit in the first quarter of 2021 therefore a procurement exercise needed to commence urgently. The reasons for the overspend included:

- The Covid-19 pandemic which led to employees working from home and requiring more accessories such as headsets for video conferencing through Skype/Microsoft Teams; and
- A policy change to replace desktop computers with laptops in order to facilitate mobile and flexible working which increased the requirement for additional accessories.

The new contract will commence as soon as possible, approximately May/June 2021 for a period of 2 years with an option to extend for a further year. The benefits of a CSS Framework were as follows:

- Being able to purchase from any supplier within the Framework,
- A robust contract with Framework terms and conditions would be in place, which was similar to the one that the Council were currently operating under;
- There would be a continuation of a guaranteed supply of IT Accessories;
- The Council had access to competitive pricing and access to a wide range of available products and suppliers through mini competitions; and
- Reduced timescales for the re-procurement to ensure a continuity of supply of IT Accessories.

A business case for use of the CCS framework had been approved by the Director of Finance & ICT and the Director of Legal Services. The award of the contract via the CCS framework was a matter which is delegated to the Managing Executive Director, Commissioning, Communities and Policy in accordance with Protocol 2B of the Council's Financial Regulations.

**RESOLVED** to approve the use of the Crown Commercial Services Framework (RM6068 – Technology Products Lot 2) to award a contract for 2 years with an option to extend for a further year.

**26/21**      **LOCAL CYCLING AND WALKING INFRASTRUCTURE PLAN**  
(Highways, Transport & Infrastructure) The Director - Economy, Transport and Environment sought approval to the publication of a consultation draft of a Local Cycling and Walking Infrastructure Plan for the four transport authorities within the Derby, Derbyshire, Nottingham and Nottinghamshire (D2N2) area, to agree delegated authority for adoption of the final version and to agree the design principles to be adopted for implementation.

The Derbyshire Cycling Plan, launched in early 2016, set out aspirations shared by Derbyshire Sport (now superseded by Active Derbyshire), the County Council, Derby City Council and a number of other key stakeholders. These aspirations were to create “the most connected and integrated county for cycling in England”, with Derbyshire being recognised as a world class cycling destination for all. Underpinning this were four strategic aims:

- 1) Infrastructure Connectivity: High quality connected routes, in all cycling environments, supporting all forms of cycling, creating and supporting economic growth.

2) Increased Participation: Behaviour change approaches and targeted participation programmes at community level will support and enable more people to cycle, closing the gaps in participation and reducing health inequalities.

3) Effective Communication and Marketing: Excellent, well connected marketing and communications for Derbyshire residents and visitors to the County, helping to change behaviour, increase confidence and get more people cycling regularly.

4) Advocacy: Cross sector advocacy for policy change and implementation at the highest level.

At its meeting on 16 January 2020, Cabinet approved a Key Cycle Network (KCN) for Derbyshire which identified the priority cycling routes for commuting, leisure and tourism; this included both established routes and new/improved routes, and also set out clear priorities for investment. Having an adopted KCN now provided the terms of reference for officers developing specific schemes and also guided any bids for available external funding.

Since approval of the KCN, the challenges and opportunities of the COVID pandemic in 2020 had strengthened the case for investment in cycling infrastructure, highlighting the importance of population health and the damaging influence of vehicle emissions and poor air quality.

Cabinet was aware that two tranches of Emergency Active Travel funding had been released by Government, with approximately £2.1m allocated to the Council. Alongside measures to allow adequate social distancing in town centres these had facilitated, at least on a temporary basis, the introduction of some higher-standard routes for cycling. The need for urgent delivery had placed significant restrictions on where these measures had been feasible. This emergency funding formed part of a wider £2bn commitment from Government for sustainable transport which (unless released through further 'emergency' allocations) it was expected would largely be released against Local Cycling and Walking Infrastructure Plans (LCWIPs). This would certainly be the case for the financial year 2021-22 for which it was already known that a national budget of £257m would be available for those authorities outside London with LCWIPs in place.

A draft version of the LCWIP document covering Derbyshire was the subject of this report was appended to the report. The content had been developed jointly by officers from the four transport authorities in Derbyshire, Derby, Nottinghamshire and Nottingham (D2N2). It was noted that it covered the geography of the D2N2 Local Enterprise Partnership, but was developed and approved by the transport authorities. The next step prior to any formal adoption of the LCWIP is to undertake wider public consultation.

It was important to emphasise that the Key Cycle Network and LCWIP were complementary, however, although the KCN had been well received by stakeholders and confirmed the Council's priority routes and targets for cycling investment it was not automatically recognised by Government as constituting a 'valid LCWIP' for the County Council. Specifically, the LCWIP covered a wider geography (Derbyshire, Derby City, Nottinghamshire and Nottingham City) which was an approach favoured by Government as the Department for Transport would need to assess and allocate resources against only one LCWIP, rather than four. This more strategic approach also ensured alignment with Local Enterprise Partnership (LEP) priorities and programmes within the Local Industrial Strategy and, in due course, resources aligned to the Shared Prosperity Fund.

The four upper tier authorities have a successful track record of collaboration and delivery on highway and transport matters for example, through the LEP's current Local Growth Fund Sustainable Travel Programme which had agreed the allocation of resources to each authority within a single overarching business case. It was proposed that delivery of the LCWIP would operate in a similar way.

In order for the LCWIP to be used as a basis for securing Government funding, it needed to demonstrate it had been subject to consultation. For Derbyshire, this was grounded in a robust level of engagement carried out on the Derbyshire Cycling Plan, on the KCN and more recently with stakeholders on the LCWIP. Once all four sponsoring authorities had agreed to do so, it was intended to carry out a public consultation ahead of adoption of a final version. This would not be feasible ahead of local elections in May and, at present, would need to be carried out in virtual, rather than physical form. It was anticipated that Nottingham City would lead on procurement of support to carry out this consultation, but that a financial contribution of £20k would be sought from each of the other local authorities.

**RESOLVED** to (1) agree to the publication of the consultation draft of the Local Cycling and Walking Infrastructure Plan for the Derby, Derbyshire, Nottingham and Nottinghamshire area;

(2) agree for the consultation results to inform final revisions to the draft LCWIP;

(3) note that publication, revision and formal adoption of the LCWIP would be subject to the approval of the other three highway and transport authorities covered by the Plan;

(4) agree to a County Council contribution of up to £20k towards consultation costs;

(5) notes the need for Local Transport Note 1/20 to be followed in designing infrastructure for walking and cycling, and agrees to its adoption for Key Cycle Network projects; and

(6) delegates to the Cabinet Member for Highways, Transport and Infrastructure the authority to approve the adoption of the final version of the Plan, provided that this remains consistent with Council priorities as set out in the report to Cabinet of 16 January 2020.

## **27/21 GREEN ENTREPRENEUR GRANT FUND PROGRAMME**

(Clean Growth & Regeneration) The Director – Economy, Transport and Environment sought approval the establishment of a Green Entrepreneurs Grant Fund programme and allocation of £2m from the Council's Coronavirus Recovery Fund as part of the county-wide response to the Coronavirus (COVID-19) pandemic and continued development of a low carbon economy in Derbyshire, and to approve a grant of £60,000 per annum for three years to the University of Derby to support the Green Entrepreneurs Programme.

The proposed Green Entrepreneurs Programme set out in the report was a core intervention of Derbyshire's COVID-19 economic recovery action plan but critically helped support the Council's wider ambitions to tackle climate change. In May 2019, the Council approved a Climate and Carbon Reduction Manifesto, supported by a £4m budget, which identified a number of key pledges, further details of which were presented.

The proposals for the Green Entrepreneur's Fund had been developed in collaboration with the University of Derby as part of the COVID-19 recovery effort, to reflect national regional and local priorities. The fund was comprised of three strands, more details are set out in Appendix 1 to the report:

Strand 1: Green Entrepreneur's Demonstrator Fund

Strand 2: Green Entrepreneur's Small Grant Fund

Strand 3: Green Entrepreneurs Scholarship Fund

A Green Entrepreneurs Programme Board, chaired by the Leader of the Council, would have responsibility for programme oversight and approving grants to businesses, based on the recommendation of assessing officers. Other members of the Board include Cabinet Member for Clean Growth and Regeneration, Director of Economy, Transport and Environment and representatives from the University of Derby, who were the recipient of a grant for this purpose. Expertise to advise the Board would be drawn upon as and when necessary.

As part of the collaboration with the University of Derby for Programme Management, it was proposed to allocate a grant of £60,000 per annum for three years to the university to support programme delivery, which would be reviewed after the first year. The indicative spend across the programme was set out in the report, however, this would be re-assessed by the Board during delivery and might be re-aligned to reflect the need evidenced. As part of the strategic response to COVID-19, the County Council set aside £15m to facilitate interventions which supported residents, businesses and communities. The Council would be allocating £2m from this funding towards the Green Entrepreneurs Fund.

**RESOLVED** to approve (1) the establishment of the Green Entrepreneurs grant programme to support delivery of the Coronavirus (COVID-19) Action Plan and continued development of low carbon economy in Derbyshire as set out in Appendix 1 to the report; and

(2) the allocation of £2m for the Green Entrepreneurs Programme from Council's COVID-19 Recovery Fund, to include a grant of £60,000 per annum for three years, to be reviewed after the first year, to the University of Derby to support the Green Entrepreneurs Programme through Programme Management.

**28/21**      **A515 ASHBOURNE TRANSPORT STUDY** (Highways, Transport & Infrastructure) The Director – Economy, Transport and Environment advised Cabinet of the findings of further assessment and recent public consultation into transport issues around Ashbourne, to outline potential solutions, and to recommend a preferred option and next steps.

At its meeting of 29 June 2017, Cabinet agreed for detailed investigations, surveys and preparatory work to be undertaken to explore strategic solutions to the traffic issues being experienced within the unique historic core of Ashbourne. This particularly related to north-south movements along the A515 which connects the Peak District National Park to the national strategic road network (via the A50 trunk road) and passes through Ashbourne town centre. The work included procuring consultants to carry out Stage 1 activity (detailed surveys, site analysis and the development of strategic options) and to initiate a programme of stakeholder engagement with local businesses, residents and Members of Parliament.

The findings of Stage 1 had been reported to Cabinet in April 2018 and a brief summary of the three reports associated with this work was provided. The conclusion of Stage 1, was that although there was scope for highway improvements within the town, the levels of delay and potential of a western bypass to remove through traffic merited further assessment of options. At the meeting on 26 April 2018, Cabinet had considered the findings of Stage 1 and resolved to:

- Note the work carried out to date on strategic transport options for Ashbourne, as summarised in the body of the report, and authorise the Strategic Director – Economy, Transport and Environment to approve final versions of the report.
- Note the progress made on related developments in and around Ashbourne.
- Agree to “further appraisal” work being carried out at Stage 2 and that it be funded from existing budgets.
- Approve the suspension of land transactions involving County Council property relevant to the development of potential western bypass options.
- Note that a further report would be submitted to Cabinet following completion of the Stage 2 work.

Much of the time since the 2018 Cabinet report had been taken up by the production of a new traffic model for the town and surrounding area. This was essential to any business case presented as part of an application for Government funding and would ensure that a robust case could be presented in support of planning and land assembly processes. The model used in the Stage 2 work was based upon 2019 traffic levels, replicating real-world conditions as closely as possible so that future traffic and network changes were forecast accurately. The degree of accuracy of the Ashbourne model was very good and no problems were anticipated in this being accepted as ‘fit for purpose’.

The Stage 2 work had used the traffic assessment model alongside engineering assessments and consideration of environmental issues, on a refined set of options. The route alignments were presented and consideration was given to the following options:

- Western Bypass Option A
- Western Bypass Option B
- Eastern Bypass Option
- Town Centre Option

A summary of the key impacts on principle and other routes was set out in the report. Consideration was given to various issues including the environmental constraints, costs and value for money, biodiversity and pollution prevention measures and protection of water quality. All the bypass options included sections within the floodplain of the River Dove/Bentley Brook or the Henmore Brook and the Agency stresses that any of these would need to be designed in accordance with the National Planning Policy Framework to prevent any increase in flood risk and, where possible, reduce flood risk overall.

It had been hoped to carry out a traditional (physical) public consultation on scheme options in late 2020. Circumstances had dictated a change in

approach to a 'virtual' event which took place from November to December 2020 and was successful in terms of gathering a large number of responses (some 885) and also in returning a clear set of preferences from the public. Appendix 2 to the report provided full details of the consultation response and a summary of key issues was highlighted.

Following assessment, the clear recommendations for Cabinet were:

- That the Eastern bypass option should now be dismissed from all further assessment.
- That the town centre option should be dismissed as the 'core' proposition, but that it should be refined and considered alongside stakeholder proposals to complement the preferred option and potentially to identify mitigation measures to ameliorate traffic impacts in the short term

The distinction between the two Western options was not so clear cut:

- Their costs were comparable.
- Their traffic impacts across the area as a whole were also comparable.
- Neither was judged to raise fundamental environmental concerns which cannot be allayed through mitigation. However, Option A performs slightly better against air quality and climate criteria and also against attracting HGV traffic. It also received, by some margin, stronger support in responses to consultation. On this basis, to ensure that there was clarity for interested parties, it is also recommended that Western Bypass Option B be dismissed from further assessment.

A fundamental consideration for Cabinet and for all interested parties in this project was that selection of a preferred option did not constitute certainty over either delivery or acceptability. The former required further consideration of funding options, planning consent and land assembly; the latter required planning consent as a test, and this represented the next key stage of project development.

Should Cabinet accept the findings of this report, it was recommended that officers produce briefs and seek fee proposals for work which would be required to support a planning application. This would include an Environmental Statement and preliminary highway design for the preferred option. Should Cabinet accept the recommended route (Western Bypass A) this work would also include an assessment of options for Mapleton Road and for the Tissington Trail and other walking and cycling routes.

In parallel to establishing the costs of work as outlined above, officers will carry out and liaise with relevant agencies over the current potential for grant funding. Cabinet will be aware that, understandably, Government has not been able to provide as much certainty over future programmes as might



have been the case, although some certainty may emerge in association with the planned Spring Budget in March.

The conclusions of these investigations would need to be reported to a future Cabinet meeting with recommendations on any work to be commissioned in the context of the funding climate.

**RESOLVED** that Cabinet (1) notes the significant work that has been undertaken to date to assess the traffic impacts and develop potential options;

(2) notes the assessment of options to address traffic problems in Ashbourne and on responses to consultation on these;

(3) notes the results of public consultation undertaken between November and December 2020 as summarised in this report and set out in detail at Appendix 2 to the report;

(4) agrees that Western Bypass Option A be confirmed as the 'preferred route' and that Western Bypass Option B and the Eastern Bypass be dismissed from further assessment

(5) requests that Derbyshire Dales District Council take steps to protect Western Bypass Option A against any competing land-use development.

(6) agrees to receive a further report on the costs of work towards a planning application and on potential funding for the preferred scheme.

#### **29/21      REGISTRATION SERVICE – CESSATION OF WEDDINGS**

(Health & Communities) The Managing Executive Director reported on an urgent decision taken by the Managing Executive Director to temporarily cease wedding and civil partnership ceremonies except in exceptional circumstances during the period of the tier 4 restrictions in Derbyshire.

In accordance with the Health Protection (Coronavirus, Restrictions) (All Tiers) (England) Regulations 2020/1374 (as amended) and government guidance, the Managing Executive Director agreed on 7 January 2021 that with immediate effect weddings and civil partnerships could only take place with up to 6 people in attendance (excluding staff working) and in exceptional circumstances. The change would apply throughout the period the Tier 4 restrictions were in place in the county of Derbyshire.

**RESOLVED** to note the decision taken with regard to the temporary cessation of weddings and civil partnerships other than in exceptional circumstances whilst the Tier 4 restrictions were in place in the county of Derbyshire.

**30/21**      **SCHOOL ADMISSION ARRANGEMENTS FOR 2022-23 ACADEMIC YEAR** (Young People) The Executive Director – Children’s Services reported on the annual consultation, and to seek Cabinet approval for the proposed determined admission arrangements for the academic year 2022-23.

The regulations concerning school admissions – namely, the School Admissions (Admission Arrangements and Co-ordination of Admission Arrangements) (England) Regulations 2012 and the statutory Department for Education ‘School Admissions Code’ (December 2014) – require that the admission arrangements for the 2022/23 academic year are consulted for a minimum of 6 weeks between October 2020 and 31 January 2021 and determined no later than 28 February 2021. Details of the consultation undertaken and the responses received were presented in the report.

Following consultation, changes to the school Published Admission Numbers (PANs) listed in Appendix 1 to the report were recommended. These had all been agreed by the schools. All of these changes were in line with revised net capacity assessments.

**RESOLVED** to note the outcome of the consultation and to approve the proposed determined admission arrangements for the academic year 2022-23 which were summarised in PANs detailed at Appendix 1 to the report.

**31/21**      **EXCLUSION OF THE PUBLIC FROM THE MEETING**

**RESOLVED** - that under Regulation 4(2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraphs 3 & 4 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.)

**SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC HAD BEEN EXCLUDED FROM THE MEETING**

1. To consider Minority Group Leaders’ Questions (if any).
2. To confirm the Exempt Minutes of the meeting of Cabinet held on 14 January 2021.
3. To receive exempt minutes of Cabinet Member meetings as follows:
  - (a) Corporate Services – 14 January 2021

1. Finance Review (Corporate Services) – Managing Executive Director Commissioning, Communities & Policy and the Director of Finance & ICT (contains information relating to any consultation or negotiations, or contemplated consultation or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority).
2. Development of land at Swanwick in partnership with a Community Sports Club (Corporate Services) - Managing Executive Director Commissioning, Communities & Policy (contains information relating to the financial or business affairs of any particular person (including the Authority holding that information)).

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PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER – YOUNG PEOPLE** held on 2 February 2021.

**PRESENT**

Cabinet Member - Councillor A Dale

Also in attendance – Councillors J Patten and J Coyle

**03/21**      **MINUTES**      **RESOLVED** that the minutes of the meeting of the Cabinet Member for Young People held on 2 January 2021 be confirmed as a correct record.

**04/21**      **CONFIRMATION OF NOMINATIONS OF SCHOOL GOVERNORS**

The Cabinet Member was asked to confirm the nominations of persons to serve as local authority school governors. Appointments made by governing boards would be subject to the completion of a Declaration of Eligibility form, a proof of identity check and an enhanced DBS check.

**RESOLVED** that the Cabinet Member confirms the nominations for persons to serve as local authority governors as follows:-

A Flint	-	Hallam Fields Junior School
P Ambrose	-	Fairfield Infant & Nursery School
C Furness	-	New Mills School
A Thomas	-	Northfield Junior School
P Nicholson	-	Park House Primary School

**05/21**      **REVISED FAIR ACCESS PROTOCOL FOR PRIMARY SCHOOLS**

The Department for Education statutory Guidance 'The School Admissions Code' (2014) requires that each local authority must have a fair access protocol. All schools and academies must participate in their local authority's protocol in order to ensure that unplaced children, who live in the home local authority, especially the most vulnerable, were offered a place at a suitable school in the home local authority as quickly as possible, including admitting children to schools that were already full.

The current protocol has been in place since the academic year 2015/16 and in order to allocate pupils fairly, has relied on a system of allocating points to schools admitting a pupil under these arrangements and deducting points for permanent exclusion, however the process has proven to be unsatisfactory. The chair of the fair access panel raised the issue with primary headteacher colleagues at area heads' meetings in summer 2019 and following feedback a task and finish

group comprising nine headteachers, two governors and three officers of the council was formed to produce a revised protocol.

The revised protocol strengthens the requirement to attend a local meeting to agree the most appropriate school to meet a child's needs, by involving governors at an early stage. In addition, following no agreement to admit a child escalation would be to a neutral Fair Access Resolution Panel, whose membership is drawn from headteachers and governors not linked to the particular schools involved in the dispute. Final escalation was also included, specifically direction to admit by the Secretary of State.

The revised protocol was presented to 197 headteachers at the area heads meetings in November 202 and they were asked to respond to the chair of the Fair Access Panel. Six responses were received and detailed in the report.

Since the consultation the Admissions Department has advised that the current School Admissions Code did not accept an Ofsted judgement of Inadequate as grounds for refusal of admission and in order to avoid contradiction of the Code, this has been removed from the revised protocol.

**RESOLVED** to approve the adoption and implementation of the revised Fair Access Protocol for primary schools.

#### **06/21 POOLED BUDGET FOR CHILDREN WITH COMPLEX NEEDS**

In support of children and young people with complex needs, an agreement with a pooled budget arrangement between the Council and the Derby and Derbyshire Clinical Commissioning Group (DDCCG), pursuant to Section 75 of the National Health Service (NHS) Act 2006 has been in operation since April 2013. Historically a pooled budget arrangement with Health Partners - Derbyshire County Primary Care Trust has existed since July 2010.

The annual budget established in 2010 was £6,340,000 with a target to reduce care costs by 5% and reduce the considerable administration associated with this type of placement for both the DDCCG and County Council. The original agreement was successful in containing costs, minimising bureaucracy and most importantly delivering timely specialist placements. There has been no inflationary increase in the budget over the last decade and the actual spend for 2019/20 was £7.17m, however had the budget increased each year in line with inflation, the 2010 budget would equate to £7.65m in 2019/20, demonstrating a real term reduction in costs. The average cost of placements has increased from £3,653 per week on average in 2014/15 to £4,396 per week in 2019/20 and £4,721 per week in 2020/21.

This cohort of children and young people were some of the most vulnerable in Derbyshire. The majority were children in care and experienced a range of health and special educational needs that could not collectively be addressed by local or standard services. The Section 75 has been recognised by HMI, CQC and Ofsted as "successful" and "ensures a joined-up approach to the commissioning of

services for those children who meet the local criteria of ‘high complex needs’”. DDCCG approved funding to commission two case manager roles to support and assure the complex cases process and opportunities for improvements in the procedures have been highlighted and would be implemented as part of a revised Section 75 agreement.

Approval for the continuation of the Section 75 arrangement for a further period of 2 years (with an option of a third year) would maintain continuity and allow proposals and changes to be embedded to ensure the most effective use of this agreement. Agreement has been obtained from the CCG Governing Body for this Section 75 agreement to continue for a further period of 2 years, subject to following and implementing the recommendations that were outlined in the review dated July 2020. Formal approval by the CCG would be sought from their Governing Body.

**RESOLVED** that approval is given for the Authority to enter into a Section 75 agreement with pooled budget arrangement with the Derby and Derbyshire Clinical Commissioning Group for a further period of 2 years (with an option of a third year), in order to provide and fund support packages for children and young people with complex needs whilst carrying out a review of current processes and placements.

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PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER – ADULT CARE** held on 04 February 2021

**PRESENT**

Councillor J Wharmby (in the Chair)

Also in attendance was Councillors C Dale and S Swann.

No apologies for absence had been received.

**05/21** **MINUTES RESOLVED** that the minutes of the meeting held on 21 January 2021 be confirmed as a correct record.

**06/21** **REVIEW OF URGENT OFFICER DECISIONS TAKEN TO SUPPORT THE COVID-19 RESPONSE** The Cabinet Member received an update in relation to Officer's Decisions utilising emergency decision making powers and assurance in relation to the reviews which had been made.

The decisions related to short-term temporary arrangements which were subject to regular review. It had been intended that as Cabinet was now able to function by meetings being held 'remotely' the need for officers to make urgent decisions would diminish, however, they would be kept under regular review by elected members and officers. On 4 June 2020, it had been agreed that Cabinet would formally delegate review decisions to the relevant Cabinet Member, with a summary of review decisions made be reported to Cabinet every two months.

The report detailed summary updates on the reviews taken place since the last Cabinet Member meeting on 21 January 2021. All review decisions had been discussed with the Executive Director and Cabinet Member following review by Senior Management Team. A copy of the most up to date version of the Officer Decision Records was attached at Appendix 1.

The Principal Social Worker had been engaged and consulted with over the initial decisions and had reviewed the latest updates. They were satisfied that the original decisions had been made with due regard for the Department of Health and Social Care Ethical Framework, the Care Act easement guidance and were aware of the review processes in place.

**RESOLVED** that the Cabinet Member note (1) the review of decisions made under urgent delegated powers arising from the COVID-19 Pandemic; and (2) that future review decisions would be made on a fortnightly basis by the Cabinet Member for Adult Care.

**07/21      URGENT DECISION TAKEN BY THE DIRECTOR OF ADULT AND SOCIAL CARE**

The Cabinet Member received an update in relation to an officer's decision to temporarily reduce or suspend individual homecare support for individuals who felt able to continue to manage safely in the short term in order to free up capacity to support current pressures on hospital discharge and hospital admission avoidance as a result of the current increased Covid-19 infection rates, increased pressure on acute hospital services and adults social care community support services.

Such changes of service would only be undertaken with the consent of the person in receipt of the service and/or their carers and, in the case of those persons who lacked capacity, only in the event that this decision was consistent with the individual's best interests.

**RESOLVED** that the Cabinet Member note the urgent decision made by the Director.

PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER – ADULT CARE** held on 18 February 2021

**PRESENT**

Councillor B Lewis (in the Chair)

Also in attendance was Councillors C Dale and S Swann.

Apologies had been received from Councillor J Wharmby

**08/21** **MINUTES RESOLVED** that the minutes of the meeting held on 04 February 2021 be confirmed as a correct record.

**09/21** **REVIEW OF URGENT OFFICER DECISIONS TAKEN TO SUPPORT THE COVID-19 RESPONSE** The Cabinet Member received an update in relation to Officer's Decisions utilising emergency decision making powers and assurance in relation to the reviews which had been made.

The decisions related to short-term temporary arrangements which were subject to regular review. It had been intended that as Cabinet was now able to function by meetings being held 'remotely' the need for officers to make urgent decisions would diminish, however, they would be kept under regular review by elected members and officers. On 4 June 2020, it had been agreed that Cabinet would formally delegate review decisions to the relevant Cabinet Member, with a summary of review decisions made be reported to Cabinet every two months.

The report detailed summary updates on the reviews taken place since the last Cabinet Member meeting on 04 February 2021. All review decisions had been discussed with the Executive Director and Cabinet Member following review by Senior Management Team. A copy of the most up to date version of the Officer Decision Records was attached at Appendix 1.

The Principal Social Worker had been engaged and consulted with over the initial decisions and had reviewed the latest updates. They were satisfied that the original decisions had been made with due regard for the Department of Health and Social Care Ethical Framework, the Care Act easement guidance and were aware of the review processes in place.

**RESOLVED** that the Cabinet Member note (1) the review of decisions made under urgent delegated powers arising from the COVID-19 Pandemic; and (2) that future review decisions would be made on a fortnightly basis by the Cabinet Member for Adult Care.

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PUBLIC

**MINUTES** of a meeting of **CABINET MEMBER MEETING - HEALTH AND COMMUNITIES** held on Thursday, 4 February 2021 virtually on MS Teams

**PRESENT**

Councillor C Hart – Cabinet Member

Also in attendance: Councillor D Allen.

**7/21 DECLARATIONS OF INTEREST**

A Declaration of Interest was made for Item 4c - Councillor Hart had a Personal Interest - Derby Community Trust

**8/21 MINUTES**

**RESOLVED** that the non-exempt minutes of the meeting of the Cabinet Member for Health and Communities held on 7 January 2021 be confirmed as a correct record.

**9/21 PERFORMANCE AND BUDGET MONITORING FORECAST OUTTURN 2020/2021 QUARTER 3**

The Cabinet Member was provided with an update on the Council Plan performance position and the revenue budget position of the Health and Communities portfolio for 2020-2021 up to the end of December 2020 (Quarter 3).

The report showed that progress was "good" for the portfolio. After the use of additional Covid-19 funding, the budget forecast position saw an underspend of £3.254m. It was forecast that £0.166m of savings would be achieved by the year end compare to target savings of £0.150m and the value of savings initiatives, which had been identified for implementation in the current year, of £0.216m.

The report contained detailed tables and graphs showing an overview of progress on deliverables and measures. Key areas of success were Pause Derbyshire, the Community Response Unit in response to winter pressures and the online weight management programme. Areas for consideration were 0-5 Service and new pre-school contact. Appendix A provided further information.

The net controllable budget for the portfolio was £16.115m. An additional £1.956m Covid-19 funding give a total of £18.071m. The Revenue Budget Monitoring Statement forecasted a year-end total underspend of £3.254m.

Allocated budget reduction targets totalled £0.216m. There was an over-achievement of savings of £0.066m which was brought forward to the current year and resulted in total reductions of £0.150m at the start of the year.

The portfolio received additional budget allocations: Coroners service - £0.101m for ongoing increasing fees due to a national shortage of Pathologists and Community Safety Domestic Violence - £0.500m one-off payment.

The risks were detailed within a table and earmarked reserves of £9.704m were held to support future expenditure.

**RESOLVED** - that the Cabinet Member note the report and consider whether there are any further actions that should be undertaken to improve the budget position moving forwards or to address performance, where it has not met the desired level.

## **10/21 UPDATE ON PUBLIC HEALTH COVID-19 EMERGENCY FUND**

The Cabinet Member was given an update on organisations supported through the Public Health COVID-19 Emergency Fund and approval was sought for allocating an additional £100,000 towards the fund.

The ongoing response to the COVID-19 pandemic had seen many organisations across Derbyshire working to ensure the basic needs of the most vulnerable residents were met. It had become apparent that a number of community and voluntary sector organisations had insufficient resources to maintain their current level of response. Support for the clinically extremely vulnerable, social isolation and requirement for activities to comply with social distancing guidance had compelled many organisations to quickly adapt their service delivery models to operate within the guidance, often requiring additional resources to enable this.

The Cabinet Member had approved the establishment of a Public Health COVID-19 Emergency Fund to support voluntary and community sector organisations in mitigating the impact of COVID-19 on local communities and to work to improve the health and wellbeing of local populations. Funding up to the value of £2,000 was available for constituted groups and £250 for non-constituted groups. This was funded from the Public Health Grant and linked to the current Public Health work programme.

Authority for approval of applications was delegated by the Cabinet Member to the Director of Public Health, with quarterly updates. This report was the second quarterly update covering funding from 6 October to 21 December 2020. Funding decisions were made by a panel comprising of an Assistant Director of Public Health, a Public Health Lead

and a Health Improvement Practitioner, before ratification by the Director of Public Health. Details on the organisations to have been approved for funding were detailed within the report.

**RESOLVED** - that the Cabinet Member (1) note the allocation of the Public Health COVID-19 Emergency Fund to date;

(2) approve the extension of the fund by an additional £100,000;

(3) continue to delegate authority to the Director of Public Health to approve awards of no more than £2,000 to individual organisations; and

(4) receive details of further recipient organisations on a quarterly basis.

## **11/21 LOCAL AUTHORITY EMERGENCY ASSISTANCE GRANT**

The Cabinet Member was updated on the allocations of the Coronavirus (Covid-19): Local Authority Emergency Assistance Grant for Food and Essential Supplies. Additional approval was also sought to enable allocation of grant funding to further external organisations.

Derbyshire County Council had received £0.808m in the form of a Local Authority Assistance Grant for Food and Essential Supplies, provided by the Department for Environment, Food and Rural Affairs (DEFRA) to support people struggling to afford essentials due to the impact of Covid-19. Public Health had been liaising with key partnership groups to develop ideas being put forward and seek assurance that the grant was meeting local needs.

The Cabinet Member had previously approved reports that allocated the bulk of the monies and disbursement of the agreed monies had taken place. In response to changing needs, the report proposed a further allocation of funding to enhance fuel poverty support to people across Derbyshire and to provide copies of the national 'Quids In' Financial Inclusion advice magazine for distribution through foodbanks and networks.

The original report on 4 September identified £20,000 for fuel poverty related support. £10k already been dispersed to the Derbyshire Healthy Homes project. Approval was sought to channel the remaining £10k to Marches Energy Advice to enhance their work with foodbanks with a recommendation to further top up the funding with an additional £22,000 from the contingency put towards this important agenda area. Funding would have an additional focus on funding emergency support for people with credit meters. This would complement Public Health's holistic winter pressure support programme being co-ordinated on behalf of the system by the Community Response Unit.

In partnership with food banks across Derbyshire, additional funding would provide emergency support on energy use to a minimum of 250 householders at a time of crisis. Key deliverables included emergency credit meter funding or pre-payment meter funding; B-Warm electric seat warmers; 3-4 LED bulbs per home; staff time to facilitate the allocation and full support through Warmer Derby and Derbyshire. Need would be determined using existing food bank criteria.

'Quids In' magazine was a national publication giving advice and 'top tips' aimed at tenants and those on low income around managing money; accessing help; avoiding rogue traders and loan sharks etc. It was proposed to purchase copies to distribute through foodbanks and Feeding Derbyshire projects twice in the coming year with Rural Action Derbyshire and the Feeding Derbyshire network. This would be a one-off cost. There were cost savings for buying in bulk and it was proposed to fund Rural Action Derbyshire £3,000 to purchase 10,000 copies (twice) during 2021.

Allocations would bring the total committed to £0.647m. Recommendations for the remaining £0.161m contingency would be brought to a later meeting for approval.

**RESOLVED** - that the Cabinet Member note the information contained in the report and approve the recommendation regarding a further allocation of external grant to support provision of services relating to the Local Authority Emergency Assistance Grant for Food and Essential Supplies.

## **12/21 RECOVERY FROM ALCOHOL AND DRUG DEPENDENCE GRANT**

Approval was sought from the Cabinet Member for the award of £148,885 to four organisations from the Recovery from Alcohol and Drug Dependence Grant and for the award of £47,736 to Derby County Community Trust to deliver the Active Choices recovery programme.

The Recovery from Alcohol and Drug Dependence Grant programme had been running for the last five years to fund projects that provided recovery activities across Derbyshire. From June 2020, project proposals which usually lasted for one year were requested to last two years, with the local authority funding the first year and match funding being found for the second year. This was to enable longer-term sustainability for the existing projects and to allow for a new, potentially less experienced but equally innovative projects and organisations, to apply for funding in the subsequent year.

In September 2020, organisations were invited to apply for a maximum of £40,000 for the grant to commence from 01 April 2021. Six applications were received from organisations across Derbyshire and were evaluated by two members of the Substance Misuse Health



Improvement Team and one member of the Public Health Commissioning Team against the agreed criteria. Four of the organisations were recommended for funding; the remaining two did not meet the objectives of the grant. The organisations recommended for funding were:

- A-Spire Right: Chesterfield Football Club Community Trust – £39,955
- Rhubarb Recovery Continued: Rhubarb Farm - £37,098
- Growing Lives: Derwentio Charitable Trust - £36,682
- RISE: The Zink Project - £35,150

In addition to the support these projects would provide, Derby County Community Trust (which was not eligible to apply for funding under the Recovery Grants programme) had been separately grant-funded in two previous years to deliver activities for people in recovery from substance misuse issues in South Derbyshire, Erewash and Amber Valley. Their Active Choices programme offered a variety of face-to-face physical activity-based interventions alongside virtual online support and working with a total of 80 people in recovery over a one year period, at a cost of £47,736. In localities not covered by the recovery projects, the Health Improvement Team would work with locality leads to identify community projects which offered activities that might support recovery.

**RESOLVED** - that Cabinet Member approve the award of grants to the four organisations who meet the Recovery from Alcohol and Drug Dependence Grant funding criteria, and to Derby County Community Trust for their Active Choices programme, to further develop the recovery communities in Derbyshire from April 2021 as detailed in the report.

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PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER FOR STRATEGIC LEADERSHIP, CULTURE AND TOURISM** held on 4 February 2021.

**PRESENT**

Cabinet Member – Councillor B Lewis

Also in attendance – Councillors R Flatley and P Smith

**Declarations of interest**

Councillor B Lewis declared a personal interest in agenda item no.3 (a) (minute no.5/21) as a member of Marketing Peak District and Derbyshire.

**4/21** **MINUTES RESOLVED** that the minutes of the meeting held on 19 January 2021 be confirmed as a correct record.

**5/21** **MARKETING PEAK DISTRICT AND DERBYSHIRE – UPDATE**

The Cabinet Member was updated on the current financial position of Marketing Peak District and Derbyshire (MPDD) and approval was sought to underwrite up to £100,000 to support cashflow issues incurred by the organisation due to the impact of the Coronavirus (Covid-19) pandemic.

The Visitor Economy was a high level priority within the Economic Recovery Strategy. Pre-Covid-19, it was acknowledged as one of the key growth sectors within Derbyshire, demonstrating year on year growth over a decade, with the sector worth £2.49bn Gross Value Added (GVA) and supporting 31,932 jobs in 2019.

The evidence review which had informed the Strategy, highlighted the disproportionate impact that the Covid-19 pandemic had had on the Visitor Economy and the resulting action plan had identified key interventions necessary to help the sector recover over the medium to long term (2021 to 2025). It was proposed that MPDD would lead on the implementation of a number of these interventions.

As a result of Covid-19 and its impact on accommodation providers and attractions, commercial income in 2020-21 had been significantly impacted, with only £15,000 secured compared to £200,000 in 2019-20. This had caused significant cashflow issues for MPDD and reserves had had to be utilised to cover fixed costs. Reserves now stood at £140,000 but could no longer be utilised to cover fixed costs as proper financial management required that £100,000 be retained for staff liabilities and £40,000 for potential ERDF claw back in the event that the business had to cease trading.

MPDD had been able to secure additional income of £40,000 from Visit England towards the shortfall in 2020-21 income, along with £10,000 towards business resilience planning, which again needed to be spent within the 2020-21 financial year and could not be utilised to shore up longer term costs. Budget planning for 2021-22 had considered four scenarios based on reduced commercial income streams, due to the ongoing impact of the pandemic. All other income streams were assumed to remain constant at £417,979, although this was not without its uncertainties. The forward budget planning scenarios were highlighted.

MPDD was confident that it could achieve 70% (£135,620) of commercial income in 2021-22 and was considering a range of new approaches to generate income and support longer term financial viability. Business feedback suggested that once restrictions were lifted there would be a very strong staycation market during 2021-22 which should also result in returning membership and associated income.

**RESOLVED** to (1) note the update on the current financial position of Marketing Peak District and Derbyshire; and

(2) approve the proposal to underwrite up to £100,000 against loss of income incurred by Marketing Peak District and Derbyshire due to the impact of the Coronavirus (Covid-19) pandemic.

**6/21 CULTURE, HERITAGE AND TOURISM BOARD – UPDATE AND FORWARD PROGRAMME** Approval was sought for the proposals to support the economic recovery of Derbyshire’s creative and cultural industries through the development of a Cultural Framework.

At the meeting on 12 November 2020, the Culture, Heritage and Tourism Board (CHAT) agreed to develop a Cultural Framework for Derbyshire to support cultural and creative industries, as one of the interventions of the Economic Recovery Action Plan. Detailed work to define the scope, methodology and project deliverables was ongoing and would continue to be refined. Alongside delivery of the Framework, funding of £20,000 had been secured from Arts Council England to support development of the Framework and to support delivery of immediate outcomes.

A key priority for the CHAT Board was delivery of the Festival of Derbyshire, an 8 month campaign and programme of events aimed at celebrating Derbyshire’s distinctive culture, telling Derbyshire’s story, marking some key anniversaries in 2020 and attracting more visitors to the County.

In February 2020, CHAT Board members had been updated on the progress of the Festival of Derbyshire, which was due to be launched in May

2020. It was agreed the Festival had to be postponed due to the impact of lockdown and ongoing restrictions, with the hope that it would be re-scheduled for January 2021 onwards, to pull in key anniversaries such as the Peak District National Park 70th, Arkwright's Mill 250th and Silk Mill/Museum of Making 300th.

From consultation with partners, it was clear that the current restrictions and ongoing uncertainty meant that the Festival in its previous format, was no longer feasible and that officers would need to look to build a programme that supported delivery of the aims of the Festival, but that was able to flex and respond to current needs and the level of uncertainty that remained about Covid-19 restrictions.

**RESOLVED** to (1) note the proposals to support the economic recovery of Derbyshire's creative and cultural industries;

(2) approve development of a Cultural Framework and new proposals for a revised approach to Festival of Derbyshire in light of Coronavirus (Covid-19) impacts; and

(3) approve acceptance of £20,000 grant from the Art Council.

**7/21 URGENT DECISION – DERBYSHIRE FLOODS HARDSHIP FUNDS FOR RESIDENTS AND BUSINESSES** The Cabinet Member was asked to note the urgent decision taken by the Managing Executive Director, Commissioning, Communities and Policy in accordance with the Council's Constitution to establish hardship funds to support residents and small businesses in financial hardship in Derbyshire affected by the flooding following Storm Christoph during the week commencing 18 January 2021.

**RESOLVED** to note the Urgent Officer Decision detailed in Appendix 1 to the report.

**8/21 EXCLUSION OF THE PUBLIC RESOLVED** that under Regulation 4(2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraphs 3 & 4 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

**SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC WERE EXCLUDED FROM THE MEETING**

1. To consider the exempt report of the Managing Executive Director Commissioning, Communities and Policy on the proposal for Derbyshire County Council to support a regional bid to create an East Midlands Freeport (contains information relating to the financial or business affairs of any particular person (including the Authority holding that information))

PUBLIC

**MINUTES** of a meeting of **CABINET MEMBER MEETING - CLEAN GROWTH AND REGENERATION** held on Thursday, 11 February 2021 virtually on MS Teams

**PRESENT**

Councillor T King – Cabinet Member

Also in attendance: Councillor G Hickton and R Mihaly.

**1/21 MINUTES**

**RESOLVED** – that the non-exempt minutes of the meeting of the Cabinet Member held on 19 November 2020 be confirmed as a correct record.

**2/21 PERFORMANCE AND BUDGET MONITORING/FORECAST OUTTURN 2020-2021 AS AT QUARTER 3**

The Cabinet Member was provided with an update on the Council Plan performance position and the revenue budget position of the Clean Growth and Regeneration portfolio for 2020-2021 up to the end of December 2020 (Quarter 3).

The report showed that progress was "good" or "strong" for the majority of the deliverables led by the portfolio and, after the use of additional Coronavirus (COVID-19) funding, the budget forecast position for 2020-2021 was a small overspend of £0.031m. The report contained detailed tables and graphs showing an overview of progress on deliverables and measures.

Key achievements were evident against the Council's good growth ambitions which were reflected in the approved COVID Economic Recovery Strategy driven through strong partnership working, specifically in relation to:

- Level of inward investment secured into the county - the annual 2020/21 target had been achieved due to strident progress being maintained on externally funded regeneration projects;
- Progress against the adopted climate change framework – particularly in relation to further installation of electric vehicle charging points and instigation of local energy generation projects. Major strategic infrastructure proposals continued to be developed to support low carbon transport;
- Continued roll out of programmes supporting routes to employment and development of business support programmes, including business start up and green entrepreneurs grant funds.

A key area for consideration was the need to continue targeted action to support vulnerable young people into positive destinations such as employment, training or learning (NEET). This remained a cross-departmental priority and interventions such as development of Careers Hub and Youth Hub had been included in the Employment and Skills COVID-19 Recovery Strategy. Further information on the portfolio's performance were included in Appendix A.

The net controllable budget was £0.803m, an additional £0.471m COVID-19 funding gave a total of £1.274m. The Revenue Budget Monitoring Statement forecasted a year-end overspend of £0.368m; this would be supported by £0.471m additional COVID-19 funding giving a forecasted underspend of £0.103m.

Economic Development saw an overspend of £0.300m due to COVID-19 hardship grant payments and an Employment and Skills overspend of £0.069m, due to there being no established budget for the Employment and Skills post.

Earmarked reserves totalling £0.695m were held to support future expenditure. These were detailed in the report.

**RESOLVED** that the Cabinet Member note the report and consider whether there were any further actions that should be undertaken to improve the budget position moving forwards or to address performance, where it had not met the desired level.

**3/21**     **EXCLUSION OF THE PUBLIC**

**RESOLVED** – that the public, including the press, be excluded from the meeting under Regulation 4(2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, during consideration of the remaining items of business on the grounds that in view of the nature of the items of business, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

**4/21**     **MINUTES**

**RESOLVED** – that the exempt minutes of the meeting of the Cabinet Member held on 19 November 2020 be confirmed as a correct record.



PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER FOR CORPORATE SERVICES** held on 11 February 2021.

**PRESENT**

Cabinet Member – Councillor A Foster

Also in attendance – Councillor P Murray

**6/21** **MINUTES RESOLVED** that the minutes of the meeting held on 14 January 2021 be confirmed as a correct record.

**7/21** **GENDER PAY GAP REPORTING REQUIREMENTS** The Regulations required that all public, private and voluntary sector organisations with over 250 employees published and reported specific data on their gender pay gap by 31 March each year. Organisations were required to publish gender pay gap data and supporting narrative on their website as well as reporting the data on the Government Equalities Office website.

The reporting requirements, set out in Appendix 1 to the report, provided detailed instructions on the data to be included or excluded in the pay gap calculations as well as specifying the calculations to be carried out. The calculations were undertaken using data from a specific reference date, called the 'snapshot date'. For public sector organisations, the snapshot date each year was 31 March and the required data must be published within a year of the snapshot date. Therefore, the Council's data at 31 March 2020, along with that of all other public sector organisations, will have to be published by the end of March 2021.

The Council currently had a mean gender pay gap of 10.4% and a median pay gap of 15.2%, however, this was not due to female employees being paid less than male employees for undertaking equivalent work. The main factor was due to the types of jobs available, particularly lower paid part time roles that attracted predominantly female applicants, such as cleaners, catering, library assistants and care workers.

The Council was committed to building on actions and initiatives to reduce the gender pay gap and promote greater diversity within its employment sectors. In 2020 the overall percentages of females and males in the workforce remained static at 76% for females and 24% for males for the third consecutive year. Details of the Council's mean gender pay gap, median gender pay gap and pay quartile bands were set out in the report. The mean Gender Pay Gap had decreased by 1.1% from 11.5% reported in 2019 to 10.4% reported in 2020. The median had also reduced by 4.8% from 20% in 2019 to 15.2% in 2020.

Details of the composition of the workforce in relation to gender were set out in Appendix 2 to the report and showed the Council's workforce was predominantly female (76%) and female employees represented the majority of Council employees in all four pay quartiles.

The Gender Pay Gap Reporting Narrative, set out in Appendix 3 to the report, provided further information about the data and the Council's long-term action plan to reduce the gender pay gap.

**RESOLVED** to note the report and the proposed data to be published.

**8/21**        **EXCLUSION OF THE PUBLIC RESOLVED** that under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

**SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC WERE EXCLUDED FROM THE MEETING**

1. To confirm the exempt minutes of the meeting held on 14 January 2021 (contains exempt information)
2. To consider the exempt reports of the Managing Executive Director Commissioning, Communities and Policy on:
  - a) Quarterly Report of Delegated Approvals for Property Transactions – not exceeding £500,000
  - b) Quarterly Report of Delegated Approvals for Property Transactions – not exceeding £100,000(contains information relating to the financial or business affairs of any particular person (including the Authority holding that information))

PUBLIC

**MINUTES** of a meeting of the **CABINET MEMBER FOR HIGHWAYS, TRANSPORT AND INFRASTRUCTURE** held on 11 February 2021

**PRESENT**

Cabinet Member - Councillor S Spencer

Also in attendance – Councillors T Ainsworth, G Hickton and J Twigg (Local member Minute 11/21)

**09/21**      **PETITION**      **RESOLVED** (1) to receive the under-mentioned petition: -

<b>Location/Subject</b>	<b>Signatures</b>	<b>Local Member</b>
Denby, Danesby Crescent/Pottery Gardens – Request for Traffic Calming measures	51	Councillor K Buttery

(2) that the Director – Economy, Transport and Environment be asked to investigate and consider the matters raised.

**10/21**      **MINUTES**      **RESOLVED** that the Minutes of the meeting of the Cabinet Member for Highways, Transport and Infrastructure held on 28 January 2021 be confirmed as a correct record.

**11/21**      **PETITION REQUESTING THE REDUCTION OF THE SPEED LIMIT ON GRINDLEFORD ROAD, CALVER**      Following the receipt of a petition requesting the consideration of a lower speed limit on Grindleford Road, Calver, Derbyshire investigation have been undertaken.

Currently, a 30mph speed limit is in place for the village of Calver before it changes to a 50mph speed limit on the B6001 to the north of the junction with the A625. The B6001 remains at 50mph for approximately 1 mile, before a short section of 40mph speed limit prior to entering the 30mph for the village of Grindleford. Speed limits were set in accordance with the County Council's Speed Management Protocol and the criteria laid down by the Department of Transport. The report of excessive speeds was a matter for the Police/CREST (Casualty Reduction Enforcement Support Team) who were responsible for the enforcement of speed limits. A speed survey was carried out in October 2020 and no speeding issues were identified by the Police

within the 40mph and 50mph limit, on the Section of the B6001 from Grindleford to Calver. The Police also reported that on the last two occasions they visited the Grindleford sites (located within the 30mph section of the Village), they have reported 22 and 11 offences respectively.

The Police data base for Recorded Injury Collisions showed that in the latest three year period, 1 August 2017 to 31 July 2020, there have been no recorded collisions over the length of the B6001 from its junction with the A625 to its junction of the B6521.

The County Council received many requests for the introduction of traffic calming measures, which far outweighed the limited funding available for such schemes. Considering the good collision history record, traffic calming measures at this location could not be justified at this time.

**RESOLVED** that (1) a reduction to a 40mph speed limit on Grindleford Road, Calver is not justified at this time as the current 50mph speed limit is appropriate;

(2) the reports of excessive speed will be reported to the Police/CREST (Casualty Reduction Enforcement Support Team); and

(3) officers will arrange for a 14 day speed survey to be carried out in the summer 2021 when it is envisaged traffic flows will be back to normal levels.; and

(4) the Local Member, Lead petitioner and Grindleford Parish Council be advised of the decision.

**12/21      OBJECTIONS TO THE A632 MATLOCK TO COUNTY BOUNDARY AND ADJACENT ROAD, 30MPH, 40MPH AND 50MPH SPEED LIMIT ORDER 2020**

The proposed to consolidate all Speed Limit Traffic Regulation Orders pertaining to the A632 from its junction with the A615 in Matlock to its County Boundary with Nottinghamshire and its adjacent road of Vernon Lane in Kelstedge. proposals were advertised from 27 August 2020 until 18 September 2020. There were no changes to the current speed limits or position of the existing speed limits. This technical adjustment would assist the Police enforcement duties. Comments were received from Councillor S Burfoot and Sutton cum Duckmanton Parish Council.

Sutton cum Duckmanton Parish Council felt that this was an ideal time to review the speed limit on the A632 through Duckmanton as the volume of traffic had greatly increased since the link road to junction 29A of the M1 was completed. It also expressed concerns regarding the junctions of the A632 with both Rectory Road and Longcourse Lane and that shunt collisions have continued to occur even after the installation of the interactive traffic sign.

Councillor Burfoot has asked for the speed limit on the approach to Matlock to be reduced to 30mph.

There were several warning signs already in place on both approaches to the Rectory Road, Duckmanton junction. On the westbound approach there was an interactive sign that warned drivers they were approaching queueing traffic. There were also dragon's teeth road markings on both approaches to further highlight the area concerned. The appropriateness of the current 40mph could be reviewed separately to the current Order which has been undertaken solely to make enforcement more straight forward.

The County Council was to promote a road safety scheme during 2021, which included proposed changes to the speed limits on the section of the A632 from Chesterfield to Matlock. Should an extension of the 30mph speed limit be justifiable, it could be undertaken as part of that scheme and any changes identified would be subject to consultation.

**RESOLVED** that (1) the extension of the 30mph speed limit, requested by Councillor Burfoot, be investigated as part of the A632, 2021-22 Capital Scheme;

(2) the request of Sutton cum Duckmanton Parish Council for a reduction of the speed limit from 40mph to 30mph be investigated; and

(3) the objections be refused and the Order be made as advertised.

**13/21 PERFORMANCE AND BUDGET MONITORING/FORECAST  
OUTTURN 2020-2021 AS AT QUARTER 3** The Cabinet Member was updated on the Council Plan performance position and the revenue budget position of the Highways, Transport and Infrastructure portfolio for 2020-21 up to the end of December 2020 (Quarter 3) including budget savings, growth and one-off funding, risks and earmarked reserves.

Progress was "good" or "strong" for the majority of the Council Plan deliverables and measures led by the portfolio, however, the deliverables roads and highways infrastructure and Highway and Transport Services have been flagged as "requiring review". The key areas of success were the approach to the new Strategic Growth and Infrastructure Framework which has now been confirmed by the Derby and Derbyshire Chief Executives meeting and the Derby and Derbyshire Joint Committee; and completion of Contract 2 in December 2020 which has resulted in 21,000 additional properties being connected. Key areas for consideration were the improvement percentage of highway defects completed within target that would continue to be monitored; significant amount of the £40m programme of highway improvements schemes would be targeted at repairs resulting from the floods that hit the County in late 2019 and early 2020 and a programme to tackle drainage and surface deterioration and prevent potholes was in progress.

The net controllable budget for the Highways, Transport and Infrastructure portfolio was £75.311m. The Revenue Budget Monitoring Statement, prepared for Quarter 3, indicated that there was a forecast year-end overspend of £8.061m. The overspend would be supported by the use of £6.654m of additional COVID-19 funding which has been allocated to the Council to support the costs incurred as a

result of the pandemic. After the use of this funding the forecast position was an overspend of £1.407m.

The key variances included Highway Maintenance (overspend £1.320m), Waste Management (overspend £1.203m), and Winter Maintenance (overspend £1.327m).

Budget reduction targets totalling £1.426m were allocated for the year, with a brought forward figure from previous years of £5.234m, resulting in total reduction targets to be achieved of £6.660m at the start of the year. The value of identified savings initiatives in the current year was £2.976m and it was expected that £2.346m would be achieved in the year with the remaining £0.630m slipping into the following year. The shortfall between the total targets and the identified savings initiatives was £4.314m

Growth items and one-off funding in the 2020-21 budget included Ash Dieback (£0.270m one-off) and Elvaston Castle Masterplan (£0.200m one-off).

Earmarked reserves relating to this portfolio, totalling £18.715m, were currently held to support future expenditure. Financial risks and the debt position were also detailed in the report.

**RESOLVED** to note the Council Plan performance position and the revenue budget position of the Highways, Transport and Infrastructure portfolio for 2020-2021 up to the end of December 2020 (Quarter 3) contained within the report.

**14/21**      **EXCLUSION OF THE PUBLIC**      **RESOLVED** that the public, including the press, be excluded from the meeting during consideration of the remaining item on the agenda to avoid the disclosure of the kind of exempt information detailed in the following summary of proceedings: -

**SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC, INCLUDING THE PRESS, WERE EXCLUDED FROM THE MEETING**

1. To consider the exempt Report of the Director – Economy Transport and Environment on Extension of the Contract Arrangements for Derbyshire Connect Shopping Bus and Access to Health Services Neighbourhood (contains information relating to the financial or business affairs of a particular company (including the Authority holding that information))

**Derbyshire County Council****Cabinet****11 March 2021**

Report of the Chairman of the Improvement and Scrutiny Committee -  
People

**Scrutiny of the Next Steps in Relation to Direct Care Homes for  
Older People – Update on Progress****1. Purpose of the Report**

To inform Cabinet of the deliberations of the People Improvement and Scrutiny Committee regarding direct care homes for older people.

**2. Background Information**

Following the Cabinet meeting on 4<sup>th</sup> June 2020, the People Improvement and Scrutiny Committee was invited to deliberate on the next steps in relation to seven of Derbyshire County Council's direct care homes. The potential closure of these homes, together with the proposed refurbishment of three, had been the subject of a consultation conducted earlier in the year. After considering the consultation outcomes, Cabinet agreed that "none of the homes proposed for closure will close unless a local care home or alternative provision is available to replace it".

The Cabinet report invited the Scrutiny Committee to adopt an overseeing role to ensure transparency of decision making and it was suggested that the focus should be on the need for, and type of local provision required.

The homes considered for potential closure were:

- Ladycross House (Sandiacre)
- Beechcroft (West Hallam)
- East Clune (Clowne)
- Holmlea (Tibshelf)
- The Spinney (Brimington)
- Goyt Valley House (New Mills)
- Gernon Manor (Bakewell)

The People Improvement and Scrutiny Committee at a meeting on 2 September 2020 agreed their approach and extended the scope of this area of work to include the following key lines of enquiry:

1. How will the robustness and durability of ongoing mitigation measures be assessed?
2. How will demand for current and future provision for older people be assessed?
3. How will the market be assessed?
4. What factors will be considered when determining what is local provision?
5. How will factors that determine what is suitable alternative provision be identified and assessed?
6. How will stakeholders be engaged?

The Committee submitted an interim report to Cabinet on 19 November 2020. The report stated that the Committee was assured that the mitigation measures in place were sufficiently robust and durable to address the increased risk associated with the properties identified as needing rewiring in the near future. The report indicated that work would continue to pursue the remaining key lines of enquiry (described above).

On 10 December 2020 the Executive Director for Adult Social Care and Health submitted a report to Cabinet providing an update on actions relating to direct care homes for older people and the following prospective timetable for future actions:

- December 2020 – January 2021: citizen engagement and with care providers undertaken (including information from the virtual investment event 14 December 2020)
- February 2021: completion of an interim Market Position Statement to respond to the impact of COVID-19, which will be reported to Cabinet in March 2021
- Summer 2021 (estimated post pandemic): commencement of a full review of the care market to develop a refreshed Market Position Statement, review of the Health and Wellbeing Strategy and Joint Strategic Needs Analysis.
- Summer 2021: completion of feasibility work on the seven homes which require rewiring
- Autumn 2021: consideration of a longer-term strategic plan taking account of the revised Market Position Statement, Health and Wellbeing Strategy and Joint Strategic Needs Analysis.



When the Committee agreed the lines of enquiry it was anticipated that a revised strategy and investment plan (informed by a revised Market Position Statement and the strategic needs analysis) would be available at the end of 2020 to inform the Committee's deliberations.

Furthermore, due to the revised timetable (above) the Committee will not be able to deliver against the key lines of enquiry as anticipated. Therefore, it is proposed that an interim report be submitted to Cabinet.

### 3. Information and Analysis

The Committee was invited to comment on the methodology that might be used to determine what is "local" and "suitable" alternative provision, in the event of each of the above-mentioned care homes closing. To date the Committee has not been presented with any proposals for the future of the seven homes or asked to comment on any decisions. The comments made in this report relate to the proposed methodology and are based on information provided at a snapshot in time. The outcomes of the December 2020 engagement activities and the revised market position statement were not available at the time of the Scrutiny Members' deliberations.

To assist the Scrutiny Working Group, Officers from Adult Care provided descriptions of different types of alternative provision and suggested definitions for the terms "local", "suitable" and "reasonable". The definitions provide were as follows:

- **Residential care** – a place where personal care and accommodation are provided over a 24/7 period. Residents continue to access community health services as required but do not need to have access to support by a qualified nurse 24/7. Services are provided for short or long periods, and this includes respite care and rehabilitation (in "Community Support Beds"). Residential care homes are required to be registered with the Care Quality Commission. Both the care that people receive, and the premises are regulated by CQC.
- **Nursing Care** – care homes with nursing are the same as residential care homes except in addition, qualified nursing care is available 24/7 to ensure that the full needs of the person using the service are met.
- **Extra Care** - a supported living environment for people over 50 years old where each resident live in their own apartment and have the opportunity to use communal facilities for social interaction and to benefit from an onsite 24/7 call system with staff on site who can respond to emergency assistance calls. These settings can be used by people who are able to manage independently and usually there are a range of people from those with no support needs to those with significant personal care needs who would have their support met from a domiciliary care agency visiting them in their apartment.

- **Local** – It is suggested that for the purposes of relocating people as a result of any residential care home closure any alternative accommodation within a 10-mile radius from their existing care home would be considered as local.
- **Suitable** – In the context of any proposed care home closure it is likely that for existing residents the most suitable alternative would be another residential care home. However, the care and support needs for each individual resident would be reassessed at the point of any decision to close a care home and for some individuals that reassessment may identify a need for increased support requirements that could mean they need to move to a nursing care setting. It is also possible, but uncommon, for that reassessment to identify that a person's needs could be met in an extra care setting as an alternative.
- **Reasonable** – It is suggested that a reasonable alternative would be that which is the most appropriate setting for the individual, based on an assessment of need and which could offer a combination of "suitable" and "local" as defined above. We would expect that in the context of an alternative residential care home this would be any establishment within the whole market, not necessarily just a Council operated alternative.

For each of the seven homes Scrutiny Members were provided with a map of the surrounding area with alternative accommodation identified with a 10-mile radius drawn on to indicate what falls within the definition of "local". Members were advised that for current residential care home residents, 'suitable' alternative provision would most likely be another residential care home. Information was provided about how many residential care home vacancies were currently available within that local radius and how many residents were currently within the directly provided service. The concept of "reasonable" was addressed by indicating which alternative options met the good or above CQC registration requirements. The vacancy data provided related to a snapshot in time and was for illustrative purposes, therefore it has not been reproduced in this report. However up to date vacancy data is available on the Council's website.

The information provided to the Scrutiny Members included an explanation of other factors that might influence individual decision making in relation to what is local, suitable and reasonable. It was made clear that during any closure and relocation process the following factors would be considered when determining the options for each resident:

- **The outcome of the individual assessment of current needs**, this assessment would be undertaken by a social worker in partnership with the person, their family carers, friend or advocate and the staff that support them in their current setting. This assessment will determine the most suitable type of alternative accommodation and take into account other factors that may impact on individual decision making about what is local such as the location of suitable resources such as specialist Dementia accommodation if that were

required, the location of family or friends, the person's current connections with their local community and or any connections with previous communities and the availability of public transport.

- **The availability of alternative accommodation.** Different parts of Derbyshire, based on their demographic and geographic location may have greater or lesser 'suitable' capacity within the proposed 10 mile radius defined as 'local' and this will also need to be considered in identifying what is a 'reasonable' alternative for each individual.
- **Individual choice of the resident regarding what is suitable.** Whilst Adult Care would expect that alternative care homes should be rated as 'good' or above by CQC, for some people a care home that is rated as 'requires improvement' by CQC may be chosen as a suitable alternative based on other factors such as locality and accessibility.

#### 4. Scrutiny Working Groups Comments

- Given that there is the potential for both demand for residential care and the supply of appropriate provision to fluctuate considerably during the ongoing uncertainties created by the pandemic, the timing of the decision about the future of the seven care homes and the long-term accommodation strategy, needs to be carefully considered.
- When the Committee conducts pre-decision scrutiny deliberations in relation to the future of the seven care homes, Members will require up-to-date information about demand for, and the supply of, residential care. It is understood that a process is planned to gather this information in due course.
- When considering the proposed methodology, Members placed great importance on the individual assessment of current needs when determining what is "local" for each resident. There was unanimous agreement that whilst distance is an important factor, it is not sufficient to determine "local" in terms of a 10-mile radius from a person's existing residence. Therefore, Members seek assurance that factors outlined in the explanation of individual assessment of current need (such as accessibility to transport routes, location of family and friends and a person's connectedness to the area) will be given sufficient consideration when identifying options for each individual.
- The maps provided were a helpful visual aid to see the number and location of provision in each area. However, it was felt that it was an oversimplification to ask Members to form a view about the approach for future provision by merely counting up the number of vacancies in an area and comparing that to the number of residents in each of the DCC home under consideration. Members had questions about ongoing affordability for existing and future residents, the sustainability of the market given the current economic pressures brought about by the pandemic, and whether the care

provided at the alternative locations will be appropriate if existing residents were to move to them.

- Based on the information provided at the time (and with the exception of Goyt Valley House) it appeared that there were vacancies within a 10-mile radius of each of the care homes referred to in the report. It also appeared that the number of the vacancies exceed the number of residents in each home under consideration. However, Members were mindful that the figures related to a “snapshot” in time at an unprecedented moment in history, when occupancy rates are at an all-time low and the market is under considerable financial pressure.
- Members were informed that the Council does not operate a waiting list for care homes. They were advised that when someone is assessed as requiring long term residential care they are provided with information about all of the care homes in the area they are wishing to live and encouraged to read CQC reports as well as visit establishments (subject to current restrictions around COVID-19) and then they are enabled to request a place in any home that has available vacancies. Whilst some private sector care homes may operate waiting lists for people who are considering entering residential care under normal circumstances those assessed as needing to move into a residential care setting need to do so at that point in time and are not able to safely remain at home whilst they await a vacancy to arise in a specific establishment.
- It was noted that at the time the working group met there were 90 full time equivalent vacancies across all of the Council run care homes. Members asked what impact these vacancies would have on the running of the care homes and what the departmental view was regarding the sustainability of staffing levels in the short, medium and long term. Officers advised that front line care staffing is a long-standing challenge both in Derbyshire and nationally. In order to continue to run these services effectively the Council requires the use of on agency staff to fill gaps in staffing. The aspiration is not to do this, and the department continuously runs recruitment campaigns, encourages apprenticeship uptake and is actively involved with partner agencies in the Joined Up Careers Derbyshire initiative to try to fill vacancies.
- When exploring affordability for service users Members were advised that the Council funded weekly fee rates for all the homes identified, as potentially providing alternative provision, are currently set at a standard £563.64 per bed. It was noted that the standard fee rates are reviewed annually and are generally uplifted in line with inflation and or any other cost increase issues, such as minimum wage increase. The working group learnt that many establishments provide beds at the Council funded rate but some establishments charge individuals ‘top up’ payments on top of this and these can range from under £50 per week to over £100 per week. Members noted that in the majority of areas (notably urban areas) there was a significant number of vacancies in establishments rated as “good” that did not require a

top up fee. However, the data provided at the time showed that, there were two areas where this was not the case. In New Mills there were no vacancies in establishments rated as “good” that did not require a top up fee and only four vacancies in Bakewell.

- The Committee were provided with current DCC unit cost information. It was clarified that the figure provided was based on the standard 90% occupancy rate. Members are mindful that the unit cost information does not take into account the current situation in terms of low occupancy rates and costs associated with COVID-19 mitigation measures.

On 10 February the People Improvement and Scrutiny Committee agreed to a report being submitted to Cabinet setting out the work undertaken by the Scrutiny Members so far and stating that further scrutiny will not be possible until more information is available.

## **5. Considerations**

In preparing this report the relevance of the following factors have been considered: Financial, Human resources, Legal, Social Value, Human Rights, Equality of opportunity, Health, Environmental, Transport, Property, Crime and disorder.

## **6. Background Papers: - None**

## **7. Key Decision – No**

## **8. 7. Call-in – No**

## **9. Recommendations**

It is recommended that Cabinet:

1. Note that due to the revised timetable (referred to above) the Committee will not be able to deliver against all of the key lines of enquiry in the timeframe anticipated.
2. Note that the People Improvement and Scrutiny Committee has considered the proposed methodology to determine what is “local” and “suitable” alternative provision.
3. When determining what is “local” provision, not only consider distance but also place a high priority on a person’s connectedness to an area, the location of family and friends and accessibility to transport routes.
4. When determining what is “suitable” provision, place a high degree of importance on the ongoing affordability for individual service users of CQC rated “good” or above provision.
5. Note that the Committee recognises that in this current pandemic climate occupancy levels have been significantly reduced and the

operating costs are temporarily well above the norm. The situation is unlikely to change in any significant way in the immediate term, but measures are being put in place, both nationally and locally, to improve the situation in the more medium term. The Committee understands the need to delay the development of the Market Position Statement and think it sensible to delay decisions on long term strategies until such a time that future service needs, and the state of the market are more predictable.

6. Note that when the Committee conducts pre-decision scrutiny deliberations in relation to the future of the seven care homes, Members will require demand and the supply data for residential care that can be viewed with a high degree of certainty.

**Cllr Gary Musson**  
**Chairman of the Improvement and Scrutiny Committee - People**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Managing Executive Director**

**COUNCIL PLAN 2021-2025  
(Strategic Leadership, Culture and Tourism)**

**1. Purpose of the Report**

To recommend the authority's draft Council Plan 2021-25 for approval by Full Council.

**2. Information and Analysis**

As has been reported to Cabinet in regular progress updates, work has been taking place across the Council to implement the Council Plan 2019-21. Over recent months, work has also been undertaken to develop the new Council Plan 2021-25, to drive forward activity over the next four years, and ensure that the Council continues to deliver value for money and support communities and places to thrive.

The Council Plan for 2021-25, attached at Appendix A for consideration, sets out the Council's ambition and values together with the outcomes that the Council is seeking to achieve, working with partners and local communities. The Plan also sets out priorities to focus the Council's effort and resource as follows:

- Resilient, healthy and safe communities
- High performing, value for money and resident focused services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

The Council has committed to move forward on delivering six headline initiatives as set out below, and a range of actions are included in the Plan to support the delivery of the priorities and headline initiatives:

- Leading the county's economic and community recovery from the COVID-19 pandemic creating a £15m fund to support local businesses and residents in need

- Investing £40m in well maintained roads and pathways and developing sustainable methods of travel
- Taking action on climate change, providing community grants for sustainable and green projects and launching the Green Entrepreneurs scheme to help local people and businesses to reduce carbon emissions
- Continuing our ongoing transformation of social care to improve outcomes and make the most effective use of resources
- Mainstreaming the Thriving Communities approach, working alongside a further eight communities, to reduce demand for high cost services
- Promoting our employees' wellbeing and developing their potential

The outbreak of coronavirus and the ensuing pandemic has had a significant impact on the work of the Council. The Plan reflects the vital community leadership role the Council has played and will continue to play, in ensuring work with partners and local communities addresses both the challenges and opportunities presented by Covid-19.

## **2.1 Consultation on the draft Council Plan**

Consultation on the draft Council Plan took place between 18 December and 29 January 2021 for a period of six weeks. To encourage responses from members of the public and employees, the Plan was published on the Council's website and publicised through a press release, website publicity and the Council's internal newsletter. The draft Plan was also circulated to key stakeholder and partner agencies from the voluntary and community, health, police, education and business sectors.

A total of 163 responses to the consultation were received. The demographics of respondents were as follows:

- The majority of people who responded to the survey indicated that they were a Derbyshire resident. The second highest category was people who worked within the county
- 15 responses were from a local group/organisation and eight from local business owners
- Of those who responded 61% were female and 39% were male
- The majority of respondents were over the age of 40
- 95% of respondents were White British
- 14% of respondents reported having a disability

## **Key Findings**

Many positive comments were received with people expressing their support for the proposed Plan as well as making suggestions for improvement. As a result of the consultation, a number of changes have now been made to help strengthen the Council Plan 2021-25. This has included changes to the style and language of the document to ensure the Plan is easier to understand.



Ensuring the activity of the Council continues to reflect what is considered to be important by local residents, partners, employees and businesses is key. As a result, a number of new topics have now been included within the Plan. These include actions relating to sustainable travel, cycling, employment skills, additional activity relating to climate change, supporting people in need and promoting employee wellbeing. A summary, outlining the key themes arising from the consultation and the changes to the Council Plan that have been made as a result, is attached at Appendix B.

A detailed report summarising the comments received from the consultation together with the Council's response, will be published on the Council's website.

## **2.2 Implementing the Council Plan**

The Council Plan is supported by an overarching delivery plan. This sets out the timescales and lead responsibility for each action identified within the Council Plan and is attached at Appendix C. In addition, departmental Service Plans have been developed to set out how each department will contribute to the delivery of the Council Plan.

Reports on the delivery of the Council Plan are considered by Cabinet on a quarterly basis. These reports set out the progress that has been made for each action in the plan and the performance of associated key measures.

## **3. Financial, Human Resources, Property and Equality of Opportunity considerations**

The Plan includes a headline initiative, and supporting actions, to promote employee's wellbeing and develop their potential. Information regarding the Council's budget is set out in the Plan alongside the outcomes the Council is aiming to achieve. There are also a range of actions in the Plan to ensure the Council continues to deliver value for money, including continuing to reshape the Council's back office and establishing a new programme management office. Activities relating to rationalising Council land and buildings and improving the management of those that remain are also included. The Council's commitment to enhancing the wellbeing of communities and individuals and to promoting equality and diversity has been embedded throughout the Plan.

## **4. Transport considerations**

A headline initiative and supporting actions relating to investment in well maintained roads and pathways and developing sustainable methods of travel are included within the Plan.

## **5. Environmental and Prevention of crime and disorder and considerations**

The Plan contains a headline initiative and activities on climate change, including reducing emissions from Council land, building and operations; developing a Natural capital strategy and working with partners, businesses and communities to reduce carbon emissions across Derbyshire. Actions to prevent crime and disorder include work to address domestic abuse and to protect residents who are most susceptible to scams, fraud and financial abuse.

## **6. Health and wellbeing considerations**

The Plans set out how the Council will promote health and wellbeing including actions to manage local outbreaks and reduce the spread of the coronavirus, support people to lose weight and stop smoking; support the mental health and wellbeing of children and adults and help people in local communities to take part in physical activity.

## **7. Key Decision - Yes**

## **8. Call-in**

Is it required that call-in be waived in respect of the decisions proposed in the report? No

## **9. Background papers**

Files and supporting papers held in the Organisational Development and Policy Division, in the Commissioning, Communities and Policy department.

## **10. Officer's Recommendations**

It is recommended that Cabinet:

1. Recommend the authority's draft Council Plan 2021-25 for approval by Full Council.
2. Receive reports on progress in delivering the Council Plan on a quarterly basis.

**Emma Alexander**  
**Managing Executive Director**

# Working for Derbyshire

Council Plan 2021-25

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# Foreword

I have always been immensely proud to lead Derbyshire County Council and I take every opportunity to spread the word about the hard work that goes in to providing our high quality services, both on the frontline and behind the scenes.

My pride has never been greater than it is now, along with immense admiration and gratitude to everyone who has kept our county going, supporting those most in need and working to keep us all safe during the coronavirus pandemic.

The last 12 months have been tough, with huge pressure placed on us all, including additional strains on budgets and challenges to the way we work and deliver services.

We've all had to make huge adjustments and the council has demonstrated how we can do things differently, be more agile and flexible and work even more closely with our partners, businesses and communities.

This new way of working has been essential as we put new measures in place, using our resources as wisely as we can, to try to mitigate the impact of the virus. It is this continued enterprising and dynamic approach that will be key to economic and community recovery as we move forward into the next phase of living with the virus.

Protecting people's health will continue to be a key area of our work, helping to manage local outbreaks and reduce the spread of the virus. The council has led the way with effective local testing and contact tracing, and we are working alongside the NHS to support the rapid roll out of the vaccine - pivotal to our recovery.

Our ambition remains strong, although we have less money to spend. Pressures on local authority finances are well documented and we have already reduced our spending by a third since 2010. Efficiency, careful budgeting and wise spending sit behind our stable financial position, but future savings targets remain challenging.



Government has supported us as we work through the inevitable impact of coronavirus. However, the effects on communities and the local economy could be felt for years and we must plan for increased demand and pressure on services. Difficult decisions around additional budget savings will need to be made but we will continue to lobby central government for additional resources.

Our approach to delivering services remains steadfast, and we will continue to support individuals and communities to get on in life and make a difference to where they live, giving them the tools they need to recover, rebuild and thrive.

Great Derbyshire spirit and resilience continues to shine through, and it is important we harness these strengths and use them to help our county and economy back to full strength.

It is with these communities, together with strong collaboration with our districts, that we will also continue tackling climate change and reducing our carbon footprint. We remain committed to achieving our 2032 goal to be carbon neutral in terms of the property we run, street-lighting and our vehicles. And by 2050 the county's aim is to achieve net zero carbon emissions.

Our new Council Plan clearly shows our ambitions for our county.

We will continue to focus on working with and listening to our communities and our partners and making a difference where it matters.

We will support our older residents and those most in need, we will invest in our roads, we will help children get the best start in life and support our schools to offer the best education to our young people and we will continue to support the local economy, encouraging efforts to make our county greener and cleaner.

We will do this in an innovative and enterprising way, delivering the best value for money, with our communities at the heart of all that we do.

**Cllr Barry Lewis,**

Leader of Derbyshire County Council



# About Derbyshire

- A county with a rich, diverse heritage with spectacular landscapes such as the Peak District National Park and other unique attractions
- Derbyshire is a largely rural county with many sparsely populated areas alongside larger built-up urban conurbations
- The county has a total population of 802,694 people
- Derbyshire's population is expected to increase by 13% by 2043
- Population growth varies across the county ranging from just 5.2% in Derbyshire Dales to 30.1% in South Derbyshire
- Derbyshire has an increasingly ageing population with the 85+ population set to double by 2043
- 4.2% (33,7030) of people living in the county are from Black and Minority Ethnic groups
- Derbyshire's economy is worth £15.4 billion and prior to COVID-19 had grown significantly over recent years
- Around 9.5 million people live within easy reach of Derbyshire in the surrounding cities of Derby, Sheffield, Nottingham, Manchester and Leicester
- 28 market towns play a significant role in the local economy. Chesterfield is the area's largest town, with a population of 85,700

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“ Derbyshire's economy is worth £15.4 billion and prior to COVID-19 had grown significantly over recent years ”



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# About the Council



# Ambition

“ We will work together with our partners and communities to be an enterprising council, delivering value for money and enabling local people and places to thrive ”

## Values

### The way we work – we will:

- Listen to, engage and involve local people ensuring we are responsive and take account of the things that matter most to them
- Be open minded, honest and accountable ensuring the decisions that we make are fair and transparent
- Spend money wisely making the best use of the resources that we have
- Work with partners and local communities because we know that we cannot tackle complex problems on our own
- Be aspirational about our vision for the future, for our organisation, local people and communities



## Outcomes

### We want Derbyshire to have:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all
- High quality public services that work together alongside communities to deliver services that meet people's needs



A strong focus on our outcomes will be important in determining our response and recovery from COVID-19 over the life of this plan.

# Our Strategic Approach

Our strategic approach governs how we work, as a council, with and for communities, and in collaboration with partners. Three key areas of activity are taking the approach forward – Enterprising Council, Thriving Communities and Vision Derbyshire.

Together these key areas place the Council in a stronger position to understand, to adapt and respond to future challenges and to bring about the changes needed to ensure future success.

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## Thriving Communities

Supporting greater collaboration with and across our communities to bring about change, ensuring people and places thrive.



### Thriving Communities

working with our communities to succeed



### Vision Derbyshire

working with our partners to succeed

## Vision Derbyshire

Collaborating with partners in new and powerful ways, maximising existing resources to collectively address complex challenges and shape future services to deliver better outcomes for local people and places.

## Enterprising Council

Transforming the organisation, working as one council, ensuring we are prepared for the future and able to respond to the challenges and opportunities that lie ahead.



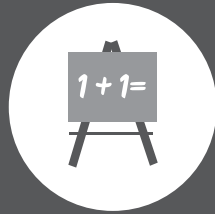
### Enterprising Council

working within our organisation to succeed

# Our Services



A total of 64 elected members represent the residents of Derbyshire



Supports 419 schools to offer the best education



Supports over 16,500 older and disabled people to live independently at home



Runs 45 branch libraries, 2 mobile libraries, which welcome over 1.75 million visits each year



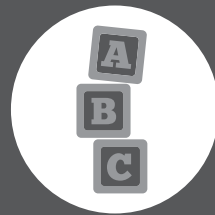
Provides safe, stable homes for almost 900 children in our care



Maintains 3,386 miles of roads and 2,796 miles of footway each year



Looks after 1,182 bridges, 1,000 footbridges, 3,093 rights of ways and 89,805 streetlights



Funds early years education for around 18,000 children



Runs nine household waste recycling centres and disposes of more than 389,000 tonnes of waste each year

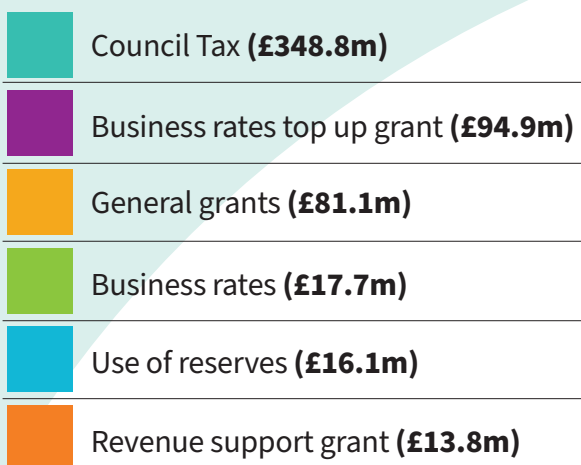
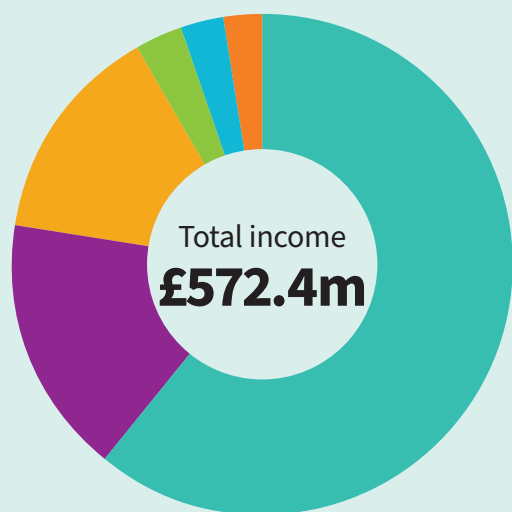


Supports approx. 20,000 children and young people with special educational needs or disabilities to access education

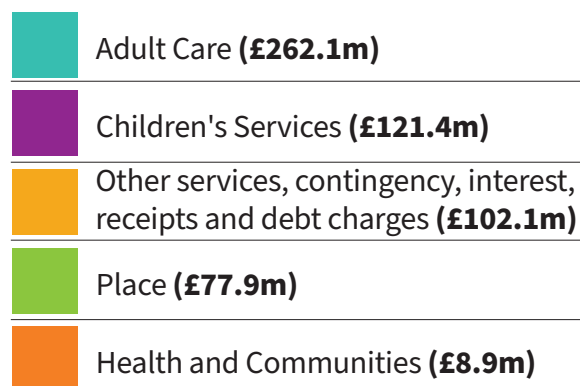
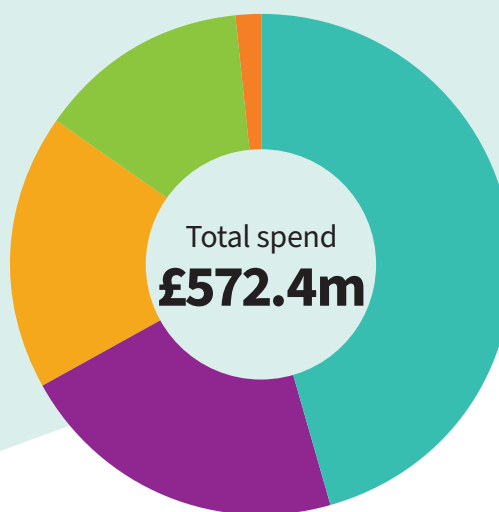
# Budget

The Council employs more than 12,000 people and has a budget of £572.4m in 2021/22 that is used to deliver a broad range of services including its statutory responsibilities. This money comes from six main sources and is spent on the following service areas:

## Where the money comes from (£m)

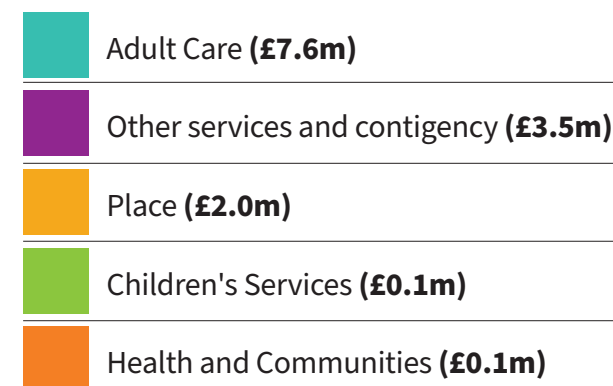
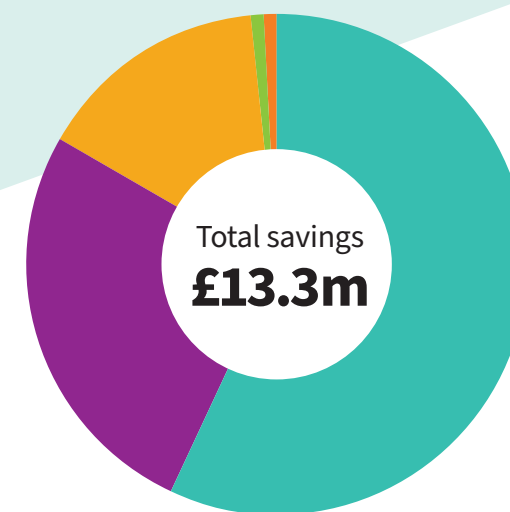


## How the money will be spent (£m)



## Savings (£m)

The Council needs to make total savings of £72.8m by 2025-26, of which the following £13.3m savings having been identified for 2021-22.



# Our Achievements



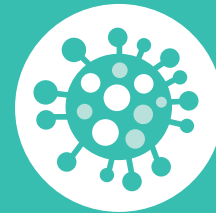
Set one of the lowest Council Tax increases of all County Councils in 2020/21



Saved £250 million from the Council's budget since 2010



Received the award for "Best Council Services Team" from the Municipal Journal in 2020



Led the public health response to the pandemic in Derbyshire and ensured that vital council services and buildings remained open



Supported more than 36,000 clinically vulnerable residents to shield during the pandemic



Awarded £417,000 in grants to support 834 businesses affected by COVID-19



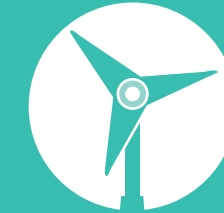
Led the county wide recovery response to the pandemic and published the Derbyshire Partnership Economic Recovery Strategy



Granted £250,000 to local foodbanks to support residents experiencing food poverty



Led the response to the Toddbrook Dam emergency in Whaley Bridge



Committed to achieving net zero carbon emissions from the Council's estate and operations by 2032



Reduced carbon emissions from council land, buildings and operations by 55.3% over the last 10 years



Worked with partners to install over 140 electric vehicle charging points for public use across the county



Completed an £8.4m pothole investment program, fixing over 98,000 potholes



Contributed £13.4m to support the restoration of Buxton Crescent to a hotel and spa



Opened the new £1.7m library and care centre for older people in Belper



Delivered better, faster broadband to more than 102,000 Derbyshire homes and businesses



Engaged over 25,000 residents through the Council's Employment and Skills Action Plan



Supported around 8,000 disabled children and families



Dealt with over 20,000 concerns about child safety or wellbeing



Provided advice and support to more than 8,000 potential scam victims



# Our Plan for 2021-25

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# Challenges & Opportunities

## Responding and recovering from the Coronavirus Pandemic

The coronavirus pandemic is placing additional strains on budgets and challenging how the Council works and delivers services to local people. Many residents are facing uncertain times with financial and health worries and many are coping with the loss of loved ones.

We know that the pandemic has had a significant impact on communities and key sectors of the economy and we are working hard with our partners to support economic and community recovery across all areas of the county, ensuring equality of access to opportunities and support, to build a fairer, more inclusive future for the county.

As the county moves into the next phase of living with coronavirus, protecting the health of local people will be critical in managing local outbreaks and reducing the spread of the virus. Effective local testing and contact tracing arrangements have been put in place and the Council is working alongside the NHS to support the rapid roll out of the vaccine programme to protect our communities and those most in need.

“ As the county moves into the next phase of living with coronavirus, protecting the health of local people will be critical in managing local outbreaks and reducing the spread of the virus. ”





## Financial Challenges

The pandemic has increased the financial uncertainty facing all public services. As funding for councils continues to reduce, the demand for public services is rising, particularly for adult social care and children's services. Locally, Derbyshire's population is set to age further, making the provision of services that local people need and want within the available resources incredibly challenging.

The Council is committed to finding a way through these difficult times by reviewing and changing the way that we work. This includes making additional budget savings, lobbying central government for additional resources and transforming the way in which we operate. The Council also recognises that many of the challenges cannot be faced alone and we are committed to working collaboratively with our partners to combine resources and find solutions to deliver better outcomes for local people.

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“ The Council is committed to finding a way through these difficult times by reviewing and changing the way that we work. ”



## Climate Change

Climate change is one of the major issues of our time. Locally, the increase in global temperatures has led to more frequent extreme weather events such as heatwaves, droughts, storms and extreme rainfall resulting in significant flooding in parts of the county. The Council is committed to reducing the harmful levels of carbon emissions in the environment to help limit the devastating impact such events can have upon local businesses and communities. Adapting how we operate and deliver services in a changing climate are key actions that must be taken.

Through the implementation of the Derbyshire Climate Change Framework, the Council is working with partners to reduce emissions and achieve a net zero target by 2050. Over the last 10 years the Council has cut its emissions from its own estate and operations by 55% and has an ambitious target to achieve net zero carbon emissions by 2032 through the Carbon Reduction Plan. The Council is currently developing a Climate Change Strategy and Action Plan setting out how emissions will be further reduced in the future.

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**“ Over the last 10 years the Council has cut its emissions from its own estate and operations by 55% and has an ambitious target to achieve net zero carbon emissions by 2032 through the Carbon Reduction Plan. ”**



# Priorities

Over the next four years we will focus our efforts and resources on the following priorities



**Resilient, healthy  
and safe communities**



**High performing, value  
for money and resident  
focused services**



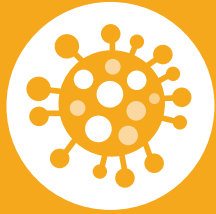
**Effective early help  
for individuals and  
communities**



**A prosperous and green  
Derbyshire**

# Headline Initiatives

Over the next 12 months we will move forward on delivering the following headline initiatives



Leading the county's economic and community recovery from the COVID-19 pandemic creating a £15m fund to support local businesses and residents in need



Investing £40m in well maintained roads and pathways and developing sustainable methods of travel



Taking action on climate change, providing community grants for sustainable and green projects and launching the Green Entrepreneurs scheme to help local people and businesses to reduce carbon emissions



Continuing our ongoing transformation of social care to improve outcomes and make the most effective use of resources



Mainstreaming the Thriving Communities approach, working alongside a further eight communities, to reduce demand for high cost services



Promoting our employees' wellbeing and developing their potential



# Key Actions

# Resilient, healthy and safe communities

## In the next year we will have:

Worked with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity, including reviewing and updating the Derbyshire Cycle Plan

Provided support to people and communities in need, including financial help from our discretionary fund and support for those affected by flooding

Put in place a new Equality and Diversity Strategy, setting out priority actions the Council will take to reduce discrimination and tackle inequalities

Provided targeted support to protect residents who are most susceptible to scams, fraud and financial abuse

Worked with communities in a further 8 areas across the county as part of the Thriving Communities programme, listening to and understanding their needs and working together to ensure they thrive

Provided green grants to community projects to support investment in sustainable and green community activity

Supported more Derbyshire people to volunteer to help their communities, learning from and building on the remarkable response to the COVID-19 pandemic

## In four years we will have:

Worked with partners and local communities through the Active Derbyshire network to increase opportunities for physical activity and make it easier for more people to be more active

Ensured individuals and communities most in need are supported and protected

Mainstreamed the thriving community approach to reduce demand for high cost services and enable people to live their best lives

Supported communities to take responsibility for their areas, assets and environments

# Resilient, healthy and safe communities

## In the next year we will have:

## In four years we will have:

Worked with partners and supported individuals, communities and businesses who have been impacted by the pandemic

Provided strategic leadership and worked alongside communities and partners to secure the county's recovery and renewal from COVID-19

Worked with people with learning disabilities and/or who are autistic to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals

Enabled more people with a learning disability in Derbyshire to work towards achieving their goals and aspirations with less reliance on public services

Transferred a minimum of 5 libraries to community management, engaging and involving communities in the development of a cost-efficient library service

Achieved a successful community managed library approach by delivering the Derbyshire Library Strategy

Finalised the review of voluntary and community sector grants and established a consistent approach to future funding to support the sector to recover well, grow and thrive

A thriving voluntary sector that is less dependent on council funding

# High performing, value for money and resident focused services

## In the next year we will have:

Worked with schools to ensure that the percentage of children in Derbyshire schools which are 'Good' or 'Outstanding' is in line with the national average

Increased the number of council foster carers and improved the availability of high quality children's homes within Derbyshire, so that more children are cared for locally

Implemented recommendations from our children's services evaluation to reduce demand, improve outcomes for children, young people and families and reduce expenditure

Provided consistent, high quality early help and safeguarding services for children and families across Derbyshire

Implemented new national performance measures for Adult Social Care to benchmark across the sector to improve outcomes for local people and drive value for money

Increased the levels of customer satisfaction in the Council's Highway Service

Supported a resident-first approach through a range of mechanisms to improve access to online services and customer service performance

## In four years we will have:

Continued to work with schools to ensure they increase the percentage of children in good or outstanding schools to above the national average

Ensured the children and young people we look after, live in safe, secure and loving homes that support them to be the best they can be

Embedded a culture of prevention and demand management across the Council

Maintained effective adult and children's social care services

Maintained high levels of customer satisfaction in the Council's Highways Service

Embedded a comprehensive approach to customer service, improving residents' experience of interacting with the Council and enabling the authority to anticipate demand for services



# High performing, value for money and resident focused services

## In the next year we will have:

Put in place a new complaints and feedback system to improve service delivery and resident experience

Developed our understanding of the resident experience when interacting with the Council, using insights to improve our approach

Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners

Worked with partners to secure additional funding into Derbyshire and progress a devolution deal for the East Midlands

Developed a medium and long-term organisation recovery and renewal strategy to address the challenges and opportunities presented by COVID-19

Identified and implemented a programme of strategic transformation as part of Phase 2 of the Enterprising Council Strategy

Established a new Programme Management Office to ensure projects and programmes are coordinated, consistent and deliver improved outcomes and value for money

Implemented Phase 1 of the Modern Ways of Working strategy working with employees across the Council to design new approaches to agile and flexible working

## In four years we will have:

Increased engagement and communication with residents and partners about our services, supporting a truly collaborative approach

Embedded customer service standards for resident and partner-facing services to ensure an appropriate and timely response and a proactive approach

Secured improved outcomes for people and places through effective partnership working at a local, regional and national level

Radically transformed Council services and implemented agreed strategic change programmes and responded to the challenges and opportunities presented by COVID-19

# High performing, value for money and resident focused services

## In the next year we will have:

Carried out reviews of Human Resources and Finance functions to further improve these services and make savings

Developed and approved the Council's People Strategy and associated people priorities, encompassing the Council's people vision, employee values and behaviours

Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery

Implemented a programme to centralise the Council's property assets and budgets to ensure the most effective use of our land and buildings

Kept Council Tax within the lowest 25% of county council areas and lobbied government to secure a better funding settlement

Kept on track to achieve all planned budget savings in the medium term

Demonstrated value for money through excellent procurement and contract management

## In four years we will have:

Continued to reshape the Council's back office to secure improved value for money services

Become an employer of choice attracting and retaining a talented and diverse workforce

Rationalised our land and building assets and improved the management of those that remain

Maintained the lowest possible Council Tax

All budget savings have been identified and delivered by 2025-26

Achieved excellence in procurement and contract management

# Effective early help for individuals and communities

## In the next year we will have:

Continued to operate the Community Response Unit, established during the pandemic, as part of our work to improve health and wellbeing

Undertaken local COVID-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of coronavirus

Page 79 Increased the number of people taking part in stop smoking and weight management programmes

Provided training to all schools and education providers to support the emotional wellbeing and mental health of children following the coronavirus pandemic

Worked with partners to join up existing mental health services to promote positive mental wellbeing and improve support for local people

Joined up Health Visiting Services and Children's Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire

## In four years we will have:

Provided strong leadership to protect the health of local communities and support them to recover from the coronavirus pandemic

Supported more people to manage their own physical and mental health and wellbeing

Provided strong leadership and fostered closer working relationships with key partners to help improve health outcomes for children and young people

# Effective early help for individuals and communities

## In the next year we will have:

Worked with District and Borough Councils and other partners to develop new sites that will increase the amount of age-appropriate accommodation and support for older people

Finalised the new ways of working with older people and disabled people to increase their independence so that they remain part of their local communities

Commissioned and procured a new assistive technology service offer to support people with social care needs to live independently in the community

Developed a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims

Delivered the 'Pause' programme, to address the needs of more than 50 women who have had multiple children removed into care and to prevent this cycle recurring

## In four years we will have:

Continued the implementation of the Older Peoples Housing, Accommodation and Support Strategy

Put in place a new way of working that connects older people and disabled people to our thriving communities

Put in place a new model of care supported by Assistive Technology

Protected those who experience domestic abuse through effective multi-agency working

Broken cycles of dependency and reduced the need for social care intervention in families' lives through effective programmes

# Effective early help for individuals and communities

## In the next year we will have:

Undertaken an evaluation of the early help support and training offered to partner agencies, and developed measures to monitor the effectiveness of early interventions for children and families

Worked with partners, including young people and their parents and carers, to produce a set of clear expectations about what good inclusion looks like in mainstream schools across Derbyshire and to define the specialist provision that should be accessible in each locality

## In four years we will have:

Embedded effective early help provision for children and families across the county to ensure support is targeted at the most appropriate level

Continued to improve the Council's care and support offer for children with special educational needs and disabilities to improve efficiency, value for money and customer outcomes

Developed and implemented a Childhood Obesity Strategy to enable children who are already overweight or obese to access joined-up and long-term support

# A prosperous and green Derbyshire

## In the next year we will have:

Delivered a £40m Local Transport Programme to provide well maintained roads and highways and address road safety concerns

Opened the Woodville-Swadlincote Regeneration Route, the Ashbourne Airfield Link Road and Hollis Lane Link Road Phase 1 in Chesterfield to improve road access

Prepared a countywide response to the Integrated Rail Plan in relation to HS2, minimising any potential disruption and taking full advantage of the economic growth opportunities linked to the proposals

Completed the viability assessment to progress the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area

Reduced carbon emissions from council property and vehicles, street lighting and procurement

Reduced the level of flood risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities to develop flood resilience measures

## In four years we will have:

Invested in improvements to the highway network to bring forward more jobs and homes for the county

Developed and implemented the agreed transport and growth interventions in response to the Integrated Rail Plan recommendations, securing better jobs and homes for Derbyshire

Subject to a positive viability assessment, delivered the Chesterfield to Staveley regeneration route

Adapted our services and worked with communities to help lessen the effects of climate change

# A prosperous and green Derbyshire

## In the next year we will have:

Developed and commenced implementation of a Climate Change Strategy and Action Plan which sets out priorities to reduce the county's carbon emissions

Developed and agreed the Council's approach to Good Growth to maximise low carbon economic opportunities

Developed the Natural Capital Strategy, identifying areas where the natural environment can be further enhanced in order to support healthy, thriving communities; a vibrant economy and a healthy environment

Page 83 Explored initiatives to tackle climate change including low carbon local energy generation schemes and working with partners to provide further publicly accessible electric vehicle charge points

Developed and delivered a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking

## In four years we will have:

Continued to implement the Climate Change Strategy, working with partners, communities and businesses to reduce the county's carbon emissions

# A prosperous and green Derbyshire

## In the next year we will have:

Implemented year 1 of the COVID-19 Economic Recovery Strategy Action Plan including rolling out a new £1m Business Start-up programme to support business growth and a £2m Green Entrepreneurs scheme to support innovation in low carbon technologies

Implemented year 1 of the COVID-19 Employment and Skills Recovery Action Plan including delivery and expansion of a careers hub and development and implementation of a youth hub

Developed and secured funding to implement Wi-Fi infrastructure in 27 town centres and better understand how town centres are being used, to help shape future economic renewal programmes

Implemented the gigabit top up voucher scheme and increased take-up of fibre enabled broadband across Derbyshire, particularly in rural areas, to improve access, speed and reliability for homes and businesses

Provided support and advice to local businesses as the UK leaves the European Union, helping them to maximise new opportunities and ensure compliance with relevant legislation

Attracted more businesses to relocate or expand to Derbyshire through delivery of the “Invest in Derbyshire” plan

## In four years we will have:

Continued delivery of the Economic Development and Employment and Skills Recovery Action Plans to drive low carbon recovery and good growth, specifically creating more and better jobs, increased skill levels and clean/green renewal projects

Rescoped and redefined the Derbyshire economy for the future embracing change, advances in technology and the digital infrastructure

Created more jobs through increased levels of domestic and international inward investment into the county



# A prosperous and green Derbyshire

## In the next year we will have:

Worked with partners to develop a countywide approach to improve social mobility, targeting underperforming areas across the county

Worked with schools and other education providers to implement new strategies and support, to enable children and young people to achieve their educational potential and begin to catch up on learning they have missed due to COVID-19 restrictions

Worked with Derbyshire businesses to support the creation of apprenticeships in key economic sectors, connecting people to local job opportunities

## In four years we will have:

Created a Derbyshire Social Mobility Commission to improve access to opportunities and enable people to have a better life and fulfil their potential

Ensured that children, young people and adults are empowered to realise their ambitions and maximise their potential

# Delivering the Plan

The Council Plan is supported by the Council's Financial Plan and an overarching Delivery Plan, and each department has a Service Plan which sets out how the department will deliver the headline initiatives and actions in the Council Plan.

In addition to monitoring progress on the actions set out above, the following measures will also be monitored on a quarterly basis to show how we're progressing in delivering the Council Plan. For further information, the Financial Plan, Council Plan Delivery Plan, Departmental Service Plans and Quarterly Performance Reports on Council Plan progress are available on the Derbyshire County Council website.

## Council Plan Measures

### Overarching Measures

- Percentage of residents who are satisfied with the Council
- Percentage of residents agreeing that they feel informed about Council decisions
- Percentage of residents agreeing the Council provides good value for money

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## Resilient, healthy and safe communities



- Percentage of residents agreeing that by working together people in the local area can influence decisions that affect the local area (thriving communities areas)
- Percentage of residents who agree that they have enough people around them to avoid a crisis (thriving communities areas)
- Percentage of residents who have given unpaid help to an individual, group or club in the last 12 months (thriving communities areas)
- Number of people with a learning disability and/or who are autistic with an outcome focused support plan
- Number of people with a learning disability and/or who are autistic supported to move from 24-hour residential care to more independent supported living setting

## High performing, value for money and resident focused services



- Percentage of council run children's homes rated as 'Good' or 'Outstanding' by Ofsted\*
- Percentage of children in schools rated 'Good' or 'Outstanding' by Ofsted\*
- Number of approved fostering households
- Proportion of children's social care audit judgements (including children in care and care leavers) graded good or better
- Number of children returning home after a period of being in care
- Average cost of children in care placements
- Adult Social Care Outcomes Framework measures – to be confirmed following national review
- Percentage of residents satisfied with highways and transport services
- Number of services available online via the Council's Customer Relationship Management system
- Percentage of identified annual budget savings achieved
- Amount of money raised from the disposal of council land and buildings
- Employee sickness absence

## Effective early help for individuals and communities



- Number of participants in council delivered Weight Management programmes who lose weight
- Number of participants in council delivered Stop Smoking programmes who stop smoking
- Percentage of identified pregnant women receiving an antenatal contact
- Percentage of infants receiving a new baby review between 10-14 days
- Percentage of children achieving a good level of development at the Early Years Foundation Stage\*
- Measure on local COVID-19 testing and contact tracing activity - to be confirmed
- Number of older people and disabled people entering residential care
- Number of older people and disabled people able to access short term assistance to regain or increase independence
- Number of people with social care needs able to access Assistive Technology

## A prosperous and green Derbyshire

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- Total amount of expenditure on the delivery of the Local Transport Programme
- Percentage of Principal roads where maintenance should be considered based
- Percentage of Non-principal classified roads where maintenance should be considered
- Percentage of Unclassified road network where maintenance should be considered
- Percentage of road defects repaired within target
- Percentage of pupils achieving a standard pass (grades 4-9) in English and Maths at GCSE
- Percentage of 17-18 year olds in employment, education and training
- Percentage reduction in carbon emissions from council land and operations from 2010 baseline

\*Due to COVID-19 restrictions, graded inspections of children's homes and schools have been paused and the Early Years Foundation Stage (EYFS) assessment did not take place in 2020. Reporting on these measures will be dependent on the resumption of the inspections and the EYFS assessment.

# Have Your Say

We would very much welcome your views on our priorities and the Council Plan. If you would like to discuss any part of it, are interested in getting involved, have any comments or require more information then please contact:

 **Policy and Research**  
**Derbyshire County Council**  
**County Hall**  
**Matlock**  
**Derbyshire DE4 3AG**

 **[policy@derbyshire.gov.uk](mailto:policy@derbyshire.gov.uk)**

 **Call Derbyshire: 01629 533190**

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## Appendix B - Council Plan 2021-25 Consultation results summary

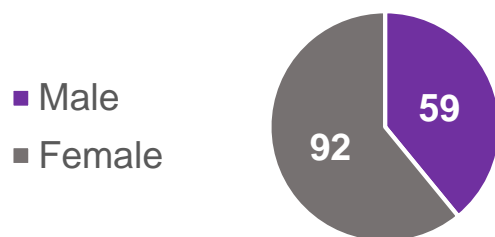
### Introduction

The draft Council Plan was developed using feedback from the Council's "Your Council, Your Voice 2020" residents survey, which received over 2,000 responses. In December 2020 a six-week period of consultation on the draft Council Plan 2021-25 began, providing residents, partners and members of staff the opportunity to feedback on proposals. The online consultation was publicised on the Council website, through social media and in Council publications. Partner agencies including district and borough councils, police, fire, health, the voluntary and community sector and agencies representing the private sector were also notified about the consultation.

A total of 163 people responded to the online questionnaire. The demographics of respondents are provided below.

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Gender of repondents

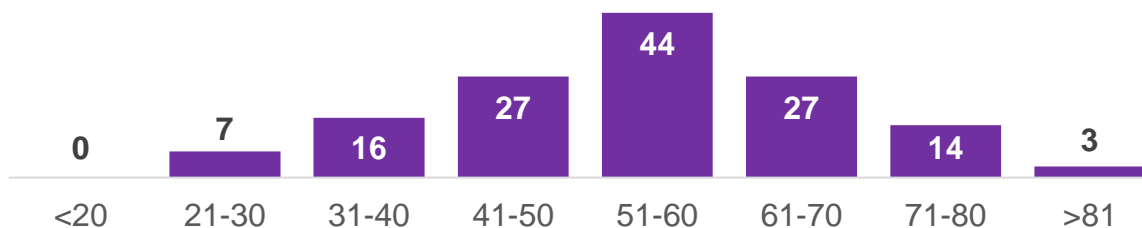


Breakdown of respondents

Respondents could specify more than one option



Age of respondents



**95%** White British

**14%** With a disability

## Key findings

All comments received have been considered and reviewed. Many of the responses received were very detailed and covered various aspects of the Council Plan. The feedback from the consultation has been passed onto service departments, where appropriate, to inform the development of service plans.

Many comments were positive with respondents expressing their support for the proposed Plan and making suggestions for improvement. A number of key themes have emerged and are summarised below, together with the proposed actions in response to the consultation.

Theme	Proposed amendments
<p><b>Style and Language</b> - It was suggested that the language used in the Plan could be made clearer for example the use of terms such as 'Deliverables' and further explanations of what an 'Enterprising Council' is and more detail about Thriving Communities.</p>	<p>The following changes have been made to ensure the document is clearer and easier to understand:</p> <ul style="list-style-type: none"> <li>• The use of the term 'Deliverables' has been removed</li> <li>• The Plan includes information explaining the terms 'Enterprising Council', 'Thriving Communities' and 'Vision Derbyshire'</li> <li>• Further information relating to how the Plan will be delivered and how progress will be measured has now been added to the document</li> <li>• Introductory text has been added to the Priorities and Headline Initiatives pages</li> <li>• Amendments have been made to the wording throughout the document so that the Plan is easier to understand.</li> </ul>
<p><b>Delivery and Measurement/ Achievement</b> - Whilst people were generally supportive of the ambitions and priorities within the Plan, concerns were expressed about how achievable they were with the</p>	<ul style="list-style-type: none"> <li>• Information has been included regarding the Council's Financial Plan, the Council Plan delivery plan and service plans which ensure the actions within the Plan are resourced and timetabled.</li> </ul>



resources and funding currently available. Respondents questioned how the Plan will be delivered and how success will be measured and reported to the public and suggested more detail could be provided here. Further information was also requested on how budgets relate to activity and outcomes.

- A set of key Council Plan measures have been included in the Plan, which will be used to measure performance and will be reported on quarterly basis, together with progress on the actions set out in the Plan.
- Additional information about the Council's budget for 2021/22 has been included within the Plan.

**Headline Initiatives** – A number of respondents felt that the initiative relating to investing £40m in maintaining roads conflicts with the initiative about tackling climate change and reducing carbon emissions. Others felt that the topic of social care was missing and should be included.

The following amendments to the headline initiatives have been made:

- The following initiative “Investing £40m in well maintained roads” has been widened to “Investing £40m in well maintained roads and pathways and developing sustainable methods of travel”
- An additional headline initiative, supported by a range of actions in the Plan, has been included - “Continuing our ongoing transformation of social care to improve outcomes and make the most effective use of resources”

**Climate change** – Many respondents felt there should be a greater emphasis placed on climate change throughout the Plan and suggested there should be a discreet priority relating to this.

There is a headline initiative to address climate change and a range of supporting actions in the Plan. These include strategic work to develop and implement a Climate Change Strategy and also actions to reduce carbon emissions from Council land and buildings; develop a Natural Capital Strategy and to provide green grants to community groups to support investment in sustainable and green community activity. A further action in the Plan is to work with other local councils as part of phase 3 of Vision Derbyshire on priorities including climate change. The following additional actions relating to climate change have been now added/amended:

	<ul style="list-style-type: none"> <li>• “Explore initiatives to tackle climate change, including low carbon local energy generation schemes and working with partners to provide further publicly accessible electric vehicle charge points”</li> <li>• “Implemented year 1 of the COVID-19 Economic Recovery Strategy Action Plan including rolling out a new £1m Business Start-up programme to support business growth and a £2m Green Entrepreneurs scheme to support innovation in low carbon technologies”</li> </ul>
<p><b>Covid-19</b> - A number of respondents commented that the Plan did not address the impact of the pandemic or give detail about how the Council intends to support Derbyshire’s economic recovery.</p>	<p>A number of actions relating to both economic and community recovery from the pandemic are included throughout the Plan. Given the range of Council activity it is not possible to set out the detail requested regarding economic recovery in the Plan, however this is set out in the COVID-19 Economic Recovery Strategy.</p>
<p><b>People in vulnerable groups or communities</b> - Respondents indicated that the Plan should be more explicit with regards to how the Council intends to support people in vulnerable groups or communities and reduce inequalities, particularly existing inequalities that have been heightened by Covid-19. Vulnerable children and their families, people with mental health issues and the elderly were given as examples as some of the groups perceived to be missing from the Plan.</p>	<p>A wide range of actions are included in the Plan to assist people in vulnerable groups or communities. These include improving outcomes for people with learning disabilities; supporting the mental health of children; joining up existing mental health services; finalising new ways of working with older people and people with a disability to increase their independence and increasing the amount of age-appropriate accommodation and support for older people. The following additional action relating to helping those most in need has been included:</p> <ul style="list-style-type: none"> <li>• “Provided support to people and communities in need, including financial help from our discretionary fund and support for those affected by flooding”</li> </ul>

**Employee wellbeing** – There were concerns raised about the wellbeing of frontline staff; the need for more support from managers and the desire for more opportunities to develop skills.

Promoting employee wellbeing and supporting employees to achieve their potential is a headline initiative in the Plan. An additional action to support employees has been included:

- “Developed and approved the Council’s People Strategy and associated people priorities, encompassing the Council’s people vision, employee values and behaviours”
- There is also an existing action within the Plan “Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery”

**Other comments** – Other topics that were felt to be missing from the Plan were skills and sustainable transport – especially cycling with the Derbyshire Cycle Plan and Network mentioned specifically.

Actions have been amended or added to the Plan to address the topics that were felt to be missing, as follows:

- “Implemented year 1 of the COVID-19 Employment and Skills Recovery Action Plan including delivery and expansion of a careers hub and development and implementation of a youth hub”
- “Developed and delivered a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking”
- “Worked with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity, including reviewing and updating the Derbyshire Cycle Plan”

**Communication and Involvement** - It was suggested that communication and involvement with residents was an area of concern for some respondents. Questions were raised about how elderly and vulnerable residents without access to the internet have had the opportunity to engage with

The constraints imposed by the pandemic has made undertaking wider consultation difficult. These considerations will be taken into account in future consultations once the current constraints have been lifted. In addition to the Council Plan consultation, the development of the draft Council Plan

the consultation. Respondents also questioned why they had not been involved in the development of the plan.

was informed by the “Your Council, Your Voice 2020” residents’ survey which had over 2,000 responses.

**Public perception** - A number of comments indicated that although respondents were supportive of the Plan, they queried to what extent the ambitions and priorities would be reflected in the Council’s actions. A number of comments referred to the services and ways of working of partner agencies such as district and borough councils, the NHS and the Police. This suggests that there is a general lack of awareness of the roles and responsibilities each organisation performs.

As mentioned above, the Council Plan delivery plan, departmental service plans and regular monitoring of progress, ensure that the ambitions and priorities set out in the Plan translate into action.

There are plans to increase communication with the public regarding the actions taken and progress made against the agreed priorities and ambitions.

Consideration will also be given to how the Council coordinates work with partners to promote a better understanding of the responsibilities of each organisation.

**Voluntary Sector** - Whilst many respondents recognised that the voluntary sector has a significant role to play in community activity, it was suggested that the Council should not rely on them for service delivery. Comments indicated that the Council should instead focus on working in partnership with community groups to build the necessary skills and capacity.

The Council views the voluntary sector as an essential and valued service that is in addition to paid services provided by the Council, and the support provided to community groups.



# Council Plan Delivery Plan

2021-2022

Appendix C

Controlled

# Derbyshire County Council

## Delivery Plan 2021-22

This Delivery Plan has been developed to provide more detail on the implementation of the Council Plan 2021-25. It will also help the Council monitor the progress of its work. Timescales are provided though these sometimes extend outside the period of this plan reflecting the long-term ambition and implementation periods required.

### Key

ASC&H	Adult Social Care and Health
CCP	Commissioning, Communities and Policy
CS	Children's Services
Place	Place

## Priority: Resilient, healthy and safe communities

Action	Dept	Start / Expected Start	Expected Completion
Worked with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity, including reviewing and updating the Derbyshire Cycle Plan	ASC&H	Started	March 2022
Provided support to people and communities in need, including financial help from our discretionary fund, and support for those affected by flooding	Place/A SCH	April 2021	March 2022
Put in place a new Equality and Diversity Strategy, setting out priority actions the Council will take to reduce discrimination and tackle inequalities	CCP	October 2020	March 2022
Provided targeted support to protect residents who are most susceptible to scams, fraud and financial abuse	CCP	April 2021	March 2022
Worked with communities in a further 8 areas across the county as part of the Thriving Communities programme, listening to and understanding their needs and working together to ensure they thrive	CCP	March 2021	March 2022
Provided green grants to community projects to support investment in sustainable and green community activity	CCP	April 2021	March 2022
Supported more Derbyshire people to volunteer to help their communities, learning from and building on the remarkable response to the COVID-19 pandemic	CCP	April 2021	September 2022
Worked with partners and supported individuals, communities and businesses who have been impacted by the pandemic	ASC&H	March 2020	March 2022
Worked with people with learning disabilities and/ or who are autistic to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals	ASC&H	Started	March 2023
Transferred a minimum of 5 libraries to community management, engaging and involving communities in the development of a cost-efficient library service	CCP	January 2019	October 2024
Finalised the review of voluntary and community sector grants and established a consistent approach to future funding to support the sector to recover well, grow and thrive	CCP	October 2018	March 2022

## Priority: High performing, value for money and resident focussed services

Action	Dept	Start / Expected Start	Expected Completion
Worked with schools to ensure that the percentage of children in Derbyshire schools which are 'Good' or 'Outstanding' is in line with the national average	CS	Started	March 2025
Increased the number of council foster carers and improved the availability of high quality children's homes within Derbyshire, so that more children are cared for locally	CS	Started	March 2022
Provided consistent, high quality early help and safeguarding services for children and families across Derbyshire	CS	Started	March 2022
Implemented recommendations from our children's services evaluation to reduce demand, improve outcomes for children, young people and families and reduce expenditure	CS	Started	March 2022
Increased the levels of customer satisfaction in the Council's Highway Service	Place	April 2021	March 2022
Implemented new national performance measures for Adult Social Care to benchmark across the sector to improve outcomes for local people and drive value for money	ASC&H	Dependent on completion of national review	To be confirmed
Supported a resident-first approach through a range of mechanisms to improve access to online services and customer service performance	CCP	June 2019	March 2022
Put in place a new complaints and feedback system to improve service delivery and resident experience	CCP	March 2019	April 2022
Developed our understanding of the resident experience when interacting with the Council, using that insight to improve our approach	CCP	July 2021	December 2022
Developed a medium and long-term organisational recovery and renewal strategy to address the challenges and opportunities presented by COVID-19	CCP	April 2021	September 2021



Action	Dept	Start / Expected Start	Expected Completion
Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners	CCP	October 2021	October 2024
Worked with partners to secure additional funding into Derbyshire and progress a devolution deal for the East Midlands	CCP/Place	September 2020	September 2022
Identified and implemented a programme of strategic transformation as part of Phase 2 of the Enterprising Council Strategy	CCP	March 2021	December 2021
Established a new Programme Management Office to ensure projects and programmes are coordinated, consistent and deliver improved outcomes and value for money	CCP	March 2021	March 2022
Implemented Phase 1 of the Modern Ways of Working strategy working with employees across the Council to design new approaches to agile and flexible working	CCP	September 2020	March 2022
Carried out reviews of Human Resources and Finance functions to further improve these services and make savings	CCP	April 2021	September 2021
Developed and approved the Council's People Strategy and associated people priorities, encompassing the council's people vision, employee values and behaviours	CCP	Started	September 2021
Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery	CCP/All depts	March 2019	April 2022
Implemented a programme to centralise the Council's property assets and budgets to ensure the most effective use of our land and buildings	CCP	March 2019	March 2022
Kept Council Tax within the lowest 25% of County Council areas and lobbied government to secure a better funding settlement	CCP	April 2021	March 2022
Kept on track to achieve all planned budget savings in the medium term	All depts	April 2021	March 2022
Demonstrated value for money through excellent procurement and contract management	CCP	April 2021	March 2022

## Priority: Effective early help for individuals and communities

Action	Dept	Start / Expected Start	Expected Completion
Continued to operate the Community Response Unit, established during the pandemic, as part of our work to improve health and wellbeing	ASC&H	August 2020	September 2021
Undertaken local COVID-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of coronavirus	ASC&H	Started	March 2022
Increased the number of people taking part in stop smoking and weight management programmes	ASC&H	April 2019	March 2022
Provided training to all schools and education providers to support the emotional wellbeing and mental health of children following the coronavirus pandemic	CS	Started	March 2022
Worked with partners to join up existing mental health services to promote positive mental wellbeing and improve support for local people	ASC&H	Started	September 2021
Joined up Health Visiting Services and Childrens Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire	ASC&H	Started	March 2022
Worked with District and Borough Councils and other partners to develop new sites that will increase the amount of age-appropriate accommodation and support for older people	ASC&H	Started	March 2035
Finalised the new ways of working with older people and disabled people to increase their independence so that they remain part of their local communities	ASC&H	Started	March 2023
Commissioned and procured a new assistive technology service offer to support people with social care needs to live independently in the community	ASC&H	Started	March 2022
Developed a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims	CCP/ CS	April 2021	March 2022
Delivered the 'Pause' programme, to address the needs of more than 50 women who have had multiple children removed into care and to prevent this cycle recurring	CS	Started	September 2024

Action	Dept	Start / Expected Start	Expected Completion
Undertaken an evaluation of the early help support and training offered to partner agencies, and developed measures to monitor the effectiveness of early interventions for children and families	CS	September 2021	March 2022
Worked with partners, including young people and their parents and carers, to produce a set of clear expectations about what good inclusion looks like in mainstream schools across Derbyshire and to define the specialist provision that should be accessible in each locality	CS	Started	March 2022

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## Priority: A prosperous and green Derbyshire

Action	Dept	Start/ Expected Start	Expected Completion
Delivered a £40m Local Transport Programme to provide well maintained roads and highways and address road safety concerns	Place	April 2021	March 2022
Opened the Woodville-Swadlincote Regeneration Route, the Ashbourne Airfield Link Road and Hollis Lane Link Road Phase 1 in Chesterfield to improve road access	Place	Started	March 2022
Prepared a countywide response to the Integrated Rail Plan in relation to HS2, minimising any potential disruption and taking full advantage of the economic growth opportunities linked to the proposals	Place	May 2017	September 2021
Completed the viability assessment to progress the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area	Place	September 2020	December 2021
Reduced carbon emissions from Council property and vehicles, street lighting and procurement	All depts	October 2019	March 2022 and ongoing to target of 2032
Reduced the level of flood risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities to develop flood resilience measures	Place	April 2021	March 2022
Developed and commenced implementation of a Climate Change Strategy and Action Plan which sets out priorities to reduce the county's carbon emissions	Place	April 2021	October 2021
Developed and agreed the Council's approach to Good Growth to maximise low carbon economic opportunities	Place	April 2021	March 2022
Developed the Natural Capital Strategy, identifying areas where the natural environment can be further enhanced in order to support healthy thriving communities, a vibrant economy and a healthy environment	Place	April 2021	April 2022
Explored initiatives to tackle climate change including low carbon local energy generation and working with partners to provide further publicly accessible electric vehicle charge points	Place	April 2021	March 2022

Action	Dept	Start/ Expected Start	Expected Completion
Developed and delivered a strategic approach to sustainable travel and transport across the county, including the promotion of cycling and walking	Place	April 2021	March 2022
Implemented year 1 of the COVID-19 Economic Recovery Strategy Action Plan including rolling out a new £1m Business Start-up programme to support business growth and a £2m Green Entrepreneurs scheme to support innovation in low carbon technologies	Place	April 2021	March 2022
Implemented year 1 of the COVID-19 Employment and Skills Recovery Action Plan including delivery and expansion of a careers hub and development and implementation of a youth hub	Place	April 2021	To be confirmed
Developed and secured funding to implement Wi-Fi infrastructure in 27 town centres and better understand how town centres are being used, to help shape future economic renewal programmes	Place	Started	March 2022
Implemented the gigabit top up voucher scheme and increased take-up of fibre enabled broadband across Derbyshire, particularly in rural areas, to improve access, speed and reliability for homes and businesses	Place	April 2014	December 2021
Provided support and advice to local businesses as the UK leaves the European Union, helping them to maximise new opportunities and ensure compliance with relevant legislation	Place/ CCP	Dec 2020	March 2022
Attracted more businesses to relocate or expand to Derbyshire through delivery of the “Invest in Derbyshire” plan	Place	June 2018	September 2022
Worked with partners to develop a county wide approach to improve social mobility, targeting underperforming areas across the county	CCP	April 2021	March 2022
Worked with schools and other education providers to implement new strategies and support, to enable children and young people to achieve their educational potential and begin to catch up on learning they have missed due to COVID-19 restrictions	CS	June 2021	June 2022
Worked with Derbyshire businesses to support the creation of apprenticeship opportunities in key economic sectors, connecting people to local job opportunities	Place	Started	March 2022

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**DERBYSHIRE COUNTY COUNCIL****CABINET****11 March 2021****Report of the Managing Executive Director****DEPARTMENTAL SERVICE PLANS 2021-2025  
(Strategic Leadership, Culture and Tourism)****1. Purpose of the Report**

To seek approval for the Departmental Service Plans 2021-25 to be submitted to Full Council for endorsement.

**2. Information and Analysis**

Service Plans set out how each department will contribute to the outcomes and priorities set out in new Council Plan 2021-25. The Council Plan outcomes, which outline what the Council is working towards with partners and local people are as follows:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all
- High quality public services that work together alongside communities to deliver services that meet people's needs

The five priorities outlined in the Council Plan, which provide a focus for effort and resource, are:

- Resilient, healthy and safe communities
- High performing, value for money and resident focused services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

The Service Plans describe how departments will work towards achieving the outcomes and priorities set out above. Performance measures are included in the Service Plans, however in some cases baseline and target information are still to be confirmed due to the need for data that is not fully available until later in the year.

### **3. Financial Considerations**

The capital and revenue programmes included in Service Plans accord with the revenue and capital budgets approved by Council in February 2021.

### **4. Human resource, property and equality of opportunity considerations**

The Plans set out workforce priorities including promoting employee wellbeing and improving employee communication and engagement. Work to rationalise Council land and buildings and improve the management of those that remain is also included. The Council's commitment to enhancing the wellbeing of communities and individuals and to promoting equality and diversity has been embedded throughout the Plans.

### **5. Health and wellbeing considerations**

The Plans set out how the Council will promote health and wellbeing including actions to manage local outbreaks and reduce the spread of the coronavirus, support people to lose weight and stop smoking; support the mental health and wellbeing of children and adults and help people in local communities to take part in physical activity.

### **6. Environmental and Prevention of crime and disorder considerations**

The Plans contain actions to maintain a safe and sustainable environment, including reducing emissions from Council land, building and operations; working with local councils to minimise household waste and increase recycling; protecting and promoting the countryside and to work with partners, businesses and communities to address climate change. Actions to prevent crime and disorder include work to address domestic abuse and to protect residents who are most susceptible to scams, fraud and financial abuse.



## **7. Transport considerations**

Work to provide a well maintained highway network and efficient transport system for the county; support options for sustainable travel and reduce the Council's grey fleet mileage are incorporated into the Plans.

## **8. Key Decision - No**

## **9. Call-in**

Is it required that call-in be waived in respect of the decisions proposed in the report? No

## **10. Background Papers - None**

## **11. Officer's Recommendations**

It is recommended that Cabinet approve the Departmental Service Plans 2021-25 to be submitted to Full Council for endorsement.

**Emma Alexander**  
**Managing Executive Director**

# **Adult Social Care and Health**

## **Service Plan 2021-2025**

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**Helen Jones**  
**Executive Director – Adult Social Care & Health**

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## Council Ambition

“We will work together with our partners and communities to be an enterprising council delivering value for money and enabling local people and places to thrive”

## Values

### The way we work – we will:

- Listen to, engage and involve local people ensuring we are responsive and take account of the things that matter most to them
- Be open minded, honest and accountable ensuring the decisions that we make are fair and transparent
- Spend money wisely making the best use of the resources that we have
- Work with partners and local communities because we know that we cannot tackle complex problems on our own
- Be aspirational about our vision for the future, for our organisation, local people and communities

## Council Outcomes

### We want Derbyshire to have:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all
- High quality public services that work together and alongside communities to deliver services that meet people's needs

## Council Priorities

### Our Council priorities are:

- Resilient, healthy and safe communities
- High performing, value for money and customer focussed services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

## Departmental Overview

### Departmental Structure

The Adult Social Care and Health department has two key components:

- a) **Adult Social Care** acts as the system leader for social care, discharging the local authority's statutory duties regarding the Care Act (2014), the Mental Health Act (2017) and the Mental Capacity Act (2005).

The department provides social work assessment to support people to identify their care and support needs.

The department enables people with care and support needs to identify a range of personal, community, voluntary, independent and statutory service opportunities to meet those needs.

The department has responsibility for managing and commissioning the care services market across Derbyshire to ensure that there is the right type of high quality services to meet both current and future demand.

The department also directly provides care and support services and operates residential care homes, day centres and homecare with a focus on delivering short-term support to enable independence.

The department has lead responsibility for Adult Safeguarding activity and is committed to following the principals of [making safeguarding personal](#) to deliver strong, effective and person focused safeguarding enquires, action and reporting.

The core aim of Adult Social Care is to ensure that the services and support people receive are co-ordinated, person centred and promote choice so that, wherever possible, people can live an independent and fulfilling life being part of their local communities.

b) **Public Health** acts as the system leader for health and wellbeing, discharging the local authority's duties in regard to health improvement and reducing health inequalities as outlined in the Health and Social Care Act 2012. The department also has statutory responsibility for developing a Joint Strategic Needs Assessment (JSNA).

The department delivers health protection and outbreak management responses to communicable diseases, including the current coronavirus pandemic.

The department uses the latest available evidence from the JSNA and other projects to identify and evaluate the health needs of the Derbyshire population.

The department works to improve population health outcomes across the county by working in partnership with the NHS, district and borough councils and the voluntary sector. The department works collaboratively with partners to influence decisions to maximise the benefits to population health and wellbeing.

Public Health has responsibility for commissioning statutory services in relation to NHS Health Checks, sexual health and the National Child Measurement Programme. The department is seeking to develop strategic commissioning approaches which are aligned with NHS activity, wherever possible, and also utilise innovative approaches.

The department is committed to ensuring parity of esteem between physical and mental health services, with a clear focus on ensuring positive outcomes for people with mental ill health.

Public Health currently receives funding from central government via a ring-fenced grant. The department has received one off funding to support the response to the COVID-19 pandemic, however in the longer term the future arrangements for Public Health grant funding remains uncertain

**Joined Up Care Derbyshire** NHS England has asked that local authority areas work in partnership with local NHS organisations to develop a Sustainability and Transformation Plan, in Derbyshire this plan is known as Joined Up Care Derbyshire. Adult Social care and Health, through continued partnership working with the NHS, will align activity with the agreed system wide priorities in accordance with overarching plans signed off by the Health and Wellbeing Board.

## Departmental Priorities

**Adult Social Care and Health** have the following areas of focus that deliver against Council Plan objectives:

- Continue to deliver the 'Better Lives' transformation programme to provide both improved outcomes for people and make more effective use of resources.
- Ensure that all of the services we procure, or directly deliver, are of high quality, demonstrate value for money and are person focused.
- Better support people to live at home for longer and ensure wherever possible people return home following discharge from hospital.
- Continue our focused work with people with learning disabilities to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals
- Continue to work with District and Borough Councils and other partners to develop new sites to increase the amount of age-appropriate accommodation and support for older people.
- To deliver on our accommodation and support strategy, enabling people to remain an active part of their community for as long as is possible, including reviewing and updating the Derbyshire Cycle Plan.
- Continue to develop new ways of working through our Better Lives approach that connects older people and disabled people to thriving communities.
- Commission a new approach to provide innovative technological solutions to support people with social care needs.
- Work with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity to improve their health and wellbeing.
- Work with partners through the Local Resilience Forum to support individuals, communities and businesses who have been impacted by the pandemic.
- Undertake local Covid-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of Coronavirus
- Operate the Community Response Unit, established during the pandemic, as part of our work to improve health and wellbeing
- Work with partners to join up existing mental health services to promote positive mental wellbeing and improve support for local people.

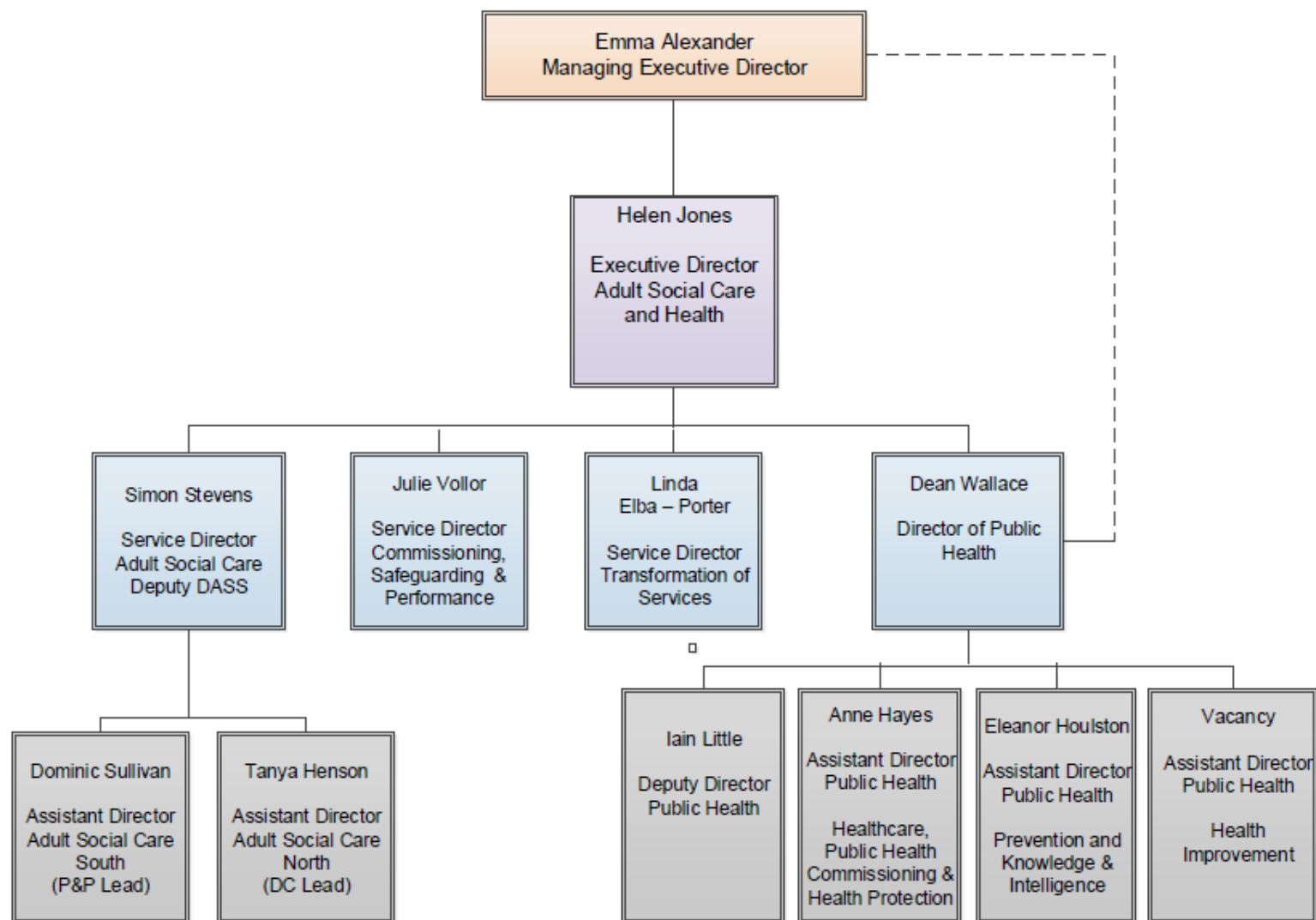


- Improve health outcomes through increasing the number of people taking part in smoking cessation and weight management programmes.
- Join up Health Visiting Services and Childrens Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire
- Continue to respond to and recover from the impact of the COVID-19 pandemic. This will include working across the health and social care system to provide support to people who have been impacted by COVID-19.

Further to the above, the following workforce development priorities have been identified:

- Continue to work with Joined Up Careers Derbyshire to promote care opportunities both within our directly provided services and across the wider care sector.
- Complete recruitment activity to increase the number of Occupational Therapists working across the department in Derbyshire.
- Developing staff through the apprenticeship scheme who would like to become a social worker.
- Increasing the number of people with a disability into meaningful paid employment through the delivery of the Derbyshire Disability Employment Strategy and continue to engage with clients and carers regarding this issue.
- Continue to deliver a range of apprenticeship and work experience opportunities within social care to support the Employment and Skills Strategy;
- Work proactively with the private and independent sector to support the most effective use of collective resources and to improve recruitment, retention and development of staff.
- Strengthening leadership development across Adult Social Care and Health.
- Implement the Workplace Wellbeing Strategy to ensure all Adult Social Care and Health staff are well supported.
- Support staff with their emotional health and wellbeing as part of recovery from the COVID-19 pandemic response. Continue to support colleagues across Public Health to develop a portfolio to enable them to be registered on the UK Public Health Register.
- Develop the Public Health workforce who have joined the department, or learnt new skills, as a result of the response to the pandemic.

## Departmental Management Structure and Services



## Achievements – Adult Social Care and Health

During 2020-21 Adult Social Care and Health achieved the following that supported the delivery of both the Council Plan and Department Service Plan priorities:

- Although we have faced unprecedented challenges as a result of the Covid pandemic we have successfully completed the first year of our four year 'Better Lives' transformation programme and have made significant progress on our ambition to provide both improved outcomes for people and make more effective use of resources.
- Adult Social Care ASCH received a total of 50,577 referrals over the 2020 calendar year and were able to successfully signpost/ refer on 22,097 at this first point of contact.
- We have supported 19,389 people throughout 2020 and are currently supporting 13,384 people to remain living within their own homes within their local communities.
- We have adjusted our service delivery arrangements to better focus on short term services which will enable 1,024 additional people to benefit from short term assistance to regain or increase independence.
- We have implemented the new national guidance to support people's discharge home from hospital and supported 6,062 people to leave hospital safely.
- We have implemented a new activity recording tool, to provide real-time information on domiciliary care activity, and to streamline the payment of invoices to the independent sector which has led to significant improvement in efficiency and effectiveness.
- We commenced a pilot using the Brain in Hand app for 30 adults and young people.
- We successfully utilised the new Ada Belfield residential care home, as a dedicated Covid recuperation unit in the Spring which helped reduce pressure on hospital resources.
- We have created of two designated residential care settings to safely care for people who remain infectious from COVID-19 and allow them to leave hospital. These settings have supported over 50 people.
- In the summer, residents from the old Ada Belfield residential home moved to the new home and ten additional Community Support Beds were opened.
- Our Community Support Beds helped to support 312 people to gain independence following an hospital admission or to prevent them having to stay in hospital.
- Work has commenced to build a new residential care facility in Cotmanhay, Ilkeston to replace the nearby Hazelwood Home for Older People.

- We have successfully appointed two Senior Joint Strategic Learning Disability and Autism Commissioners to work across the health and social care system to improve outcomes for people and realise system wide transformation of current service provision.
- We have developed and are working towards implementation of the Derbyshire Vision and Plan for Building the Right Support in the Community for people with complex needs
- We have worked with our mental health staff, public health and partner agencies including the voluntary sector and people with lived experience to co-design a new community mental health offer of support and have begun to prototype this new model before rolling out more widely across the county
- We have produced and delivered a successful Accommodation and Support Investment Launch with key strategic partners to attract developers to work with us to invest in the development of age designated housing and specialist accommodation across the County
- We have developed an accommodation pipeline process along with a system of monitoring accommodation developments as they progress across the county
- We have set up a PPE Supplies Team and Logistics Hub to ensure continuous supply and distribution of approved PPE to all frontline staff throughout the Covid-19 pandemic
- We have built strong links between the Home from Hospital Support Service and the Council's Community Response Unit to support adults who are shielding with essential shopping, collecting medication and welfare calls.
- We have worked in partnership with other departments to rapidly attract, recruit and train new care workers to ensure the continued provision of support in our residential and home care services during the pandemic
- We have set up a Supported Living Provider forum to work with providers to expand the supported living offer in Derbyshire to enable people to live more independently in their own homes and to support the Better Lives Programme
- Welfare Rights has supported residents to gain £30 million in benefits to which they were entitled.
- Public Health staff operated 116 School Crossing Patrol Sites.
- Live Life Better Derbyshire launched a digital and phone-based stop smoking, weight management and physical activity service to encourage people to continue to make important life-style changes throughout the pandemic.
- The Adult Substance Misuse Treatment Service supported 955 clients, 14,393 requests for access to syringes were issued by the pharmacy needle and syringe programme and 109 Hepatitis C tests were carried out.
- The Public Health Nursing service completed 6,066 new birth reviews, 5,222 mothers received an antenatal appointment and 4,091 toddlers had a two to two-and-a-half-year-old review.

- The [Your Sexual Health Matters advice website](#) had 432,537 unique page views in 2020 which is an increase of 25% in comparison to the previous year. The 'ordering a test online' section had 39,005 unique page views, an increase of 40% from the previous year. The webpage containing advice about emergency contraception was viewed 5,221 times, which is an increase in 83% on the previous year.
- Sexual health clinics had 12,346 attendances and 4,782 STI tests were carried out. The Sexual Health Promotion Team engaged with 1,952 people across Derbyshire.
- In March 2020, in response to Covid-19 restrictions a postal condom scheme was launched, which has so far sent out 18,317 condoms, 8,681 of these were to people aged 25 and under who also received a c-card which provides free condoms.
- Public Health established a COVID-19 local contact tracing partnership with NHS Test and Trace in November 2020.
- Public Health commenced a symptom-free community testing programme for COVID-19 which has supported over 21,000 people to access testing.
- £250,000 has been distributed to local foodbanks and directly delivered 3,001 emergency food boxes to shielding residents as well as 426 bespoke or special food shops for people with dietary requirements.
- Over £100,000 has been allocated to local groups and charities to allow them to support people throughout the COVID-19 pandemic.
- Over 10,000 follow up calls have been made to those who are shielding and 28,000 letters have been sent to those who are clinically extremely vulnerable via the Community Response Unit outlining support available throughout the pandemic.
- Over 9,600 calls have been received by the Community Response Helpline requesting help and support from the council and its partners.
- A county wide Community Champions scheme has been established alongside voluntary, community and faith-based groups to promote positive messages around COVID-19.
- Public Health have led the Derby and Derbyshire approach to suicide prevention and invested over £200,000 in local programmes in 2020/21.
- We have utilised the opportunities identified by the challenge of the pandemic to strengthen our remote working arrangements and utilisation of technology to support our working practices, drive efficiencies and deliver against the Green Derbyshire agenda to reduce our carbon footprint.

## Key risks

### Adult Social Care

Priority	Key Risks	Mitigations
High performing, value for money and resident focussed services	Continuing Health Care (CHC): cost pressures on Adult Care	Social care staff trained and supported to contribute to assessment meetings in which eligibility for CHC services is determined. CHC funding arrangements amended temporarily from April 2020 as part of national programme resulting from Covid-19 Pandemic. New guidance issued 1 September 2020. Working with local system partners to ensure application of the revised guidance is implemented equitably.
High performing, value for money and resident focussed services	Adult Social Care Budget Pressures	Budget pressures and savings are regularly reviewed; and reported to Senior Management Team / Cabinet Member for Adult Social Care; Budget implications of COVID-19 continue to be tracked and monitored, identification of a number of mitigations/ measures

Priority	Key Risks	Mitigations
A Prosperous and Green Derbyshire	Workforce: recruitment and retention to meet needs	A range of initiatives including marketing and events to raise the profile of careers in the social care sector, use of apprenticeships and work experience opportunities. Participation in East Midlands pilots funded by the Local Government Association and Health Education England, use of the Adult Social Care Workforce Data Set. Establishment of Joined Up Careers Derbyshire with system partners. Creation of system-wide apprenticeships. Activity related to responding to COVID-19 incorporated into existing programmes of work.
Effective early help for individuals and communities	Provider resilience and market failure of domiciliary and care homes	Interim Market Position Statement in development with partners. Clear governance structures in place. Daily monitoring of the national Capacity Tracker Infection Prevention Control (IPC) grant Finance Returns. Regular contact with all social care providers, including understanding and updating mitigations.

Priority	Key Risks	Mitigations
Effective early help for individuals and communities	Safeguarding of adults at risk of harm in the community and/or residential settings	Adult Protection Policy and Procedures in place Risk management and support matrix for Direct Payments Overview of Best Interest Assessment & Deprivation of Liberty Safeguards (DOL's) Mental Capacity Act Policy and procedure review to ensure compliance with Care Act requirements in respect of safeguarding adults Derbyshire Safeguarding Adults Board Strategic and Business Plans Adoption of Association of Directors of Adult Social Services guidance to prioritise DOL's referrals
Effective early help for individuals and communities	Failure to deliver Better Lives programme of work	Strong overarching programme and workstreams in place with clear Key Performance Indicators. Clear governance structures in place
Effective early help for individuals and communities	New National Guidance – Hospital Discharge	Close System wide work underway – Derbyshire County Council key partner Clear governance structures in place. Gap analysis completed and mitigations in place, Executive Lead Appointed
High performing, value for money and resident focussed services	Covid – 19 Business Continuity	Covid risk register established Lessons learnt document created Clear governance structures in place



## Public Health

Priority	Key Risks	Mitigations
High performing, value for money and resident focussed services	Delivery of local contact tracing partnership and local community testing does not effectively mitigate the impact of COVID-19 by breaking the chains of transmission	Significant Public Health resource has been redeployed to support these activities and ensure that they can take place at appropriate scale to support the authority's response to the pandemic.

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## Section One – Delivering the Council Plan

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Worked with partners through the Active Derbyshire network to increase the number of people in local communities taking part in physical activity to improve their health and wellbeing, including reviewing and updating the Derbyshire Cycle Plan.	Dean Wallace	Ongoing to March 2022	<ul style="list-style-type: none"> <li>Develop a countywide collaborative group for organisations who have a role in physical activity provision to create an approach that targets inequalities and promotes participation in local activities. (RAG rating</li> </ul>	Y	Resilient healthy and safe communities

<p>Provided support to people and communities in need, including financial help from our discretionary fund and support for those affected by flooding</p>	<p>Ellie Houlston</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> <li>• Number of awards from the Derbyshire Discretionary Fund for emergency cash payments</li> <li>• Evidence that people in socially vulnerable communities or groups feel well supported in terms of their physical and mental wellbeing.</li> </ul>	<p>Y</p>	<p>Resilient healthy and safe communities</p>
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<p>Worked with partners and supported individuals, communities and businesses who have been impacted by the pandemic</p>	<p>Dean Wallace</p>	<p>March 2022</p>	<ul style="list-style-type: none"> <li>• Continue to monitor rates of COVID-19 and take action as required</li> <li>• Support and facilitate collaborative bids across Derbyshire to bring in additional investment from government and other funding sources to improve the wider determinants of health.</li> <li>• Establish a Community Recovery Board and develop the Community Champions network to support recovery.</li> <li>• Establish a 12-month COVID-19 Recovery fund to support community groups recover from the impact of the pandemic.</li> <li>• Develop the council's role within the Derbyshire Integrated Care System in relation to Health Inequalities.</li> </ul>	<p>Y</p>	<p>Resilient health and safe communities</p>
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Continued to operate the Community Response Unit, established during the pandemic, as part of our work to improve health and wellbeing.	Ellie Houlston	Sept 2021	<ul style="list-style-type: none"> <li>• Service offer reconfigured and aligned with Public Health and Adult Care services.</li> <li>• Increased numbers of people volunteering to deliver support linked to the CRU.</li> <li>• Evidence that the support provided by the CRU improves health and wellbeing and prevents or reduces additional demand on other council services by enabling people to remain independent and stay well.</li> </ul>	Y	Effective early help for individuals and communities
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<p>Undertaken local Covid-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of Coronavirus.</p>	<p>Iain Little</p>	<p>March 2022</p>	<ul style="list-style-type: none"> <li>• Number of calls made to positive cases in Derbyshire</li> <li>• % of cases forwarded to Derbyshire County Council by National Test and Trace Team completed.</li> <li>• Number of educational establishments provided with advice</li> <li>• Number of workplaces provided with advice</li> <li>• Number of community and settings asymptomatic testing venues established</li> <li>• Number of people accessing community and settings testing venues</li> </ul>	<p>Y</p>	<p>Effective early help for individuals and communities</p>
<p>Increased the number of people taking part in stop smoking and weight management programmes.</p>	<p>Dean Wallace</p>	<p>March 2022</p>	<ul style="list-style-type: none"> <li>• Number of people achieving a 4 week Quit.</li> <li>• Number of people achieving a 5% weight loss.</li> <li>• Sign up to and implement actions associated with the Healthy Weight Declaration.</li> </ul>	<p>Y</p>	<p>Effective early help for individuals and communities</p>

Worked with partners to join up existing mental health services to promote positive mental wellbeing and improve support for local people.	Iain Little	Sept 2021	<ul style="list-style-type: none"> <li>• People have access to information, advice and services (including crisis support) related to mental wellbeing.</li> <li>• Number of staff who uptake advice, information and support.</li> </ul>	Y	Effective early help for individuals and communities
Joined up Health Visiting Services and Childrens Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire.	Abid Mumtaz	March 2022	<ul style="list-style-type: none"> <li>• Health Visitor core contact data (five mandated checks).</li> <li>• Percentage of children achieving a good level of development.</li> <li>• Percentage of centres audited as compliant with UNICEF Baby Friendly Standards.</li> </ul>	Y	Effective early help for individuals and communities
Worked with people with a learning disability and / or who are autistic to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals	Linda Elba-Porter	Ongoing to March 2023	<ul style="list-style-type: none"> <li>• Number of people with a learning disability and / or who are autistic with an outcome focused support plan.</li> <li>• Number of people with a learning disability supported to move from 24-hour residential care to more independent supported living settings.</li> </ul>	Y	Resilient, healthy and safe communities

Implemented new national performance measures for Adult Social Care to benchmark across the sector to improve outcomes for local people and drive value for money	Julie Vollar	April 2021- March 2022	<ul style="list-style-type: none"> <li>• Awaiting national guidelines</li> </ul>	Y	High Performing, value for money and resident focused services
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Worked with District and Borough Councils and other partners to develop new sites that will increase the amount of age-appropriate accommodation and support for older people	Julie Vollar		<ul style="list-style-type: none"> <li>• Identify 4 sites for development</li> </ul>	Y	Effective early help for individuals and communities
Finalised the new ways of working with older people and disabled people to increase their independence so that they remain part of their local communities	Linda Elba-Porter	Ongoing to March 2023	<ul style="list-style-type: none"> <li>• Reduction of the number of older people and disabled people entering residential care</li> <li>• Increase the number of older people and disabled people able to access short term assistance to regain or increase independence</li> </ul>	Y	Effective early help for individuals and communities
Commissioned and procured a new assistive technology service offer to support people with social care needs to live independently in the community	Julie Vollar		<ul style="list-style-type: none"> <li>• Increase the numbers of older people and disabled people with social care needs to access Assistive Technology.</li> <li>• Conducted two digital technology pilots as part of commissioning a new streamlined approach for innovative technological solutions to support people with social care needs to live independently in the community</li> </ul>	Y	Effective early help for individuals and communities

## Key performance indicators

- Where an action relates to the development of plan, or strategy, the success measure will reflect the progress in its development by means of a Red, Amber, Green (RAG) rating. Once a plan, or strategy, has begun implementation the relevant success measures will be amended to reflect appropriate outcomes.
- Where a target has not been set, because the indicator relates to levels of activity or it is not appropriate to set targets, then “Monitor” has been used instead

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Develop a countywide collaborative group for organisations who have a role in physical activity provision to create an approach that targets inequalities and promotes participation in local activities. (RAG rating)	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Review and update the Derbyshire Cycle Plan (RAG Rating).	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Implementation of a new physical activity commissioning model (RAG rating).	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Evidence that people in socially vulnerable communities or groups feel well supported in terms of their physical and mental wellbeing.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of awards from the Derbyshire Discretionary Fund for emergency cash payments	n/a	86,281	AD	Monitor	Monitor
Continue to monitor rates of COVID-19 and take action as required	New measure	New measure	New measure	Monitor	Monitor

Support and facilitate collaborative bids across Derbyshire to bring in additional investment from government and other funding sources to improve the wider determinants of health.	introduced 2021/22	introduced 2021/22	introduced 2021/22		
Establish a Community Recovery Board and develop the Community Champions network to support recovery (RAG Rating).	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Establish a 12 month COVID-19 Recovery fund to support community groups recover from the impact of the pandemic.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	tbc	n/a
Develop the council's role within the Derbyshire Integrated Care System in relation to Health Inequalities (RAG Rating).	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Community Response Unit offer reconfigured and aligned with Public Health and Adult Care services.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Complete
Increased numbers of people volunteering to deliver support linked to the Community Response Unit.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Complete
Evidence that the support provided by the Community Response Unit improves health and wellbeing and prevents or reduces additional demand on other council services by enabling people to remain independent and stay well.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of calls made to positive cases in Derbyshire	New measure	New measure	New measure	Monitor	Monitor

	introduced 2021/22	introduced 2021/22	introduced 2021/22		
% of cases forwarded to Derbyshire County Council by National Test and Trace Team completed	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	75%	75%
Number of educational establishments provided with advice	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of workplaces provided with advice	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of community and settings asymptomatic testing venues established	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of people accessing community and settings testing venues	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of people achieving a 4 week Quit	860	1157	AD	1,050	1,050
Number of people achieving a 5% weight loss	183	232	AD	281	281

Sign up to and implement actions associated with the Healthy Weight Declaration (RAG rating).	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Green	Green
Evidence people have access to information, advice and services (including crisis support) related to mental wellbeing.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	Monitor	Monitor
Number of staff who uptake advice, information and support in relation to mental health and wellbeing.	New measure introduced 2021/22	New measure introduced 2021/22	New measure introduced 2021/22	n/a	Monitor
The proportion (%) of families in Derbyshire are offered 5 universal health visitor reviews and uptake is maximised:					
- 93% of identified pregnant women receiving an antenatal contact.	93%	95%	98%	93%	93%
- 94% of infants receiving a new baby review between 10- 14 days.	98%	94%	95%	94%	94%
- 100% receive a new baby review within 3 months of birth.	100%	100%	100%	100%	100%
- 95% of infants receiving a 6-8-week review within the timescales.	97%	96%	94%	95%	95%
- 97% of 12 months reviews are completed within the timescales.	97%	95%	AD	93%	93%
- 93% of 2.5-year reviews are completed within the timescale.	96%	94%	AD	93%	93%
Percentage of centres audited as compliant with UNICEF Baby Friendly Standards	100%	100%	100%	100%	100%

Number of people with a learning disability and / or who are autistic with an outcome focused support plan	New measure introduced 2020/2021	New measure introduced 2020/2021	New measure introduced 2020/2021	60	340
Number of people with a learning disability supported to move from 24-hour residential care to more independent supported living settings	New measure introduced 2020/2021	New measure introduced 2020/2021	New measure introduced 2020/2021	20	60
Identify 4 sites for development	New measure introduced 2020/2021	New measure introduced 2020/2021	New measure introduced 2020/2021	New measure introduced 2020/2021	4
Reduction of the number of older people and disabled people entering residential and nursing care	New measure introduced 2021/2022	New measure introduced 2021/2022	New measure introduced 2021/2022	Baseline: to be set Q1 166 Q2 227 Q3 247 Awaiting Q4	389 fewer placements per year Total number of admissions: 778
Increase the number of older people and disabled people able to access short term assistance to regain or increase independence	New measure introduced 2021/2022	New measure introduced 2021/2022	New measure introduced 2021/2022	Baseline: 2268	1320 more people able to access the service per year Total number of people accessing: 3588
Increase the numbers of older people and disabled people with eligible social care needs accessing Assistive Technology.	New measure introduced	New measure introduced	New measure introduced	Baseline:	10% increase

	2021/2022	2021/2022	2021/2022	<i>To be established March 2021</i>	
Conducted two digital technology pilots as part of commissioning a new streamlined approach for innovative technological solutions to support people with social care needs to live independently in the community	New measure introduced 2021/2022	New measure introduced 2021/2022	New measure introduced 2021/2022	New measure introduced 2021/2022	Completed and evaluated by March 2022
Average number of days lost per appointment to sickness (Dept figure)	12.9	13.75	14.01 (End Dec 2020)	13.0	12.0
New sickness absence measure TBC (Dept figure)*	New Measure	New Measure	New Measure	Not Set	Baseline year
<b>Latest Data:</b> AD = Awaiting data <b>Targets:</b> TBC = To be confirmed					

\*New measure being developed to enable benchmarking against comparator organisations and to support robust reporting. Targets for the new measure, which will subsequently replace the existing measure, will be set once baselines established and new reporting mechanisms embedded.

## Section Two – Delivering departmental services and priorities

Action	Lead	Start – Finish dates	Success Measures	Priority
Ensure carers in Derbyshire have access to the support, advice and information to best meet their needs	Julie Vollar	Ongoing	<ul style="list-style-type: none"> <li>• Number of carers who are referred to a lead agency</li> <li>• Number of carers who have an emergency plan in place</li> </ul>	Healthy Population
We will continue to have a clear focus on protecting people at risk as part of a strong multi-agency approach to safeguarding	Julie Vollar	Ongoing	<ul style="list-style-type: none"> <li>• Number of safeguarding referrals received.</li> <li>• Number of referrals resulted in further enquires.</li> <li>• The proportion of people who report that they are satisfied that they achieved the Outcomes they wanted at the end of the Safeguarding</li> </ul>	Keeping Adults Safe
Quality of service provision	Julie Vollar	Ongoing	<ul style="list-style-type: none"> <li>• Percentage of residential homes within Derbyshire rated Outstanding or Good CQC</li> <li>• Percentage of homecare agencies within Derbyshire rated Outstanding or Good CQC</li> </ul>	Keeping Adults Safe
To continue to deliver the 'Better Lives' transformation programme to provide both improved outcomes for people and make more effective use of resources.	Linda Elba-Porter	March 2020/ March 2023	<ul style="list-style-type: none"> <li>• Number of admissions into residential care people over the age of 65 and under the age of 65</li> <li>• Number of people being supported to live within their local community</li> </ul>	Healthy Population



Provide tailored support to live healthier lifestyles we through Live Life Better Derbyshire and specialist services that promote, positive sexual health and enable people to recover from drug and alcohol addiction.	Dean Wallace	Ongoing	<ul style="list-style-type: none"> <li>• Sexual health – Chlamydia detection rate/100,000 people aged 15-24</li> <li>• Sexual health – HIV late diagnosis (%)</li> <li>• Substance misuse - Percentage of successful completions as a proportion of all in treatment</li> <li>• Substance Misuse - Percentage of representations within six months</li> </ul>	Healthy population
Work with partners to deliver the Substance Misuse Strategic Plan.	Dean Wallace	Ongoing	<ul style="list-style-type: none"> <li>• Progress in delivering the Substance Misuse Strategic Plan</li> </ul>	Keeping safe
Work with partners and local people to reduce the health impact of air pollution in the County.	Dean Wallace	Ongoing	<ul style="list-style-type: none"> <li>• Progress against implementation the Derbyshire County Air Quality Strategy.</li> </ul>	Healthy Environments

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Please note:

- *Adult Social Care Outcomes Framework (ASCOF) indicators are currently being reviewed nationally. Once guidance has been released these will be incorporated within the service plan.*
- *Public Health Outcomes Framework (PHOF) indicators are reported annually and can either be one or two years behind the current reporting year.*
- *Where an action relates to the development of plan, or strategy, the success measure will reflect the progress in its development by means of a Red, Amber, Green (RAG) rating. Once a plan, or strategy, has begun implementation the relevant success measures will be amended to reflect appropriate outcomes.*
- *Where a target has not been set, because the indicator relates to levels of activity or it is not appropriate to set targets, then “Monitor” has been used instead.*

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
Number of carers who are referred to a lead agency	New measure introduced 2021/2022	New measure introduced 2021/2022	Q1 126 Q2 134 Q3 155 Awaiting Q4	New measure introduced 2021/2022	600
Number of carers who have an emergency plan in place	New measure introduced 2021/2022	New measure introduced 2021/2022	Q1 180 Q2 459 Q3 596 Awaiting Q4	New measure introduced 2021/2022	1,700
Number of safeguarding referrals received.	New measure introduced 2021/2022	New measure introduced 2021/2022	Q1 965 Q2 1255 Q3 983 Awaiting Q4	New measure introduced 2021/2022	Monitor
Number of safeguarding referrals resulted in further enquires.	New measure introduced 2021/2022	New measure introduced 2021/2022	Q1 40% Q2 45% Q3 53% Awaiting Q4	New measure introduced 2021/2022	50%

The proportion of people who report that they are satisfied that they achieved the Outcomes they wanted at the end of the Safeguarding	New measure introduced 2021/2022	New measure introduced 2021/2022	40%	New measure introduced 2021/2022	80%
Percentage of residential homes within Derbyshire rated Outstanding or Good CQC	New measure introduced 2021/2022	New measure introduced 2021/2022	78%	New measure introduced 2021/2022	80%
Percentage of homecare agencies within Derbyshire rated Outstanding or Good CQC	New measure introduced 2021/2022	New measure introduced 2021/2022	70%	New measure introduced 2021/2022	75%
Number of admissions into residential care people over the age of 65 and under the age of 65	New measure introduced 2021/2022	New measure introduced 2021/2022	Q166 Q2 227 Q3 247 Awaiting Q4	New measure introduced 2021/2022	778
Number of people being supported to live within their local community	New measure introduced 2021/2022	New measure introduced 2021/2022	13,348	New measure introduced 2021/2022	Monitor
Sexual health – Chlamydia detection rate per 100,000 people aged 15 to 24 years old	1,842	1,881	AD	1,900	2,000
Sexual health – HIV late diagnosis (%)	% is aggregated across 2 yearly periods 2016/18: 46.6%	% is aggregated across 2 yearly periods 2017/19: 44.4%	AD	44%	43%
Substance Misuse - Percentage of successful completions as a proportion of all in treatment: Opiate Non-opiate Alcohol	4.53% 31.19% 39.95% 32.39%	AD	3.67% 32.41% 34.5% 30.21%	Monitor	Monitor

Alcohol & non-opiate					
Substance Misuse - Percentage of representations within six months:	15.38%	AD	0.7%	Monitor	Monitor
Opiate	4.00%		6.81%		
Non-opiate	6.95%		9.3%		
Alcohol	13.79%		10.9%		
Alcohol & non-opiate					
Progress in delivering the Substance Misuse Strategic Plan (RAG Rating)	New strategy not in place in 208/19	New strategy not in place in 208/19	AD	Green	Green
Develop and Implement a Derbyshire County Air Quality Strategy (RAG Rating)	Green		AD	Green	Green

**Key: Latest Data:** AD = Awaiting data **Targets:** TBC = To be confirmed

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Approved Controllable Budget 2020/21

	Employee Related	Premises Related	Transport Related	Supplies & Services	Agency	Transfer Payments	Unallocated Budgets	Controllable Recharges	Gross Budget	Income	Grants	Net Budget
Division	£	£	£	£	£	£	£	£	£	£	£	£
Purchased Services	0	0	2,842,659	0	242,073,540	0	0	45,976,027	290,892,226	(75,598,400)	0	215,293,826
Assistive Technology & Equipment	84,814	0	500	11,393,805	318,507	0	1,500,000	337,343	13,634,969	(5,386,132)	0	8,248,837
Social Care Activity	23,726,416	203	589,607	417,324	51,059	31,965	0	15,800	24,832,374	(179,866)	0	24,652,508
Information & Early Intervention	285,252	1,200	7,000	943,474	5,208,703	64,164	0	306,000	6,815,793	(586,840)	(27,470)	6,201,483
Commissioning & Service Delivery	4,406,620	2,000	37,250	122,088	337,000	0	0	1,678,063	6,583,021	0	0	6,583,021
Transformation	1,021,719	3,121	17,400	17,741	0	0	0	0	1,059,981	0	0	1,059,981
Housing Related Support	0	0	0	0	3,695,681	0	0	0	3,695,681	(130,662)	0	3,565,019
Prevention	3,022,791	10,171	81,698	87,962	66,933	1,445,284	0	(545,639)	4,169,200	(256,061)	0	3,913,139
Direct Care	57,248,029	1,589,362	1,421,891	3,902,614	33,597	2,809	0	(45,244,887)	18,953,415	(1,397,658)	0	17,555,757
Better Care Fund	0	0	0	0	7,898,005	0	3,627,306	(1,721,874)	9,803,437	(37,165,223)	(7,898,005)	(35,259,791)
Unallocated Budgets	0	0	0	0	0	0	981,403	0	981,403	0	0	981,403
<b>Business Support</b>												
Finance	3,854,267	0	25,700	2,800	0	0	0	29,400	3,912,167	(185,364)	0	3,726,803
Performance & Efficiency	792,660	1,000	9,200	702,306	0	0	0	165,600	1,670,766	0	0	1,670,766
Administration	3,201,775	17,500	15,255	419,870	6,400	0	0	0	3,660,800	(204,000)	0	3,456,800
Public Health	6,610,744	80,055	145,881	4,523,600	27,427,879	0	1,391,908	2,711,552	42,891,619	(223,519)	(42,175,216)	492,884
<b>TOTAL BUDGET</b>	<b>104,255,087</b>	<b>1,704,612</b>	<b>5,194,041</b>	<b>22,533,584</b>	<b>287,117,304</b>	<b>1,544,222</b>	<b>7,500,617</b>	<b>3,707,385</b>	<b>433,556,852</b>	<b>(121,313,725)</b>	<b>(50,100,691)</b>	<b>262,142,436</b>

## Forward Plan of Procurement Projects

In line with the Council's Financial Regulations, the forward plan of procurement projects for the department, set out below, details procurement projects above £50,000 which are planned to commence over the next 24-month period. The table shows the estimate procurement start date. The projects identified will be the subject of a procurement process as per the procedures set out in the Council's financial regulations

**Table One: Forward Plan of Procurements (above £50K less than Find a Tender threshold of £189,330) due to commence prior to April 2023**  
**Adult Social Care**

Contract Title	Estimated Value (£)	Estimated Start Date
Deaf Communication Support Service	£155,000.00	01/04/2022
Client Finance system	£160,000.00	01/09/2021
Deputyship Money and Case Management System	£50,000.00	01/11/2021
Long term accommodation for people with mental health problems	£150,172.00	01/04/2022
Client Charging and Debt Management System	£160,000.00	01/09/2021
<b>TOTAL:</b>	<b>£675,172.00</b>	

### Public Health

Contract Title	Estimated Value (£)	Estimated Start Date of Procurement
Mental Health Awareness Training	£70,000.00	01/04/2021

Suicide awareness and prevention training	£70,000.00	01/08/2021
Workplace Health Initiatives	£70,000.00	01/04/2021
Clinical and management audits	£90,000.00	01/09/2021
Flu clinics	£150,000.00	01/06/2021
Family Focused Obesity Project	£150,000.00	01/10/2021
Offender Health Initiatives	£155,000.00	Ongoing
Prison Leaver Project Pt 1 App	£100,000.00	01/05/2021
Prison Leaver Project Pt 2 Scaffold Support	£180,000.00	01/05/2021
<b>TOTAL:</b>	<b>£1,035,000.00</b>	

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**Table Two: Forward Plan of Procurements (above Find a Tender threshold of £189,330) due to commence prior to April 2023  
Adult Social Care**

<b>Contract Title</b>	<b>Estimated Value (£)</b>	<b>Estimated Start Date</b>
Healthy Homes Contractors	£2,400,000.00	TBA
Provision of high quality and affordable specialist residential accommodation at Bennerley Avenue Ilkeston, which may include bungalows for older people and Extra Care housing provided and managed by an RSL Housing provider.	£15,000,000.00	15/08/2020
Healthwatch service for Derbyshire	£1,284,456.00	01/10/21
Specialist Residential Dynamic Purchasing System	£128,000,000.00	TBA
Community Alarm monitoring across Derbyshire	£3,400,000.00	TBA
Handyvan Services	£1,196,476.00	TBA
Assistive Technology service provider.	£4,000,000.00	TBA
Homecare services	£160,000,000.00	TBA
Enhanced housing support for complex mental health (as part of CCG pathway for complex care)	TBA	TBA
Independent living services for older people in Bolsover, Chesterfield, High Peak, South Derbyshire and Amber Valley	£6,373,744.00	01/04/2022
Specialist Framework of Supported Living Providers	£68,000,000.00	01/04/2022
Carers Assessments	£3,405,768.00	01/04/2022
Advocacy – independent community and statutory	£3,688,436.00	01/04/2022
Recovery & Peer Support (mental health)	£2,480,000.00	01/04/2022
Rehab & Advice Service for Blind & Visually Impaired	£913,964.00	01/04/2022
Wellbeing Advice and Support service – Whitfield House and Stonelow Court	£241,460.00	01/04/2022



<b>Contract Title</b>	<b>Estimated Value (£)</b>	<b>Estimated Start Date</b>
Wellbeing Advice and Support service – Oaklands	£249,984.00	01/04/2022
Short term support for people with disabilities	£1,183,852.00	01/06/2022
Crisis intervention for people with disabilities	£998,640.00	01/07/2022
Provision of Linen Hire Service	£808,000.00	01/08/2022
Info and Advice Service – Autism	£240,000.00	01/12/2022
Autism Spectrum Management/Education Service	£267,240.00	01/04/2023
Specialist Lifting Equipment	£1,948,000.00	01/04/2023
Home Improvement Agency	£483,420.00	01/04/2023
Hard of Hearing Services	£491,252.00	01/04/2023
Social Care Case Management System	£3,000,000	01/04/2024
Activity Recording and Scheduling System	£3,000,000	01/04/2024
<b>TOTAL:</b>	<b>£413,054,692.00</b>	

### Public Health

<b>Contract Title</b>	<b>Estimated Value (£)</b>	<b>Estimated Start Date of Procurement</b>
Referrals Management System for LLBD	£340,000.00	01/04/2021
Pharmacy based supervised consumption	£375,000.00	01/04/2021
Needle and Syringe exchange programme	£500,000.00	01/04/ 2021
Oral Health Promotion	£680,000.00	01/04/2021
Falls Prevention Services	£800,000.00	01/09/2021
Substance Misuse Recovery – Recovery Hub	£850,000.00	01/04/2021

Childhood Obesity and population nutrition	£400,000.00	01/04/2022
Time Swap	£750,000.00	01/11/2021
NRT for Smoking Cessation	£1,250,000.00	01/06/2021
Residential Rehab for Drug and Alcohol Misuse	£2,200,000.00	01/09/2021
Infant and Toddler Nutrition Service (breastfeeding peer support)	£2,200,000.00	01/10/2021
Children and Young Peoples Affected by the Substance Misuse of Others	£735,000.00	01/10/2022
Inpatient Treatment Service for Management of Withdrawal from Drug and Alcohol dependence	£1,200,000.00	01/09/2022
Children and Young Peoples Specialist Substance Misuse Service	£1,300,000.00	01/10/2022
Health Check Programme	£2,750,000.00	01/01/2022
Provision of Advisory Service	£10,500,000.00	01/02/2022
Provision of Adult Integrated Substance Misuse Treatment Service (Drug and Alcohol)	£38,500,000.00	01/04/2022
Targeted Substance Misuse Service for Children and Young People	£450,000.00	01/01/2023
<b>TOTAL:</b>	<b>£67,780,000.00</b>	

Please note: The above procurement plans includes for the replacement of existing contracts and also an early indication of new projects which may require procurement activity to commence within 2021-23. All values are estimated and may change when projects are tendered

# **Children's Services**

## **Service Plan 2021-2025**

**Jane Parfremment  
Executive Director – Children's Services**

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## Council Ambition

“We will work together with our partners and communities to be an enterprising council delivering value for money and enabling local people and places to thrive”

## Values

### The way we work – we will:

- Listen to, engage and involve local people ensuring we are responsive and take account of the things that matter most to them
- Be open minded, honest and accountable ensuring the decisions that we make are fair and transparent
- Spend money wisely making the best use of the resources that we have
- Work with partners and local communities because we know that we cannot tackle complex problems on our own
- Be aspirational about our vision for the future, for our organisation, local people and communities

## Council Outcomes

### We want Derbyshire to have:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all

- High quality public services that work together and alongside communities to deliver services that meet people's needs

## Council Priorities

### Our Council priorities are:

- Resilient, healthy and safe communities
- High performing, value for money and resident focused services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

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## Departmental Overview

In delivering the council's priorities, our vision is that staff across children's services will be:

**'Working creatively together to inspire and empower children, young people and their families and communities to be the best they can be: safe, healthy, happy, learning and working'.**

The coronavirus pandemic continues to challenge how the whole council works and the department has had to adapt to different ways of working. Maintaining essential services to protect some of the most vulnerable children and families in our communities during this pandemic has been a key area of work, and continues to require a dynamic and flexible response. The department is continually reviewing the way services need to be delivered, to ensure we make the most of our resources and provide the best possible services for children, families, schools and our other local partners.

Across Derbyshire, both families and our local partners have shown great community spirit, creativity and resilience. It is vital that the department continues to work alongside local communities, building on the 'Thriving Communities' approach to provide the support and reassurance needed. Looking to the future it will be important to harness these strengths and any other opportunities that arise as we continue to deliver our children's services vision.

COVID-19 has had a detrimental impact on children's education, physical health and wellbeing, particularly for those who were already vulnerable. Our ambition is to achieve sustained positive outcomes for children and young people and to improve their physical, social and emotional well-being and safety, close the gap in educational achievement and support all children and young people in realising their potential.

Children's services continue to face a range of pressures and risks including the increasing numbers of children who need our help and protection, the rising cost of placements for children in care and the impact of inflation. Having re-modelled and significantly increased capacity and supervisory roles within our social care teams, the focus of our improvement activity is now to further develop our workforce to ensure consistent good quality practice to meet our child protection and safeguarding responsibilities. We are seeing some success from our strategies to address the challenges in relation to recruitment of permanent social workers and retention of existing workers, and we will continue to develop and review these strategies especially in hard-to-recruit areas.

Through our commissioning strategies, it will remain a priority to ensure that sufficient, high quality, cost-effective local placements are available to meet the needs of our children in care, including their educational needs, to help them to achieve the best possible

outcomes. We have established and embedded our holistic service for care leavers, which has significantly improved the experiences and outcomes for our care leavers and will continue to do so.

Children's services will continue to champion high quality education for all children, young people and adults - working closely with local communities to plan for future capacity, the regional schools commissioner to champion improved standards and with Derbyshire schools and settings as the education providers. We have worked closely with schools during the pandemic to support the development of high quality remote learning strategies and will continue to focus in particular on reducing the achievement gap for vulnerable children, including children in care and children with special educational needs and disabilities (SEND), and improving outcomes for children in their early years.

Ensuring that children and young people with SEND receive the support they need to fulfil their potential and maximise independence is a key priority. We are spending more on education and support for children with SEND, but we are not doing as well as we should in helping them to achieve independence and secure paid employment. This year we will continue to work with our partners, including children, young people and their families, to implement the recommendations from reviews on high needs funding and support for children with SEND that we commissioned during 2018-19.

Ensuring that the council balances its budget is critical, and in children's services we will be working hard to support this by making best use of our resources and exploring creative and innovative ways of delivering services and introducing new initiatives to support children and families. During the past year, we have worked with a partner organisation to evaluate and understand where there may be opportunities to change the way we deliver services, in order to improve the experiences and outcomes for children whilst also improving value for money. This work has helped to inform and shape our plans for 2021-22, and future years.

We continue to explore the use of technology and other opportunities to increase flexible, agile and efficient working. We will continue to ensure our traded services are providing good quality services, operating efficiently and are financially sustainable. Recognising the budget pressures faced by many schools, we will also continue to support schools to maintain their spending needs within available resources.

Following our collaborative work with schools and other local partners to re-shape the future delivery of early help support, this year we will be continuing to support our partners, including schools, health and police, with embedding this approach and we will evaluate the impact of our support to partners. We will continue to work with partners to ensure that thresholds for support are applied consistently and that families are helped or signposted to services that can meet their needs at the lowest safe level. Our locality children's partnerships are working collaboratively to build networks of support within local communities, which will also support this



ambition. We will continue to develop and strengthen these partnerships, ensuring that children and their families are engaged in shaping local plans.

Our workforce is critical in achieving the best outcomes for children and young people in Derbyshire. Morale is generally high, despite the additional challenges in responding to COVID-19. We will continue to ensure that staff feel well-supported, and that effective channels of communication are in place at all levels providing clear and consistent messages to frontline staff. We continue to place a high value on staff development, and we will increase opportunities for staff to undertake development including apprenticeships.

Our plans for 2021-22 and future years build on key achievements during 2020-21 in particular:

- How our services and staff have responded to the COVID-19 pandemic, adapting to a virtual world whilst ensuring that children and families continued to receive the best possible services and support. Some examples of how we have supported children and families during COVID-19 include:
  - Delivering over 2500 laptops to Derbyshire vulnerable children to enable home learning.
  - Deploying the COVID Winter Grant Scheme in a very short time frame to support over 25,500 children throughout Christmas and New Year period.
  - Fulfilling 7,500 requests from schools to deliver food boxes to pupils who remained at home between September 2020 and the Christmas holidays.
  - Derbyshire's catering service fulfilling almost 16,000 orders for food boxes to be delivered to schools by the 22 January 2021 with orders continually being placed.
- Our improved social work structures and the positive impact we are seeing from these, including greater practice consistency
- Our success in attaining new social work talent to establish a sustainable workforce. Children have more time with their workers and fewer changes in workers enabling more positive relationships
- Our care leavers are receiving a much-improved service and are better supported
- Our strengthened SEND services, which have strengthened performance across a range of key performance measures
- We have consistently lobbied government on a wide range of education and children's services issues, including funding
- Continued to meet national offer days in both the primary and secondary admission rounds
- The sports and outdoor education service is working with the virtual school for children in care to support children who are at risk and foster care families
- A new primary school at Highfields Farm has been opened with the project delivered on time and on budget

## Departmental Management Structure and Services

**Children's Services  
Executive Director**  
**JANE PARFREMENT**

<b>Service Director Early Help and Safeguarding ALISON NOBLE</b>	<b>Service Director Schools and Learning IAIN PEEL</b>	<b>Service Director Performance, Quality and Partnerships LINDA DALE</b>	<b>Service Director Commissioning and Transformation ISOBEL FLEMING</b>
<ul style="list-style-type: none"> <li>• Children's social care</li> <li>• Early help services including early help teams, children's centres, services for youth, careers, troubled families</li> <li>• Youth offending service</li> <li>• Children in care support and provision including fostering, residential and adoption</li> <li>• Services for disabled children</li> </ul>	<ul style="list-style-type: none"> <li>• Early years and childcare</li> <li>• Education improvement</li> <li>• Special educational needs and disabilities (SEND)</li> <li>• Alternative provision</li> <li>• Virtual school for children in care</li> <li>• School place planning</li> <li>• School capital programme</li> <li>• Admissions and transport</li> <li>• Adult community education</li> <li>• School catering</li> <li>• Sport and outdoor education</li> <li>• Access &amp; inclusion</li> </ul>	<ul style="list-style-type: none"> <li>• Child Protection Service and Independent Reviewing Officers for children in care</li> <li>• Participation and children's rights including Derbyshire Youth Network,</li> <li>• Management information and performance reporting</li> <li>• Quality assurance and complaints</li> <li>• ICT, casework systems and children services websites</li> <li>• Partnership working including supporting the Derby and Derbyshire Safeguarding Children Partnership</li> </ul>	<ul style="list-style-type: none"> <li>• Commissioning, transformation, change management strategies and programmes</li> <li>• Lead or support future service development</li> <li>• Organisational redesign and remodelling of services</li> <li>• Development of service specifications or "pathways" for young people and families to access services and support</li> <li>• Traded services (council-wide portfolio) including services for schools</li> <li>• Music hub partnership</li> </ul>

## Key risks

Priority	Key Risks	Mitigations
Effective early help for individuals and communities	Risk of death or serious injury to a child receiving help or protection	Robust policies and procedures, continued workforce training and development. Continued focus on effective partnership working and embedding early help assessments. Robust management of health and safety
High performing, value for money and resident focused services	Financial pressures from increased demand for services and/or reduction in income generated.	Service pressures have been identified and additional funding identified. Effective programme management approach to ensure delivery of savings targets. Increased targeting of resources and services. Effective benchmarking of services to ensure continued affordability. Demand Management approaches being explored.
	Financial pressures from overspend of the Dedicated Schools Grant (DSG).	
	Increasing demand, complexity and expectations on SEND services.	Commissioned reviews completed, SEND strategy and comprehensive action plan in place.
	Inability to recruit and retain key staff.	Various initiatives implemented with impact being closely monitored.
	Failure to ensure adequate education provision for children missing from education for more than 15 days – risk of adverse outcomes for children.	Robust senior management oversight and monitoring process in place.
	Inappropriate use of unregulated/unregistered provision.	Robust senior management oversight and monitoring process in place.

		Robust contract and quality monitoring in place for use of semi-supported accommodation (not required to be regulated). Strengthening commissioning activity to ensure sufficiency of provision.
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As part of the Departmental Risk Register, in response to the COVID-19 pandemic, Children's Services are recording specific COVID-19 related risks and are keeping these under regular review to mitigate and reduce the risk level.

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## Section One – Delivering the Council Plan

### Priority: Resilient, healthy and safe communities

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Continue to work with partners and communities to develop prevention, early intervention and build resilient communities	Linda Dale	Reviewed annually with partners	<ul style="list-style-type: none"> <li>• Locality children’s partnerships (LCPs) are inclusive of local partners, children and families</li> <li>• LCPs can demonstrate impact within their communities</li> <li>• LCPs work together with local partners and communities to encourage and support Derbyshire’s recovery from COVID-19; enabling local communities to respond effectively to emerging issues and risks for children</li> </ul>	N	Resilient, healthy and safe communities
Strengthen the role of Derbyshire Adult Community Education Service (DACES) in supporting access to education, employment and training for those communities and individuals who are the most disadvantaged	Iain Peel	Sep 2022	<ul style="list-style-type: none"> <li>• Maintain high % success rates.</li> <li>• Achieve high levels of satisfaction from learners</li> </ul>	N	Resilient, healthy and safe communities
Respond rapidly to emerging issues related to the coronavirus pandemic	SMT	Mar 2022	<ul style="list-style-type: none"> <li>• Digital devices issued to support vulnerable children and care leavers’ home learning</li> </ul>	N	Resilient, healthy and safe communities

			<ul style="list-style-type: none"> <li>• Implementation of the COVID-19 winter grant scheme</li> <li>• Future issues arising result in a coordinated response overseen by SMT</li> </ul>		
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**Priority: High performing, value for money and resident focused services**

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Worked with partners and support individuals, communities and businesses who have been impacted by the pandemic	Jane Parfremment	Mar 2020 – Mar 2022	<ul style="list-style-type: none"> <li>• Strategic recovery group chaired by Executive Director of Children’s Services</li> <li>• Locality Children’s Partnerships to identify and promote local emotional wellbeing services to children and families who need support, and train local agencies to recognise and respond to emotional trauma</li> <li>• Locality Children’s Partnerships to engage with local communities to understand where further targeted support and interventions may be needed</li> </ul>	Y	
Work with schools to ensure that the percentage of children in Derbyshire schools which are ‘Good’ or ‘Outstanding’ is in line with the national average	Iain Peel	Started	(Success measures dependent on resumption of Ofsted inspection)	Y	High performing, value for money and customer

		Mar 2025	<ul style="list-style-type: none"> <li>Percentage of children in Derbyshire schools rated good or outstanding by Ofsted</li> <li>Percentage of Derbyshire schools rated good or outstanding by Ofsted.</li> </ul>		focussed services
Increase the number of council foster carers and improve the availability of high-quality children's homes within Derbyshire, so that more children are cared for locally	Alison Noble	Started  Mar 2022	<ul style="list-style-type: none"> <li>Increase in the number of fostering households</li> <li>Percentage of children's homes rated good or outstanding following resumption of Ofsted gradings.</li> <li>Reduce average placement costs</li> <li>Improved utilisation of council foster carers and children's homes</li> <li>Improved access to external provision through new commissioning approaches and market development</li> </ul>	Y	High performing, value for money and customer focussed services
Provide consistent, high quality early help and safeguarding services for children and families across Derbyshire	Alison Noble	Started  Mar 2022	<ul style="list-style-type: none"> <li>Continued and consistent improvements in the quality and consistency of practice, as demonstrated by a range of indicators</li> <li>External peer review and validation which supports internal evaluation of quality</li> </ul>	Y	High performing, value for money and customer focussed services
Implement recommendations from our children's services evaluation to reduce demand, improve outcomes for children, young people and families and reduce expenditure	Alison Noble	Started  Mar 2022	<ul style="list-style-type: none"> <li>Timely, effective and robust application of thresholds across partners</li> <li>Partner organisations are helped and supported to develop their early help provision</li> </ul>	Y	High performing, value for money and customer focussed services

			<ul style="list-style-type: none"> <li>• Children in care are supported to return home where it is safe and appropriate to do so</li> <li>• Work with partners and communities enables a clear understanding of the impact of COVID-19 on vulnerable children and families, with plans in place to respond to increased levels of need</li> <li>• Opportunities identified to further embed flexible and mobile working, building on learning during COVID-19</li> </ul>		
Continue to improve recruitment and retention of children's social workers to reduce reliance on agency staff	Alison Noble		<ul style="list-style-type: none"> <li>• Reduce expenditure on agency staff</li> <li>• Reduction in caseloads</li> <li>• Increase in social worker stability</li> <li>• Reduction in social worker changes for children in care</li> </ul>	N	High performing, value for money and customer focussed services
Continue to improve the quality of the services provided to children with SEND and their families	Iain Peel		<ul style="list-style-type: none"> <li>• Continued improvement in the timeliness of EHCP's</li> <li>• Positive feedback from children and their families</li> <li>• % of special schools judged by Ofsted to be good or better following resumption of Ofsted inspection</li> </ul>	N	High performing, value for money and customer focussed services
Phased review of all traded services (cross-council)	Isobel Fleming	Mar 2022	<ul style="list-style-type: none"> <li>• Cross-council review framework agreed</li> <li>• Service funding and trading income clearly established</li> </ul>	N	High performing, value for money and customer



					focussed services
Detailed value for money review pilot of two children's services traded services	Isobel Fleming	Dec 2021	<ul style="list-style-type: none"> <li>Framework of value for money embedded in new services</li> </ul>	N	High performing, value for money and customer focussed services

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage of year-end budget savings targets achieved	-	63.5%	77.1% (Dec 19)	100%	100%
Spending on agency staff	£406,291	£343,943	£220,846 (Nov 20)	Less than £155,000	Less than £155,000
New sickness absence measure (Dept figure)*	New Measure	New Measure	New Measure	Not Set	Baseline year
Average number of days lost to sickness absence (non-schools)	7.9	8.22	5.82	7.0	7.0
Average cost of children in care placements	£42,132	£46,091	n/a (annual only)	Less than £41,000	Less than £41,000
Utilisation rate – DCC foster care - % of places filled (excluding places reserved for short breaks and those unavailable)	N/Av	91.8%	89.7% (Dec 20)	Maintain above 90%	Maintain above 90%
Utilisation rate – DCC residential children's homes	78%	69%	87.5% (Dec 20)	80%	80%

\*New measure being developed to enable benchmarking against comparator organisations and to support robust reporting. Targets for the new measure, which will subsequently replace the existing measure, will be set once baselines established and new reporting mechanisms embedded.

Average social worker caseload – children and families’ teams	19	17	17 (Dec 20)	15-21	15-21
Average social worker caseload – specialist teams – fostering workers	N/Av	14	13 (Dec 20)	14-18	14-18
Number of approved fostering households	380	336	321 (Dec 20)	-	350
Number of approved foster places	650	565	531 (Dec 20)	-	580
Percentage of children returning home after a period of being looked after	35%	29% (P)	22% (Dec 20)	To be above national	To be above national
Percentage of children in care who have had 3 or more social workers in the last 12 months	13.3%	20.3%	14.9% (Dec 20)	Less than 15%	Less than 12%
<b>Social work workforce stability measures</b> (annual data as at 30 <sup>th</sup> Sept)					
- % of social worker vacancies (including agency)	24.7%	14.9% (national 16.4%)	18.9% (Dec 20)	20%	To remain lower than national average
- % of social workers who are agency workers (based on FTE counts)	9.3%	15.2% (national 15.8%)	12.2% (Dec 20)	10%	
Proportion of social care audits (including children and care and care leavers) graded good or better (n.b. individually graded sections of audits are graded for 2021/22 so will set a new baseline)	44%	57.7%	(Routine audits suspended due to COVID-19)	70%	70%

Percentage of DCC-run children's homes judged good or better*	100%	81.8%	(gradings suspended due to COVID-19)	91%	91%
Rate of child protection plans per 10k population	61 per 10K pop	63 per 10K pop	64 per 10K pop	Monitor (no target to be set)	Monitor (no target to be set)
Rate of children in care per 10k population	52 per 10K pop	56 per 10K pop	58 per 10K pop	Monitor (no target to be set)	Monitor (no target to be set)
Percentage of EHC plans completed within timescale (excluding exceptions)	34.0% (2018 calendar year)	43.4% (2019 calendar year)	77.1% (p) (2020 calendar year)	To achieve lower middle quartile performance	85%
Percentage of pupils in good or better primary schools*	79.1% (31/08/19)	80.7% (31/08/20)	(gradings suspended due to COVID-19)	Increase national ranking by 10 places	Increase national ranking by 10 places
Percentage of pupils in good or better secondary schools*	57.9% (31/08/19)	54.9% (31/08/20)	(gradings suspended due to COVID-19)	Increase national ranking by 10 places	Increase national ranking by 10 places
Percentage of support centres judged good or better*	66.7% (31/08/19)	66.7% (31/08/20)	(gradings suspended due to COVID-19)	Maintain 66.7% or above	Maintain 66.7% or above
Percentage of special schools judged good or better*	90.0% (31/08/19)	90.0% (31/08/20)	(gradings suspended due to COVID-19)	Maintain 90% or above	Maintain 90% or above

Percentage of early years providers judged good or better*	96.6% (31/08/19)	96.2% (31/08/20)	(gradings suspended due to COVID-19)	98% or above	98% or above?
Number of compliments received about council services (departmental figure)	73	139	590 (Dec 20)	-	Maintain over 500
Percentage of complaints responded to within timescale	46.7%	61% (stage 1)	AD	-	70%

Key: AD Awaiting Data; N/Av Not Available; TBC To be Confirmed; N/A Not Applicable; (p) Provisional; \* subject to inspections resuming following suspension due to COVID-19

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**Priority: Effective early help for individuals and communities**

Action	Lead	Start Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Provided training to all schools and education providers to support the emotional wellbeing and mental health of children following the coronavirus pandemic	Isobel Fleming and Iain Peel	Started  March 2022	<ul style="list-style-type: none"> <li>• More children identified with mental health needs receive support</li> <li>• Reduction in school exclusions</li> <li>• Support is available for children who have experienced loss or trauma as a result of COVID-19</li> <li>• LCPs can demonstrate improvement locally in children's resilience and emotional wellbeing</li> </ul>	Y	Being proactive and addressing issues early
Join up Health Visiting Services and Childrens Centre activities with the NHS to improve service delivery for 0-5s across Derbyshire	Alison Noble (with public health)	March 2022	<ul style="list-style-type: none"> <li>• An increase in the proportion of children achieving a good level of development</li> <li>• Centres are compliant with UNICEF baby friendly standards</li> <li>• Families in need of support to promote child development have access to groups, facilitated by foundation years practitioners</li> </ul>	Y	Being proactive and addressing issues early

Deliver the 'Pause' programme, to address the needs of more than 50 women who have had multiple children removed into care and to prevent this cycle recurring.	Isobel Fleming and Mary Hague (PH)	Sep 2024	<ul style="list-style-type: none"> <li>All women signed up to the Pause complete the full 18-month programme and do not become pregnant during this period</li> <li>Women who successfully finish the Pause programme do not enter into care proceedings for the 18 months following the completion of the programme</li> </ul>	Y	Being proactive and addressing issues early
Undertake an evaluation of the early help support and training offered to partner agencies, and develop measures to monitor the effectiveness of early interventions	Alison Noble	Sep 2021	<ul style="list-style-type: none"> <li>Evaluation completed.</li> <li>Measures developed</li> <li>The council's early help and transition service supports recovery from COVID-19 by working alongside local health, education and other partners, to ensure that emerging needs for children are identified and responded to at the earliest opportunity</li> </ul>	Y	Being proactive and addressing issues early
		March 2022			
Work with partners, including young people and their parents/carers, to produce a set of clear expectations about what good inclusion looks like in mainstream schools across Derbyshire and to define the specialist provision that should be accessible in each locality	Iain Peel	Started	<ul style="list-style-type: none"> <li>Positive feedback from partners, parents/carers and young people.</li> <li>Inclusion measures around exclusions, EHE, attendance and pupils not on roll being reviewed in light of the pandemic.</li> </ul>	Y	Being proactive and addressing issues early
		March 2022			

Develop a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims	Alison Noble	March 2022	<ul style="list-style-type: none"> <li>Needs assessment completed</li> <li>Domestic abuse strategy completed</li> </ul>	Y	Being proactive and addressing issues early
Improve the percentage of children 'school ready' in Derbyshire.	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>Increase the % of children achieving a good level of development to be above the national average</li> </ul>	N	Being proactive and addressing issues early

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage of children's centres audited as compliant with UNICEF Baby Friendly Standards (reported bi-annually)	100%	100%	AD	100%	100%
Percentage of children achieving a good level of development (EYFS)	70.8%	70.8%	N/Av (COVID-19)	-	To be above national average
Percentage of women participating in the Pause programme who do not become pregnant during the 18 months	N/A	N/A	-	(programme less than 18m old)	90%
Percentage of women participating in Pause who do not experience further care proceedings for 18 months following the programme	-	-	-	(programme less than 18m old)	90%

Key: AD Awaiting Data; N/Av Not Available; TBC To be Confirmed; N/A Not Applicable; (p) Provisional; N/Av (COVID-19) Cancelled due to COVID-19

## Priority: A prosperous and green Derbyshire

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Reduced carbon emissions from council property and vehicles, street lighting and procurement	Jane Parfremment	March 2022	<ul style="list-style-type: none"> <li>Percentage reduction in greenhouse gas emissions from children’s services sites and operations from 2010 baseline</li> <li>Key projects to reduce emissions are being progressed</li> <li>On track to meet target of net zero greenhouse gas emissions by 2032</li> </ul>	Y	A prosperous and green Derbyshire
Work with schools and other education providers to implement new strategies and support, to enable children and young people to achieve their educational potential and begin to catch up on learning they have missed due to COVID-19 restrictions	Iain Peel	June 2021- June 2022	<ul style="list-style-type: none"> <li>Education outcomes in statutory tests and public examinations closing the gap to national.</li> <li>Schools working collaboratively on the recovery curriculum and catch-up</li> <li>Attendance at school during the pandemic is in-line with or better than national.</li> </ul>	Y	A prosperous and green Derbyshire



Achieve high rates of participation in education, employment and training to ensure that young people are well-equipped with employment skills	Alison Noble	January 2022	<ul style="list-style-type: none"> <li>Low % of young people who are not in education, training or employment maintained</li> <li>Work with education providers and young people to address any barriers to engagement as a result of COVID-19, helping young people to remain in learning and achieve good outcomes</li> </ul>	N	A prosperous and green Derbyshire
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Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Proportion of 16 and 17-year-olds not in education, employment or training (NEET) (3 month average)	2.8%	2.9%	1.9% (Dec 2020)	2% or less	Achieve top-quartile performance
Proportion of 16 - 17-year-olds NEET and Not Known (3 month average)	3.5%	7.4%	11.6 (Dec 2020)	4% or less	Achieve top-quartile performance
Percentage reduction in CO2e emissions from 2009-10 baseline	TBC	TBC	TBC	TBC	TBC
CO2e Emissions (tonnes) from Grey Fleet mileage	TBC	TBC	TBC	TBC	TBC

## Section Two – Delivering departmental services and priorities

### Keep Children Safe

Action	Lead	Start – Finish dates	Success Measures
Continue to be alert to, and respond to, emerging areas of risk and vulnerability such as online safety; suicide and self-harm; child exploitation; radicalisation; female genital mutilation (FGM); children who go missing and misuse substances	Jane Parfremment	2022	<ul style="list-style-type: none"> <li>• Fewer children going missing from home and care</li> <li>• More children involved in their own safety planning</li> <li>• Children are well-informed about risks and how to stay safe</li> <li>• Intelligence about risk is shared by partners and used effectively to inform local strategies and plans, and safety planning for individual children</li> <li>• Suicide and self-harm strategy is embedded within local communities</li> </ul>

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage of children participating in their initial child protection conference (rolling 12 months)	N/Av	58.6%	87.2% (Dec 20)	75%	Above 90%
Number of children going missing from home or care (overall total, rolling 12 months)	380	416	380 (Dec 20)	Less than 380	Less than 380

Number of missing episodes (overall total, rolling 12 months)	810	828	909 (Dec 20)	Less than 810	Less than 810
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Key: AD Awaiting Data; N/Av Not Available; TBC To be Confirmed; N/A Not Applicable; (p) Provisional

## Be a good corporate parent to children in care and care leavers

Action	Lead	Start – Finish dates	Success Measures
Review and extend care leaver offer and support for care leavers	Alison Noble	March 2022	<ul style="list-style-type: none"> <li>• Increase partnership contribution and offer of employment and training opportunities for care leavers</li> <li>• Improved pathway planning and aspiration is evidenced through audits</li> <li>• More care leavers in suitable accommodation, engaged in education, employment or training and tell us they feel safe and positive about the future.</li> <li>• Care leavers can access the health services they need</li> </ul>
Increase the choice and quality of adoption opportunities available to children in care.	Alison Noble	March 2022	<ul style="list-style-type: none"> <li>• Timely and efficient recruitment of adopters</li> <li>• Increased choice of adoption placements across D2N2</li> </ul>
Continue to promote timely, good quality permanence planning for children in care	Alison Noble	March 2022	<ul style="list-style-type: none"> <li>• Timely and achievable permanence plans are in place for all children and young people for whom going home is not an option</li> <li>• Permanence plans reflect the wishes and feelings of the child</li> </ul>

Support our children in care to do their best at school	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>• Lower rates of absence and exclusion for children in care</li> <li>• All our children in care reach attainment levels above or in line with their expectations</li> <li>• Ensure that children in care benefit fully from the COVID-19 learning catch-up programmes announced by Government</li> </ul>
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Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage of children in care who had 3 or more placements within the year	8%	6.7% (p)	9.0% (Dec 20)	4-8%	4-8%
Average time between a child entering care and moving in with its adoptive family adjusted for foster carer adoptions, for children who have been adopted (days) (A10) 3 year average (replaced A1)	378	404 (p)	423 (Dec 20)	480	Less than 370
Average time between a child entering care and moving in with its adoptive family adjusted for foster carer adoptions, for children who have been adopted (days) (A10) Rolling 12 months (replaced A1)	322	499 (p)	438 (Dec 20)	350	350
Average number of days between a local authority receiving court authority to place a child and the local authority deciding on a match to an adoptive family (A2) 3 year average	246	221 (p)	212 (Dec 20)	200	180
Average number of days between the local authority receiving court authority to place a child and the local authority deciding on a match to an adoptive family (A2) Rolling 12 months	186	247 (p)	220 (Dec 20)	150	150

Percentage of CiC with at least one fixed term exclusion	10.76% (p) (2018/19 academic year)	N/Av (COVID-19)	N/Av (COVID-19)	To achieve lower middle quartile performance	To be better than the national average
Percentage of CiC classed as persistent absentees	9.9% (2018/19 academic year)	N/Av (COVID-19)	N/Av (COVID-19)	To be better than the national average	To be better than the national average
Percentage point gap between children in care achieving a standard pass in English and Maths at GCSE and all pupils*	46.8 (2018/19 academic year)	N/Av (COVID-19)	-	43	42
Percentage of care leavers in suitable accommodation (age 19-21)	93%	96%	96.3% (Dec 20)	95% or above	96% or above
Percentage of care leavers in education, employment or training (age 19-21)	46.2%	48%	55.2% (Dec 20)	55%	57%

Key: AD Awaiting Data; N/Av Not Available; TBC To be Confirmed; N/A Not Applicable; (p) Provisional \*measure subject to change depending on how GCSE attainment for the 2019-20 academic year will be collected

## Be an effective champion for high standards in education

Action	Lead	Start – Finish dates	Success Measures
Strive for attainment in all key benchmarks and at all key stages that is above national averages	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>Derbyshire pupil attainment levels are above national average across all key stages</li> <li>Support schools and settings to deliver high quality, full-time programmes of blended learning, which reflect local and national best practice</li> </ul>
Continue to improve educational outcomes for disadvantaged pupils	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>Reduction in attainment gap for children in receipt of free school meals</li> <li>Ensure that all disadvantaged pupils benefit fully from the COVID-19 learning catch-up programmes announced by Government</li> </ul>
Reduce the number of pupils receiving exclusions from school, both fixed term and permanent, and continue to achieve good levels of attendance	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>Reduction in fixed term and permanent exclusions</li> <li>Good use of managed moves protocols can be evidenced</li> <li>All pupils attending Derbyshire schools have high levels of attendance</li> </ul>
Continue to provide safe and secure environments for both staff and children and young people through effective school place planning and management of capital developments	Iain Peel	March 2022	<ul style="list-style-type: none"> <li>Maintain a high proportion of children and young people who are offered their first choice of school</li> <li>Ensure that school place planning achieves value for money</li> </ul>

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage achieving a standard pass (grades 4-9) in English and Maths at GCSE*	65.4% (2018/19 academic year)	72.2% (p) (2019/20 academic year)  Not comparable with previous years	-	To remain better than national figures	To remain in upper-middle national quartile
Reduce the attainment gap between disadvantaged pupils in Derbyshire and other pupils nationally achieving a standard pass (grades 4-9) in English and Maths at GCSE*	28.1 (2018/19 academic year)	27.7% (p) (2019/20 academic year)  Not comparable with previous years	-	Gap to be smaller than the national gap	Gap to be smaller than the national gap
Attendance at primary school	96.2% (2018/19 academic year)	95.6%* <sup>C</sup> (Autumn Term 2019)	-	Maintain top quartile national performance	Maintain top quartile national performance
Attendance at secondary school	94.5% (2018/19 academic year)	93.9%* <sup>C</sup> (Autumn Term 2019)	-	To maintain above national performance	To be above national performance
Secondary school persistent absence rate	13.3% (2018/19 academic year)	16.2%* <sup>C</sup> (Autumn Term 2019)	-	To maintain above national performance	To maintain above national performance
Rate of permanent exclusions from school	0.14 (2018/19 academic year)	0.12 (p)	0.05 (Dec 20 - cumulative)	0.12	0.10

			over academic year)		
Rate of fixed term exclusions from school	4.70 (2018/19 academic year)	3.67 (p)	1.16 (Dec 20 - cumulative over academic year)	4.35	Maintain 2019/20 performance
Percentage of children and young people who are offered their first preference of primary school	83.2%* <sup>2</sup>	91.8%	-	Maintain 2018/19 performance	Maintain 2019/20 performance
Percentage of children and young people who are offered their first preference of secondary school	95.4%	95.4%	-	Maintain 2018/19 performance	Maintain 2019/20 performance

Key: AD Awaiting Data; N/Av Not Available; TBC To be Confirmed; N/A Not Applicable; (p) Provisional; \*measure subject to change depending on how GCSE attainment for the 2019-20 academic year will be collected; \*<sup>C</sup> outcomes for the full 2019-20 academic year not available as a result of Covid-19.

\*<sup>2</sup> Please note that there is a discrepancy between the figures reported internally (92.3%) and those published by the DfE (83.2%). Data submitted to the DfE appears to have omitted some offers to Derbyshire applicants where the offer was from a school in another LA.

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Approved Controllable Budget 2020/21

<i>Division</i>	<i>Employees</i>	<i>Premises</i>	<i>Transport</i>	<i>Supplies and Services</i>	<i>Agency and Contracted Services</i>	<i>Transfer payments</i>	<i>Unallocated budget</i>	<i>Controllable Recharges</i>	<i>Gross Budget</i>	<i>Income</i>	<i>Grants</i>	<i>Net Budget</i>
	(£)	(£)	(£)	(£)	(£)	(£)	(£)	(£)	(£)	(£)	(£)	(£)
Strategic Services	6,994,969	28,558	32,445	87,142	0	0	-439,928	-3,982,214	2,720,972	-222,646	0	2,498,326
Early Help and Safeguarding	45,645,148	527,528	1,579,888	3,591,290	42,063,231	2,056,055	-2,010,787	-3,089,505	90,362,848	-602,772	-2,317,918	87,442,157
Schools & Learning	29,272,908	1,330,019	16,229,313	11,104,056	204,288	0	-57,765	-3,221,892	54,860,928	-25,363,256	-9,203,115	20,294,557
Performance and Quality	5,950,257	770	81,607	1,053,263	116,651	0	-783,393	-26,307	6,392,849	-28,148	0	6,364,701
Countywide Commissioning	1,404,390	0	2,428	97,079	6,015,285	0	0	-1,043,937	6,475,245	-1,785,500	0	4,689,745
Unallocated budget reductions	256,504	0	0	0	0	0	-204,005	0	52,499	0	0	52,499
<b>Total controllable budget</b>	<b>89,524,176</b>	<b>1,886,875</b>	<b>17,925,682</b>	<b>15,932,831</b>	<b>48,399,455</b>	<b>2,056,055</b>	<b>-3,495,878</b>	<b>-11,363,854</b>	<b>160,865,341</b>	<b>-28,002,322</b>	<b>-11,521,033</b>	<b>121,341,986</b>

## Forward Plan of Procurement Projects – up to 31 March 2023

In line with the Council's Financial Regulations, the forward plan of procurement projects for the department, set out below, details procurement projects above £50,000 which are planned to commence over the next 24-month period. The table shows the estimate procurement start date. The projects identified will be the subject of a procurement process as per the procedures set out in the Council's financial regulations.

**Table One: Forward Plan of Procurements (above £50K less than Find a Tender threshold of £189,330) due to commence prior to April 2023.**

Contract Title	Estimated Value (£)	Estimated Start Date
Local Offer Website	60,000.00	01/06/2021
Fostering Service Hub	60,000.00	01/08/2021
Positive Behaviour Support	60,000.00	01/10/2021
Independent Travel Training	62,000.00	01/10/2021
Supported Internship	70,000.00	01/07/2022
Regional Mediation	75,000.00	01/05/2022
6 into 7 School data transfer solution	90,000.00	01/04/2021
School payments system	100,000.00	01/05/2021
Schools Data (Tracking and Assessment) System	100,000.00	01/07/2021
Support Service for Children at risk of CRE	105,000.00	14/01/2023

GDPRiS Data Protection Management System for Schools	140,000.00	01/04/2021
Procurement of DACES Learner Management Information System (MIS) (up to 4 years)	Approx. Lifetime costs 150,000.00	01/04/2021
Safeguarding Recording System for schools	150,000.00	01/06/2021
Support and Maintenance of Cashless Catering Systems and Associated Services	160,000.00	01/09/2021
NCCIS and Youth Offending Case Management System	160,000.00	01/03/2022

**Table Two: Forward Plan of Procurements (above Find a Tender threshold of £189,330) due to commence prior to April 2023**

Contract Title	Estimated Value (£)	Estimated Start Date
Supply and delivery of Light Equipment	200,000.00	01/10/2021
Mosaic Mobilise	300,000.00	01/06/2021
Services for Schools and SchoolsNet Portal	300,000.00	01/03/2022
Supply and Delivery of Morning Goods and Bakery items	360,000.00	01/11/2021
Provision of a Schools Catering Management System for Derbyshire County Council's Catering Service.	500,000.00	01/09/2022
Supply and Delivery of Catering Disposables	600,000.00	01/09/2021
Supply and Delivery of Fresh Meat	600,000.00	01/01/2022
PAUSE Derbyshire	1,000,000.00	01/04/2023

Support Service for Young Carers	1,000,000.00	01/04/2022
Supply, delivery and Installation of Catering Heavy Equipment	1,280,000.00	01/10/2021
Social Care Case Management System	1,500,000.00	01/04/2024
EDP40 Transportation of Schools Meals	3,600,000.00	01/04/2022
Supply and Delivery of Fresh Produce and Potatoes	4,000,000.00	01/01/2023
Framework for Disabled Children and Young People	4,000,000.00	01/11/2021
Emotional Health and Wellbeing for Children in Care and Care Leavers	8,000,000.00	01/09/2023
Supply and Delivery of Groceries and Provisions	10,000,000.00	29/02/2024
Supply and Delivery of Frozen Food	16,000,000.00	01/05/2023
Supported Accommodation for young people	20,000,000.00	01/10/2022

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# **Commissioning, Communities and Policy**

**Service Plan 2021-2025**

**Emma Alexander  
Managing Executive Director**

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## Council Ambition

“We will work together with our partners and communities to be an enterprising council delivering value for money and enabling local people and places to thrive”

## Values

### The way we work – we will:

- Listen to, engage and involve local people ensuring we are responsive and take account of the things that matter most to them
- Be open minded, honest and accountable ensuring the decisions that we make are fair and transparent
- Spend money wisely making the best use of the resources that we have
- Work with partners and local communities because we know that we cannot tackle complex problems on our own
- Be aspirational about our vision for the future, for our organisation, local people and communities

## Council Outcomes

### We want Derbyshire to have:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all

- High quality public services that work together and alongside communities to deliver services that meet people's needs

## Council Priorities

### Our Council priorities are:

- Resilient, healthy and safe communities
- High performing, value for money and resident focused services
- A prosperous and green Derbyshire
- Effective early help for individuals and communities



## Departmental Overview

The Commissioning, Communities and Policy Department provides a broad range of services for the Council, local people, communities and partner agencies. With 1,440 FTE staff (at December 2020) and an annual budget exceeding £60 million (2020/21), the Department is organised into the following five Divisions:

- Community Services
- Finance and ICT
- Legal and Democratic Services
- Organisation Development and Policy
- Property Services

Over the next twelve months the Department will direct effort and resource towards supporting the agreed Council Plan priorities, whilst balancing the challenges of a reducing budget. The Department will continue to play a critical role in embedding the Council's strategic approach across the whole organisation. The approach, which encompasses three strategic pillars of activity - Thriving Communities, Vision Derbyshire and Enterprising Council, governs how we work as a Council, with and for communities and in collaboration with partners. Together these key areas place the Council in a stronger position to understand, adapt and respond to future challenges and to bring about the changes needed to ensure future success. Embedding the approach will require the Department to drive forward a rapid pace of change and a hugely challenging and ambitious strategic programme of transformation.

The coronavirus pandemic is challenging how the whole Council works and delivers services and the Department has had to adapt to different ways of working. Maintaining essential services to protect some of the most vulnerable people in our communities during this pandemic is a key area of work for the department. Communications, IT, Property and HR services are playing a fundamental role during this time to ensure vital public health information is communicated across Derbyshire; to help protect the health and wellbeing of members of staff, ensure our workplaces and buildings are Covid-secure and enable as many people as possible to work remotely from home. It has been recognised that the pandemic has affected some parts of the community more so than others. In particular, the young, disabled, Black, Asian and minority ethnic communities and those who were already vulnerable or facing disadvantage have been considerably impacted as a result of the pandemic. The Department is prepared to work differently to address these new challenges

and ensure we make the most of our resources to provide the best possible services for the organisation, communities and local businesses.

Across Derbyshire great community spirit and resilience has been shown throughout the pandemic, with local communities mobilising to support one another and with increasing numbers of people volunteering. It is vital that the Department continues to work alongside local communities, building on the Thriving Communities approach to provide the support and reassurance needed. Looking to the future it will be important to harness these strengths and any other opportunities that may arise as we continue to embed our Strategic Approach across the organisation.

**Managing Executive Director**

**Emma Alexander**

**Director of Finance and ICT**

**Peter Handford**

- Audit Services
- Business Systems
- Corporate Accountancy
- Exchequer Services
- Information and Communications Technology
- Pensions and Investments
- Procurement
- Technical Accountancy Services

**Director of Property**

**Dave Massingham**

- Asset Management
- Estate Management
- Facilities Management
- Property Maintenance
- Projects

**Director of Legal and Democratic Services**

**Helen Barrington**

- Legal Services
- Democratic Services
- Derbyshire Business Centre
- Member and Management Services
- Registration Services
- Coroner Service

**Director of Community Services**

**Don Gibbs**

- Public Library Service
- Archives and Local Studies
- Trading Standards
- Community Safety
- Arts

**Director of Organisation Development and Policy**

**Emma Crapper**

- Business Change
- Communications and Customers
- Human Resources
- Strategy and Policy

## Achievements

Covid-19 has had a significant impact on the department during 2020/21 with a range of challenges and opportunities in delivering existing services, developing new methods of service delivery and reacting to new demands and regulations. However, the hard work and flexibility of all staff has led to a range of notable achievements. Over the last year the department has:

- Provided expertise across a range of requirements and supported staff to move into new roles in support of the Council's response to Covid-19.
- Ensured the supply, safety and distribution of vital PPE to front line social care services in response to Covid-19
- Supported the roll out of delivery of lateral flow testing across Derbyshire, sourcing and procuring equipment to meet demanding timescales.
- Devised methods for estimating Covid-19 costs and loss of income, setting up systems to capture and report on these and ensure timely completion of Covid-19 returns evidencing the amount claimed.
- Up-scaled remote access to networks and systems from a pre Covid-19 average of 350 to over 4,200 daily users, distributed over 400 reconditioned laptops and over 400 mobiles at short notice to key services.
- Enabled new methods of working by rolling out Microsoft Teams across the organisation, training over 1,500 staff and setting up a network of 650 Digital Champions.
- Made over 160 council premises Covid secure, installing equipment and signage to meet the needs of priority services to ensure they can operate safely.
- Amended the Council's Constitution to enable virtual meetings, successfully set up and delivered full Council, Cabinet and committee meetings via Microsoft Teams and set up the Council Chamber with Microsoft Teams to enable a hybrid approach to future meetings.
- Maintained Registration Services throughout the pandemic and successfully cleared the backlog of 900 birth registrations.
- Maintained a wide range of Libraries and Heritage services via digital channels including a 30% increase in eBook and eAudio loans, 87 online Summer Reading Challenge events, provided a range of online activity resources which

have been viewed over 80,000 times and developed Record Office online content which has been accessed over 8 million times.

- Adjusted Library and Heritage services to ensure support for people during the pandemic including regular contact with home library clients living alone, providing 110 digital skills sessions over the phone, supporting artists and art businesses to adapt to Covid-19 and supporting thousands of isolated and housebound people through poetry, crafts, singing, dancing and creative writing.
- Introduced social distancing, and other appropriate health and safety measures, at 45 Libraries, Derbyshire Record Office and Buxton Museum and Art Gallery to enable all sites to be re-opened in a safe way for both staff and visitors.
- Provided advice regarding Covid-19 legislation to over 500 businesses.
- Enforced Covid-19 business closure restrictions, responding to over 1,300 complaints and ensuring over 100 businesses remained closed as a result of enforcement and intervention.
- Provided significant additional capacity into Derbyshire Domestic Abuse Support Services and Derbyshire Domestic Abuse Helpline to respond to the increase in demand due to the pandemic.
- Reviewed and implemented policy and guidance for managers and employees in response to the coronavirus pandemic
- Delivered enhanced communications through the pandemic, contributing to increased public trust and the Council enhancing its position as a trusted voice for the public and media.

Despite the impact of the Covid-19 pandemic on priorities and resources the department has continued to deliver its core services, achieve service plan priorities and develop its ways of working. The department has:

- Provided accurate finance information in accordance with timescales and statutory requirements, including Capital Programme, Capital Budget Monitoring, asset valuations and 2019-20 Statement of Accounts.
- Successfully combined existing budget monitoring reports to Cabinet Members and Cabinet with new performance reports against Council Plan progress.
- Delivered the Corporate Property 2020 review, establishing 2 new limited companies and transferring over 900 staff providing architectural, engineering design, caretaking and cleaning services
- Implemented the Asset Management Framework, establishing a robust governance structure, categorising all assets, prioritising reviews and introducing process for creating asset plans.

- Completed Phase 1 of the Enterprising Council programme and received approval to take forward Phase 2 of the approach focused on five strategic pillars and key programmes of work.
- Commissioned work to develop a strategic transformation case for the Council, reviewed existing arrangements, capacity and capability and developed proposals to implement a new programme management office for the Council.
- Developed the Council's new Customer Charter which was approved in April 2020.
- Worked on implementing a new system for committee administration and new case management systems for both Legal and Coroner services.
- Continued to develop Library and Heritage services including opening a new library in Belper, launching PressReader with over 500,000 articles and being on target to complete the rationalisation of the School Library service with 70% of items allocated new base locations.
- Continued to provide Derbyshire Trusted Trader giving residents access to over 1100 local traders and supporting small and medium enterprises (SMEs) members; bringing them more customers and helping their businesses grow.
- Protected consumers, including inspections of businesses and product sampling, supporting over 800 potential scam victims, seizing over £16,000 in counterfeit goods and concluding a fraud investigation and prosecution involving the manufacturing and supply of fake war medals and counterfeit Royal British Legion poppy badges.
- Supported over 1,300 victims of domestic abuse and taken approximately 7,500 calls through the countywide domestic abuse helpline
- Developed and launched a mandatory Prevent awareness raising training programme for all Derbyshire County Council Staff and Elected Members.

## Priorities

Over the next twelve months, the Department will focus on the following priorities:

- Achieving the Department's budget savings for 2021/22 of £2.196 million.
- Providing critical Departmental support to the Council in its response to the Covid-19 pandemic.
- Developing a medium and long-term organisational recovery and renewal strategy to address the challenges and opportunities presented by Covid-19.

- Supporting the review and transformation of key services across the Council.
- Completing the Finance Review and implementing a revised operating model.
- Continuing to implement the procurement strategy, enabling the delivery of better outcomes, improved quality, greater savings, and an increased focus on innovation.
- Starting to centralise all the Council's property assets and budgets to ensure the most effective use of our land and buildings.
- Delivering the scrutiny review action plan so that the scrutiny function acts as a critical friend to the Council's Executive.
- Implementing the Public Library Service Strategy - "Libraries for Derbyshire".
- Providing support and advice to vulnerable consumers including victims of doorstep crime and scams.
- Leading on the implementation of the Domestic Abuse Bill in collaboration with partners.
- Putting in place a new Equality and Diversity Strategy, setting out priority actions the Council will take to reduce discrimination and tackle inequalities.
- Developing a whole Council approach to volunteering, so that more people volunteer to help their communities.
- Finalising the review of voluntary and community sector grants and establishing a consistent approach to future funding.
- Delivering Phase 2 of the organisation's Enterprising Council approach, leading and implementing key programmes of work on behalf of the Council.
- Developing the Council's strategic transformation approach and putting in place new programme management office capability and capacity across the Council.
- Implementing Phase B of the Thriving Communities approach, rolling out a programme of activity to a further eight areas across Derbyshire and mainstreaming the approach across the Council.
- Leading the Council's involvement in Vision Derbyshire supporting the development of the approach and putting in place implementation plans and programmes to secure the delivery of better outcomes for local people and places.
- Implementing the Channel Shift Programme across the Council, including a customer relationship management system, delivering a minimum of 80 services through the new system and achieving measurable efficiencies and benefits for the organisation and for residents.

- Delivering a new approach to resident feedback and complaints, ensuring statutory compliance, improved reporting and the implementation of policy change as a result of learning from feedback.
- Improving the Council's customer service approach, starting the creation of a Customer Experience Strategy and associated service standards.
- Improving employee wellbeing through the development and implementation of the new Wellbeing Strategy
- Contributing to achieving the Council's targets for reduced carbon emissions from Council land, buildings and operations.

### Workforce Priorities

To ensure the Council's workforce can respond to the challenges and opportunities that lie ahead, a number of key workforce priorities have been identified, including:

- Reviewing and developing further the new leadership framework and competencies.
- Improving employee communication and engagement.
- Better understanding our workforce and the market and developing effective strategic workforce planning, forecasting and resourcing solutions.
- Introducing a high performance culture underpinned by a robust performance management approach.
- Reviewing flexible working aligned to the modern ways of working approach.
- Improving wellbeing at work and mental health support for employees.
- Reducing sickness absence rates and improve service delivery through upskilling manager to focus on attendance at work.

### Budget and Savings

The Department's budget for 2021/22 is **£60.711 million**, full details of which are set out in Appendix A. The Department will be managing the delivery of total proposed budget savings for 2021/22 of **£2.196 million** as set out overleaf.



**Administration and employee savings – £798,000**

The number of staff in finance and ICT, communications, human resources, policy, community safety and trading standards will be reduced by not replacing some people when they leave and by restructuring services. Back office costs will be regularly reviewed. There are also a number of new initiatives and procurement exercises being carried out to reduce costs.

**Insurance reductions – £250,000**

Further money will be saved by reducing the contribution to the insurance fund, which means the Council accepting a higher level of risk against the fund.

**ICT – £256,000**

The Council will continue to review its existing IT contracts and systems and seek to rationalise the number of systems in use across the Council.

**Property Services – £619,000**

The Council will continue to reduce running costs by rationalising its land and property and releasing the resulting surplus assets. It will also generate fees from capital schemes.

**Legal services – £223,000**

The new delivery model will be utilised to manage the demand for Legal Services across the Council.

**Libraries – £50,000**

The multi-year programme to transfer some libraries to community management, and the review of staffing levels and opening hours, will continue.

## Key risks

The following are key risks to services that the department has identified together with actions that will be put in place to mitigate and control the level of risk.

Priority	Key Risks	Mitigations
Resilient, healthy and safe communities	Closure of a Community Managed Library (CML) following a service failure or community notice of withdrawal, leading to a loss of local amenity	<ul style="list-style-type: none"> <li>Requirement for a robust business case to run a CML</li> <li>Property and legal services support to community groups taking over leases from the Council throughout the CML transition process</li> <li>Five year grant for CMLs to assist with library running costs</li> </ul>
High performing, value for money and resident focused services	Limited support for County-wide recovery from Covid-19 and economic and community development, due to the prioritisation of statutory commitments as a result of the widening funding gap, rising costs and rising demand for statutory demand-led services	<ul style="list-style-type: none"> <li>Parliamentary lobbying and negotiation</li> <li>Maintenance of the General Reserve and Earmarked reserve at appropriate levels</li> <li>Maximise property disposals and traded services to schools and academies</li> <li>Continued roll out of Enterprising Council approach</li> </ul>
	Delay to the Corporate Property 2025 Programme arising from delays to agreeing new staffing structures, recruitment, funding model, leading to property management failure	<ul style="list-style-type: none"> <li>Property Senior Management Team daily GOLD meetings to manage delivery and risks</li> </ul>

Priority	Key Risks	Mitigations
	<p>Procurement Strategy, including procurement expertise, standardisation of documentation, processes and information, not delivered in full or in part, leading to increased risk of contractual underperformance, contract failure and legal challenge.</p>	<ul style="list-style-type: none"> <li>• Proposed introduction of a Council-wide standardised Contract Management Framework</li> <li>• Identification of high value/high risk contracts including partnerships and partners which demand a higher level of contract management</li> <li>• Understanding of the flow of Council goods and services from suppliers, identification of potential risk to supply and/or costs; and updates to tender documentation, contracts and business continuity plans to reduce the risk of supply chain failure.</li> <li>• Contract and provider knowledge sharing with other councils</li> <li>• Proposals to embed sustainability into procurement activities, including use of the Social Value framework and Soft Market Testing to identify a sustainability partner.</li> </ul>
	<p>Supply chain failures arising from Brexit and Covid-19, leading to contract failure, disruption to services and products, and potential financial losses.</p>	<ul style="list-style-type: none"> <li>• Identification of high-risk providers to develop risk management action plans as needed</li> </ul>
	<p>Extended absences (arising from Covid-19 and other reasons) of staff with specialist knowledge and skills who work in key areas, leading to service and systems disruption, failure in meeting statutory requirements, delivering key programmes of work, other critical deadlines and reputational damage.</p>	<ul style="list-style-type: none"> <li>• Homeworking whenever possible to minimise the risk of infection from Covid-19</li> <li>• Organisation development to increase knowledge sharing, develop expertise, deliver service improvements, structural reform, better working practices and procedures</li> <li>• Use of third party to support increased resilience</li> </ul>

Priority	Key Risks	Mitigations
	Health and wellbeing of staff adversely affected by Covid-19 and remote working, leading to loss of staff and reduced performance	<ul style="list-style-type: none"> <li>• Corporate health and wellbeing support services</li> <li>• Use of new technology, including MS Teams, to encourage staff communication and engagement</li> <li>• 'New ways of working' project to explore new management approaches and staff engagement in developing healthy and productive ways of working during and after the Covid-19 pandemic</li> </ul>
Effective early help for individuals and communities	No key risks	
A prosperous and green Derbyshire	No key risks	

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## Section One – Delivering the Council Plan

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Transferred a minimum of 5 libraries to community management, engaging and involving communities in the development of a cost-efficient library service	Don Gibbs	Jan 2019-2024	<ul style="list-style-type: none"> <li>• Transferred five libraries to community management by 2021</li> <li>• Transferred 20 libraries and two mobile libraries to community management by 2024</li> </ul>	Y	Resilient, healthy and safe communities
Provided targeted support to protect residents who are most susceptible to scams, fraud and financial abuse	Steve Allen	April 2021-March 2022	<ul style="list-style-type: none"> <li>• Provided targeted support to residents</li> </ul>	Y	Resilient, healthy and safe communities
Provided green grants to community projects to support investment in sustainable and green community activity	Sarah Eaton	April 2021-March 2022	<ul style="list-style-type: none"> <li>• Ensured the Council's new one council grants programme supports investment in sustainable and green community activity</li> </ul>	Y	Resilient, healthy and safe communities

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Supported more Derbyshire people to volunteer to help their communities, learning from and building on the remarkable response to the Covid-19 pandemic	Sarah Eaton	April 2021- September 2022	<ul style="list-style-type: none"> <li>Developed a whole Council approach to volunteering</li> <li>Increased the number of volunteers supporting community services and local activities</li> <li>Increased the opportunities for volunteers to make a difference in their local area</li> <li>Ensured that volunteers have the right resources to support their activities</li> </ul>	Y	Resilient, healthy and safe communities
Put in place a new Equality and Diversity Strategy, setting out priority actions the Council will take to reduce discrimination and tackle inequalities	Emma Crapper	October 2020 – March 2022	<ul style="list-style-type: none"> <li>New equality and diversity strategy developed and in place across the Council</li> <li>Reviewed and put in place new governance arrangements to sport achievement of agreed priorities</li> <li>Prioritised action plan agreed by the Council</li> <li>Measures of performance to monitor progress developed and approved</li> </ul>	Y	Resilient, healthy and safe communities

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Worked with communities in a further 8 areas across the county as part of the Thriving Communities programme listening to and understanding their needs and working together to ensure they thrive	Sarah Eaton	March 2021 – March 2022	<ul style="list-style-type: none"> <li>Revised governance arrangements in place and working effectively</li> <li>Roll out to four new areas of work commenced by April 2021</li> <li>Approach rolled out to a further four areas by December 2021</li> </ul>	Y	<ul style="list-style-type: none"> <li>Resilient, healthy and safe communities</li> </ul>
Finalised the review of voluntary and community sector grants and established a consistent approach to future funding to support the sector to recover well, grow and thrive	Sarah Eaton	October 2018 – March 2022	<ul style="list-style-type: none"> <li>Grants review completed and implementation plan approved</li> <li>New council wide VCS infrastructure model and contract in place</li> <li>New council wide system/arrangements in place</li> </ul>	Y	<ul style="list-style-type: none"> <li>Resilient, healthy and safe communities</li> </ul>
Invested in new technology and develop Smart Libraries in selected libraries	Don Gibbs	April 2021- March 2023	<ul style="list-style-type: none"> <li>Introduce Smart Libraries in selected libraries</li> </ul>	N	<ul style="list-style-type: none"> <li>High performing, value for money and resident focused services</li> </ul>
Demonstrated value for money through excellent procurement and contract management	Teresa Gerrard	April 2021 – March 2022	<ul style="list-style-type: none"> <li>Ensure the Procurement Strategy is delivered</li> <li>Embed value channel activities that track more ways value is created beyond price savings</li> </ul>	Y	<ul style="list-style-type: none"> <li>High performing, value for money and resident focused services</li> </ul>

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Kept Council Tax within the lowest 25% of County Council areas and lobbied government to secure a better funding settlement	Peter Handford	April 2021- March 2022	<ul style="list-style-type: none"> <li>To have responded to Government consultations</li> <li>Comparison with other similar county councils</li> </ul>	Y	<ul style="list-style-type: none"> <li>High performing, value for money and resident focused services</li> </ul>
Kept on track to achieve all planned budget savings in the medium term	Peter Handford	April 2021- March 2022	<ul style="list-style-type: none"> <li>Savings have been achieved to help support a balanced budget in both short and medium term</li> </ul>	Y	<ul style="list-style-type: none"> <li>High performing, value for money and resident focused services</li> </ul>
Implemented a programme to centralise the Council's property assets and budgets to ensure the most effective use of our land and buildings	Janet Scholes	Feb 2021- March 2022	<ul style="list-style-type: none"> <li>Preferred delivery model and framework for asset optimisation through corporate landlord identified</li> <li>Resources required to deliver this established</li> </ul>	Y	<ul style="list-style-type: none"> <li>High performing, value for money and resident focused services</li> </ul>
Commenced the Corporate Property 2025 programme to review all land and building assets to create a property portfolio that better meets the Council's requirement for revenue savings, energy efficiency, effective service delivery and greater collaboration with third party organisations.	Dave Massingham	Feb 2021- March 2026	<ul style="list-style-type: none"> <li>Property Rationalisation programme created</li> <li>Programme of asset plans for all council assets</li> <li>Governance arrangements and financial return from partnerships, CDL, VDL and PSP</li> </ul>	N	High performing, value for money and resident focused services



Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Developed a medium and long-term organisation recovery and renewal strategy to address the challenges and opportunities presented by Covid-19	Emma Alexander	April 2021- September 2021	<ul style="list-style-type: none"> <li>Organisational recovery and renewal strategy developed and in place</li> </ul>	Y	High performing, value for money and resident focused services
Established a new Programme Management Office to ensure projects and programmes are coordinated, consistent and deliver improved outcomes and value for money	Emma Crapper	March 2021 – March 2022	<ul style="list-style-type: none"> <li>Programme Management Office scope and preferred model approved</li> <li>Implementation plan developed and resources to deliver agreed approach in place</li> <li>Council wide governance arrangements developed and in place</li> <li>New programme management methodology and tools developed and rolled out across the Council</li> </ul>	Y	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Implemented Phase 1 of the Modern Ways of Working strategy, working with employees across the Council to design new approaches to agile and flexible working	Emma Crapper	September 2020 – March 2022	<ul style="list-style-type: none"> <li>• Modern Ways of Working approach and strategy developed and in place</li> <li>• Early start areas, aligned to the Council’s Business Continuity Plans, developed and approved to secure ongoing employee engagement</li> <li>• Prioritised action plan in place and implementation underway</li> <li>• Evaluation of project learning set approach completed and findings fed back to CMT</li> </ul>	Y	High performing, value for money and resident focused services
Developed and approved the Council’s People Strategy and associated people priorities, encompassing the council’s people vision, employee values and behaviours	Jen Skila	September 2021	<ul style="list-style-type: none"> <li>• Implementation of defined HR deliverable plans aligned to people priorities by end of March 2022</li> </ul>	Y	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Worked with partners to secure additional funding into Derbyshire and progress a devolution deal for the East Midlands	Emma Alexander	September 2020 – September 2022	<ul style="list-style-type: none"> <li>• Effective local partnership arrangements in place to ensure streamlined governance and decision making to enable Derbyshire to speak with one voice</li> <li>• Coordinated one council approach and response to the proposed Devolution and Local Recovery White Paper developed.</li> </ul>	Y	High performing, value for money and resident focused services
Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners	Emma Alexander	October 2021 – October 2024	<ul style="list-style-type: none"> <li>• New shadow/joint governance arrangements developed and operational</li> <li>• Phase 3 implementation plan in delivered</li> <li>• Accelerated delivery plan identifying short, medium and long-term programme priorities developed</li> <li>•</li> </ul>	Y	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Identified and implemented a programme of strategic transformation as part of Phase 2 of the Enterprising Council Strategy	Emma Crapper	March 2021 – December 2021	<ul style="list-style-type: none"> <li>Findings of Strategic Transformation and PMO review agreed and implementation plan in place</li> <li>Prioritised programme of strategic transformation developed and implementation underway</li> </ul>	Y	High performing, value for money and resident focused services
Develop and embed a new consultation, engagement and involvement strategy for the Council	Sarah Eaton	January 2021- December 2021	<ul style="list-style-type: none"> <li>Review of existing consultation and engagement mechanism and future needs completed</li> <li>New strategy developed in collaboration with departments across the Council</li> <li>Action plan developed and in place</li> <li>Governance arrangements further developed and reporting mechanisms strengthened</li> <li>New approaches identified, tested with local people and communities and approved and refined</li> </ul>	N	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Developed our understanding of the resident experience when interacting with the Council, using that insight to improve our approach	Julie Odams	July 2021 – December 2022	<ul style="list-style-type: none"> <li>Documented customer journey(s) for each service included within the Channel Shift programme.</li> </ul>	Y	High performing, value for money and resident focused services
Put in place a new complaints and feedback system to improve service delivery and resident experience	Julie Odams	March 2019- April 2022	<ul style="list-style-type: none"> <li>100% statutory compliance achieved.</li> <li>Improved reporting delivering a tangible, defined and measurable contribution to performance monitoring.</li> <li>A 20% reduction in the number of complaints received about the feedback procedure.</li> <li>A 30% increase in the number of compliments and comments received from residents.</li> </ul>	Y	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Developed and implemented the Council's employer brand to outline what the organisation stands for, requires and offers as an employer, to achieve the ambition of becoming an employer of choice	Jen Skila	April 2021- March 2022	<ul style="list-style-type: none"> <li>Created a centralised benefits webpage to make it easy for employees to understand and access benefits offered</li> <li>Re-designed recruitment webpages to attract talent into the council</li> <li>Developed an employer brand presence on social media to attract new employees to the council</li> <li>Measured employee engagement to enable the council to listen to feedback and adapt its offer to support, enable and motivate the workforce and retain talent</li> </ul>	N	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery	Jen Skila	March 2019- April 2022	<ul style="list-style-type: none"> <li>Implemented a new Health, Safety and Wellbeing team structure that delivers a proactive approach to managing the health, safety and wellbeing of employees and strengthens the council's monitoring and statutory compliance.</li> <li>Redefined the way the Council monitors and records sickness to reduce sickness absence levels and its impact on service delivery.</li> <li>Achieved sickness absence reduction targets</li> </ul>	Y	High performing, value for money and resident focused services
Supported a resident-first approach through a range of mechanisms to improve access to online services and customer service performance	Julie Odams	June 2019 – March 2022	<ul style="list-style-type: none"> <li>80 services online by July 2021</li> <li>94 further services online by Jan 2022</li> <li>34 further services online by July 2022</li> </ul>	Y	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Carried out reviews of Human Resources and Finance functions to further improve these services and make savings					
<ul style="list-style-type: none"> <li>HR Review</li> </ul>	Jen Skila	September 2021	<ul style="list-style-type: none"> <li>Functional reviews completed and new structure implemented</li> </ul>	Y	High performing, value for money and resident focused services
<ul style="list-style-type: none"> <li>Implement a revised operating model for Finance</li> </ul>	Paul Stone	April 2021 – July 2021	<ul style="list-style-type: none"> <li>Structures are in place and budgets have been vired.</li> <li>Progress has been made against the detailed action plan including improved communication and collaboration. This will be measured through regular surveys to those in scope of the review.</li> </ul>	Y	High performing, value for money and resident focused services
Review Finance Business Processes across the organisation - identify potential efficiencies and developments required.	Paul Stone	April 2021- March 2022	<ul style="list-style-type: none"> <li>Rationalisation of processes and systems. Quick wins captured in early stages with further efficiencies as review is progressed.</li> </ul>	N	High performing, value for money and resident focused services
Undertaken and completed a review of Member and Management Support Services	Michelle Archer	1 April 2021 – 31 March 2022	<ul style="list-style-type: none"> <li>Appropriate structure in place which can deliver effective, efficient and proactive support to Members and senior management</li> </ul>	N	High performing, value for money and resident focused services



Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Further developed and embedded the Council's performance management framework	Sarah Eaton	April 2020- June 2021	<ul style="list-style-type: none"> <li>Revised performance framework in place and embedded across the organisation</li> <li>Additional mechanisms in place to report on the Council's performance to Elected Members and the public</li> <li>New annual report for 2020/21 developed and approved</li> <li>Further development of integrated performance and finance reporting</li> </ul>	N	High performing, value for money and resident focused services
Commenced a review of performance management across the Council	Sarah Eaton	June 2021- September 2022	<ul style="list-style-type: none"> <li>Scoped and developed brief for council wide review</li> <li>Secured agreement across the Council to commence review</li> <li>Undertaken review and developed implementation plan</li> </ul>	N	High performing, value for money and resident focused services
Rolled out the revised Risk Management Strategy	Jane Morgan	April 2021- March 2022	<ul style="list-style-type: none"> <li>An improvement in the Council's benchmark</li> </ul>	N	High performing, value for money and resident focused services

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Developed a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims	Don Gibbs	April 2021- March 2022	<ul style="list-style-type: none"> <li>Developed a needs assessment and strategy to improve arrangements for tackling domestic violence and supporting victims</li> </ul>	Y	Effective early help for individuals and communities
Undertaken local Covid-19 testing and contact tracing activity and provided advice to schools, care homes, businesses and communities to help manage the spread and outbreaks of Coronavirus	Emma Crapper	March 2020 – March 2022	<ul style="list-style-type: none"> <li>50% of measurable engagement around public health, testing and vaccination messages neutral or positive leading to increased uptake and compliance</li> <li>Successfully resourced the set up and operation of Covid-19 test and vaccination centres</li> <li>Provided clear policy and guidance to employees, managers and schools.</li> </ul>	Y	Effective early help for individuals and communities
Provided support and advice to local businesses as the UK leaves the European Union, helping them to maximise new opportunities and ensure compliance with relevant legislation	Steve Allen/Joe Battye	December 2020- March 2022	<ul style="list-style-type: none"> <li>Advice provided to local businesses</li> </ul>	Y	A prosperous and green Derbyshire

Action	Lead	Start – Finish dates	Success Measures	Council Plan Y/N	Council Plan Priority
Reduced carbon emissions from Council property and vehicles, street lighting and procurement	Steve Walters	March 2022 and ongoing to deadline of 2032	<ul style="list-style-type: none"> <li>• An energy policy has been developed and implemented to support the Council's carbon reduction pledges.</li> <li>• Reduction in staff mileage</li> <li>•</li> </ul>	Y	A prosperous and green Derbyshire
Worked with partners to develop a county wide approach to improve social mobility, targeting underperforming areas across the county	Sarah Eaton	April 2021- March 2022	<ul style="list-style-type: none"> <li>• Developed an initial scope and briefing to develop a shared understanding of social mobility across the Council and partner agencies</li> <li>• Worked through Vision Derbyshire governance arrangements to explore options and develop a collaborative approach</li> <li>• Explored options to develop a Social Mobility Commission for Derbyshire</li> </ul>	Y	A prosperous and green Derbyshire

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage reduction in CO2e emissions from 2009-10 baseline (Dept figure)	38.5%	39.8%	Data available 2021/22	50.2%	TBC
CO2e Emissions (tonnes) from Grey Fleet mileage (Dept figure)	383	375	132 (End Dec 2020)	255	TBC
Number of comments and compliments received about council services (Dept figure)	229	160	188 (End Dec 2020)	Not Set	300 (30% increase from 2018/19 baseline)
Average number of days lost per appointment to sickness (Dept figure)	8.4	9.5	8.8 (End Dec 2020)	8.5	7.5
New sickness absence measure TBC (Dept figure)*	New Measure	New Measure	New Measure	Not Set	Baseline year
Spend on Agency staff (Dept figure)	£908,081	£1,361,904	£656,645 (End Dec 2020)	£1,100,000	TBC
Percentage of budget savings achieved (Dept figure)	94%	88.3%	42.9%	100%	100%
Number of libraries and mobile libraries transferred to community management	NA	0	0	5	5
Percentage of residents who have given unpaid help in last 12 months (Thriving Communities areas)	29.0%	33.1%	36%	32%	TBC

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018/19	2019/20	2020/21	2020/21	2021/22
Percentage of residents who agree they have enough people around them to avoid a crisis (Thriving Communities areas)	77.8%	64.2%	70%	70%	TBC
Percentage of residents agreeing that by working together, people in the local area can influence decisions that affect the local area (Thriving Communities areas)	57.0%	51.1%	55%	58%	TBC
Number of services available online via CRM system	New Measure	New Measure	New Measure	Not Set	80
Capital receipts from disposal of property assets	£5.893m	£2.898m	£2.741m (Nov 2020)	Not set	£3.621m
Cost of running Council's property	New Measure	New Measure	New Measure	New Measure	Baseline year
Latest Data: AD = Awaiting data Targets: TBC = To be confirmed					

\*New measure being developed to enable benchmarking against comparator organisations and to support robust reporting. Targets for the new measure, which will subsequently replace the existing measure, will be set once baselines established and new reporting mechanisms embedded.

## Section Two – Delivering departmental services and priorities

Action	Lead	Start – Finish dates	Success Measures	Priority
Developed joint approaches to tackling terrorism	Christine Flinton	April 2021- March 2022	<ul style="list-style-type: none"> <li>Contributed to Counter Terrorism Local Profile</li> <li>Updated and delivered PREVENT Action Plan</li> <li>Reviewed of Emergency Response Plans in place</li> </ul>	Protect local communities
Delivered successful County Council elections	Helen Barrington	1 April 2021- 7 May 2021	<ul style="list-style-type: none"> <li>County Council elections taken place</li> <li>No election petition received</li> </ul>	Support local democracy
Established the new Council and delivered a good quality induction programme following the local elections	Roy Ackrill	1 April 2021 – 31 June 2021	<ul style="list-style-type: none"> <li>Annual Council meeting held</li> <li>Leader and Cabinet appointed</li> <li>Schedule of meetings and membership of committees approved</li> <li>Positive feedback in relation to delivery of induction programme</li> </ul>	Support local democracy
Completed major upgrade of finance and staffing system (SAP)	Wayne Sutton	November 2021	<ul style="list-style-type: none"> <li>Project on track at agreed milestones</li> </ul>	Modernise and improve ICT systems

Action	Lead	Start – Finish dates	Success Measures	Priority
Reviewed information governance / data management arrangements and determined appropriate arrangements for dealing with future requests which ensure efficiency and consistency in responding to requests	Jane Lakin	1 April 2021- 31 March 2022	<ul style="list-style-type: none"> <li>Efficient, effective and consistent systems are in place to ensure compliance with information governance legislation</li> <li>Responses to Subject Access Requests and Freedom of Information requests are provided within the statutory timeframes</li> </ul>	Ensure high standards of information governance
Reviewed processes in Registration Services to ensure there is a cost effective, streamlined and consistent approach across all offices.	Roy Ackrill	1 April 2021 – 31 March 2022	<ul style="list-style-type: none"> <li>Streamlined and consistent approach across all offices</li> <li>Cost is minimised and income maximised.</li> </ul>	Deliver effective and efficient Registration Services

Key Performance Measure	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
Response to Subject Access Requests and Freedom of Information requests within statutory timeframes	New measure	New measure	New measure	Not set	Baseline year

**Key: Latest Data:** AD = Awaiting data **Targets:** TBC = To be confirmed

## Approved Controllable Budget 2020/21

Division	Employees	Premises	Transport	Supplies and Services	Agency and Contracted Services	Transfer payments	Unallocated budget	Controllable Recharges	Gross Budget	Income	Grants	Net Budget
Corporate Finance & ICT	14,826,927	2,197,672	365,230	9,984,793	610,240	0	(365,385)	(4,623,291)	22,996,185	(2,229,064)	0	20,767,121
Legal and Democratic Services	7,053,622	121,876	58,129	2,125,958	0	0	(1,024,672)	(865,690)	7,469,223	(2,274,692)	0	5,194,531
Organisation, Development and Policy	14,904,865	100,094	151,874	1,235,284	158,361	0	(993,871)	(2,576,810)	12,979,796	(893,860)	0	12,085,936
Property	4,224,250	3,609,790	136,384	661,664	21,150	0	(2,921,628)	8,194,529	13,926,139	(2,579,755)	0	11,346,384
Communities	9,010,749	881,076	124,531	2,104,531	0	0	(2,383,630)	(42,377)	9,694,879	(1,210,528)	0	8,484,352
Strategic Mgt	847,494	37,189	6,080	13,143	10,099	0	(1,077,433)	0	(163,427)	0	0	(163,427)
Members	82,098	1,000	56,500	1,408,857	8,948	0	0	25,100	1,582,503	0	0	1,582,503
Miscellaneous	1,025,511	16,578	0	385,916	0	0	10,349	0	1,438,354	(24,470)	0	1,413,884
<b>TOTAL</b>	<b>51,975,515</b>	<b>6,965,275</b>	<b>898,728</b>	<b>17,920,146</b>	<b>808,798</b>	<b>0</b>	<b>(8,756,270)</b>	<b>111,460</b>	<b>69,923,651</b>	<b>(9,212,369)</b>	<b>0</b>	<b>60,711,282</b>



## Forward Plan of Procurement Projects – up to 31 March 2023

In line with the Council's Financial Regulations, the forward plan of procurement projects for the department, set out below, details procurement projects above £50,000 which are planned to commence over the next 24-month period. The table shows the estimated procurement start date. The projects identified will be the subject of a procurement process as per the procedures set out in the Council's financial regulations

**Table One: Forward Plan of Procurements (above £50K less than Find a Tender threshold of £189,330) due to commence prior to April 2023**

Contract Title	Estimated Value (£)	Estimated Start Date
<b>Communities Division</b>		
PC Booking System for Libraries	£63,000.00	2021
Provision of Furniture and Preparation Service for Domestic Properties in Derbyshire	£150,000.00	2021
Security at Chesterfield Library	£160,000.00	2021
Offsite Document Storage	£166,000.00	2021
APP Trading Standards System	£172,000.00	2022
<b>Finance and ICT Division</b>		
Redesign & Hosting of Source East Midlands	£50,000.00	2021
Offsite Shredding Services	£50,000.00	2022
East Midlands eProcurement Shared Portal	£50,000.00	2021
Kofax Software	£50,000.00	2021

IFRS 16 Compliant Lease Accounting/Management software	£50,000.00	Apr-21
Supply of Services to Support of Review of the Council's ICT Software Licences	£50,000.00	2021-2022
Supply of an SMS/Textmail Solution	£50,000.00	2021
Spectrum Spatial Analyst - Support & Maintenance	£58,984.00	2021
External Investment Advisor	£64,000.00	2023
Property Valuation	£80,000.00	2021
Tax Advisory Service	£80,000.00	2022
Supply of Service to Support Network Security Services	£80,000.00	2021-2022
Security and Audit Services	£89,900.00	2022
SAP Training	£90,000.00	2021
Macro-Economic Research	£96,000.00	Apr-21
Strategic Investment Research	£100,000.00	2021
Insurance Broker and Risk Management	£100,000.00	2022
Supply of Vulnerability Scanning Solution	£100,000.00	2021-2022
Supply of ICT Hardware Collection and Disposal Services	£123,000.00	2022
Microsoft Unified Support	£130,380.00	2021
<b>Legal and Democratic Services</b>		
CT scanning services	£50,000.00	Apr-21
Supply of a Committee Management Solution and Associated Services	£82,030.00	2022
Supply of an E-Booking system	£99,320.00	2021
Provision of Funeral Directors for Coroners	£100,000.00	Jun-21
Supply of Printer Consumables and Associated Services	£120,000.00	2022
<b>Operational Development and Policy Division</b>		
Employee Assistance Programme	£50,000.00	2021
Performance Management System	£50,000.00	2022

Supply of Consultation Software	£50,000.00	2021
Supply of an Externally Hosted Web Based Media and Stakeholder Management Solution with Associated Support Services	£60,000.00	2021
Provision of an Occupational Health Associate Counselling Service	£80,000.00	2021
Distribution of Derbyshire Residents Publication	£88,000.00	2021
Social Media Management Solution	£100,000.00	2021
Dyslexia Assessments, Training & Tuition	£120,000.00	2021
Occupational Physiotherapy Contract	£120,000.00	2021
Supply of an Email Alert and Newsletter Solution with Associated Services	£125,000.00	2021
Leadership Development	£150,000.00	2021
<b>Property Division</b>		
Bolsover Infant School - Replacing Pipework Radiators	£50,000.00	2021/2022 Capital Programme
Creswell Junior School - Extensive Splice Timber Repairs	£50,000.00	2021/2022 Capital Programme
Hazelwood HOP - Kitchen Ventilation	£50,000.00	2021/2022 Capital Programme
Ilkeston Adult Education Centre - Replace Windows and Doors	£50,000.00	2021/2022 Capital Programme
Peak Buildings, Buxton - Roof re-covering	£50,000.00	2021/2022 Capital Programme
Shipley Country Park - Pond House Underpinning	£50,000.00	2021/2022 Capital Programme
Supply of Fire Door Glazing only - Pyrodur Glass	£50,000.00	2021
Christ The King Catholic Primary School - Kitchen Ventilation	£55,000.00	2021/2022 Capital Programme
Codnor CE C Primary School - Repipe 50% of school	£55,000.00	2021/2022 Capital Programme
Grange Primary School - Renew Obsolete Boilers	£55,000.00	2021/2022 Capital Programme
Hayfield Primary - Kitchen Ventilation	£55,000.00	2021/2022 Capital Programme
Long Row Primary School - Kitchen Ventilation	£55,000.00	2021/2022 Capital Programme
Poolsbrook Primary School - Kitchen Vent Project	£56,100.00	2021/2022 Capital Programme
Newtown Primary School - Kitchen Ventilation	£56,650.00	2021/2022 Capital Programme

Brockwell Junior School - Kitchen roof recover including replacement roof light	£60,000.00	2021/2022 Capital Programme
Rowsley CE C Primary School - Renew relocate boiler to upper ground level	£60,000.00	2021/2022 Capital Programme
Whitwell Primary School - Asbestos Strip	£60,000.00	2021/2022 Capital Programme
Aldercar Infant School - Kitchen Ventilation	£60,500.00	2021/2022 Capital Programme
Somerlea Park Junior School - Kitchen Ventilation	£61,454.60	2021/2022 Capital Programme
Cavendish Junior School - Flat Roof Recover	£70,000.00	2021/2022 Capital Programme
Long Row Primary School - Pipework	£70,000.00	2021/2022 Capital Programme
Morton Primary School - Brick Retaining Wall Rebuild Replace or Refurbish Railings	£70,000.00	2021/2022 Capital Programme
Park Infant & Nursery School - Structural Repairs	£70,000.00	2021/2022 Capital Programme
Highfields School - Fencing	£72,000.00	2021/2022 Capital Programme
Darley Dale Primary School - Flat Roof Recover	£75,000.00	2021/2022 Capital Programme
Lea Primary School - Renew pitched & flat roof coverings	£75,000.00	2021/2022 Capital Programme
Ridgeway Primary School - Renew defective slate roof	£75,000.00	2021/2022 Capital Programme
Newhall Day Centre - Kitchen Ventilation	£77,660.00	2021/2022 Capital Programme
Aston-on-Trent - Kitchen Ventilation	£80,000.00	2021/2022 Capital Programme
Dronfield Junior School - Kitchen Ventilation	£80,000.00	2021/2022 Capital Programme
Lons Infant School - Kitchen Ventilation	£80,000.00	2021/2022 Capital Programme
Stonelow Junior School - Kitchen Ventilation	£80,000.00	2021/2022 Capital Programme
Anthony Bek Community Primary School - Full Rewire	£85,000.00	2021/2022 Capital Programme
Pilsley Primary School - Wiring Scheme	£85,000.00	2021/2022 Capital Programme
Property Market Information Solution	£85,245.00	2022
Chatsworth Hall (Matlock) - Replacement lift to Block A	£88,000.00	2021/2022 Capital Programme
Auctioneering Services	£90,000.00	2021

Wirksworth Junior School - Renew Boiler Pipes Radiators	£90,000.00	2021/2022 Capital Programme
St. Giles' CE (Aided) Primary School (Killamarsh) - Kitchen Ventilation	£95,000.00	2021/2022 Capital Programme
Woodville Youth Centre - Replace timber windows, timber cladding and undertake structural works	£96,000.00	2021/2022 Capital Programme
Asbestos Analytical and Bulk Sampling Services	£100,000.00	2021
Hayfield Primary School - Wiring Scheme	£100,000.00	2021/2022 Capital Programme
New Mills Community School - Kitchen Ventilation	£100,000.00	2021/2022 Capital Programme
Newton Primary School - Take down retaining brick front boundary wall	£100,000.00	2021/2022 Capital Programme
St. Oswalds CE C Primary School - Tanking to rear retaining wall	£100,000.00	2021/2022 Capital Programme
Supply of Arboricultural Equipment	£100,000.00	2021
William Levick Primary School - GWPP Glass Renewal	£100,000.00	2021/2022 Capital Programme
Wirksworth Junior School - Renew Floor Structure Room	£100,000.00	2021/2022 Capital Programme
Chapel - Renew Boilers	£105,000.00	2021/2022 Capital Programme
Killamarsh Infant & Nursery School - Full Electrical Re-wire	£110,000.00	2021/2022 Capital Programme
Findern Community Primary School - Rewire Block 01	£115,000.00	2021/2022 Capital Programme
County Hall - South Complex - Replacement generator (Winter Gardens)	£116,000.00	2021/2022 Capital Programme
Highfields School (Upper Site) - Replacement of obsolete fire alarm system throughout	£120,000.00	2021/2022 Capital Programme
Servicing and maintenance of Mixing Valves	£120,000.00	2021
St. Andrew s CE (C) Junior School	£120,000.00	2021/2022 Capital Programme
Crich CE (C) Infant School - Renew pitched slate roof	£135,000.00	2021/2022 Capital Programme
Belmont Primary	£143,742.01	2021/2022 Capital Programme
Alfreton Park Community Special School - Renewal Of Roof Covering, Structure & Associate	£149,986.00	2021/2022 Capital Programme
9 Victoria Street, Brimington - Electrical rewire	£150,000.00	2021/2022 Capital Programme

Cotmanhay Children's Centre - Replacement of external timber fencing, gates and the decked area	£150,000.00	2021/2022 Capital Programme
Supply and Servicing of Generators	£150,000.00	2021
Supply of an Internally Hosted Asset and Facilities Management Solution with Associated Services	£152,725.00	2021
Hayfield Primary School - Electrical rewire and upgrade	£155,000.00	2021/2022 Capital Programme
William Levick Primary School - Electrical rewire & upgrade	£160,000.00	2021/2022 Capital Programme
Hasland Infant School - Electrical rewire and upgrade	£165,000.00	2021/2022 Capital Programme
Supply of Paint and Painting Sundries	£170,000.00	2021
Etwall Primary School - New Flat Felt Roof Coverings	£175,000.00	2021/2022 Capital Programme
Eureka Primary School - Full Rewire	£175,000.00	2021/2022 Capital Programme
Harpur Hill Primary School - Conservatory Window Door Framing Patent Glazing	£175,000.00	2021/2022 Capital Programme
Parkwood Day Centre, Alfreton - Electrical rewire	£180,000.00	2021/2022 Capital Programme
Supply of Glass and Glazing Sundries	£180,000.00	2021
Laceyfields Infant and Nursery Academy - S106	£180,144.93	2021/2022 Capital Programme

**Table Two: Forward Plan of Procurements (above Find a Tender threshold of £189,330) due to commence prior to April 2023**

<b>Contract Title</b>	<b>Estimated Value (£)</b>	<b>Estimated Start Date</b>
<b>Communities Division</b>		
Smart Libraries	£428,645.00	2021
Support Service for Derbyshire Refugees	£600,000.00	2021
Provision of Library Stocks	£748,000.00	2023
Provision of an Independent Domestic Advisor Service in Derbyshire	£1,200,000.00	2022
Commission additional domestic abuse support services to meet the requirements of the 2021 Domestic Abuse Bill	£2,500,000.00	Dec-21
<b>Finance and ICT Division</b>		
Supply of Data Centre free Air-Cooling System	£200,000.00	2021-2022
Supply of PC Accessories and Associated Services	£220,000.00	2021
Supply of Office Furniture	£300,000.00	2022
Supply of Support and Maintenance for Document Management System	£350,000.00	2021-2022
Supply, Maintenance and Support of a NetApp Data Storage System and Storage Upgrade (or equivalent) with Associated Services	£400,000.00	2021
Supply of Commercial Fridges and Freezers	£405,000.00	2021
Provision of Rail Travel	£475,000.00	2021
BT Accumulate	£484,920.00	2021

Supply and Maintenance of Floor Cleaning Equipment	£500,000.00	2022
Supplier electronic invoice and discount portal	£600,000.00	2021-2022
Supply of Gloves	£676,000.00	2021
Mobile Voice and Data Services and Associated Hardware	£700,000.00	2021
Supply of Janitorial Supplies	£800,000.00	2021
External Venue Hire	£800,000.00	2021
Supply of ICT Hardware	£930,000.00	2021
Supply of Server, Cloud and Desktop Software with Associated Services	£1,021,000.00	2021
Supply of Stationery and Desktop Delivery of Paper	£1,250,000.00	2021
Supply of Workwear	£1,400,000.00	2021
Supply of Data Centre Converged Infrastructure	£1,500,000.00	2021-2022
Corporate Telephony, Supply, Support and Maintenance with Associated Services	£1,539,000.00	2021
Supply of Internet Connectivity and Associated Services	£2,500,000.00	2021
Supply of Networking Equipment including Support and Maintenance and Associated Services	£3,000,000.00	2022
Supply of Software Licences and Associated Services	£3,195,000.00	2021
Sustainable Global Equities Portfolio Managers	£9,000,000.00	2021
Supply of a Corporate Wide Area Network including Support and Maintenance and Associated Services	£11,000,000.00	2021
Vendor Invoice Management System (VIM)	TBC	2022
<b>Legal and Democratic Services</b>		
Provision of Toxicology Services for HM Coroner for Derbyshire and Derby	£360,000.00	2021
Pathology Services	£550,000.00	Oct-21
Mortuary services	£580,000.00	Mar-21



Multifunctional Devices and Print Room Equipment for the Council	£1,000,000.00	2021-2022
Print Services Framework	£2,200,000.00	2021
Framework for Legal Professional Services (EM Lawshare)	£9,000,000.00	Aug-21
<b>Operational Development and Policy Division</b>		
Implementation partner and tax advisor in relation to the implementation of Shared Cost AVC	£260,000.00	2021
Supply of an Occupational Health Physician Service	£300,000.00	2021
Supply of Aids to Rehabilitation	£320,000.00	2023
Provision of Interpretation, Translation & Transcript Services	£400,000.00	2021
Provision of First Aid Training	£600,000.00	2021
Supply of an Externally Hosted Learning Management and eLearning Solution with Associated Services	£900,000.00	2023
Advertising and Sponsorship - Procurement of Agency	£125,000 per year (income)	2021
Agency Staff	£15 million per annum	2021
Intelligent Automation	TBC	2021
Health Safety and Wellbeing Management System	TBC	2022
Derbyshire Observatory	TBC	2021
<b>Property Division</b>		
Grassmoor Primary School - Electric rewire & upgrade	£190,000.00	2021/2022 Capital Programme
Cleaning of Kitchen Ventilation Systems	£200,000.00	2021
Hartington CE (C) Primary School - Rosemary tiled roof recover	£200,000.00	2021/2022 Capital Programme
Servicing and maintenance of Industrial Doors, Gates, Barriers and Roller Shutters	£200,000.00	2021
Servicing and maintenance of Lifts	£200,000.00	2021
Supply and Repairs of Power Tools	£200,000.00	2021
Whitemoor Centre - Pitched Roof Refurbishment	£200,000.00	2021/2022 Capital Programme

Brimington Hostel - Replacement flat roof coverings	£212,000.00	2021/2022 Capital Programme
Risley Lower Grammar CE (C) Primary School - Window Repairs	£220,000.00	2021/2022 Capital Programme
High Peak Junction Visitors Centre - Slate Tiled Roof	£225,000.00	2021/2022 Capital Programme
County Hall (South Complex) - Veranda Refurbishment	£230,000.00	2021/2022 Capital Programme
Contract for the Supply of Fire Fighting Equipment	£250,000.00	2021
Ilkeston Library - Reorganisation	£250,000.00	2021/2022 Capital Programme
Leys Resource Centre, The - Replace flat felt roof and rooflights	£250,000.00	2021/2022 Capital Programme
Provision of Security Systems (CCTV)	£250,000.00	2021
Servicing and maintenance of Stair lifts	£250,000.00	2021
St. Andrew s CE (C) Junior School - Structural repairs to floor structure	£250,000.00	2021/2022 Capital Programme
Gamesley Early Excellence - Expansion	£260,000.00	2021/2022 Capital Programme
Portable Appliance Testing (PAT) Framework	£280,000.00	2021
Kilburn Junior School - 2 Classrooms	£400,000.00	2021/2022 Capital Programme
Provision of Tree Maintenance	£400,000.00	2021
Servicing and maintenance of Automatic Doors	£400,000.00	2021
Fixed Wire Electrical Testing	£420,000.00	2021
County Hall (South Complex) - Replacement pitched roof coverings to the West Wing South Block	£450,000.00	2021/2022 Capital Programme
Hunloke Park Expansion - Expansion	£500,000.00	2021/2022 Capital Programme
Supply of Softwood, Hardwood and Sheet Material Timbers	£500,000.00	2021
Dronfield Henry Fanshawe - Building at risk replacement	£550,000.00	2021/2022 Capital Programme
Brailsford Primary School - Single Classroom	£575,000.00	2021/2022 Capital Programme
Servicing and Testing of Gas Pipeline and Equipment	£600,000.00	2021
Supply of Building and Plumbing Materials	£600,000.00	2021
Supply of Signage	£640,000.00	2021

Belper St Johns - Internal Re-organisation	£650,000.00	2021/2022 Capital Programme
Chapel En Le Frith - Extension to block to create 2 classrooms	£800,000.00	2021/2022 Capital Programme
Servicing of Gas and Electric Catering Appliances	£800,000.00	2021
Eureka Primary Expansion - Expansion	£900,000.00	2021/2022 Capital Programme
Provision of Legionella Control Services	£900,000.00	2021
Harpur Hill Expansion - Expansion	£950,000.00	2021/2022 Capital Programme
Demolition Services	£1,000,000.00	2021
Supply of Landscape Machinery	£1,000,000.00	2021
New Tibshelf Community School - Additional SEN Accommodation	£1,200,000.00	2021/2022 Capital Programme
Supply of Heating, Installation and Maintenance Materials	£1,200,000.00	2021
Mickley Infant School (Provisional) - New School (Pending consultation)	£1,250,000.00	2021/2022 Capital Programme
Provision of Washroom Services	£1,500,000.00	2021
County Hall (South Complex) Winter Gardens - Refurbishment Scheme	£1,700,000.00	2021/2022 Capital Programme
Repair and Maintenance of Heating Equipment	£2,000,000.00	2021
Asbestos Removal and Disposal	£2,400,000.00	2023
Electricity to Non Half Hourly Sites	£2,500,000.00	2021
HOP's Planned Programme - Refurbishment	£3,410,000.00	2021/2022 Capital Programme
Design, Installation & Commissioning of Kitchen Ventilation Systems	£3,600,000.00	2021
Supply of Electrical Materials	£3,800,000.00	2021
Glossopdale School - New Extension	£4,065,000.00	2021/2022 Capital Programme
Spire Lodge	£4,125,000.00	2021/2022 Capital Programme
Rowthorne HOP - Refurbishment	£4,313,509.00	2021/2022 Capital Programme
New Basset House HOP - Refurbishment	£4,698,861.00	2021/2022 Capital Programme

Briar Close House HOP - Refurbishment	£4,884,847.00	2021/2022 Capital Programme
Brampton Primary School - Modernisation	£5,500,000.00	2021/2022 Capital Programme
Harrington Junior School - New Build	£5,500,000.00	2021/2022 Capital Programme
Tibshelf Primary School - 2 form entry	£7,012,750.00	2021/2022 Capital Programme
Modular Buildings	£10,000,000.00	2021
Electricity to Un Metered Supplies	£10,191,482.00	2023
Electricity to Half Hourly Sites	£12,250,000.00	2023
Bennerley Avenue Care Centre & Extra Care - New Build	£15,000,000.00	2021/2022 Capital Programme
Provision of Adaptations funded via the Disabled Facilities Grant (DFG)	TBC	2021

**Please note: The above procurement plans includes for the replacement of existing contracts and also an early indication of new projects which may require procurement activity to commence within 2021-23. All values are estimated and may change when projects are tendered**

# **PLACE**

**Service Plan 2021-2025**

**Plan Year: 2021-2022**

**Tim Gregory**  
**Director of Place**  
**V.08**

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## Council Ambition

“We will work together with our partners and communities to be an enterprising council delivering value for money and enabling local people and places to thrive”

## Values

### The way we work – we will:

- Listen to, engage and involve local people ensuring we are responsive and take account of the things that matter most to them
- Be open minded, honest and accountable ensuring the decisions that we make are fair and transparent
- Spend money wisely making the best use of the resources that we have
- Work with partners and local communities because we know that we cannot tackle complex problems on our own
- Be aspirational about our vision for the future, for our organisation, local people and communities

## Council Outcomes

### We want Derbyshire to have:

- Resilient, thriving and green communities which share responsibility for improving their areas and supporting each other
- Happy, safe and healthy people, with solid networks of support, who feel in control of their personal circumstances and aspirations
- A strong, diverse and clean economy which makes the most of Derbyshire's rich assets and provides meaningful opportunities for local people to achieve their full potential
- Great places to live, work and visit with high performing schools, diverse cultural opportunities, transport connections that keep things moving and a healthy and sustainable environment for all
- High quality public services that work together and alongside communities to deliver services that meet people's needs

## Council Priorities

### Our Council priorities are:

- Resilient, healthy and safe communities
- High performing, value for money and resident focussed services
- Effective early help for individuals and communities
- A prosperous and green Derbyshire

## Departmental Overview

Employing over 745 staff and with an annual revenue budget exceeding £78 million, the Department provides a wide range of services within the community which have a direct impact on the lives of Derbyshire people, as well as on those who visit or work within the County.

The department will make the transition from being known as Economy, Transport and Environment to being identified as Place during the early part of 2021. Place shaping, whether by direct intervention or through influencing and supporting partners is at the heart of what we do. Building fruitful relationships and working in partnership is critical to success, whether in support of local businesses, in providing a well maintained highway network and efficient transport system, managing the increasing threat of flood risk including preparing and adapting for resilience, in supporting sustainable mineral extraction planning decisions or in promoting the countryside that is rich and diverse both in its landscape and its wildlife and is a hugely attractive tourist destination.

Climate Change is a key Council priority, and the responsibility for the department will be to lead on the development of the overall strategy and action plan for the Authority to reduce carbon emissions. This will include developing internal initiatives as well as working closely with external partners and organisations to develop a natural capital strategy and influence and facilitate the actions needed to decarbonise and make the County more sustainable in the future.

As identified in the recent Your Council Your Voice 2020 consultation, the number one issue for many of our residents is the condition of roads and footways. We have a well-established and robust asset management approach and are currently implementing a Future Highways Model, which includes conducting a detailed review of processes and establishing and embedding partnerships with external providers.

Supporting the local economy of Derbyshire is a key aspect of the Department's work, particularly as we look to economic recovery post COVID. The authority has been instrumental in establishing and developing plans to support the local economy at this challenging time, working closely with its partners.

Delivering 'good growth' in the local economy of Derbyshire is an important consideration in all aspects of the Department's work, whether through providing a sustainable and efficient transport system – including public transport, a well maintained highway network, encouraging low carbon industries or supporting sustainable mineral extraction through planning decisions. The Department will always look to balance economic growth whilst protecting what makes Derbyshire special, such as its heritage and landscape, which themselves are major economic assets.

The Department will be instrumental in taking forward the economic development and regeneration agenda and will play a vital role in developing services and infrastructure that encourage and deliver against the Council Plan priority of **a prosperous and green Derbyshire**. The Department will ensure its resources are focussed on those areas that support and help to sustain growth and create jobs, delivered through a mix of local authority, Local enterprise Partnership (LEP) or private sector funding.



## *Strategic Leadership and Management*

As the Department meets the challenges of reducing resources, changing national policies and new systems and technologies, effective workforce planning is essential to ensure that there are the right number of employees, with the right knowledge and skills, in the right place, at the right time. The Department will, therefore, need to ensure that its resources, whether financial, staffing or physical, are properly aligned to meet service priorities in the short and long term.

Leadership and direction will be provided to ensure effective management of staff and provision of support by the Departmental Management Team in order to assist in the Council's wider drive for effectiveness and efficiency and contribute to required savings targets, as necessary.

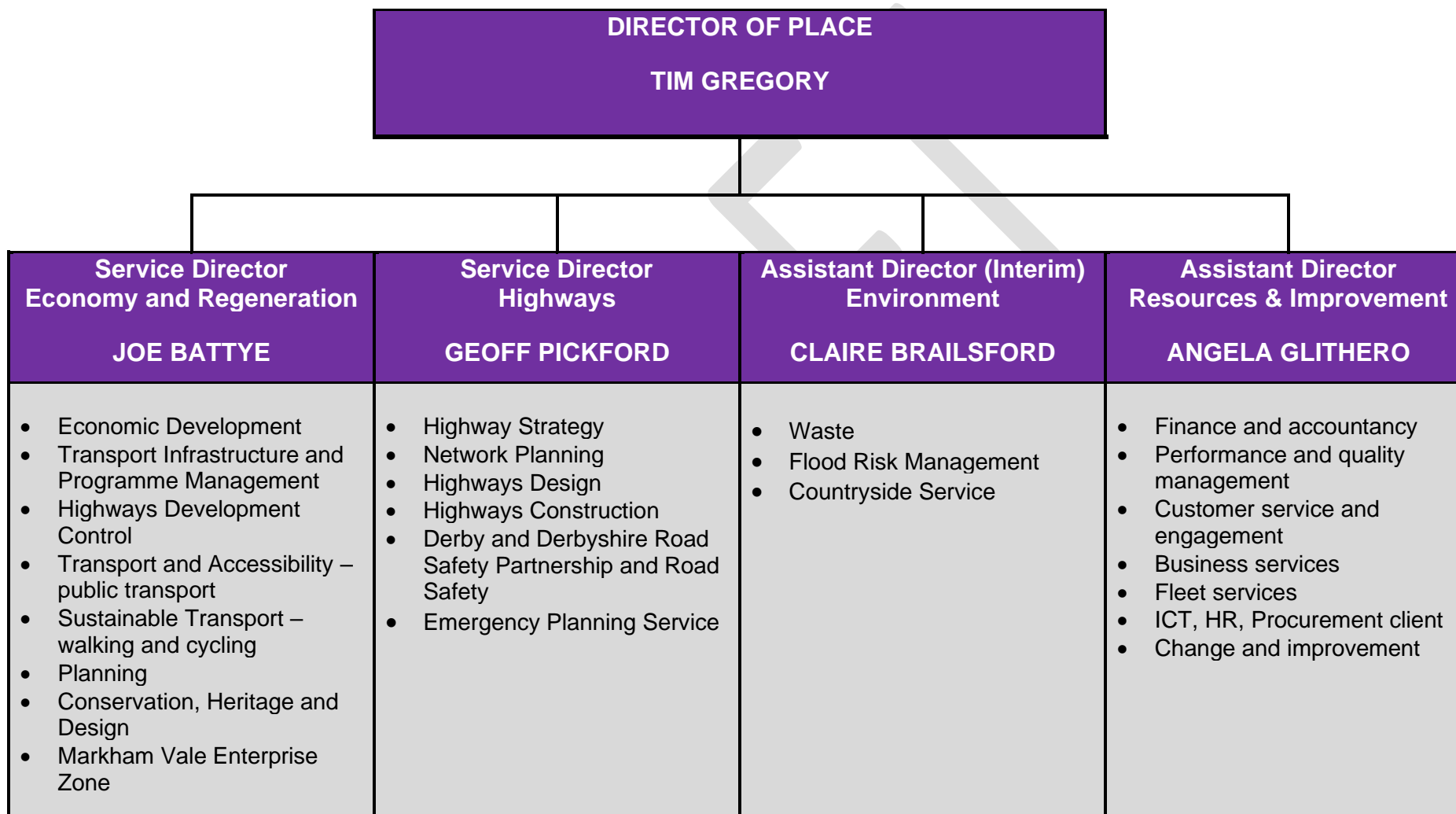
Workforce planning will ensure the Department is able to identify and effectively plan for issues and challenges, including any reducing areas of work, any new or growth areas, changes in Government and Council policies and the impact of other internal and external drivers. The workforce planning process will also enable the Department to address any skills and competency needs, for example, where there may be changes to jobs or services, skills shortages or new requirements/working practices and processes to meet our business needs, ensuring relevant and appropriate training and development is provided.

Details of the Department's approved controllable budget, forward plan of procurement, Vehicle Replacement Programme, Waste Management Capital Programme, Highways Capital Programme and Derelict Land Reclamation and Regeneration Capital Programme are contained in the Appendices to the Service Plan.

Delivery of the Department's services and operations will be guided by the principles of sustainability embedded in the revised Corporate Environment Policy, Strategy and Action Plan, in order to promote a better quality of life for our communities, encourage economic regeneration and protect and enhance the environment.

The Department will provide the leadership and framework to achieve its performance targets through its Quality Management Systems (QMS) and Environmental Management System (EMS), in accordance with the requirements of the International Standards Organisation ISO9001 and ISO1400 respectively.

**Departmental Services – Current Structure**



*NB: At date of publication there is restructuring of the senior management arrangements in the department underway to strengthen senior management capacity at Director and Assistant Director level.*

## Budget savings

The Department will contribute to the Council's priority of providing **High performing, value for money and resident focused services** during 2021-22 and will deliver savings of **£1.783m** as follows:

<b>Staffing</b>	The number of staff will be reduced by not replacing some people when they leave, staff reorganisations and looking for other sources of income to pay for staff costs.		<b>£1,458,000</b>
	Economy & Regeneration	£330,000	
	Environment	£64,200	
	Highways	£636,500	
	Resources and Improvement	£427,300	
<b>Highways Agency Agreements</b>	The Council will reduce the cost of highway maintenance work carried out on its behalf by other organisations		<b>£150,000</b>
<b>Parking Services</b>	The Council will save money by managing its on street parking service differently.		<b>£25,000</b>
<b>Digital Derbyshire</b>	The team responsible for ensuring superfast broadband is available across the County will be funded from the Council's reserves instead of a revenue budget		<b>£150,000</b>

## Key Departmental Risks

Priority	Key Risks	Mitigations
<b>Resilient, healthy and safe communities</b>	Failure to safeguard children and vulnerable adults using Economy, Transport and Environment services	DBS checks are undertaken on all relevant employees, drivers and passenger assistants. Any necessary health and safety checks for visits and activities comply with DCC requirements.
<b>High performing, value for money and resident focussed services</b>	Failure to deliver budget savings or income targets	The department has a robust five-year plan for delivery of budget savings with regular monitoring in place
	<p>Failure to achieve Value for Money (VfM) for the Council's New Waste Treatment Facility and failure to re-commission the facility and secure long-term operation</p> <p>The Council is working with stakeholders to determine the "Estimated Fair Value" of the facility following termination of the Project Agreement with RRS. <i>This is the compensation due to the former contractor and also comprises the value of the plant taking into account all of the costs of rectifying ongoing issues and the costs of providing the services to meet the agreed contract standards. Failure to achieve VfM is a significant risk to the Council's budget. Failure to re-commission the facility is a significant risk for the long-term waste management strategy, the Council's future economic and environmental sustainability and its reputation.</i></p>	<p>The Council is meeting regularly with the project funders in an effort to reach a consensual settlement on the EFV. In parallel preparations continue in the event negotiations are unsuccessful.</p> <p>Work to determine the condition and capability of the facility is nearing completion and will determine the next steps for the facility. This information is informing the EFV workstream.</p>

Priority	Key Risks	Mitigations
<p><b><i>Prosperous and green Derbyshire</i></b></p>	<p>Failure to maintain assets including roads, pavements, bridges, retaining walls, street lighting columns, safety fencing, gullies, countryside assets, canals, reservoirs</p> <p>Management of DCC water bodies - Serious injury to the public or employees and/or severe damage to land or infrastructure as a result of failure of an asset</p> <p>Ash dieback - Serious injury to the public or employees on Council owned land, Highway Network, Public Rights of Way (PRoW) Network, Countryside Sites and premises</p>	<p>A risk based approach to asset management is in place in accordance with the Code of Practice - "Well Managed Highway Infrastructure". Approach to managing network resilience being developed and implemented during 2020-21.</p> <p>The County Council owns and operates water bodies of varying types (canals, reservoirs, lakes) condition and ages. Many of these assets are managed by the Countryside Service. Management of the assets by Countryside Service Staff includes arranging inspections; maintenance works; vegetation management; waterbody structural checks; specialist flood studies; investigations to identify engineering solutions, including engagement of specialist consultants, and securing funding.</p> <p>The Countryside Service has initiated a programme to quantify the scale of the problem; plan an inspection regime for roadside trees; provide training to all site based staff and establish escalation procedures; undertake a desk-based assessment of the location of ash trees utilising detailed site knowledge; use latest research to assess individual trees and make management decisions; coordinate a corporate working group to oversee ash dieback management and progress the development of a Corporate Ash Dieback Action Plan with appropriate funding options.</p>

## *Achievements during 2020-21*

A summary of the key achievements for the Department during **2020/21** are detailed below:

### **Economy and Regeneration**

- Contributed to the organisation and delivery of food hampers on several occasions to foster families in Derbyshire and throughout the country for Derbyshire placed children (in partnership with Children's Services) and delivered meals to Residential and Care homes
- Provided several drivers to the Royal Derby Hospital to help the front-line NHS patient discharges
- Transported care staff during anti-social hours when they have been deployed to other homes and unable to access public transport
- Re-configured home to school networks to support the safe transportation of children of key workers and vulnerable children to school during the national lockdowns
- Delivered safe 'return to learn' in September for thousands of young people requiring transport – working within extremely challenging circumstances
- Rolled out walking and cycling projects to assist rural mobility
- Gained recognition in becoming a National Finalist in the Royal Town Planning Institute Planning Team of the Year 2020
- Transfer of Apprenticeship levy funding to Derbyshire Businesses to support 55 new apprentices
- Clowne Greenway - Reclaimed 5 miles of derelict railway and replace it with a significant section of the Derbyshire Key Cycle Network on the Clowne Greenway
- Installation of a further 20 dual fast Electrical Charge Points installed across two of our local council areas
- Provided immediate business support, advice and emergency funding to local businesses through:
  - Roll out of £1m hardship grant
  - Roll out of Government discretionary grants
  - 100+ webinars covering various topic areas, including access to funding and e-commerce
  - Established recovery governance and developed and approved a COVID Recovery Strategy to support local business and communities survive and revive from the impact of the pandemic
  - ShopAppy rolled out to all Derbyshire town centres to support e-commerce in retail and business
  - Submitted £40m market town renewal bid to LEP + wifi/ smart towns proposals
  - Developed and approved £1m business start-up grants
  - Developed £2m Green Entrepreneurs grant scheme
  - Launched Careers and developed youth hubs
  - Rolled out Kickstart
  - My Futures website developed
  - 3 task forces launched for tourism, the high street and transport
  - Virtual Christmas market launched
  - £500k gigabit voucher top up scheme
  - Developed rural mobility project
- Established the first COVID Response Hub at Markham Vale and receipt / distribution of welfare parcels

- Secured new investors and development at Markham Vale
- Delivered regeneration programme with over £20m of projects
- Completed the second Digital Derbyshire contract
- Supported HS2 and other planned development projects

## Environment

- Managed the unprecedented demand for access to the countryside sites during the pandemic with bank holiday volume visitor numbers day after day from March through to September 2020. Dealt with many challenges including antisocial behaviour and large volumes of litter.
- Established a cross departmental working group to coordinate the work of the Council in tackling ash die back disease across the Council's tree stock.
- Commencement of the Lower Hartshay scheme to protect 10 properties from flooding, the scheme is programmed to be completed by 31 March 2021. Flood defence grant in aid funding was secured to enable the scheme to progress, which provides much needed flood mitigation to properties who have suffered flooding issues for several years.
- Secured funding for a Natural Flood Risk Management Officer at the Don Catchment Rivers Trust and delivered a number of Natural Flood Management schemes in North East Derbyshire in 2020/21. These schemes will assist in controlling surface water, through natural processes, thus reducing the flood risk to the surrounding area and properties and enhancing the natural environment.
- Responding to the 3<sup>rd</sup> major flood event (Storm Christophe) in the past 18 months, managing the significant flood risk, both before, during and after the event
- Successfully reopened the Council's nine household waste recycling centres following the first national lockdown and through careful management of the backlog of visits, the sites enabled over 100,000 people to visit each month and maintain safe social distancing practices to protect themselves and the staff.

## Highways

- Reduced the street lighting energy consumption and carbon emissions from 17,250,000 kWh and 7,500 Tonnes of CO2 in 2019/20 to a forecasted 15,950,000 kWh and 7,100 Tonnes of CO2 in 2020/21, equating to a saving of £375,000
- Responded to adverse weather events including high winds, floods and more recently snow
- Delivered £18.3 million worth of capital schemes under COVID restrictions and new working practices
- Facilitated the safe re-opening of all Derbyshire town centres and countryside sites installing social distancing measures and active travel options walking and cycling

## Resources and Improvement

- Created a low carbon pool fleet of eight electric cars and three e-bikes to reduce grey fleet travel
- Completed the County Transport Enterprising Council Review – resulted in a new structure and new approach to providing leadership across the council on vehicle provision – reducing costs and contributing to the climate change “net zero by 2032” carbon reduction targets
- Elvaston Castle Masterplan – “in principle” commitment from Cabinet to a major regeneration and investment programme
- Supported other services including assisting with the setting up of the initial food distribution hub for Public Health; supported the running of the PPE stores both for the Local Resilience Forum and the Council’s store; shared staff to support the re-opening of HWRC sites; helped with establishment of testing sites and assisted with the scheduling of staff to manage these sites
- Maintained all five County Transport workshops and admin open throughout the pandemic with no loss of service to core fleet services and that of Derbyshire police
- Provided a new Out of Hours Service for dealing with Highways related issues



## Section One: Council Priorities

The Department will support all five of the Council Plan Outcomes through the delivery of services to the residents, businesses and visitors to Derbyshire, and will contribute principally to the following Council Plan Priorities:

### Resilient, Healthy and safe communities

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Provided support to people and communities in need, including financial help from our discretionary fund and support for those affected by flooding	Assistant Director Environment	April 2021	March 2022	<ul style="list-style-type: none"> <li>The successful distribution of the Property Flood Resilience (PFR) Recovery Support Scheme grant funding for the November 2019 and February 2020 flood events</li> </ul>	✓

### High Performing, value for money and resident focussed services

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Increased the levels of customer satisfaction in the Council's Highway Services	Service Director Highways	April 2021	March 2022	<ul style="list-style-type: none"> <li>Increase in customer satisfaction level in relation to Highways Services</li> <li>Introduce improved methods of obtaining customer feedback</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Supported a resident-first approach through a range of mechanisms to improve access to online services and customer service performance	Assistant Director Resources and Improvement	January 2021	December 2021	<ul style="list-style-type: none"> <li>• Introduce improved processes for dealing with customer enquiries efficiently and effectively</li> <li>• Completed the transition of the 'report a fault' form to the Customer Relations Management (CRM) Portal</li> </ul>	✓
Improved the response times to customer contacts ensuring the most effective communication channel are used	Assistant Director Resources and Improvement	February 2021	March 2022	<ul style="list-style-type: none"> <li>• Reduced the average time to provide a response to a customer enquiry</li> <li>• Number of people accessing services using the CRM</li> <li>• Improve the customer experience</li> </ul>	
Delivered Phase 3 of Vision Derbyshire including activity on business support, climate change, homelessness, independent living and skills and employment priorities, creating new arrangements to speed up joint decision making with partners	Service Director Economy and Regeneration / Assistant Director Environment	April 2021	March 2022	<ul style="list-style-type: none"> <li>• New shadow/joint governance arrangements developed and operational</li> <li>• Phase 3 implementation plan in delivered</li> <li>• Accelerated delivery plan identifying short, medium and long-term programme priorities developed</li> </ul>	✓
Worked with partners to secure additional funding into Derbyshire and progress a devolution deal for the East Midlands	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>• Effective local partnership arrangements in place to ensure streamlined governance and decision making to enable Derbyshire to speak with one voice</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
				<ul style="list-style-type: none"> <li>Coordinated one council approach and response to the proposed Devolution and Local Recovery White Paper developed.</li> </ul>	
Identified and implemented a programme of strategic transformation as part of Phase 2 of the Enterprising Council Strategy	All Directors	April 2021	March 2022	<ul style="list-style-type: none"> <li>Engage with and contribute to the Strategic Transformation Programme to deliver a one Council approach</li> </ul>	✓
Implemented the Wellbeing Action Plan to support employee wellbeing, reduce sickness absence and improve service delivery	All Directors	March 2019	April 2020	<ul style="list-style-type: none"> <li>Reduced the average number of days lost to sickness absence and mental health issues</li> </ul>	✓
Kept on track to achieve all planned budget savings in the medium term	All Directors	March 2019	March 2022	<ul style="list-style-type: none"> <li>Deliver £1.783m savings target by March 2022</li> </ul>	✓
Actively pursue a programme of income generation in relevant services.	All Directors	Ongoing	Ongoing	<ul style="list-style-type: none"> <li>Increase income year on year</li> </ul>	

## Key performance measures

Description	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
Achieved Departmental budget savings	£2.085m	£737,000	£858,000	£1.576m	£2.013m
Average number of days lost per appointment to sickness	11.02 days	7.6 days	6.07 days	9.3 days	7.5 days
New sickness absence measure TBC (Dept figure)*	New Measure	New Measure	New Measure	Not set	Baseline year
Spend on Agency Staff	£147,772	£57,329	£80,607	Monitor	TBC
Customer satisfaction with Highways and Transportation Services	55%	55%	54%	Monitor	57%
Number of compliments about Council services	209	124	151	Monitor	Monitor
Number of customer complaints	116	69	73	Monitor	Monitor

\*New measure being developed to enable benchmarking against comparator organisations and to support robust reporting. Targets for the new measure, which will subsequently replace the existing measure, will be set once baselines established and new reporting mechanisms embedded.

## A Prosperous and green Derbyshire

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Delivered a £40m Local Transport Programme to provide well maintained roads and highways and address road safety concerns	Service Director Highways	April 2021	March 2022	<ul style="list-style-type: none"> <li>Improvements to the condition of Highway assets</li> <li>Improved satisfaction with Highway Services</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Deliver a phased implementation of the Future Highways Model, including the introduction of the new commissioning framework, developing improvement plans for priority service areas and identifying commercialisation opportunities	Service Director Highways	January 2019	March 2023	<ul style="list-style-type: none"> <li>Improvements in the delivery of Highway related services</li> </ul>	
Maintain and manage the road network, including more than 5,500km of roads and pavements, 1,800 bridges and estimated 930km of roadside retaining walls, making best use of resources and aiming to reduce burden on revenue budgets	Service Director Highways	Ongoing	Ongoing	<ul style="list-style-type: none"> <li>Highways infrastructure provides a safe and reliable network with defects repaired in a timely manner</li> </ul>	
Reduce the number of people killed or seriously injured on Derbyshire roads	Service Director Highways	Ongoing	Ongoing	<ul style="list-style-type: none"> <li>Reduction in the number of people that have been killed or seriously injured on Derbyshire's Highway Network</li> </ul>	
Opened the Woodville-Swadlincote Regeneration Route, the Ashbourne Airfield Link Road and Hollis Lane Link Road Phase 1 in Chesterfield to improve road access	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>Delivered three transport infrastructure projects to unlock brownfield regeneration sites increasing homes and employment opportunities</li> </ul>	✓
Prepared a countywide response to the Integrated Rail Plan in relation to HS2, minimising any potential disruption and taking full advantage of the economic growth opportunities linked to the proposals	Service Director Economy and Regeneration	May 2017	September 2021	<ul style="list-style-type: none"> <li>Developed and agreed mitigation plan or alternative plan for growth</li> <li>Confirmed the proposals for the reopening of the Barrow Hill / Ivanhoe Lines</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Completed the viability assessment to progress the Chesterfield to Staveley Regeneration Route, which will create new homes and jobs for the area	Service Director Economy and Regeneration	September 2020	December 2021	<ul style="list-style-type: none"> <li>Completed viability assessment</li> <li>Developed and agreed Transport Strategy for Northern Growth Zone</li> </ul>	✓
Developed and started to implement a Climate Change Strategy and Action Plan which sets out priorities to reduce the county's carbon emissions	Assistant Director Environment	April 2021	October 2021	<ul style="list-style-type: none"> <li>Priorities and next steps identified in the Climate Change Strategy and Action Plan</li> <li>Departments are aware of the Climate Projections for Derbyshire and are using them in Service Planning</li> <li>Agreed a governance structure with partners to develop a county-wide approach to understanding the sources of emissions and reducing them</li> </ul>	✓
Explored initiatives to tackle climate change, including low carbon local energy generation schemes and working with partners to provide further publicly accessible electric vehicle charge points	Assistant Director Environment	April 2021	March 2022	<ul style="list-style-type: none"> <li>Development of low carbon local energy generation schemes</li> <li>Increase in the number of accessible vehicle charge points</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Reduced carbon emissions from Council property and vehicles, street lighting and procurement	Assistant Director Environment	April 2021	March 2022 and ongoing to deliverable deadline of 2032	<ul style="list-style-type: none"> <li>• Reduction in greenhouse gas emissions from Council owned land and operations</li> <li>• Key projects to reduce emissions are being progressed</li> <li>• On track to meet target of net zero greenhouse gas emissions by 2032</li> <li>• An energy policy has been developed and implemented to support the Council's carbon reduction pledges.</li> <li>• Reduction in staff mileage</li> </ul>	✓
Reduced the level of flood risk to the residents and businesses of Derbyshire through our planning role, the delivery of flood mitigation schemes and working with communities and partners to develop flood resilience measures	Assistant Director Environment	April 2021	March 2022	<ul style="list-style-type: none"> <li>• Review of the Derbyshire Local Flood Risk Management Strategy</li> <li>• Consent responses completed</li> <li>• Increased customer satisfaction with flooding services responses</li> </ul>	✓
Drafted and agreed the Council's approach to Good Growth to maximise low carbon economic opportunities	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>• Implementation of Economic Recovery Strategy in line with agreed timescales</li> <li>• Approved the strategic Growth and Infrastructure Plan</li> <li>• Developed and approved delivery plan for exemplar transport project</li> <li>• LEVI strategy approved and implemented</li> </ul>	✓

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Developed the Natural Capital Strategy, identifying areas where the natural environment can be enhanced in order to support healthy thriving communities a vibrant economy, and a healthy environment	Service Director Economy and Regeneration	April 2021	April 2022	<ul style="list-style-type: none"> <li>• Strategy developed and approved in accordance with agreed timescales</li> </ul>	✓
Implemented year 1 of the Economic Covid-19 Recovery Strategy Action Plan rolling out a new £1m Business Start-up Programme to support business growth and a £2m Green Entrepreneurs Scheme to support innovation in low carbon technologies	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>• All planned rescue resume and revive actions completed within timescales</li> <li>• Business Start-up grant scheme and Green Entrepreneurs Scheme rolled out</li> <li>• Robust plans for regeneration and renewal developed and agreed for roll out March 2021 onwards</li> </ul>	✓
Implemented year 1 of the Covid-19 Employment and Skills Recovery Strategy Action Plan including delivery and expansion of a careers hub and development an implementation of a youth hubs		April 2021	March 2022	<ul style="list-style-type: none"> <li>• All planned rescue resume and revive actions completed within timescales</li> <li>• Delivery of the careers and youth hubs</li> <li>• Robust plans for regeneration and renewal developed and agreed for roll out March 2021 onwards</li> </ul>	✓
Developed and secured funding to implement WIFI infrastructure in 27 town centres and better understand how town centres are being used, to help shape future economic renewal programmes	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>• Developing a programme of roll out of town centres with WiFi infrastructure</li> </ul>	✓



Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Attracted more businesses to relocate or expand to Derbyshire through the delivery of the “Invest in Derbyshire” plan	Service Director Economy and Regeneration	June 2018	September 2022	<ul style="list-style-type: none"> <li>Delivered an investment summit across the County</li> </ul>	✓
Implemented the gigabit top up voucher scheme and increased take-up of fibre enabled broadband across Derbyshire, particularly in rural areas, to improve access, speed and reliability for homes and businesses	Service Director Economy and Regeneration	April 2014	December 2021	<ul style="list-style-type: none"> <li>Completed and reconciled Contract 2 of Digital Derbyshire Programme</li> <li>Implemented Voucher top up scheme</li> </ul>	✓
Worked with Derbyshire Businesses to support the creation of apprenticeships in key economic sectors, connecting people to local job opportunities	Service Director Economy and Regeneration	April 2021	March 2022	<ul style="list-style-type: none"> <li>Roll out of Levy Transfer to create additional apprenticeship opportunities</li> <li>Provided direct support to SMEs to enable them to grow</li> </ul>	✓
Develop and delivered a strategic approach to Sustainable Travel across the County, promoting cycling and walking		April 2021	March 2022	<ul style="list-style-type: none"> <li>Responding to National Bus Strategy</li> <li>Further roll out of the Key Cycle Network Priorities in line with funding</li> <li>Develop a sustainable bus network</li> <li>Delivery of the Local Cycling and Walking plan in line with planned timescales</li> </ul>	✓
Continue to refine and implement a comprehensive regeneration programme including Trent Valley, Derwent Valley, Rother Valley development and the Market Town Strategy	Service Director Economy and Regeneration		Ongoing	<ul style="list-style-type: none"> <li>Business opportunities for growth in Derbyshire are maximised</li> </ul>	

Actions	Lead	Start	Complete	Success Measures	Deliverable listed in the Council Plan
Develop and implement a programme to support the 'Shine a light on Derbyshire'	Service Director Economy and Regeneration	April 2019	September 2021	<ul style="list-style-type: none"> <li>Festival programme implemented attracting more national and international visitors</li> </ul>	
Support regional partnership working to secure economic growth through national and international development, e.g. with D2N2 LEP, Midlands Engine, Midlands Connect and renewable energy with the Midlands Energy Hub	Service Director Economy and Regeneration		Ongoing	<ul style="list-style-type: none"> <li>Funds secured from external infrastructure funding sources</li> <li>Overseas opportunities for trade and investment identified</li> </ul>	
Complete the reviews and finalisation of the Waste Local Plan and Minerals Local Plan	Service Director Economy and Regeneration	April 2017	December 2021	<ul style="list-style-type: none"> <li>Local Plans adopted</li> </ul>	

## Key performance measures

Description	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
• No. of businesses supported to export	n/a	51	0	20	5
• Number of start-up businesses supported	n/a	43	0	Monitor	50
• Number of enterprises receiving Information, Diagnostic and Brokerage (IDB)	12	2,028	56	Monitor	100
• Amount of external funding secured in last 12 months	£52.6m	£9,601,992	£15,386,826	£20,000,000	tbc
• No of jobs created at Markham Vale	2,236	2,102	N/A	3,400	2,500
• Percentage of total 200 acres development land occupied at Markham Vale	62.8%	77.9%	67.9%	82.0%	75%
• External funding secured Derwent Valley Mills	371,035	Not available	Not available	55,000	55,000
• Number of visitors to Derwent Valley Mill Sites	621,273	514,574	44,590	545,000	180,00

Description	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
• Secondary spend (economic impact) of World Heritage Site based on visitor numbers	£15,264,076	£12,642,565	£1,095,532	£13,390,105	£4,422,420
• Number of properties classed as hard to reach (post Contract 2) that are fibre enabled	N/A	N/A	N/A	N/A	500
• Percentage of road defects repaired within target	71.5%	77.2%	81.1	90%	90%
• Percentage of principal roads where maintenance should be considered (*revised methodology introduced 2019-20)	2%	13%*	17%	13%	13%
• Percentage of non-principal roads where maintenance should be considered (*revised methodology introduced 2019-20)	4%	23%*	17%	23%	23%
• Percentage of Unclassified road network where maintenance should be considered	N/A	N/A	27%	31%	tbc
• Number of people killed and seriously injured on Derbyshire's roads	330	326	Not available	Monitor	N/A
• Number of low carbon vehicle charging points	n/a	44	68	tbc	tbc
• Percentage of Strategy and Growth Panel active projects on track	92.3%	93.8%	85.7%	90%	90%
• Percentage infrastructure delivery active projects on track where DCC is promoter	85.7%	85.7%	85.7%	85%	85%
• Percentage reduction in greenhouse gas emissions from Council owned land and operations from 2010 baseline	47.9%	55.3%	tbc	55%	55%
• Percentage of flood enquiry responses provided within allocated timescales	78%	74%	53%	70%	70%
• Percentage of land drainage consents applications responded to within 8 weeks	88%	80%	100%	85%	85%
• Percentage of planning applications responded to by Flood Team within 21 days	87%	22%	38%	75%	75%

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## Section Two: Departmental Priorities

In addition to the Council Plan priorities, the following Departmental priority has been identified:

### Maintaining a safe and sustainable environment

Actions	Lead	Start	Complete	Success Measures
<ul style="list-style-type: none"> <li>Continue to work in partnership with Derby City Council on the multi-million pound, long term joint waste project to manage Derby and Derbyshire's Local Authority Collected Municipal Waste in a more sustainable way, reducing the amount of waste sent to landfill and the amount of CO2 generated</li> </ul>	Assistant Director Environment		Ongoing	<ul style="list-style-type: none"> <li>Reduction in the amount of waste sent to landfill</li> <li>Increase in the amount of waste recycled and composted.</li> <li>Progressed recommissioning of Waste Treatment Centre at Sinfyn</li> <li>Increased customer satisfaction</li> </ul>
<ul style="list-style-type: none"> <li>Develop through our close partnerships with district and borough councils' solutions that minimise waste and increase recycling</li> </ul>	Assistant Director Environment		Ongoing	<ul style="list-style-type: none"> <li>Increase in the amount of waste recycled and composted</li> </ul>
<ul style="list-style-type: none"> <li>Work with partners, including both Local Access Forums, towards an integrated, well managed and inclusive Rights of Way and access network, through the implementation of the Rights of Way Improvement Plan</li> </ul>	Service Directors Highways / Economy and Regeneration		Ongoing	<ul style="list-style-type: none"> <li>Opportunities for joint working continue to be explored to develop and maintain more sustainable travel routes</li> </ul>
<ul style="list-style-type: none"> <li>Work with borough and district councils, utilities and property developers to champion eco-homes fit for the future and to help communities and businesses become less dependent on energy</li> </ul>	Service Director Economy and Regeneration		Ongoing	<ul style="list-style-type: none"> <li>Increase in the number of eco-homes built</li> <li>Local Plans include policies that require the development of eco-homes</li> </ul>
<ul style="list-style-type: none"> <li>Seek Government support to ensure the level of investment and national planning regulations support the Council's ambition to reduce greenhouse gas emissions in Derbyshire</li> </ul>	Service Director Economy and Regeneration		Ongoing	<ul style="list-style-type: none"> <li>Reduction in the CO2 emissions for Derbyshire</li> </ul>
<ul style="list-style-type: none"> <li>Support and promote the development of low carbon travel for employee business travel</li> </ul>	Assistant Director	April 2021	March 2022	<ul style="list-style-type: none"> <li>Reduction in grey fleet miles and carbon emissions</li> </ul>

Actions	Lead	Start	Complete	Success Measures
through the use of technology and electric vehicle fleet	Resources and Improvement			<ul style="list-style-type: none"> <li>Low Carbon Pool Fleet available at multiple locations across the County</li> </ul>
<ul style="list-style-type: none"> <li>Introduce low carbon vehicles into the Council fleet – light vehicles and HGVs</li> </ul>	Assistant Director Resources and Improvement	April 2021	March 2022	<ul style="list-style-type: none"> <li>Reduction in CO2 emissions from Council fleet vehicles</li> </ul>
<ul style="list-style-type: none"> <li>Introduce a managed Central Vehicle Supply (CVS) desk to enable a single cross departmental point of contact for short term vehicle requisition. Increase the use of the electric fleet, explore temporary re-deployment of fleet vehicles, manage and control the use of hired vehicles and reduce downtime for departments</li> </ul>	Assistant Director Resources and Improvement	April 2021	March 2022	<ul style="list-style-type: none"> <li>Reduced annual spend on hire vehicles</li> <li>Reduction in CO2 emissions</li> <li>Decreased downtime for key departments leading to increased outputs</li> </ul>
<ul style="list-style-type: none"> <li>Deliver the Elvaston Masterplan delivery programme</li> </ul>	Assistant Director Resources and Improvement	January 2021	March 2022	<ul style="list-style-type: none"> <li>Submission of planning applications</li> <li>Confirmation of funding sources</li> <li>Undertake further stakeholder engagement</li> </ul>

### Key performance measures

Description	Actual	Actual	Latest	Target	Target
	2018-19	2019-20	2020-21	2020-21	2021-22
Percentage of household waste sent for reuse, recycling and composting	48%	47.5%	47.0%	47.0%	47.0%
Percentage landfilled of total Municipal Waste collected	17%	20.0%	10.0%	15.0%	15.0%
Utilisation of low carbon fleet pool vehicles	N/A	N/A	tbc	tbc	tbc
Number of registered users of low carbon pool vehicles	N/A	N/A	tbc	tbc	tbc

## Approved Controllable Budget

Service Area	Employee Related £'000	Premises Related £'000	Transport Related £'000	Supplies & Services £'000	Agency & Contracted Services £'000	Unallocated budgets £'000	Growth £'000	Controllable Recharges £'000	Gross Budget £'000	Income £'000	Net Budget £'000
<b>Departmental Management Team</b>	600	0	8	5	0	0	0	2	615	0	615
<b>Economy &amp; Regeneration:</b>											
Planning Services	1,273	0	9	54	0	0	0	6	1,342	(245)	1,097
Economic Regeneration	605	179	19	592	38	(73)	2,000	40	3,400	(379)	3,021
Development Control	714	0	18	0	0	0	0	2	734	(1,338)	(604)
Employment and Skills	0	0	0	0	0	50	70	0	120	0	120
Strategic Transport	119	0	2	16	0	0	0	1	138	0	138
Derwent Valley Mills World Heritage Site	120	0	5	13	0	0	0	1	139	(27)	112
Conservation	486	1	9	13	0	(11)	0	(5)	493	(124)	369
Public Transport	945	13	43	256	20,366	(578)	250	(88)	21,207	(7,107)	14,100
Unallocated Savings	0	0	0	0	0	(518)	0	0	(518)	0	(518)
<b>Environment:</b>											
Waste Management	443	160	20	579	44,667	(230)	0	12	45,651	(2,326)	43,325
Countryside Services	2,099	237	55	316	141	(400)	550	427	3,425	(934)	2,491
Flood Risk Management	280	0	3	147	0	0	0	1	431	0	431
<b>Highways:</b>											
Highway Network Planning	4,785	820	632	4,548	5,689	(50)	700	2,044	19,168	(2,345)	16,823
Highway Construction	250	(154)	(1,202)	30	6	0	0	1,011	(59)	(2)	(61)

Service Area	Employee Related £'000	Premises Related £'000	Transport Related £'000	Supplies & Services £'000	Agency & Contracted Services £'000	Unallocated budgets £'000	Growth £'000	Controllable Recharges £'000	Gross Budget £'000	Income £'000	Net Budget £'000
Highways Strategy	368	(2)	(8)	73	5	0	0	35	471	(103)	368
Highway Design and Land Reclamation	(423)	0	33	87	0	0	0	11	(292)	(25)	(317)
Highway Road Safety	408	0	14	9	33	0	0	4	468	(377)	91
Emergency Planning	554	1	17	26	0	(57)	105	10	656	(268)	388
<b>Resources and Improvement:</b>											
Finance	433	0	1	5	0	0	0	1	440	0	440
Information Systems	71	0	0	0	0	0	0	0	71	0	71
Performance and Engagement	1,140	0	2	79	0	0	0	6	1,227	(580)	647
Other Business Support	1,755	24	7	215	7	(55)	0	(55)	1,898	(276)	1,622
Fleet Services	2,076	255	1,007	292	601	0	0	(3,730)	501	(1,448)	(947)
<b>Unallocated Budget Savings</b>	0	0	0	0	0	(5,467)	0	0	(5,467)	0	(5,467)
<b>TOTAL</b>	<b>19,101</b>	<b>1,534</b>	<b>694</b>	<b>7,355</b>	<b>71,553</b>	<b>(7,389)</b>	<b>3,675</b>	<b>(264)</b>	<b>96,259</b>	<b>(17,904)</b>	<b>78,355</b>



## Forward Plan of Procurement Projects – up to 31 March 2023

In line with the Council's Financial Regulations, the forward plan of procurement projects for the department, set out below, details procurement projects above £50,000 which are planned to commence over the next 24-month period. The table shows the estimate procurement start date. The projects identified will be the subject of a procurement process as per the procedures set out in the Council's financial regulations.

### Forward Plan of Procurements (above £50K less than the Find a Tender threshold of £189,330) due to commence prior to April 2023

Title	Value	Procurement Start	Key Decision
Internet Hosting and Public transport Route Map Production	£50,000.00	TBA	No
Non-invasive video data collection	£50,000.00	2021/2022	No
Pilot Adult Care projects for carers using e-bikes	£50,000.00	2022/23	No
Supply and Printing of Bus Stop and Associated Signage	£50,000.00	05/04/2023	No
Supply and Maintenance of Timemaster	£54,636.15	01/03/2021	No
Collision Analysis System (ACCSMAP)	£59,982.00	01/02/2022	No
Skegby Trail re-surfacing	£60,000.00	01/04/2021	No
Commissioning of a Community Engagement Strategy	£60,000.00	2021/22	No
Commissioning of a Climate Adaptation Plan to increase resilience to climate change	£60,000.00	2022/23	No
Supply of Vehicle Electrical Components and Consumables	£60,000.00	01/03/2021	No
Skegby Trail Batley Lane crossing improvements	£70,000.00	01/04/2021	No
Agnes Meadow bridge repair works	£70,000.00	01/04/2021	No
Supply of a Hosted Video Traffic Data Collection Processing and Reporting Solution, Mobile Equipment and Associated Services	£70,000.00	01/01/2022	No
Supply of temporary road signs	£70,000.00	28/08/2022	No
FP.51 Cromford (High Peak Junction) Footbridge Repainting	£75,000.00	01/06/2021	No
Markham Vale - Erin Road Cycleway	£75,000.00	01/06/2021	No
S10 Reservoir compliance work at Mapperley Reservoir	£80,000.00	01/12/2020	No
Traffic Regulation Order Management & Consultation System	£90,000.00	01/09/2021	No
Roadside Information and Infrastructure Maintenance	£100,000.00	01/04/2021	No
Festival of Derbyshire Commission	£100,000.00	01/09/2021	No

Title	Value	Procurement Start	Key Decision
Mapinfo	£100,000.00	01/04/2022	No
Commissioning of an Energy Strategy for Derbyshire	£100,000.00	2021/22	No
Novus-FX	£104,535.00	01/03/2021	No
Victoria Bridge Glossop Repairs (A624)	£120,000.00	01/06/2021	No
Commissioning of Natural Capital Strategy	£120,000.00	2021/22	No
Waste Education Theatre and Workshops. Part of the ECO Schools Programme - 3 year contract	£120,000.00	01/04/2022	No
Supply of Ice Cream	£140,000.00	01/01/2021	No
Geotechnical Works	£150,000.00	01/05/2020	No
Traffic Data software replacement	£150,000.00	01/04/2022	No
Loscoe Culvert - repair/replace following detailed survey	£150,000.00	Spring 2021	No
Provision of Vehicle Glass Replacement and Repair	£150,000.00	30/03/2023	No
Vehicle Parts	£150,000.00	01/12/2020	No
Supply and Fit of Vehicle Livery and Vinyls	£150,000.00	21/09/2022	No
The Supply of Workshop Consumables	£160,000.00	31/01/2023	No
Ticketing Analysis Software	£168,400.00	31/03/2022	No
Flare Replacement Programme - 5 flares closed landfill sites	£175,000.00	01/04/2022	No
Loscoe Gas Extraction system improvement works	£185,000.00	01/08/2021	No

### Forward Plan of Procurements (above the Find a Tender threshold of £189,330) due to commence prior to April 2023

Markham Vale Plot 8 Access Infrastructure	£200,000.00	01/10/2021	No
Support the implementation of low-emission school transport - seed funding	£200,000.00	2022/23	No
Supply of Concrete Kerbs and Flags	£200,000.00	01/05/2021	No
Supply of Rapid Set Mortars	£210,000.00	01/05/2021	No
Supply of Tools & Ancillaries for Highways & County Transport	£220,000.00	01/11/2021	No
Chesterfield Canal - Renishaw	£230,000.00	01/09/2021	No
Purchase, installation and back office software for Pay and Display Machines	£250,000.00	01/01/2021	No
Ashbourne Bypass Preliminary design and Environmental Statement	£250,000.00	Mar-21	No
Town Deal and Future High Streets scheme design	£250,000.00	Mar-21	No
South Derby Growth Zone Detail Design	£250,000.00	01/04/2021	No

Title	Value	Procurement Start	Key Decision
Hogshaw/Fairfield Roundabout, Buxton - Golf club earthworks contract	£250,000.00	Mar-21	No
Local Transport Plan technical support	£250,000.00	01/04/2021	No
Highway Extents Digitisation	£250,000.00	2021/2022	No
HS2 Consultancy Support/Studies	£250,000-£300,000	01/08/2021	No
Elvaston Castle Capital Enabling Works including Updated Conservation Plan	£270,000.00	01/03/2021	No
Bolsover Footbridge - repair/replace following survey	£275,000.00	01/04/2021	No
Laboratory IT Software Solution	£275,000.00	01/06/2021	No
Supply of cables, cut-outs and sundries for Street Lighting works	£340,000.00	01/04/2021	No
Frame Yard Redevelopment as Secure Staff Compound (includes £10k design fees)	£350,000.00	01/02/2021	No
Glossop Household Recycling Centre - update of drainage and installation of impermeable surface	£350,000.00	01/04/2021	No
Supply of photo-electric control units for Street Lighting	£360,000.00	01/04/2021	No
Supply of Ford Vehicles Parts to OEM or Equivalent Specification	£385,000.00	31/07/2022	No
Vehicle Hire	£400,000.00	30/01/2021	No
Safety fencing maintenance and repair works	£400,000.00	01/05/2021	No
Autodesk Subscriptions (inc. AutoCAD)	£405,000.00	01/04/2021	No
Concessionary fares consultancy support	TBA	01/07/2021	No
Smart ticketing consultancy support	TBA	01/07/2021	No
Collection of Waste Electrical and Electronic Equipment (WEEE)	TBA	30/09/2024	No
Elvaston Castle Defective Structure Repairs	£500,000.00	Mar-21	Yes
A61 GC Technology Project – CCTV framework	£500,000.00	01/10/2021	Yes
Provision of PPE Safety Wear	£540,000.00	01/08/2021	Yes
Automatic Traffic Counting	£558,373.05	01/01/2019	Yes
C43017 Harrington Bridge Refurbishment	£700,000.00	01/07/2021	Yes
Provision of Vehicle Accident Specialist Body Repairs	£750,000.00	Mar-21	Yes
Provision of Vehicle Specialist Repairs	£750,000.00	Mar-21	Yes
Provision of Services for the Collection and Disposal of Landfill Leachate	£800,000.00	01/01/2021	Yes
Vehicle Parts	£900,000.00	01/12/2020	Yes
Drainage Surveys - to include Camera Survey, Root Cutting & Cleaning & Drain Lining	£1,000,000.00	31/12/2021	Yes
VMS/PGI Framework contract	£1,000,000.00	01/10/2021	Yes

Title	Value	Procurement Start	Key Decision
Supply of lamps for Street Lighting	£1,000,000.00	01/04/2021	Yes
Supply of Specialist Plant for Surface Dressing	£1,200,000.00	30/10/2022	Yes
Surface Dressing Specialist Plant Hire	£1,322,157.00	30/10/2022	Yes
Asset Management Solution for Environmental Services	£1,329,774.00	01/04/2021	Yes
Highways drainage cleansing	£1,400,000.00	01/08/2021	Yes
Derbyshire Connect Active Travel	£1,466,000.00	01/09/2021	Yes
Surfacing & ancillary works for Highways	£1,500,000.00	01/11/2021	Yes
Supply of coated roadstone materials	£2,000,000.00	30/06/2022	Yes
Vehicle Hire Self Drive	£2,000,000.00	01/02/2021	Yes
Supply of Chippings for Surface Dressing	£2,100,000.00	30/10/2022	Yes
Provision of Surface Dressing Binder	£2,400,000.00	30/10/2022	Yes
Supply of dry roadstone materials	£3,000,000.00	30/06/2022	Yes
Supply of ready mixed concrete	£3,000,000.00	01/01/2021	Yes
Micro Surfacing of Derbyshire Highways including Surface Dressing, Slurry Sealing and thin Coat Surfacing	£4,000,000.00	31/12/2021	Yes
Insitu – Recycling	£4,000,000.00	31/12/2021	Yes
A61 Growth Corridor - Chesterfield Station Masterplan Hollis Lane Link Road Construction	£4,500,000.00	01/04/2021	Yes
Road marking and studding works	£4,500,000.00	01/05/2021	Yes
Fuel Cards	£4,520,000.00	01/01/2021	Yes
A61 Growth Corridor - Avenue Southern Access and Link Road Construction	£4,600,000.00	01/04/2021	Yes
Buxton Fairfield Roundabout	£5,000,000.00	Mar-21	Yes
Installation and removal of Street Lighting	£5,000,000.00	01/04/2021	Yes
Civil Parking Enforcement Contract	£6,000,000.00	01/08/2021	Yes
Ashbourne Airfield - Construction & Utility Works	£6,500,000.00	Mar-21	Yes
Broadband Delivery Framework (BDUK)	£14,780,000.00	31/03/2023	Yes
Elvaston Master Plan Delivery Programme	£15,000,000.00	Mar-21	Yes
Vehicle Purchase	£15,000,000.00	01/10/2020	Yes
Food and Garden waste processing contract	£34,000,000.00	01/01/2023	Yes
Transport Services DPS (Local Bus, School Bus, SEND & Adult Care)	£100,000,000.00	01/12/2020	Yes
Professional services contract	£100,000,000.00	Mar-21	Yes

Title	Value	Procurement Start	Key Decision
Provision of HWRC's (10 years) and Transfer Stations (3 years) and the disposal of residual waste	£188,000,000.00	01/07/2022	Yes

**Please note:** *The above procurement plans includes the replacement of existing contracts and also an early indication of new projects which may require procurement activity to commence within 2021-23. All values are estimated and may change when projects are tendered*

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## Vehicle Replacement Programme 2021-2022

Quantity to Replace	Average Age Profile of Existing Vehicles (Years)	Replacement Vehicle Type	New Vehicle Optimum Life (Years)	Estimated Capital Cost per Vehicle (£)	Estimated Capital Cost x No. of Vehicles (£)	Estimated Charge per Year per Vehicle (£)	Estimated Annual Budget Cost = Charge x Number of Units (£)
1	13	4WD Light Vehicles	7	37,000	37,000	7,527	7,527
2	10	Accessible Vehicle / Minibus with passenger lift & wheelchair provision - Van based	6	30,000	60,000	7,156	14,312
5	12	Minibus up to 16 Passenger Seats	6	30,000	150,000	7,932	39,660
1	12	Car - MPV	6	30,000	30,000	6,482	6,482
4	12	Light Commercial Vehicles (LCV) > 3000kg DGW up to 3500kg DGW - Panel Van	6	34,000	136,000	5,352	21,408
4	6	Minibus up to 16 Passenger Seats	6	30,000	120,000	7,635	30,540
2	13	4WD Light Vehicles	7	37,000	74,000	7,467	14,934
11	10	Light Commercial Vehicle (LCV) up to 3000kg DGW	6	22,000	242,000	6,019	66,209
4	10	Light Commercial Vehicles (LCV) > 3000kg DGW up to 3500kg DGW - Panel Van	6	34,000	136,000	7,621	30,484
1	12	Heavy Goods Vehicle from 3500kg GVW to 7500kg GVW - 7.5T Crane/Tipper	10	78,000	78,000	15,716	15,716

Quantity to Replace	Average Age Profile of Existing Vehicles (Years)	Replacement Vehicle Type	New Vehicle Optimum Life (Years)	Estimated Capital Cost per Vehicle (£)	Estimated Capital Cost x No. of Vehicles (£)	Estimated Charge per Year per Vehicle (£)	Estimated Annual Budget Cost = Charge x Number of Units (£)
4	12	4WD Light Vehicles	7	37,000	148,000	7,527	30,108
11	12	Light Commercial Vehicle (LCV) up to 3000kg DGW	6	22,000	242,000	5,711	62,821
6	6	Light Commercial Vehicles (LCV) > 3000kg DGW up to 3500kg DGW	6	34,000	204,000	7,387	44,322
1	15	Light Commercial Vehicles (LCV) > 3000kg DGW up to 3500kg DGW - Welfare Van	10	40,000	40,000	4,675	4,675
12	12	Light Commercial Vehicles (LCV) > 3000kg DGW up to 3500kg DGW - Tipper	6	47,000	564,000	10,151	121,812
5	15	Heavy Goods Vehicles over 7500kg GVW - 12T Tipper	10	78,000	390,000	15,247	76,235
11	15	Heavy Goods Vehicles over 7500kg GVW - 18T Tipper	10	80,000	880,000	16,683	183,513
6	12	Heavy Goods Vehicles over 7500kg GVW - 18T Crane/Tipper	10	110,000	660,000	21,625	129,750
9	10	HGV 18t Gritter and Snow Plough	10	129,000	1,161,000	26,111	234,999
1	10	HGV 26t Gritter, Straight Blade and Vee Snow Ploughs	10	160,000	160,000	33,474	33,474
<b>109</b>				<b>Total</b>	<b>5,512,000</b>		<b>1,168,981</b>

## Waste Management Service Capital Programme 2021-2022

Capital Scheme	Description	Estimated Total Cost of Project (£)
<b>Closed Landfill Flare Replacement Programme</b>	Deliver the second phase of the new flare replacement programme. The strategy will replace all flares over a 3-year programme	£165,000
<b>Loscoe Closed Landfill Site Improvements</b>	Upgrade some gas wells and replace the gas flare to ensure that this facility continues to manage landfill gas effectively at this site.	£185,000
<b>Glossop Transfer Station</b>	Upgrade the drainage system and an impermeable surface to manage surface water.	£350,000



## Derelict Land Reclamation and Regeneration Capital Programme 2021-2022

Scheme Location	Description	Total Scheme Cost (£)	Works Estimate (£)	Design Fees (£)	Total (£)	Funded from Rec Cap 325K (£)	Funding
<b>North Area</b>							
Markham Vale		41,350,000					
	See MEGZ capital programme						Cap receipts & other grants
	Markham North Tip Alt. Access		35,000	0	35,000	35,000	Rec Capital
Chesterfield Canal	Embankment Strengthening	45,000	40,000	5,000	45,000	45,000	Rec Capital
Grassmoor Aftercare	Fencing to treatment lagoon Ph2	15,000	15,000	0	15,000	15,000	Rec Capital
<b>Others</b>							
Mineshaft Capping		26,549	20,549	6,000	26,549	25,000	HPBC and DDDC (1,549k) and Rec Capital (25k)
<b>SUB TOTAL</b>			125,549	11,000	<b>121,549</b>	<b>120,000</b>	
	<b>Others / Private</b>				<b>376,549</b>		Sustrans, Landfill or similar grants (365k), Sponsorship (10k)
	<b>DCC Capital Receipt</b>				<b>1,148,000</b>		Land sales
	<b>TOTAL NET</b>				<b>1,524,549</b>		

Highways Capital Programme 2021-2022

	Dft Allocation	2021/22	% of Bid	
<b>01 Highway Maintenance Programme</b>				
01		£12,839,815	53.4%	
02		£2,100,000	8.7%	
03		£3,251,785	13.5%	
04		£2,407,000	10.0%	
05		£1,281,250	5.3%	
06		£1,094,950	4.5%	
07		£750,000	3.1%	
08		£183,750	0.8%	
09		£157,500	0.7%	
<b>Highway Maintenance Programme Total</b>		<b>£23,699,000</b>	<b>£24,066,050</b>	<b>100.0%</b>
<b>02 Integrated Transport Programme</b>				
01		£270,000	8.2%	
02		£547,500	16.6%	
03		£101,500	3.1%	
04		£1,317,750	39.9%	
05		£818,200	24.8%	
06		£250,000	7.6%	
07		£0	0.0%	
<b>Integrated Transport Programme Total</b>		<b>£3,672,000</b>	<b>£3,304,950</b>	<b>100.0%</b>
		<b>£27,371,000</b>	<b>£27,371,000</b>	
<b>Capital Highways Improvements and Maintenance Programme Total</b>				
<b>2021-22 Funding Package</b>				
DfT Pothole Funding			10,533,000	
DfT Highway Maintenance Block funding (needs element)			10,533,000	
DfT Highway Maintenance Block funding (incentive element)			2,633,000	
DfT Integrated Transport Block funding			3,672,000	
<b>Funding Package Total</b>			<b>£27,371,000</b>	

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Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	SCRIM Remedials	Carriageway Surface Treatment/Carriageway Resurfacing	Various	£210,000
	Various	Various - Preparatory works to support future years surface dress programme	Proprietary works to support future years surface dressing programme	Various	£876,296
	Various	Various - Carriageway Patching	Carriageway Patching	Various	£750,000
	Various	Various - Severe weather recovery	Carriageway repairs	Various	£1,000,000
	Various	Various - Network resilience	Carriageway repairs	Various	£585,069
	Various	Various - High impact short network sections	Carriageway repairs	Various	£1,300,000
	Various	Various	Programme preparation and project management	Various	£160,000
				Various Carriageways	<b>£4,881,365</b>

### Resilient Network

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Hathersage	A6187 Sheffield Road (The Beeches to County Boundary)	Surface Dressing	Bakewell	£140,513
	Buxton	A6 Bakewell Road (Morrisons Rbt to Cunning Dale)	Surface Dressing	Buxton North & East/ Buxton West	£94,987
	Monyash	A515 Ashbourne Road (Pilsbury Road to Bruntmoor Cottage)	Surface Dressing	Dovedale	£93,292

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Matlock	A615 Matlock Green (Knowlestone PI to Mornington Rise)	Surface Dressing	Matlock	£14,297
			Calver	A623 Un-named Section (Calver X-Roads to Trinkey Lane)	Surface Dressing	Derwent Valley	£23,701
			Brough	B6049 Stretfield Road (A6187 to Brough Cottage)	Surface Dressing	Chapel & Hope Valley	£22,557
			Old Tupton	A61 Derby Road (Roundabout to The Homestead)	Surface Dressing	Clay Cross North	£17,644
			Clay Cross	A61 Derby Road (The Homestead to The Six Halts)	Surface Dressing	Clay Cross North	£41,060
			Clay Cross	A61 Derby Road (McDonalds to Tesco petrol station)	Surface Dressing	Clay Cross North	£21,102
			Clay Cross	A61 High Street (Aldi to Thanet St)	Surface Dressing	Clay Cross North	£28,004
			Shirland	A61 Main Road (Town End to Speed Limit signs)	Surface Dressing	Wingerworth & Shirland	£45,166
			Shirland	A61 Chesterfield Road (southbound) (Duals splitter to Alfreton brook)	Surface Dressing	Wingerworth & Shirland	£12,453
			Shirland	A61 Chesterfield Road (northbound) (Duals splitter to Alfreton brook)	Surface Dressing	Wingerworth & Shirland	£13,195
			Alfreton	A61 Chesterfield Road (southbound) (Alfreton brook to duals splitter)	Surface Dressing	Alfreton & Somercotes	£21,864
			Alfreton	A61 Chesterfield Road (northbound) (Alfreton brook to duals splitter)	Surface Dressing	Alfreton & Somercotes	£22,328
			Alfreton	A61 Chesterfield Road (Duals to King Street)	Surface Dressing	Alfreton & Somercotes	£19,328

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Brimington	A619 Ringwood Road (High St to Bottom Lodge)	Surface Dressing	Brimington	£31,098
	Staveley	A619 Chesterfield Road (Bottom Lodge to Private Drive)	Surface Dressing	Staveley	£6,843
	Staveley	A619 Lowgates (Duke Street roundabout to Fan Rd)	Surface Dressing	Staveley North & Whittington	£16,517
	Mackworth	A52 Ashbourne Road (Brun Ln to Mackworth Duals )	Surface Dressing	Alport and Derwent	£61,590
	Ilkeston	A6096 Millership Way (KFC/Tesco rbt to railbridge)	Surface Dressing	Ilkeston East	£35,914
	Kirk Langley	A52 Ashbourne Road (New House Farm to Flagshaw Ln)	Surface Dressing	Alport and Derwent	£33,231
	Langley Mill	A608 New Derby Road (The Great Northern PH to duals splitter)	Surface Dressing	Greater Heanor	£12,866
	Langley Mill	A608 Derby Road (Asda rbt to The Great Northern PH)	Surface Dressing	Greater Heanor	£7,719
	Ripley	B6441 Hartshay Hill (30mph to B6374 Cromford Rd)	Surface Dressing	Ripley East and Codnor	£11,435
	Ripley	B6441 Nottingham Road (Grosvenor Rd to A610)	Surface Dressing	Ripley East & Codnor	£36,901
	Chesterfield	A619 Chatsworth Road eastbound (Brampton Furnishings to West Bars rbt)	Surface Dressing	Boythorpe & Brampton South	£30,237
	Dronfield	B6057 Chesterfield Road (Green Ln to no. 27)	Carriageway Resurfacing	Dronfield East	£71,056
	Chesterfield	A619 West Bars Roundabout (incl Wheatbridge Rd junction and Markham Road eastbnd junct)	Carriageway Resurfacing	Boythorpe & Brampton South/ Spire	£110,420
	Renishaw	A6135 Station Road (Spinkhill Ln to west of rail bridge)	Carriageway Resurfacing	Eckington & Killamarsh	£149,062

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Long Eaton	B6002 Wilsthorpe Road/Pennyfields Boulevard rbt	Carriageway Resurfacing	Sawley/ Petersham	£19,043
	New Mills	A6015 Albion Road (A6 Swan Signals to joint at Station)	Carriageway Resurfacing	New Mills	£71,056
	New Houghton	A617 Chesterfield Road (Pleasley rbt)	Carriageway Resurfacing	Shirebrook & Pleasley	£88,251
	Chapel-En-Le-Frith	A6 Chapel By-Pass Northbound (100m approach to Whaley Bridge rbt)	Carriageway Resurfacing	Whaley Bridge	£46,778
	Barlborough	A6135 West bound dual (A619 to Jn 30)	Carriageway Resurfacing	Barlborough & Clowne	£93,225
	Buckland Hollow	A610 Ripley Road (Approach to B6013 Pentrich from Ripley)	Carriageway Resurfacing	Ripley West & Heage	£37,173
	Yeldersley	A52 Derby Road (100m approach either side Lady Hole Lane)	Carriageway Resurfacing	Ashbourne	£63,950
	Chesterfield	A617 Southbound dual (Lordsmill rbt to Horns Bridge rbt)	Carriageway Resurfacing	Spire	£77,380
	Barlborough	A6135/M1 Junction 30 roundabout circulatory	Carriageway Resurfacing	Barlborough & Clowne	£170,250
	Alfreton	A61 Derby Road (A615 Eachwell Ln to Oakerthorpe Brook)	Carriageway Resurfacing	Alfreton & Somercotes	£31,975
	Buxton	A53 Station Road /A515 roundabout	Carriageway Resurfacing	Buxton West	£24,162
	Baslow	A623 Calver Road aka Shay Bend	Carriageway Resurfacing/ High Friction Surfacing	Derwent Valley	£116,944
	Bakewell	A6 Haddon Road/Agricultural Way (HRA joint o/s allotments to Intake Ln)	Carriageway Resurfacing	Bakewell	£57,555
	Tupton	A61 Derby Road/Queen Victoria Road rbt	Carriageway Resurfacing	Wingerworth & Shirland/ Clay Cross North	£32,615

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Mastin Moor	A619 Worksop Road (Norbriggs Rd to Lansbury Ave)	Carriageway Resurfacing	Staveley	£23,981
	Ripley	A610 Nottingham Road (B6441 rbt to no. 168)	Carriageway Resurfacing	Ripley East and Codnor	£31,975
	Barlborough	A619 De Rodes Arms roundabout	Carriageway Resurfacing	Barlborough & Clowne	£38,659
	Ilkeston	A6096 Little Hallam Hill/Lower Stanton Road (Quarry Hill Rd to no.67)	Carriageway Resurfacing	Ilkeston West/ Ilkeston South	£48,324
	Heath	A617 Hasland Bypass east bound (100m approach to Jn29)	Carriageway Resurfacing	Sutton	£37,173
	Shipley	A6007 Heanor Road/Shipley Common Lane junction (no.228 to no.192)	Carriageway Resurfacing	Ilkeston West/ Ilkeston East	£47,963
	Chesterfield	A61 Chesterfield IRR nthbnd (100m approach to Tesco rbt)	Carriageway Resurfacing	St Mary's	£28,875
	Matlock	A632 Chesterfield Road (Quarry Ln to 100m northeast)	Carriageway Resurfacing	Matlock	£5,198
	Ilkeston	A6096 Ladywood Road (both directions 60m approaches to St Norberts Dr eastern junct)	Carriageway Resurfacing	Ilkeston West/ Ilkeston South	£38,370
	Ripley	A610/Wyatts Way rbt	Carriageway Resurfacing	Ripley East and Codnor	£31,336
	Swadlincote	A514 Civic Way (Midland Rd to Church St)	Carriageway Resurfacing	Swadlincote Central/ Swadlincote South	£113,831
	Brimington	A619 Chesterfield Road (Brackendale Close to Hall Road)	Carriageway Resurfacing	Brimington	£143,888
				Resilient Network Carriageways	<b>£2,766,306</b>

## Non-Resilient Network

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Buxton	A537 Cat and Fiddle (A54 Jtn to County Boundary)	Surface Dressing	Buxton West	£49,002
	Buxton	A54 Macclesfield Main Road (River Wye to A54/A537 Jtn)	Surface Dressing	Buxton West	£60,000
	Calver	A625 Froggatt Edge (Riddings Lane to Moorlands Lane)	Surface Dressing	Derwent Valley	£40,431
	Snelston/Norbury	B5033 Cockshead Lane/Green Lane/Norbury Hollow/Dove Street	Surface Dressing	Ashbourne	£209,113
	Ashford in the Water	B6465 Ashford Lane (Longstone Lane to Little Longstone Road)	Surface Dressing	Bakewell	£65,483
	Thorpe	C62 Narlow Lane	Surface Dressing	Dovedale	£39,010
	Thorpe	C62 Washbrook Lane	Surface Dressing	Dovedale	£23,071
	Bradbourne	C147 Brassington Lane	Surface Dressing	Wirksworth	£71,535
	Buxton	U10318 Temple Road (College Rd to Green Ln)	Surface Dressing	Buxton West	£14,438
	Buxton	U10327 Spencer Road (College Rd to Green Ln)	Surface Dressing	Buxton West	£14,438
	Buxton	U10291 Burlow Avenue	Surface Dressing	Buxton North & East	£4,574
	Buxton	U10292 Harris Road	Surface Dressing	Buxton North & East	£7,484
	Buxton	U10293 Elysee Gardens	Surface Dressing	Buxton North & East	£4,955
	Buxton	U10294 Tedder Avenue	Surface Dressing	Buxton North & East	£4,193
	Buxton	U10295 Trenchard Avenue	Surface Dressing	Buxton North & East	£13,964
	Buxton	U10296 Nettleton Lane	Surface Dressing	Buxton North & East	£3,846
	Buxton	U10297 Kirkstone Road	Surface Dressing	Buxton North & East	£5,544
	Buxton	U10298 College Way	Surface Dressing	Buxton North & East	£1,733



Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Combs	U10436 The Avenue	Surface Dressing	Whaley Bridge	£2,368
	Combs	U10437 Bellot Lane	Surface Dressing	Whaley Bridge	£2,916
	Stoney Middleton	U1129 Meadow Close	Surface Dressing	Derwent Valley	£7,046
	Stoney Middleton	U1138 Denham Crescent	Surface Dressing	Derwent Valley	£3,003
	Stoney Middleton	U1139 High Street (A623 to Middleton Lane)	Surface Dressing	Derwent Valley	£12,509
	Stoney Middleton	U1140 Middleton Lane (High Street to Highfields Farm)	Surface Dressing	Derwent Valley	£22,037
	Chinley	U10491 Charley Lane (A6 Bridgeholm Green to Whitehough Head Lane)	Surface Dressing	Whaley Bridge	£21,310
	Chinley	U10560 Princes Road	Surface Dressing	Whaley Bridge	£6,653
	Chinley	U10562 Belgrade Avenue	Surface Dressing	Whaley Bridge	£11,954
	Chinley	U10566 Ash Grove	Surface Dressing	Whaley Bridge	£13,825
	Chinley	U10567 Alders Avenue (incl cul-de-sac)	Surface Dressing	Whaley Bridge	£10,742
	Chinley	U10569 Pike View Close	Surface Dressing	Whaley Bridge	£3,188
	Chinley	U10570 Stockton Drive	Surface Dressing	Whaley Bridge	£4,401
	Chinley	U10572 Hartington Drive	Surface Dressing	Whaley Bridge	£1,940
	Chinley	U10573 Hawthorn Close	Surface Dressing	Whaley Bridge	£2,911
	Chinley	U10574 Hunters Green Close	Surface Dressing	Whaley Bridge	£2,841
	Matlock	U0061 Littlemoor Lane	Surface Dressing	Matlock	£49,088
	Two Dales	U0211 Wheatley Road	Surface Dressing	Derwent Valley	£8,691
	Hathersage	U1336 Church Bank	Surface Dressing	Bakewell	£5,798
	Hathersage	U1328 Moorland Road	Surface Dressing	Bakewell	£9,384

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Hathersage	U1324 Mill Lane	Surface Dressing	Bakewell	£5,532
	Hathersage	U1323 Dore Lane	Surface Dressing	Bakewell	£5,862
	Alfreton	B600 Nottingham Road (Prospect St to Abbott Rd (A38 slip))	Surface Dressing	Alfreton & Somercotes	£22,586
	Staveley	B6053 Eckington Road (Hall Lane to railbridge omit from A6192 road bridge to Farndale Rd)	Surface Dressing	Staveley North & Whittington	£64,455
	Sheepbridge	B6057 Sheffield Road (Sheffield Rd junction to boat yard)	Surface Dressing	Staveley North & Whittington	£28,702
	Clowne	B6418 High Street (John St to B6417 High St)	Surface Dressing	Barlborough & Clowne	£11,273
	Clowne	B6417 Mill Green Way (Tesco rbt to North Rd rbt)	Surface Dressing	Barlborough & Clowne	£5,405
	Brimington	B6050 Devonshire Street (A619 to Foljambe Rd)	Surface Dressing	Brimington	£7,235
	Chesterfield	B6057 Sheffield Rd (Donkey Derby PH to Duke St)	Surface Dressing	St Mary's	£44,040
	Palterton	C106 Ramcroft / Rylah Hill (Jn 29 to Main Street)	Surface Dressing	Bolsover South	£63,785
	Dronfield	C297 Gosforth Drive	Surface Dressing	Dronfield West & Walton	£51,784
	Pinxton	U12016 Alexander Terrace	Surface Dressing	Sth Normanton & Pinxton	£13,369
	South Normanton	U12135 Eastfield Drive	Surface Dressing	Sth Normanton & Pinxton	£16,187
	South Normanton	U12133 Corn Close	Surface Dressing	Sth Normanton & Pinxton	£15,402

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			South Normanton	U12059 The Sycamores	Surface Dressing	Sth Normanton & Pinxton	£10,470
			South Normanton	U12060 Chestnut Drive	Surface Dressing	Sth Normanton & Pinxton	£2,697
			South Normanton	U12129 Leamington Drive	Surface Dressing	Sth Normanton & Pinxton	£9,350
			South Normanton	U12132 Highfield Drive	Surface Dressing	Sth Normanton & Pinxton	£5,411
			South Normanton	U12130 Beech Grove	Surface Dressing	Sth Normanton & Pinxton	£3,638
			South Normanton	U12131 Hazel Grove	Surface Dressing	Sth Normanton & Pinxton	£7,681
			South Normanton	U12142 High View Road	Surface Dressing	Tibshelf	£41,760
			South Normanton	U12141 Normanton Brook Road	Surface Dressing	Tibshelf	£3,479
			New Whittington	U2053 Brearley Avenue	Surface Dressing	Staveley North & Whittington	£19,520
			Bolsover	U12792 Oxcroft Lane (Mill Ln to B6417 Mansfield Rd)	Surface Dressing	Bolsover South	£100,144
			Bolsover	U12845 Elmton Lane (from Welbeck Rd for 110 metres)	Surface Dressing	Bolsover South	£3,292
			Shirebrook	U9827 Portland Drive (Portland Rd to Sports Direct rbt)	Surface Dressing	Shirebrook & Pleasley	£17,591
			Elmton	U12539 Frithwood Lane	Surface Dressing	Bolsover North	£41,309
			Birdholme	U2640 Grangewood Road	Surface Dressing	Birdholme	£18,982
			Grangewood	U2685 Harehill Road (Birchwood Cres to bus turning area)	Surface Dressing	Birdholme	£43,699

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Newbold	U3298 Highfield Lane	Surface Dressing	St Mary's	£46,674
	Chesterfield	U2951 New Beetwell Street	Surface Dressing	Spire	£21,559
	Chesterfield	U2958 Beetwell Street (New Beetwell St to Hipper St)	Surface Dressing	Spire	£7,755
	Clowne	U12764 Rectory Road	Surface Dressing	Barlborough & Clowne	£11,683
	Clowne	U12746 Portland Street	Surface Dressing	Barlborough & Clowne	£14,801
	Clowne	U12739 Neal Street	Surface Dressing	Barlborough & Clowne	£9,107
	Clowne	U12737 Brook Lane (Neal St to A616 Creswell Rd)	Surface Dressing	Barlborough & Clowne	£2,501
	Clowne	U12719 Wilson Avenue	Surface Dressing	Barlborough & Clowne	£10,672
	Clowne	U12720 Jago Avenue	Surface Dressing	Barlborough & Clowne	£6,866
	Clowne	U12721 Rose Avenue	Surface Dressing	Barlborough & Clowne	£4,320
	Clowne	U12722 Clune Street	Surface Dressing	Barlborough & Clowne	£9,021
	Steetley	U9828 Dumb Hall Lane (County Boundary to County Boundary)	Surface Dressing	Bolsover North	£8,351
	Whitwell	U12656 Scratta Lane (Steetley Lane to County Boundary)	Surface Dressing	Bolsover North	£4,481
	Morton	U14480 Holland Close	Surface Dressing	Clay Cross South	£5,948
	Pilsley	U14461 Grange Road	Surface Dressing	Clay Cross South	£9,771
	Pilsley	U14472 Willow Close	Surface Dressing	Clay Cross South	£2,235
	Pilsley	U14470 Queen Street	Surface Dressing	Clay Cross South	£7,929
	Pilsley	U14464 Pear Tree Road	Surface Dressing	Clay Cross South	£7,092
	Pilsley	U14463 Broom Avenue	Surface Dressing	Clay Cross South	£10,077
	Pilsley	U14462 Lonsdale Road	Surface Dressing	Clay Cross South	£3,113
	Pilsley	U14467 Damon Close	Surface Dressing	Clay Cross South	£3,656
	Pinxton	U12002 Plymouth Avenue (to limits of adoption)	Surface Dressing	Sth Normanton & Pinxton	£18,307

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Chesterfield	U2477 Crow Lane (Piccadilly Rd to Green Ln)	Surface Dressing	Spire	£19,531
	Staveley	U2277 Fan Road (A619 to Cemetery Ln excl rbt)	Surface Dressing	Staveley North & Whittington	£35,534
	Staveley	U2278 Erin Road (Cemetery Ln to Staveley Rd)	Surface Dressing	Staveley	£20,594
	Staveley	U2316 Telford Crescent	Surface Dressing	Staveley North & Whittington	£6,064
	Staveley	U2230 Franklyn Drive	Surface Dressing	Staveley North & Whittington	£9,315
	Staveley	U2232 Hartington View	Surface Dressing	Staveley North & Whittington	£3,875
	Staveley	U2231 Hillcrest Grove	Surface Dressing	Staveley North & Whittington	£3,401
	Staveley	U2348 Ringwood Avenue	Surface Dressing	Staveley	£3,783
	Staveley	U2349 Rowsley Crescent	Surface Dressing	Staveley	£3,309
	Staveley	U2353 Dale Close	Surface Dressing	Staveley	£6,254
	Staveley	U2350 Cromford Drive	Surface Dressing	Staveley	£7,005
	Staveley	U2352 Litton Close	Surface Dressing	Staveley	£2,437
	Staveley	U2351 Tideswell Close	Surface Dressing	Staveley	£2,246
	Stretton	U14498 Mickley Lane	Surface Dressing	Wingerworth & Shirland	£27,148
	Belper	A609 Nottingham Road (Short Street to St John's Road)	Surface Dressing	Belper	£45,166
	Harstshorne	A514 Ticknall Road (SMA bed vic. Hartshorne Wood)	Surface Dressing	Melbourne	£19,161
	Shottle	A517 Ashbourne Road (Shottlegate Frm to no.27)	Surface Dressing	Alport and Derwent	£19,161
	Belper	A517 Bridge Foot (Belper Lane to A6)	Surface Dressing	Alport and Derwent	£17,793

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Belper	A609 Lander Lane	Surface Dressing	Belper	£6,515
	Riddings	B6016 Greenhill Lane, South St, High St (Swanwick Rd - Bullock Ln)	Surface Dressing	Alfreton and Somercotes	£87,914
	Swadlincote	B5353 Newhall Road (B586 Midway Rd to Springfield Rd rbt)	Surface Dressing	Swadlincote Central	£19,979
	Swadlincote	B5353 High Street (Wellwood Rd to Chapel St)	Surface Dressing	Swadlincote North	£15,253
	Ripley	B6179 Butterley Hill (Argyll Rd to Pentrich Rd)	Surface Dressing	Ripley East and Codnor	£9,986
	Derby	B6179 Alfreton Road (Duffield Rd to 30mph)	Surface Dressing	Breadsall and West Hallam	£9,051
	Swanwick	B6016 The Green (B6179 to High Street)	Surface Dressing	Alfreton and Somercotes	£6,819
	Horsley	C69 Smalley Mill Road (Wood Ln to Church St)	Surface Dressing	Horsley	£63,993
	Breadsall	C162 Moor Road (Breadsall sign to Morley Alms Houses)	Surface Dressing	Breadsall and West Hallam	£62,595
	Belper	C5 Dalley Lane	Surface Dressing	Alport and Derwent	£54,470
	Mackworth	C2 Brun Lane	Surface Dressing	Alport and Derwent	£50,889
	Quarndon	C234 The Common (Burley Ln to Inn Ln)	Surface Dressing	Melbourne	£48,296
	Belper	C234 Gunhills Lane	Surface Dressing	Alport and Derwent	£46,518
	Long Eaton	C359 Fields Farm Road (B6540 to Forbes Cl)	Surface Dressing	Long Eaton	£40,650
	Long Eaton	C164 Rushy Lane (30mph to Stanton Rd)	Surface Dressing	Sandiacre	£34,610
	Derby	C161 Portway (Alfreton Rd to Nether Ln)	Surface Dressing	Horsley	£27,102

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Stanley	C16 Station Road (Morley Lane 30mph at allotments)	Surface Dressing	Breadsall and West Hallam	£26,963
	Belper	C152 Whitewells Lane (Wyver View to Dairywood Farm)	Surface Dressing	Alport and Derwent	£26,623
	Belper	C73 Hazelwood Hill	Surface Dressing	Alport and Derwent	£24,902
	Belper	C4 Red Lane	Surface Dressing	Duffield and Belper South/ Horsley	£23,481
	Kirk Hallam	U6752 Godfrey Drive (A6096 to A6096)	Surface Dressing	Ilkeston West	£51,201
	Kirk Hallam	U6767 Oliver Road (Godfrey Drive to Godfrey Drive)	Surface Dressing	Ilkeston West	£28,078
	Kirk Hallam	U6771 Wyndale Drive (Abbot Rd to Godfrey Drive)	Surface Dressing	Ilkeston West/ Breadsall & West Hallam	£18,431
	Kirk Hallam	U6772 Sunningdale Drive (Wyndale Drive to Wyndale Drive)	Surface Dressing	Breadsall & West Hallam	£17,267
	Kirk Hallam	U6773 Crosshill Drive	Surface Dressing	Breadsall & West Hallam	£2,252
	Kirk Hallam	U6774 Ridgeway Drive	Surface Dressing	Breadsall & West Hallam	£4,767
	Kirk Hallam	U6775 Highfield Drive	Surface Dressing	Breadsall & West Hallam	£2,553
	Kirk Hallam	U6776 Bankfield Drive	Surface Dressing	Breadsall & West Hallam	£2,065
	Kirk Hallam	U6779 Sharp Close	Surface Dressing	Breadsall & West Hallam	£1,614
	Kirk Hallam	U6780 Friars Court	Surface Dressing	Ilkeston West	£2,177
	Stanley	U7213 Morley Lane (Derby Rd/Station Rd to start of path)	Surface Dressing	Breadsall & West Hallam	£31,306
	Stanley	U7214 Common Lane (Morley Ln to A609 Belper Rd, Stanley Common)	Surface Dressing	Breadsall & West Hallam	£67,793
	Stanley	U7211 Glebe Crescent	Surface Dressing	Breadsall & West Hallam	£9,084

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	West Hallam	U7160 Scargill Road (Hallam Way to St Wilfrid's Road incl cul-de-sac adl No.59 to 63 )	Surface Dressing	Breadsall & West Hallam	£17,943
	Heanor	U8251 Roper Avenue	Surface Dressing	Greater Heanor	£34,985
	Heanor	U8252 Buxton Avenue (Roper Ave to Sunningdale Ave incl service road to Avis Ave)	Surface Dressing	Greater Heanor	£21,884
	Heanor	U8254 Avis Avenue	Surface Dressing	Greater Heanor	£7,695
	Heanor	U8255 Owers Avenue	Surface Dressing	Greater Heanor	£3,303
	Heanor	U8257 Frederic Avenue	Surface Dressing	Greater Heanor	£3,491
	Heanor	U8258 Corfield Avenue	Surface Dressing	Greater Heanor	£3,228
	Heanor	U8253 Coppice Drive	Surface Dressing	Greater Heanor	£10,023
	Heanor	U8256 Birchfield Park	Surface Dressing	Greater Heanor	£1,914
	Heanor	U8259 Old Coppice Side (Roper Ave to Roper Ave (incl, service road no. 106 to 108))	Surface Dressing	Greater Heanor	£28,453
	Heanor	U8260 Thorpe Hill Drive	Surface Dressing	Greater Heanor	£16,404
	Osleston & Thurvaston, Trusley	U5050 Unnamed Road From Butterpot Lane Through to Goldhurst Farm	Surface Dressing	Hilton	£54,467
	Dalbury Lees	U5051 Church Road Off Heage Lane And Radbourne (C45 Dalbury to start of track (nr Manor Fm))	Surface Dressing	Hilton	£24,587
	Dalbury Lees	U5052 Unnamed road from Long Lane to Dalbury Hollow (Long Lane to C45 Dalbury)	Surface Dressing	Hilton	£95,345
	Sawley	U6253 Lakeside Avenue	Surface Dressing	Sawley	£9,084



Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Sawley	U6254 Lakeside Crescent	Surface Dressing	Sawley	£3,716
	Sawley	U6255 Austen Avenue	Surface Dressing	Sawley	£10,135
	Sawley	U6256 Kennedy Avenue	Surface Dressing	Sawley	£6,982
	Ripley	U8864 Highfield Way (Kirk Close to Maple Ave incl service rd 73 - 85)	Surface Dressing	Ripley West & Heage	£19,107
	Ripley	U8868 Kirk Close (Pear Tree Ave to limit of adoption at school entrance)	Surface Dressing	Ripley West & Heage	£6,682
	Ripley	U8870 High Meadow Close (school)	Surface Dressing	Ripley West & Heage	£1,914
	Ripley	U8869 Hazeltree Close (school)	Surface Dressing	Ripley West & Heage	£1,652
	Ripley	U8872 Willow Avenue	Surface Dressing	Ripley West & Heage	£5,931
	Ripley	U8873 Oak Avenue	Surface Dressing	Ripley West & Heage	£7,695
	Ripley	U8871 Ash Crescent	Surface Dressing	Ripley West & Heage	£12,425
	Ripley	U8862 Hawthorn Avenue	Surface Dressing	Ripley West & Heage	£11,899
	Ripley	U8881 Poplar Avenue	Surface Dressing	Ripley West & Heage	£3,266
	Ripley	U8882 Briars Way	Surface Dressing	Ripley West & Heage	£4,992
	Ripley	U8879 Rowan Avenue	Surface Dressing	Ripley West & Heage	£7,357
	Ripley	U8878 Chestnut Avenue	Surface Dressing	Ripley West & Heage	£8,071
	Ripley	U8890 Slack Lane	Surface Dressing	Ripley West & Heage	£10,248
	Ripley	U8899 Lime Avenue	Surface Dressing	Ripley West & Heage	£5,105
	Ripley	U8911 Jubilee Avenue	Surface Dressing	Ripley West & Heage	£6,119
	Ripley	U8912 Glebe Avenue	Surface Dressing	Ripley West & Heage	£4,317
	Ripley	U8913 Harris Close/Avenue	Surface Dressing	Ripley West & Heage	£9,384

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Ripley	U8844 Padley Close	Surface Dressing	Ripley West & Heage	£2,065
	Ripley	U8856 Ledo Avenue	Surface Dressing	Ripley West & Heage	£4,655
	Ripley	U8857 Jura Avenue	Surface Dressing	Ripley West & Heage	£6,832
	Cotmanhay	U7032 Beresford Drive	Surface Dressing	Ilkeston East	£10,661
	Cotmanhay	U7033 Birchover Place	Surface Dressing	Ilkeston East	£1,577
	Cotmanhay	U7031 Devonshire Close	Surface Dressing	Ilkeston East	£1,727
	Cotmanhay	U7037 Monsall Avenue	Surface Dressing	Ilkeston East	£4,429
	Cotmanhay	U7036 Donner Crescent	Surface Dressing	Ilkeston East	£9,835
	Cotmanhay	U7035 Dovedale Circle	Surface Dressing	Ilkeston East	£20,758
	Cotmanhay	U7034 Castleton Avenue	Surface Dressing	Ilkeston East	£3,416
	Cotmanhay	U7038 Lathkill Avenue	Surface Dressing	Ilkeston East	£5,518
	Cotmanhay	U7039 Ilam Square	Surface Dressing	Ilkeston East	£2,102
	Cotmanhay	U7040 Darley Square	Surface Dressing	Ilkeston East	£1,877
	Cotmanhay	U7043 Edale Square	Surface Dressing	Ilkeston East	£2,365
	Cotmanhay	U7055 Dronfield Place	Surface Dressing	Ilkeston East	£2,177
	Cotmanhay	U7046 Hartington Place	Surface Dressing	Ilkeston East	£2,140
	Cotmanhay	U7054 Langley Avenue	Surface Dressing	Ilkeston East	£5,293
	Cotmanhay	U7053 Coppice Avenue	Surface Dressing	Ilkeston East	£13,476
	Cotmanhay	U7056 Church Drive (Coppice Ave to end (at posts))	Surface Dressing	Ilkeston East	£7,658
	Cotmanhay	U7041 Bailey Brook Road (Skeavington's Ln to Turnoak Avenue)	Surface Dressing	Ilkeston East	£8,821
	Cotmanhay	U7363 Turnoak Avenue	Surface Dressing	Ilkeston East	£3,566

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Cotmanhay	U7041 Holmewood Avenue	Surface Dressing	Ilkeston East	£2,027
	Cotmanhay	U7041 Blackwell Avenue	Surface Dressing	Ilkeston East	£3,604
	Cotmanhay	U7364 Inkerman Close	Surface Dressing	Ilkeston East	£2,140
	Cotmanhay	U7041 Longcroft Avenue	Surface Dressing	Ilkeston East	£2,140
	Cotmanhay	U9945 Langwith Close	Surface Dressing	Ilkeston East	£1,239
	Sturston	Road to Sturston Hall Farm (A517 to Mill Ln)	Surface Dressing	Ashbourne/ Dovedale	£16,632
	Ashbourne	U0721 King Edward Street	Carriageway Resurfacing	Ashbourne	£70,256
	Matlock Bath	U0376 Upperwood Road	Carriageway Resurfacing	Wirksworth	£40,425
	Staveley	C40 Inkersall Road (Barlow Rd to Stevenson Road)	Carriageway Resurfacing	Staveley/ Staveley North & Whittington	£43,368
	Clay Cross	Eyre Street (A61 to Bridge St)	Carriageway Resurfacing	Clay Cross North	£28,600
	Old Whittington	U2101 Newbridge Lane (From Whitting Valley Rd south east)	Carriageway Resurfacing	Staveley North & Whittington	£105,074
	Eckington	U15059 Gosber Street	Carriageway Resurfacing	Eckington & Killamarsh	£70,345
	Stanley	U7212 Dale Road (White Gables to limit of adoption)	Carriageway Resurfacing	Breadsall & West Hallam	£24,038
	Higham	C58 Strettea Lane (B6013 to no.63)	Carriageway Resurfacing	Wingerworth & Shirland	£28,912
	Old Whittington	B6057/B6052 Station Road (Whittington Moor Roundabout to Whitting Valley Rd)	Carriageway Resurfacing	Staveley North & Whittington	£124,489
	Upper Lumsdale	U0091 Lumsdale Road (Smuse Ln to Highfields Sch)	Carriageway Resurfacing	Matlock	£273,493
	Great Hucklow	U1088 Dirty Lane	Carriageway Resurfacing	Bakewell	£111,166
	Youlgrave	C288 Alport Lane (New Road to Raenstor Lodge)	Carriageway Resurfacing	Dovedale	£99,478
	Eckington	U15083 Peveril Road	Carriageway Resurfacing	Eckington & Killamarsh	£61,818

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	Barrow Hill	U2216 Station Road (Works Rd to Campbell Dr)	Carriageway Resurfacing	Staveley North & Whittington	£140,761
	Shirebrook	U12425 Merchant Street	Carriageway Resurfacing	Shirebrook & Pleasley	£28,945
	Ilkeston	C338 Church St/Cotmanhay Rd rbt	Carriageway Resurfacing	Ilkeston East	£27,761
	Furness Vale	U10581 Diglee Road (no.17 to limit of adoption)	Carriageway Resurfacing	Whaley Bridge	£27,117
	Tintwistle	U11125 Bank Lane (A628 to 168m southwest)	Carriageway Resurfacing	Etherow	£41,633
	Buxton	U10243 Hollins Avenue (conc road)	Carriageway Resurfacing	Buxton West	£14,679
	Shirebrook	B6407 Main Street (Common Ln to Common Ln juncts)	Carriageway Resurfacing	Shirebrook & Pleasley	£8,591
	Temple Normanton	B6039 Mansfield Road (Chesterfield Rd to Church Ln)	Carriageway Resurfacing	Sutton	£160,255
	Tintwistle	U11126 Chapel Brow (A628 to Old Rd) part cobbled	Carriageway Resurfacing	Etherow	£28,747
	Ilkeston	U6926 Digby Street (Station St to limit of adoption)	Carriageway Resurfacing	Ilkeston East	£52,289
	Alfreton	B600 Nottingham Road (Well Somercotes to B6016)	Carriageway Resurfacing	Alfreton and Somercotes	£28,422
Non-Resilient Network Carriageways					<b>£5,192,144</b>
<b>Carriageways Total</b>					<b>£12,839,815</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various Countywide	Footway Patching	Various	£500,000
	Various	Various roadside reserves countywide	Environmental and bio-diversity improvements including contributions to partner agencies such as Derbyshire Wildlife Trust	Various	£25,000
	Sickleholme	A6187 Sickleholme (Crossroads to Garden Centre)	Footway Resurfacing	Bakewell	£31,500
	Buxton	Cavendish Avenue	Footway Resurfacing	Buxton West	£42,000
	Hope	A6187 Castleton Road/Market Place (Village centre to Railway Bridge)	Footway Resurfacing	Chapel & Hope Valley	£31,500
	Buxton	Darwin Avenue	Footway Resurfacing	Buxton West	£15,750
	Starkholmes	Starkholmes Road (Duke William to Riber Road)	Footway Resurfacing	Matlock	£63,000
	Matlock	Hurds Hollow (Dimple Road to Smedley Street)	Footway Resurfacing	Matlock	£36,750
	Darley Dale	B6013 Chesterfield Road (A6 to Park Lane)	Footway Resurfacing	Derwent Valley	£26,250
	Ashbourne	Mayfield Road	Footway Resurfacing	Ashbourne	£26,250
	Litton	Mires Lane (Speed limit to Hall Lane)	Footway Resurfacing	Bakewell	£15,750
	Peak Dale	School Road	Footway Resurfacing	Buxton North & East	£52,500
	New Mills	Mellor Road	Footway Resurfacing	New Mills	£57,750
	Glossop	Primrose Lane	Footway Resurfacing	Glossop & Charlesworth	£52,500
	Hadfield	Green Lane	Footway Resurfacing	Etherow	£73,500
	Long Eaton	Dovedale Avenue (Phase 2)	Footway Resurfacing	Sawley	£84,000
	Long Eaton	Ruskin Avenue (Phase 2)	Footway Resurfacing	Sawley	£84,000
	Shipley	Hassock Lane North / South (Phase 2)	Footway Resurfacing	Greater Heanor	£52,500
	Loscoe	Flamstead Avenue	Footway Resurfacing	Heanor Central	£60,900
	Loscoe	Church View	Footway Resurfacing	Heanor Central	£10,080

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Heanor	Broadway	Footway Resurfacing	Heanor Central	£63,000
	Waingroves	Church Street	Footway Resurfacing	Ripley East and Codnor	£70,875
	Waingroves	Waingroves Road	Footway Resurfacing	Ripley East and Codnor	£99,645
	Walton	Whitecotes Lane (Davian Way to school)	Footway Resurfacing	Boythorpe and Brampton South	£47,250
	Holme Hall	Woodland Walk (evens side)	Footway Resurfacing	Loundsley Green and Newbold	£13,650
	Hollingwood	Pine Street (odd side)	Footway Resurfacing	Brimington	£27,300
	Alfreton	Flowery Leys Lane (Cowhan Close to Birchwood Rd)	Footway Resurfacing	Alfreton and Somercotes	£16,800
	North Wingfield	Dark Lane (south side)	Footway Resurfacing	Sutton	£28,350
	Wingerworth	Halcyon Approach (north side)	Footway Resurfacing	Clay Cross North	£17,850
	Marsh Lane	Main Road (Quarry Hill to School Lane)	Footway Resurfacing	Eckington and Killamarsh	£26,250
	Troway	Quarry Hill	Footway Resurfacing and kerbing	Eckington and Killamarsh	£37,800
	Dronfield	Wingfield Close	Footway Resurfacing	Dronfield West and Walton	£12,600
	Dronfield	Mickley Lane	Footway Resurfacing and kerbing	Dronfield West and Walton	£14,700
	Whitwell	High Street	Footway Resurfacing	Bolsover North	£17,850
	Bakestone Moor	Peter More Hill (Petra Cottage into village)	Footway Resurfacing	Bolsover North	£31,500
	Tibshelf	Peveiril Road	Footway Resurfacing	Tibshelf	£16,800
	Holmewood	Chesterfield Road (Shakespeare St to A6175)	Footway Resurfacing	Sutton	£34,650
	Whitwell	A619 Worksop Road (B6043 to The Half Moon PH)	Footway Resurfacing	Bolsover North	£36,750
	Morton	Stretton Road (Church Lane to number 63)	Footway Resurfacing	Clay Cross South	£42,000

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Chesterfield	Springbank Road	Footway Resurfacing	Boythorpe and Brampton South	£18,900
	Newbold	St Johns Road	Footway Patching	St Marys	£42,000
	Brimington	Devon Drive	Footway Patching	Brimington	£42,000
<b>Footways Total</b>					<b>£2,100,000</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide	Drainage works and flood prevention	Various	£1,257,785
	Various	Various	Flood Damage Remedials	Various	£1,650,000
	Various	A6 Derwent Valley Challenge Fund Contribution	Drainage Works	Derwent Valley/ Matlock/ Wirksworth/ Alport & Derwent	£344,000
<b>Drainage and flood management Total</b>					<b>£3,251,785</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Countywide	Countywide	Scheduled Ancient Monument Bridges Major Maintenance	Various	£250,000
	Countywide	Countywide	Countywide Minor Culvert Data Capture and Repairs	Various	£150,000
	Countywide	Countywide	Landslip / Rock Fall Investigation & Remedial Works	Various	£150,000

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Countywide	Countywide	Retaining Wall Asset Management Data Collection	Various	£52,000
	Countywide	Countywide	Structures Assessments & Post Tensioned Special Inspections	Various	£150,000
	Calver	S27089 North Cliff Landslip (B6001)	Geophysics investigation and c/w patching	Derwent Valley	£25,000
	Whaley Bridge	S07009 Shallcross Woods Landslip (A5004)	Remedial scheme	Whaley Bridge	£1,000,000
	Bakewell	S26188 Station Road Landslip	Design and temporary measures	Bakewell	£60,000
	Alport	S26086 Bowers Hall Landslip (B5056)	Installation of drainage system	Bakewell	£50,000
	Chatsworth	S26087 Bridgehouse Landslip (B6012)	Geophysics investigation and c/w patching	Derwent Valley	£25,000
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	A57 Landslips	Site investigations to developing sites, remote monitoring, temporary measures	Glossop & Charlesworth/ Chapel & Hope Valley	£50,000
	Millers Dale	C17004 Upper Monksdale Culvert (B6049)	Hydrological modelling, investigation works and design	Dovedale	£40,000
	Chesterfield	D37877 Off Crow Lane	Redecking of substandard bridge. Design & Site Investigation	Spire	£75,000
	Clowne	D47067 Slayley Hill	Strengthening of substandard structure. Design & Investigation	Barlborough & Clowne	£25,000
	Shirebrook	H56023 Carter Lane (B6031)	Strengthening joint scheme with NCC and HEHRE	Shirebrook & Pleasley	£80,000



Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Woodville	P31008 Woodville Culvert (A514)	Major maintenance / CIPP lining	Melbourne/ Swadlincote Central	£75,000
			Baslow	RB270139 The Wheatsheaf Hotel Retaining Wall (A619)	Retaining wall rebuild	Derwent Valley	£100,000
			Rushup Edge	Rushup Edge Retaining Walls (C374)	Retaining wall rebuild	Chapel & Hope Valley	£50,000
<b>Bridges, structures, retaining walls and highway boundary structures Total</b>							<b>£2,407,000</b>
Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Ilkeston	A6007/ Shipley Common Lane junct	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ilkeston East/ Ilkeston West	£96,000
			Chatsworth	B6012 Beeley Bridge	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Derwent Valley	£60,000
			Chesterfield	Crow Lane	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Brimington/ Spire	£84,000

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Renishaw	A6135 Main Road	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Eckington & Killamarsh	£40,250
			Various	Countywide	Countywide road markings	Various	£517,000
			Various	A617 Hasland By-pass	Replacement of road stud inserts	Spire/ Birdholme/ Sutton	£44,000
			Monyash/ Alfreton	A515 Monyash & A61 Alfreton	Renewal of winter maintenance weather stations	Dovedale/ Wingerworth & Shirland	£36,750
			Various	Various high routes	Erection of snow gates	Various	£10,500
Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Various	Countywide	Replacement of miscellaneous transport assets (including cattle grids)	Various	£392,750
<b>Traffic Signs, Lines and Signals Total</b>							<b>£1,281,250</b>

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Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Various	A6005 Borrowwash to Draycott	Installation of passive safe lighting columns, LED lighting and replacement of cable network	Various	£244,000
			Oakerthorpe	A615/B6013 Belper Road, Oakerthorpe	A615/B6013 Installation of passive safe lighting columns, LED lighting and cable network replacement	Wingerworth and Shirland/Ripley West and Heage	£67,100
			Denby	B6179 Derby Road, Denby	Installation of passive safe lighting columns, LED lighting and cable network replacement	Horsley	£91,500
			Various	B6019 Alfreton to Pinxton	Installation of passive and standard lighting columns, LED lighting and cable network replacement	Various	£305,000
			Baslow	A619/A623/A621/B6012 Baslow	Installation of passive safe lighting columns, LED lighting and cable network replacement	Derwent Valley	£146,400
			Various	Street Lighting Network	Countywide defective column replacements	Various	£228,750

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Countywide	Countywide	Project management of local transport capital projects and preparation of future local transport capital programmes (early starts)	Countywide	£12,200
<b>Street Lighting Total</b>							<b>£1,094,950</b>

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Countywide	Countywide	Advanced Design to enable Major route improvements in future programmes	Various	£75,000
			Countywide	Countywide	General rights of way improvements	Various	£575,000
			Countywide	Countywide	Project management of local transport capital projects and preparation of future local transport capital programmes (early starts)	Various	£100,000
<b>Rights of Way Total</b>							<b>£750,000</b>

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			White Peak Loop (Rowsley)	Harrison Way to Old Station Close	Refurbish boardwalk	Derwent Valley	£131,250
			Peak Forest Tramway (Chinley)	700m section between Harpur Cottage and Lower Crist	Resurfacing and drainage improvements	Whaley Bridge	£52,500
<b>Cycle Routes Total</b>							<b>£183,750</b>

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Elvaston	Elvaston Castle Multi-user Trail	Re-surface trail following repeated flood damage	Aston	£105,000.00
			Elvaston	Elvaston Castle Riverside Link Path	Re-surface trail following repeated flood damage	Aston	£52,500.00
<b>Other Greenway Maintenance Total</b>							<b>£157,500</b>

## 02 2021-22 Capital Integrated Transport Programme

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various streets - Countywide	Small-scale signing and lining schemes to improve management of traffic to reduce delays to road users and reduce conflicting demands for parking in town and village centres in accordance with national legislation.	Various	£270,000
<b>Traffic Management Total</b>					<b>£270,000</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Glossop	Key Cycle Network (KCN) link between Glossop Road and Gamesley Sidings	Delivery of Key Cycle Network (KCN) link	Glossop & Charlesworth/ Etherow	£52,500
	Countywide	Various locations	Section 106 Top up fund	Various	£105,000
	White Peak Loop	Various locations	Route Construction	Various	£105,000
	Markham Vale/ Poolsbrook	Bolsover Loop to Trans Pennine Trail	Design of KCN between Markham Vale and Poolsbrook Country Park	Various	£30,000
	Longcliffe	High Peak Trail - Hoe Grange (Ph 3)	Retaining Wall Repairs	Wirksworth	£35,000
	Ryder Point	High Peak Trail - Ryder Point	Retaining Wall Repairs	Wirksworth	£100,000
	Shirebrook	Archaeological Way and other routes through Shirebrook	Route improvements	Shirebrook & Pleasley	£120,000
<b>Key Cycle Network Investment Total</b>					<b>£547,500</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2020-21 Whole Scheme Cost (Design & Construction)
	Countywide	Various Streets	Provision of dropped crossings to enhance accessibility to and connectivity in town and village centres.	Various	£80,500
	Countywide	Various locations	Groundwork Service Level Agreement	Various	£21,000
<b>Local Cycling and Walking Investment Total</b>					<b>£101,500</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various Locations: Hope Valley, Chesterfield town centre, Dronfield, A61 corridor between Chesterfield & Ripley, South Derbyshire, Belper and Matlock rail stations.	Expansion of Real Time Information System	Various	399,000
	Various	Various - "Wheels to Work"	Purchase of scooters & e-bikes	Various	52,500
	Various	Various bus stops countywide	New & Replacement Bus Shelters	Various	136,500
	Various	Various bus stops countywide	Bus stop accessibility upgrades & new provision	Various	94,500
	Various	Various bus stops countywide	Bus stop & station signage	Various	15,750
	Various	Various bus stops countywide	Bus stop infrastructure replacement	Various	10,500
	Various	Various bus stops countywide	Provision of bus shelter lighting	Various	24,150

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Matlock	Matlock Market Hall "bus station"	Contribution to DDDC improvement scheme	Matlock	55,650
	Matlock	A6 Bakewell Road bus stop	Bus stop accessibility and infrastructure improvements	Matlock	45,150
	Hope Valley	Hope Valley Service 271/272 corridor - Phase 2	Accessibility and infrastructure improvements	Various	51,450
	Brough	A6187/B6049 Brough Lane End junction	Relocation of bus stops	Chapel & Hope Valley	21,000
	Bolsover	Bolsover Market Place	Bus stop (west bound) improvements	Bolsover North/ Bolsover South	47,250
	Various	Glossop area Service 237 Corridor - Phase 2	Accessibility and infrastructure improvements	Various	43,050
	Glossop	Henry Street	Bus shelter replacement & provision of real time information	Glossop & Charlesworth	28,350
	Various	Alfreton to Ripley - "The Comet" corridor	Bus stop accessibility and infrastructure improvements	Various	130,200
	Long Duckmanton	A632 Chesterfield Road/ Rectory Road junction	Interactive signage improvements to improve junction safety for buses.	Sutton	15,750
	Countywide	Various	Purchase and installation of electric vehicle charging points	Various	105,000
	Ilkeston	Ilkeston Station	Provision of secure, covered cycle parking	Ilkeston East	26,250
	Countywide	Various Locations	Refurbishment & Modernisation of cycling monitoring equipment on KCN	Various	15,750
<b>Public Transport Total</b>					<b>1,317,750</b>



Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Darley Dale	A6 Dale Road / Station Road	Traffic Signals with removal of existing pedestrian crossing	Darley Dale	£168,000
	Alfreton	B6019 Mansfield Road / Salcombe Road	Replacement of mini roundabout with Traffic Signals	Alfreton	£240,000
	Ripley	B6179 Lower Kilburn (Near Petrol Station)	Speed Limit reduction and Interactive Signs	Ripley	£11,500
	Chellaston	A514 Swarkestone Road (northbound approach to A50 roundabout)	High Friction Surfacing	Aston	£20,300
	Cromford	A6 Dale Road (near junction with Artist corner car park)	Interactive sign indicating speed limit and slow down message	Wirksworth	£11,500
	Stretton	A61 Main Road / B6014 Morton Road	Central Refuge Island to incorporate give way sign.	Wingerworth & Shirland	£18,750
	Alfreton	B600 Nottingham Road (Cotes Park area)	Reduced Speed Limit, Refuge Islands and Interactive Signs	Alfreton & Somercotes	£100,000
	Ripley	B6179 Derby Road / Elms Aveune	Removal of right turn habourage	Ripley West & Heage/ Ripley East & Codnor	£9,200
	Ripley	A610 Ripley Road / B6013 Chesterfield Road	Interactive sign indicating turning traffic	Ripley West & Heage	£9,200
	Kirk Hallam	A6096 Ladywood Road / Godfrey Drive	Re-locate safety camera	Ilkeston West	£17,250

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Clay Cross	A61 / Eyre Street	Junction narrowing	Clay Cross North	£12,500
	Countywide	Various Locations	Project management of local transport capital projects and preparation of future local transport capital programmes (early starts)	Various	£200,000
<b>Road Safety Total</b>					<b>£818,200</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Woodville	Woodville-Swadlincote Regeneration Route	Funding Contribution	Swadlincote South/ Swadlincote Central	£250,000
<b>Preparation and Implementation of Major Highway Projects Total</b>					<b>£250,000</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
		None			
<b>Countryside Assets Total</b>					<b>£0</b>

**Agenda Item No 6(d)**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Director of Finance & ICT**

**CAPITAL BUDGET MONITORING/FORECAST 2020/21 AS AT QUARTER 3  
(STRATEGIC LEADERSHIP, CULTURE AND TOURISM)**

**1 Purpose of the Report**

To inform Cabinet of the latest Capital budget monitoring position as at 31 December 2020.

**2 Information and Analysis**

The report reflects those schemes that are currently under way and have had previous Cabinet approval. Each scheme has a nominated budget holder who is responsible for ensuring the scheme stays within budget, and who verifies the projected spend against their allocated schemes. The report contains schemes that were open at 1 April 2020 and those that have been completed and closed in-year.

Due to subsequent approvals and project adjustments the 2020-21 Capital programme now stands at £139.3m, an increase of 19% from the reported amount of £117.1m included within the Quarter 2 monitoring report. The schemes contained within this Report include previously approved Capital Programmes over numerous funding years, including 2020-21.

The current budget for open schemes as at 1 April 2020 (some of which have now closed) is approximately £692.86m, with the latest monitoring showing a forecast underspend over the life of the projects of £9.85m which is represented in Appendix 1. The current budget for schemes that remain open as at 31 December is £650.29m.

The prolonged effect of the Covid-19 virus is continuing to have an impact on some schemes and where necessary the profile of expenditure has been adjusted to reflect this fact.

Due to the transition of elements of the Authority's services to Concertus (Derbyshire) new working arrangements, processes and procedures need time to be embedded in order to be able to provide an efficient, effective and accurate projection of the position of all Capital projects.

## 2.1 Adult Care – projected underspend of £2.399m

There are 64 open schemes within Adult Care with a budget value of £105.70m as at 31 December 2020. Five schemes within the Department make up approximately 54% of the current departmental budget of schemes that remain open. The major projects are: -

<b>Scheme Name</b>	<b>£m</b>
Belper Integrated Specialist Facilities Centre	15.613
Bennerley Avenue – Care Home	15.000
Darley Dale Specialist Community Centre	10.520
Heanor Specialist Community Care Centre	9.750
Disabled Adaptations 2019-20	5.964

### **The Belper Integrated Specialist Facilities Centre**

The Belper Centre offers accommodation for up to 40 older persons and includes a library on site. The Care Home opened in June 2020 and the residents from Ada Belfield moved into the new home in September. There is an outstanding financial risk relating to the costs incurred in remediating the site after the discovery and clearance of asbestos on the new site, reported to Cabinet previously, and currently estimated to be in the region of £1.5m (not currently reflected in the monitoring). There are initiatives in place to mitigate this cost by utilising underspends on other projects. The success of these measures will be determined in the new financial year.

### **Bennerley Avenue - Care Home**

Cabinet approved the development of the Care Home in September 2019 including the use of a non-Derbyshire County Council Framework for the procurement of a construction contract. The Authority has approved Wates as the contractor and Planning has now been approved. Construction is due to commence in February 2021, the completion date for the whole scheme is estimated to be Spring 2022. The Home will replace the nearby Hazelwood Care home and will have 30 general needs beds and 10 Community Support beds. The budget estimate is £15m. The Authority is seeking a partner to develop an Extra Care scheme on the same site.

### **Specialist Care Centres**

The Authority also has 4 specialist centres, primarily providing care for clients with dementia. These are all operational, some schemes are closed and others are awaiting sign-off – see details below:-

- Darley Dale - opened in March 2016 and had issues with its roof and remediation is now complete. This scheme is due for sign off pending the completion of some minor works and retention fees. The Authority is awaiting the final certificate but this is imminent. The budget is £10.52m and expenditure to date is £10.41m with the remaining budget projected to be utilized by the end of this financial year.

- Heanor - opened in August 2015 and is now complete and the final certificate has been issued, no further costs are envisaged. The project is projected to underspend by £782k.
- Long Eaton - opened in August 2015 and has had floor defects which have now been addressed. The project is now closed, the under utilised funding of £225k will be moved to the Belper Centre scheme.
- Buxton - opened in June 2018, the project is now closed leaving an underspend by £125k.

### **Disabled Adaptations**

The Disabled Adaptations scheme relates to aids and adaptations installed in residents' own homes so they may remain as independent as possible. They are financed from a combination of borrowing together with contributions from clients and the district/borough councils. Currently there are three schemes that remain open, the net projected position of these schemes is a £1.1m under utilised funding, mainly relating to the 2019-20 scheme. This is a result of waiting lists for assessments due to the COVID pandemic and is anticipated to be spent when restrictions ease.

## **2.2 Children's Services - projected underspend - £3.632m**

Children's Services currently have 557 open schemes with a budget value of £155.73 as at 31 December 2020. Five schemes within the Department make up approximately 36% of the current budgets open. The major schemes are:-

<b>Scheme Name</b>	<b>£m</b>
Glossopdale School – Replacement	27.814
Alfreton Park School Replacement	13.350
Tibshelf New Primary School	7.012
Highfield Farm School	6.240
Boulton Moor School	5.911

### **Glossopdale School**

The new build at Glossopdale School is complete with some external works ongoing relating to the playing fields which were placed on hold pending the extension. Phase 2 has been commissioned on a design and build basis with Henry Brothers, the phase 1 contractor. The project was delayed in planning but is now due to commence in April 2021 with completion in March 2022.

### **Alfreton Park**

The scheme comprises the delivery of a replacement new build Special school on the site of Highfield Plantation, Alfreton Park which has been acquired from Amber Valley Borough Council (AVBC). The scheme will also incorporate the demolition of the existing school and return it to AVBC as parkland together with a replacement adventure playground. The start onsite was delayed slightly due to the land transaction and started on 9 October 2020. It is programmed to achieve practical completion of the school in October 2021,

with practical completion for the parkland to follow shortly after in January 2022.

### **Tibshelf New Primary School**

The development of a design for a new primary level school at Tibshelf was placed on hold 12 months' ago but has recommenced. Progression is dependent upon the design being agreed and re-costed at current rates and therefore the costs of the scheme are currently unknown. Once the costs are known the scheme will be reviewed.

### **Highfield Farm School**

The contract for the new build at Highfield Farm School was awarded to Morgan Sindall through the Scape framework. Practical completion has now been achieved with the project currently within the defects period. The project was completed on time as programmed despite initial delays due to COVID-19 and was also completed within budget.

### **Boulton Moor School**

Morgan Sindall has been engaged through the Scape framework to undertake the new build works at Boulton Moor and the contract is due to be let in the near future although additional funding will be required. Issues have arisen around the land transfer and consultation with Legal Services is ongoing, such issues together with the additional funding require resolution prior to the project progressing further. It has also been identified that an archaeological survey is required on the site to ensure the proposed development doesn't have an adverse impact on archaeological heritage however this is underway ahead of the letting of the contract.

## **2.3 Commissioning, Communities & Policy – projected underspend - £1.674m**

There are 164 open schemes with a value of £68.38m as at 31 December 2020, the majority of which are funded from the Corporate Maintenance budget and cover all departments (excluding schools). Four schemes within the Department make up approximately 72% of the current budgets open. The major schemes under this portfolio are:-

<b>Scheme Name</b>	<b>£m</b>
Buxton Crescent	41.263
Ashbourne Library/Civic Centre	3.029
Green Deal and Fuel Poverty grant	2.521
New Glossop Library	2.325

### **Buxton Crescent**

The main contractor reached practical completion of Buxton Crescent Hotel & Thermal Spa at the start of March 2020, just prior to the COVID-19 outbreak and the hotel has taken full possession of the site. The main building works are complete with the exception of minor snagging, finalising highways works

and works to the pavilion gardens car park. The hotel has commenced the soft opening and testing phase, with paying guests booked from 1 October 2020. It is to be noted that the hotel has again closed in line with the latest COVID-19 restrictions and guidelines.

### **Ashbourne Library/Civic Centre**

The project at Ashbourne Library is now complete, a period of making good defects followed the completion of the scheme. All defects have now been rectified by the contractor and the Certificate of Completion of Making Good Defects was issued on 17 October 2019. The final account has been prepared for the scheme and accepted with no further costs for the works, providing savings to the budget of £67k which is to be returned to the Ashbourne Library Capital budget.

### **Green Deal and Fuel Poverty Grant**

Spending of the Green Deal and Fuel Poverty Grant has been delayed as other external funding with a finite deadline on spend has been used in preference. Expenditure is anticipated to resume in 2021-22 to utilise the project budget and will include the replacement or first time installation of up to 15 central heating systems in the homes of fuel poor and vulnerable Derbyshire residents as part of the Healthy Home Programme.

### **Glossop Library**

The project at New Glossop Library was completed on 29 March 2018. Following practical completion the defect rectification period commenced, outstanding defects were identified on completion which have now been addressed. The Certificate of Completion of Making Good Defects has been issued and the final account is being prepared. The scheme is anticipated to underspend by £629k with the funds to be returned to their original funding source.

## **2.4 Economy, Transport & Environment - projected underspend - £2.145m**

The budget for the 97 open schemes under this portfolio is currently £319.48m as at 31 December 2020. Five schemes within the Department make up approximately 69% of the current budgets open. The current budget for the Department represents approximately 49% of the total Capital current budget for the Council. The major schemes under this portfolio are:-

<b>Scheme Name</b>	<b>£m</b>
Local Transport Plan 2016-2020	109.829
Markham Vale Employment Zone (MEGZ)	41.641
LED Street Lighting	32.100
Waste Project, Derby	25.000
Pothole and Challenge Fund 2020	12.906

## **Local Transport Plan**

Management of the Local Transport Plan has been and continues to be developed providing greater clarity, accountability and transparency with the focus on realistic timescales for project delivery to ensure the benefits of this investment are realised in a timely manner. Whilst achieving those benefits in-line with the Future Highways Model, the objective remains to deliver the Highways Infrastructure and Integrated Transport Programme. These are managed within the Council's Local Transport Plans and funded from grants provided by the Department for Transport (DfT). All grants are fully utilised, and subject to approval, reallocated to other infrastructure projects. There are separate plans for each year which contain a large number of smaller projects. Overall there are 304 open projects across the LTPs which are all managed by a number of different project managers. Across the various LTPs there is £13.4m projected to be spent by the end of the financial year, £22.1m to be spent in 2021 and £1.1m in 2022. The 2020 LTP contains over 200 schemes, with 32 now complete. The programme has been delayed due to the COVID pandemic as planned works were put on hold but work is now underway to pull the programme back on track. The target is to complete all schemes within the 2020 LTP by the end of 2022.

## **Markham Vale**

From 2010 the Capital project has a budget of £41.64m, with expenditure forecast to the end of the financial year of £38.35m. Of the remaining balance of funding £2.53m is projected to be spent in 2021-22 and £0.76m in 2022-23. The funding is anticipated to be fully utilized.

On Markham Vale North, construction started in June on Plot 15 for a £25m scheme for Aver, an investment company who have speculatively commissioned two large factory units, one sized at 221,518 sq ft and the second at 75,500 sq ft.

On Markham Vale East, construction is almost now complete for a new industrial building for Transcare Ltd on Plot 5. On Plot 2, the Chatsworth Group, who own the industrial building previously occupied by Andrew Page Ltd, have secured a replacement occupier in the form of X-Bite who have existing premises in Barlborough which they will continue to use as their HQ. A major earthworks contract on the former rail sidings area of Plot 1 continues with the intention of bringing the site forward for industrial development subject to securing planning approval. On the western side of the M1 motorway, contracts have been exchanged with a new occupier and a planning application has been submitted for the fast food outlet.

Other significant developments include the Walking Together Mining memorial; 15 figures were previously reported as installed and plans are underway to install a further 11 figures this Autumn thanks to sponsorship from Great Bear, HBD and community contributions. Grant funding has been provisionally secured towards the costs of constructing the planned walking and cycling route-to-work alongside the A632 between Bolsover



Business Park and Markham Vale, with the intention of commencing construction this Autumn (construction started 4 January). In addition, a Masterplan has been prepared for a mixed-use development at Staveley Town Basin; a business case is to be prepared and Focus Consultants have been appointed to assist in this task and are due to report in the near future. This will be submitted to the respective Cabinet Member for approval before the idea is developed further, in the meantime a funding bid has been submitted.

### **LED Street Lighting**

The Scheme has a budget of £32.1m and will be entering its final year in April and current progress details that the Residential network is 97% complete with spend of £20.8m, and the Strategic network is 58% complete with spend of £3.6m. Funding for the current year is to be split £0.5m on the Residential network and £2.2m on the Strategic network. The remaining £5.0m is to be split with £4m to be spent in 2021 and £1m in 2022 all on the Strategic network. Overall 78,240 LEDs have been installed. Installation of LEDs on lighting columns that have been assessed as suitable is on-going primarily via external contracts. The Authority are in the process of advertising a revised installation framework which will allow the progression of the contract to completion. Once the framework is in place there will be a push to deliver complete asset replacements including LED installations at specified localities.

### **Waste Project**

The Council and Derby City Council entered into an Inter Authority Agreement (IAA) on 20 August 2014 in relation to the operation and management of a Public Private Partnership contract with Resource Recovery Solutions (Derbyshire) Ltd (RRS) for the construction of the long term Waste Treatment Facility in Sinfin, Derby and provision of associated services. As previously reported the contract with RRS was terminated on 2 August 2019.

Work has been progressing on the facility to determine its condition and capability, this will allow the councils to ascertain what measures need to be in place for the facility to become fully operational.

The councils are in negotiations to pay an 'estimated fair value' for the plant taking into account all of the costs of rectifying ongoing issues at the plant and the costs of providing the services to meet the agreed contract standards. The scheme has a current budget of £25.0m with estimated expenditure of £16.19m up to the end of the financial year. The remaining funds of £8.8m are forecast to be spent in 2021-22.

A further update report will be presented to a future Cabinet meeting.

### **Pothole and Challenge Fund 2020**

The Pothole Fund allocation from the Department of Transport (DfT) allows for local authorities not only to repair potholes but also undertake longer-term repairs to the network to prevent potholes from occurring. The Challenge Fund enables the Council to carry out major maintenance schemes that are

otherwise difficult to fund through the normal needs-based formula funding. This alleviates pressure on the Authority and allows focus on restart and recovery. The DfT announced that this funding can also be used by authorities to help repair flood damage encountered over winter 2019/20.

Whilst this funding was anticipated at the start of the COVID pandemic there was no guarantee given that government grants would be received. As such no plans were put in place for the use of this funding until later in the financial year. The scheme has a budget of £12.9m, of which £4.6m has been spent to date, a further £2.5m is to be spent in the remainder of the financial year. The remaining £5.8m is projected to be spent in 2021.

## **2.5 Top Ten Capital schemes by value**

Set out in Appendix 2 is a summary of the ten largest capital schemes that the Council currently has. These represent approximately 55% in value of all the capital schemes that were open as at 1 April 2020. The schemes remain unchanged from those previously reported as part of Quarter 2 monitoring. These schemes are currently projected to underspend by £0.005m.

## **3 Considerations**

In preparing this report the relevance of the following factors has been considered - financial, legal and human rights, human resources, equality and diversity, health, environmental, social value, transport, property and prevention of crime and disorder.

## **4 Key Decision**

No.

## **5 Background Papers**

Files held by the Director of Finance & ICT.

## **6 Call-in**

Is it required that call-in be waived in respect of the decisions proposed in the report? No.

## **7 Officer's Recommendation**

That Cabinet notes the current position on the monitoring of Capital schemes.

PETER HANDFORD

Director of Finance & ICT

**Summary of Projected Capital Spend by Department**

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Department	Current Budget	Total spend to date inc commitments	Estimated spend remaining 2020-21	Total projected spend to date	Planned expenditure 2021-22	Planned expenditure 2022-23	Planned expenditure 2023-24	Planned expenditure 2024 +	TOTAL Revised planned expenditure	(Under) / Over
Adult Care	105,775	69,629	6,470	76,099	2,880	13,000	10,897	500	<b>103,376</b>	(2,399)
Commissioning Communities & Policy	71,656	59,501	6,786	66,287	3,593	102	0	0	<b>69,982</b>	(1,674)
Children's Services	176,726	111,745	18,976	130,721	35,361	6,868	144	0	<b>173,094</b>	(3,632)
Economy Transport & Environment	338,702	230,932	37,835	268,767	61,292	6,128	300	70	<b>336,557</b>	(2,145)
<b>Grand Total</b>	<b>692,859</b>	<b>471,807</b>	<b>70,067</b>	<b>541,874</b>	<b>103,126</b>	<b>26,098</b>	<b>11,341</b>	<b>570</b>	<b>683,009</b>	<b>(9,850)</b>

## Top Ten Capital Projects According to Budget Value

	Approval Year	Current Budget	Total spend to date inc commitments	Estimated spend remaining in 2020-21	Total projected spend to 31 March 2021	Planned expenditure 2021-22	Planned expenditure 2022-23	Planned expenditure 2023-24	Planned expenditure 2024+	TOTAL Revised planned expenditure	(Under) / Over
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Local Transport Plan	15/21	109,829	73,183	13,443	86,626	22,097	1,106	0	0	109,829	0
Markham Employment Growth Zone	88/89	41,641	37,801	554	38,355	2,529	757	0	0	41,641	0
Buxton, The Crescent	06/07	41,263	40,302	961	41,263	0	0	0	0	41,263	0
Street Lighting LEDs	15/16	32,100	24,339	2,761	27,100	4,000	1,000	0	0	32,100	0
New Glossopdale School - Ph 1 & 2	13/14	27,814	23,557	818	24,375	3,439	0	0	0	27,814	0
New Waste Treatment Facility Derby	07/08	25,000	14,097	2,100	16,197	8,803	0	0	0	25,000	0
Belper Integrated Specialist Facilities	12/13	15,613	14,642	586	15,228	380	0	0	0	15,608	(5)
Bennerley Avenue – Care Home	20/21	15,000	409	1,591	2,000	1,000	4,000	7,500	500	15,000	0
Alfreton Park Special School	17/18	13,350	2,252	3,320	5,572	7,278	500	0	0	13,350	0
Pothole Challenge Fund 2020	20/21	12,906	4,583	2,500	7,083	5,823	0	0	0	12,906	0
<b>TOTAL</b>		<b>334,516</b>	<b>235,165</b>	<b>28,634</b>	<b>263,799</b>	<b>55,349</b>	<b>7,363</b>	<b>7,500</b>	<b>500</b>	<b>334,511</b>	<b>(5)</b>

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 MARCH 2021**

**Report of the Managing Executive Director Commissioning, Communities  
and Policy**

**PUBLIC SECTOR DECARBONISATION SCHEME AND THE INSTALLATION  
OF LOW CARBON HEAT TECHNOLOGIES**

**(Corporate Services)**

**1. Purpose of the Report**

To seek the Cabinet approval to:-

1.1. accept £1,891,220 of Section 31 grant funding to install low carbon heat technologies.

1.2 utilise £36,623 of capital funding that was allocated in 2012 for carbon reduction projects to match fund the Section 31 grant.

1.3 use the Crown Commercial Services' Heat Networks and Electricity Generation Assets Framework Dynamic Purchasing System under protocol 2A of the County Council's financial regulations for the supply and installation of low carbon heat technologies.

**2. Information and Analysis**

In November 2019, the Council approved two key documents; the Derbyshire Environment and Climate Change Framework, which sets out how the Council will work with partners to achieve a county wide net zero greenhouse gas emissions target by 2050; and the Carbon Reduction Plan, which set an ambitious target to have net zero greenhouse gas emissions from its estate and operations by 2032.

In the 2020 Summer Statement, the Government announced £3bn of green investment for energy efficiency improvements to domestic and public sector buildings. On 30 September, the Department for Business, Energy and Industrial Strategy (BEIS) launched

two grant funding schemes for public sector bodies, both of which are being administered by Salix Finance Ltd, as follows;

- **Public Sector Decarbonisation Scheme (PSDS)**

This is a £1bn capital programme, providing up to 100% of all capital costs of an eligible project, to help stimulate the economic recovery and prioritise the decarbonisation of heat in public buildings. The grant scheme was open to applications until 11 January 2021 and projects with a completion date of 31 March 2021 were prioritised for funding and where they couldn't complete by then, projects should have a project completion of 30 September 2021.

- **Low Carbon Skills Fund (LCSF)**

This is a £32M revenue programme, providing up to 100% revenue grants to help public sector bodies to engage specialist and expert advice to identify and develop low carbon heat upgrades and energy efficiency projects for public sector buildings and then prepare robust and effective applications to the PSDS. The LCSF was open to applications until 4 December 2020, with a commitment that successful applicants must then submit a bid to the PSDS by 11 January 2021.

This presented an opportunity to explore and finance low carbon heat projects within the Council's estate to help contribute to the Council's net zero ambitions. As such, an application was submitted to the LCSF on 24 November 2020 for £446k to undertake feasibility and design work to switch 31 existing oil heated sites a to low carbon heat source; and switch 2 existing gas heating systems to low carbon heat sources.

On 3 December 2020, the Council was notified that applications to the PSDS had exceeded the £1bn budget. As such, the Council were asked to stipulate whether they wanted to withdraw their application to the LCSF or keep it in the system in the hope that more funding would become available in future, the latter option was chosen. Following further dialogue with Salix during December, the initial application to undertake feasibility at 33 sites was reduced to 3 sites and the Council was awarded £11k to undertake feasibility work looking at the switch to low carbon heat sources at Buxton Junior School, Ambergate Transport Workshop and Whitehall Centre. Although the PSDS had been oversubscribed, the condition of the LCSF grant was that the feasibility work still had to be completed and an application submitted to the PSDS by 11 January 2021, with a view that it will be held in a queue should any future funding be made available.

A bid to the PSDS was made for £1,927,843 for the replacement of oil boilers at Ambergate Transport Workshop to air source heat and at Whitehall Centre to ground

source heat; and the replacement of a gas boiler at Buxton Junior School with air source heat and solar PV.

On 29 January 2021, Salix informed the Council that as the PSDS grant fund was oversubscribed, they were eligible to receive the grant through Section 31 of the Local Government Act. The criteria of the Section 31 grant is the same as the PSDS grant in that the investment must achieve a ratio of £500 for each tonne of CO<sub>2</sub> saved throughout the lifetime of the project and that projects must be completed by September 2021. Due to the delays in allocating the funding, Salix has since suggested seeking extensions until the end of March 2022.

Following the technical assessment of the Council's application, the cost of investment was higher than the £500/tCO<sub>2</sub> criteria and as such, Salix has confirmed that grant funding of £1,891,220 will be allocated and the Council will need to match this by £36,623. It is proposed that this is met from the Council's 2012 capital allocation for carbon reduction projects, of which there is £218k remaining.

From the initial feasibility work that was undertaken through the LCSF, the anticipated cost and carbon savings for each project are detailed below;

	Project cost	Annual cost saving	Annual CO <sub>2</sub> e saving
Ambergate Transport Workshop	£825,518	£12,130	95 tonnes
Whitehall Centre	£801,561	£8,750	109 tonnes
Buxton Junior School	£300,764	£80	26 tonnes

The sites selected to benefit from low carbon heat installations have been selected in accordance with on-going asset management processes that are used to determine the Council's future portfolio. As a school, there are no plans to dispose of Buxton Junior School. Discussions have taken place with Children's Services and there are no current plans for disposal of Whitehall Centre. The installation of low carbon technology aligns closely with the ethos of the facility and its outdoor and environmental education programme. There is currently a review of the Council's depots of which Ambergate Transport Workshop has formed part of and a decision will be made by the governance group as whether to pursue the scheme at Ambergate prior to any commissioning of works.

Due to the project delivery timescales, it has been determined that accessing an existing framework will be the most efficient route to market for the supply and installation of low

carbon heat technologies. Crown Commercial Services (CCS) have procured an OJEU compliant dynamic purchasing system (DPS), "Heat Networks and Electricity Generation Assets DPS", for energy management and generation services, which runs until November 2022. It is proposed that the Council accesses Lot 2 of this DPS, which is for the delivery and installation of services. Call-off from the CCS DPS will be by way of mini-competition.

In accordance with Protocol 2A of the County Council's Financial Regulations a business case for the use of the Crown Commercial Services Dynamic Purchasing System, Heat Networks and Electricity General Assets for energy management generation services has been approved by the Director of Finance and ICT and Director of Legal Services.

In accordance with Protocol 2B of the County Council's Financial Regulations Call-off from the Crown Commercial Services DPS is delegated to the Managing Executive Director.

The design and project management of the low carbon heat projects will be delivered through the Joint Venture with Concertus Derbyshire Ltd.

### **3. Financial Considerations**

The Public Sector Low Carbon Skills Fund via Section 31 grant will provide up to 100% grant funding. Due to the eligibility criteria of an investment of £500 for each tonne of CO<sub>2</sub> saved, the Council will receive 98% of project costs from Section 31 grant and will meet 2% of the costs via the Council's 2012 capital allocation for carbon reduction projects of which there is £218k remaining. From the savings estimated through the feasibility, the Council's allocation will have a simple payback period of 1.75 years.

The installation of low carbon heat will result in higher annual maintenance costs as the cost of maintaining low carbon heat technologies is higher than maintenance of oil and gas boilers. Where ground source and air source systems are already installed in Council buildings, annual maintenance checks are approximately £200 compared with £45 for gas and oil maintenance checks.

### **4. Legal Considerations**

The Director of Legal Services is satisfied that on the basis of the information set out in the report the proposed use of the Crown Commercial Services DPS is in accordance with the Council's Financial Regulations.



## **5. Environmental Considerations**

The Council has adopted an ambitious target to become net zero emitter of greenhouse gases by 2032. As of 2020, greenhouse gas emissions from the Council's operational estate and schools were 26,958tCO<sub>2</sub>e. These projects will reduce annual emissions by 230t. Approximately 1% of current emissions.

## **6. Other Considerations**

In preparing this report the relevance of the following factors has been considered; prevention of crime and disorder, equality and diversity, human resources, environmental, health and transport and social value considerations.

**7. Key Decision** **YES**

## **8. Call-in**

Is it required that call-in be waived for any decision on this report? **NO**

## **9. Background Papers**

These will be available via the Director of Property.

## **10. Officer's Recommendation**

That Cabinet:

10.1 Agrees to accept the £1,891,220 of Section 31 grant funding for the installation of low carbon heat technologies.

10.2 Approves the use of £36,623 from the Council's 2012 capital allocation for carbon reduction projects.

10.3 Approves the use of the Crown Commercial Services' Heat Networks and Electricity Generation Assets Framework Dynamic Purchasing System under protocol 2A of the County Council's financial regulations for the supply and installation of low carbon heat technologies.

**EMMA ALEXANDER**  
Managing Executive Director  
Commissioning, Communities and Policy

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**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

Report of the Director – Economy, Transport and Environment

**TEMPORARY PAYMENT ARRANGEMENTS TO BUS, COACH AND TAXI OPERATORS FOR CONTRACTED TRANSPORT SERVICES AND CONCESSIONARY FARES REIMBURSEMENT DUE TO THE ONGOING IMPACT OF CORONAVIRUS (HIGHWAYS, TRANSPORT AND INFRASTRUCTURE)**

(1) **Purpose of Report** To update the Cabinet Member on current Coronavirus (COVID-19) related public transport issues and seek approval for flexible payment arrangements for bus, coach and taxi operators providing contracted Council transport services and for concessionary fares reimbursement after the current provision ends on 31 March 2021.

(2) **Information and Analysis**

**Background**

On 20 March 2020, following the worsening health situation and the Government's more specific advice to avoid travel by public transport, it became clear that urgent action was required to secure the short term future of the Council's contracted transport services and public bus services in Derbyshire more generally. The Executive Director - Economy, Transport and Environment therefore made an emergency decision to maintain normal contract payments to transport providers who operated the following services for the Council:

- Adult Social Care and Health (ASCH) Transport Services.
- Contracted Local Bus Services.
- 'Derbyshire Connect' Services.
- Mainstream Home to School Transport Services.
- Special Education Needs and Disabilities (SEND) Home to School Transport.
- Swimming services for primary schools (normally recharged to schools, but during the initial lockdown from March 2020 to July 2020 this cost was absorbed by Children's Services).

At the same time, the Executive Director also agreed to maintain payments to bus operators for accepting English National Concessionary Travel Scheme (ENCTS) cards, known as Gold Card in Derbyshire, based on the level of travel before the pandemic. Both of these arrangements were to continue until 30 June 2020.

This urgent decision was the subject of an Officer Decision Record (ODR) agreed by Gold CMT on 20 March 2020. It was also reinforced with a Cabinet Office 'Procurement Policy Note' 02/20 (PPN) about supplier relief. The Cabinet Office guidance advised public bodies to issue a memorandum of understanding to suppliers about terms of payment relief to allow for them to undertake other civic duties in the national emergency. A variation of contract notice was issued and agreed by all transport providers in Derbyshire.

Maintaining contract and concessionary payments ensured that service providers were able to continue to operate Council services, where required to do so. It also ensured they were able to undertake alternative activities such as taking food or medical supplies to people without access to any other transport, when the normal contracted services were not required, for example, due to the closure of schools or day centres. Maintaining normal payments also allowed the network of public bus services to continue to operate, providing key workers with travel options and learners with access to schools and colleges. These payments also gave the various operators some certainty about their short term financial stability and allowed them to be available to resume full services when required.

On 25 March 2020, the Department for Transport (DfT) issued guidance to all English local transport authorities that they needed to take the same actions in their own areas; this reinforced the actions and decisions taken by the Council. At the same time, the DfT also provided emergency direct funding to bus companies to allow them to continue to operate their commercial services.

On 4 June 2020, the Executive Director - Economy, Transport and Environment made a further emergency decision to continue with the contract and concessionary payment arrangements until 31 October 2020 due to continued uncertainty around future transport requirements as a result of the COVID-19 pandemic. This decision was subsequently confirmed at the Cabinet Member - Highway, Transport and Infrastructure at the meeting on 9 July 2020 (Minute No. 34/20 refers) and reflected a further Government PPN 04/20 which provided advice to public bodies about continued payment and transitional relief arrangements.

Following the easing of lock down restrictions in mid-June 2020 and the opening of non-essential shops and hospitality venues in July 2020, demand for public transport services slowly began to increase. Most commercial and Council supported conventional bus services returned to their pre-COVID-19

timetables and passenger numbers rose from the low of 10%-15% level of patronage experienced in lockdown to a high of 55%-60% of normal use. Leisure-related travel was reported as being particularly important as commuter usage remained lower than normal due to the numbers of people continuing to work from home. Rail services saw a similar increase in demand with a rise from 10%-15% in lockdown one, to approximately 35%-40% during the school summer holiday period. The fact that these increases took place whilst the DfT was continuing to advise people to avoid unnecessary use of public transport shows the importance of these services to a significant number of residents and visitors.

In September 2020, schools and colleges reopened fully for the first time since March 2020. As a result, the Council's mainstream and special educational needs and disability (SEND) home to school transport services resumed. Because of the need to maintain social distancing and support year group bubble arrangements, additional services were introduced in some areas using grant funding provided by Government. At the same time, bus operators reported an increase in commuter traffic as people began returning to their normal workplaces rather than working from home. Demand also began to return closer to normal on more specialist, Council funded, transport services, with the reopening of some ASCH day centres to provide for emergency placed clients. The Derbyshire Connect shopping bus service, which mainly caters for the needs of elderly and disabled residents, also saw passenger numbers increase to 70% of pre-COVID-19 levels by the end of October 2020.

As part of the Council's responsive approach to service provision, on 22 October 2020, the Director – Economy, Transport and Environment made a further emergency decision that took account of changes in the impact of the COVID-19 pandemic at the time and the need for ongoing support to facilitate the recovery of transport services in Derbyshire. A schedule of revised payment arrangements were agreed effective from 1 November 2020:

- Payments for Adult Care transport were reduced to 75% of the contracted levels for those services which continued not to operate due to the closure of the day care centre concerned.
- Payments for Contracted Local Bus Services to remain at 100% of contract levels as services were now operating.
- Payments for Derbyshire Connect Services to remain at 100% as services were now operating.
- Payments for Mainstream Home to School Transport Services to remain at 100% as services were now operating.
- Payments for SEND Home to School Transport remain at 100% as services were now operating.
- Payments for swimming transport services during the autumn term from September 2020 to December 2020 to be reduced to 0% of contracted costs. The existing contractual arrangements were however to remain in

place in the anticipation that services would resume as normal at the start of the new school term in January 2021.

Concessionary Fares payments continue to be made based on the level of bus travel before the pandemic.

In addition, it was decided that:

- Should local lockdown arrangements be introduced that required the withdrawal of any local bus, Derbyshire Connect, mainstream or SEND home to school transport services or adult care services in the affected area, then payments would be reduced to 75% of the contracted levels for those services until such time as normal services could be reintroduced.
- Should a transport operator be unable to fulfil a contracted service due to instruction by an authorised body (such as NHS Test and Trace) that a driver or passenger assistant must self-isolate, then the operator should find a replacement member of staff to cover that service. However, in the extreme circumstance that an entire company needed to self-isolate and therefore was unable to provide any contracted services, then payments would be reduced to 75% of the contracted level until such time as normal services could be resumed.

This decision was subsequently confirmed by Cabinet at the meeting on 19 November 2020 (Minute No. 194/20 refers).

At the time these decisions were made, it had been hoped that the introduction of the tiering restrictions, and the more limited four week lockdown in November 2020 where many schools remained open, would negate the need for a further national lockdown. Unfortunately, this did not prove to be the case and a further national lockdown was introduced on 5 January 2021. This led to the closure of most non-essential businesses and shops with schools only remaining open for children of key workers and vulnerable pupils. At the same time, the DfT reinforced the message not to use bus and train services unless absolutely necessary and advised operators and councils to reduce frequencies on their commercial and supported bus and rail service networks.

As a result of this sequence of advice, the public bus network is operating around 75%-85% of normal mileage with passenger use around 25%-35% of pre-COVID-19 use. The rail network is operating approximately 75% of the standard timetable with passenger numbers around 10%-15% of ordinary levels.

### **Achievements and Impacts**

Since the beginning of the first national lockdown in March 2020, Derbyshire County Council has continued to provide vital financial support for transport

services in the County and the transport companies who provide a variety of services on behalf of the Council. Transport companies have, in turn, demonstrated resilience, community spirit and a willingness to respond to the local COVID-19 efforts. Despite the worsening picture at times, all operators have remained active and many have reacted positively to requests from the Council to adapt or change the services they provide. This has only been possible due to the ongoing support from the Council and, in particular, the Council's action from the outset maintaining payments to transport providers for contracted services and Gold Card concessions. The resulting achievements and impacts over the last 11 months:

- Maintained payments to local bus operators for over 80 contracted services (budget £3.9 million) and Gold Card concessions (budget £9.1 million) across all public bus services. These payments have been vital and have allowed a network of services to be maintained in Derbyshire, ensuring key workers and care staff can continue to get to work, as well as enabling other essential journeys such as food shopping.
- Maintained payments to operators providing 169 mainstream home to school transport services (budget £4.5m) and for 665 special needs transport services (budget £10.5m).
- Maintained payments to operators providing 108 adult care transport services (budget £3.5m).
- Coach and taxi operators have maintained vital services to schools to ensure vulnerable children, and those of key workers, are able to attend.
- Operators have worked constructively with Council officers to deliver adapted or additional services to enable greater social distancing and safer travel for children, adults and the wider travelling public.
- Minibus operators have provided short notice transport for adults with disabilities requiring emergency support.
- Taxi and minibus companies have delivered food hampers and supplies to foster families and looked after children.
- Taxis have assisted by transporting care workers on late night shifts.

To continue to safeguard the services that the Council requires, the funding arrangements need to reflect the on-going pandemic requirements alongside the continued support of transport companies whilst ensuring value for the public purse.

### **Going Forward**

The roll out of the national COVID vaccination programme now provides hope that the end of the pandemic is in sight. Based on the Prime Minister's 'roadmap' announcement on 22 February, key dates for the lifting of restrictions are now becoming clearer. For example, it seems likely that schools will begin reopening on 8 March with other parts of the economy - non essential retail, hospitality sector and leisure industries - following over the coming months to June. That said, the wider impacts of the pandemic are

anticipated to impact the economy and public transport sector over the medium to long term.

Research carried out for the Council in autumn 2020 reported that operators anticipate passenger numbers and revenue on their commercial networks would only reach 70%-80% of pre-COVID-19 levels 12 months after all lockdown restrictions were removed. The same research showed there was a considerable risk that a large number of commercial routes would either be withdrawn or see significant reductions in service frequencies if the current Government and Council funding support was removed too quickly.

The experience of how bus passenger numbers recovered after the first lockdown restrictions eased does, however, provide some optimism. Even during the period from October 2020 to December 2020 when the tiering arrangements were in place and a second lockdown was introduced, bus passenger numbers continued to rise slowly.

The Government's new National Bus Strategy is due to be published in the Spring and is likely to have a significant effect on the longer term direction of the industry. Details of the proposed Strategy are awaited but from information received via informal discussions, it would appear that Government will be expecting councils to take on a greater role in influencing/directing the current commercial bus network. The Strategy is likely to see the introduction of 'recovery partnerships' between councils and bus companies this summer, followed by formal, compulsory enhanced partnership agreements and perhaps even franchising across the whole commercial network from spring 2022. It is anticipated that the National Bus Strategy will set out how these arrangements will be implemented and funded. Due to the uncertainty on the exact content and implications of the Strategy, it is proposed that a further report be submitted for Member consideration later in the year setting out the detail of any new responsibilities for the Council and a plan for how this will be achieved.

The formal end of the franchise system, under which train services have operated since the mid-1990s, took place in September 2020. Rail services are now being provided under Emergency Recovery Management Agreements (ERMAs) between the DfT and the train operators. ERMA's are expected to be a transitional arrangement which will last for up to two years. It seems likely this will then be replaced by a concession-based model as already operated by Merseyrail and Transport for London rail services. This would see all aspects of the service set by the DfT, with each operation run by a private company who would receive a fee under a management contract.

## **Proposals**

With the continued uncertainty about the impact of COVID-19 on the long term demand for public transport services and wider Government policy changes in



the commercial bus sector, the Council needs to maintain a level of flexibility and responsiveness in the way bus services are supported, managed and commissioned until the way ahead becomes clearer; in any event, statutory mainstream school and SEND services are still required. Transport to ASCH day centres will also be required along with the need to continue making payments for journeys made by concessionary card holders, although the current scheme may well be reformed by Government.

The financial support provided by the Council to the transport operators throughout the pandemic has been invaluable in stabilising the sector and safeguarding the contracted services for the residents of Derbyshire. This work has been further enhanced by involvement of the sector in the formal recovery workstreams and in regular operator liaison meetings.

The outputs of these discussions are reflected in the Derbyshire Economic Recovery Strategy and demonstrate the critical role that public transport has to play in enabling full and inclusive recovery to the business, retail, learning and visitor sectors across the County. In particular, the potential impact on 'honey pot communities' and the local highway network is being actively considered prior to Government announcing the formal lifting of restrictions and prior to the predicted peak in 'staycations during 2021. Thinking/planning around the role of public transport in ameliorating this impact is being developed already and it. It is therefore essential that a good network of local bus services remains available to provide an alternative means of travel for leisure trips and to promote recovery.

Many of the fundamental concerns which led to the Director's emergency decision in October 2020 continue to be valid, including:

- The continued probability that transport operators will go out of business without some support.
- The limited availability of Public Service Vehicle drivers.
- The lack of alternative capacity in the market to allow other operators to pick up additional business within a short timescale.
- The continued costs being faced by bus operators to maintain their 'O Licence' which includes maintaining 'financial standing' in their bank account, vehicle insurance and vehicle maintenance.
- Self-employed nature of many taxi drivers which mean they are very vulnerable to the economic shock of COVID-19.

There is, however, an expectation that as Derbyshire begins to move into a recovery phase from April 2021, there will need to be flexibility to alter the current payment arrangements, to take account of changing local circumstances, new national guidance and any modifications to the Government's existing emergency funding arrangements. The following arrangements are therefore proposed for payments from 1 April 2021:

- Payments for adult care transport to remain at 75% of the contracted levels for those services which continue not to operate due to the closure of the day care centre concerned.
- Payments for adult care transport, contracted local bus, Derbyshire Connect, mainstream home to school and SEND services which continue to operate normally to remain at 100% of contract levels.
- Payments for swimming transport services to remain at 0% of contracted costs until services can resume, which is not anticipated to be until the new school year begins in September 2021.
- Concessionary fares payments to continue to be made based on the level of bus travel before the pandemic, subject to any changes which may be needed in response to updated DfT advice.

Due to the dynamic nature of the virus, the potential need to introduce local restrictions to take account of this and further changes in Government guidance or policy it is proposed that:

- Should local lockdown arrangements be introduced that require the withdrawal of any local bus, Derbyshire Connect, mainstream or SEND home to school transport services or adult care services in the affected area, then payments would be reduced to 75% of the contracted levels for those services until such time as normal services could be reintroduced or until it is determined that transport requirements have changed and alternative recommendations are made, whichever is soonest
- Should a transport operator be unable to fulfil a contracted service due to instruction by an authorised body (such as NHS Test and Trace) that a driver or passenger assistant must self-isolate, then the operator should find a replacement member of staff to cover that service. However, in the extreme circumstance that an entire company has to self-isolate and therefore is unable to provide any contracted services, then payments will be reduced to 75% of the contracted level until such time as normal services are resumed.
- Any increase in Council legal responsibilities in relation to how the current commercial bus networks are funded and specified as a result of the national bus strategy are incorporated into the payment arrangement proposed in this report.

It is anticipated that future transport requirements for SEND, mainstream school, adult care services, local bus and Derbyshire Connect transport will be reassessed and reviewed in 2021, and most notably following publication of National Bus Strategy. It is therefore proposed that these payment arrangements should remain in place until 31 December 2021, or until the assessment of ongoing requirements is completed and alternative recommendations are made, whichever is soonest.

### **Alternative Options**

In considering the most appropriate way forward, a number of issues have been taken into account and other options assessed.

The Council is firmly and clearly committed to ensuring value for money in everything it does and this means not normally paying for goods and services that are not due to be received. However, the exceptional circumstances that are being faced nationally, the ongoing advice from Government, the precarious nature of the external market for transport operators, and the changing/unclear forward demands of customers such as schools and day care centres, means that competing issues have to be balanced and a view taken on how best to protect not only the public purse in the short term, but the economic and social wellbeing of local residents and businesses, as well as retaining a competitive market place for the Council's long term bought in transport needs.

With this in mind, another funding/support option have been considered and this is set out below:

- Do nothing and remove all payments for services not operating from 1 April 2021 and revert to concessionary fares payment based on actual travel at the same time. This is not considered an appropriate option given the significance of the economic and social impact on passengers and local businesses, the difficulties that would be faced in resuming full operations once the crisis has passed and the impact on the bus network caused by the loss of most concessionary fares income straight away. It is also contrary to DfT advice (PPN 04/20).

On balance, it is therefore considered that the 'Proposals', as set out above, represent the most appropriate way forward. It is clear what services are being paid for and why, and efforts have been made to ensure there is no 'double compensation' being paid, i.e. by the Council and any other party, e.g. the Government paying towards 'furlough'. It is hoped that it will be possible to return to pre-COVID-19 arrangements sooner than the end of this year, but the extension until 31 December 2021 provides flexibility if this proves not to be the case.

(3) **Financial Considerations** The Council has a total of around £35m committed expenditure per annum across all transport services. This includes around £10m of concessionary fare payments which is a statutory duty. The proposals contained in this report do not incur any additional expenditure beyond planned expenditure in the absence of the COVID-19 pandemic. Any saving against this planned expenditure would be 'windfall', but a wider consideration is the social and economic value of helping bus operators and transport contractors to survive this period of uncertainty so that service provision can immediately be resumed, when required, as the lockdown/local

restrictions are eased. The source of demand, such as schools or Adult Care centres, cannot sustain any lengthy time delays.

(4) **Legal Considerations** Section 63(1) of the Transport Act 1985 places a duty on Derbyshire County Council to secure the provision of '*such passenger transport services as the Council considers appropriate to meet any public transport requirement within Derbyshire which would not, in its view, be met, apart from any action taken by them for that purpose*'.

Under Section 63(8) of the Act, the Council also has a duty to have regard to the transport needs of members of the public who are elderly and disabled when exercising the Section 63(1) duty referred to above.

Under Section 508B and Schedule 35B of the Education Act 1996, local authorities are under a duty to provide free school transport to 'eligible children', and under Section 508A of the Education Act 1996.

(5) **Equality and Diversity Considerations** Ordinarily, changes relating to home to school transport, SEND transport, adult care transport and local bus service provision are usually the subject of public consultation. However, these temporary measures are considered urgent due to the unprecedented situation caused by the COVID-19 pandemic and its impact on the resumption of safe passenger travel. In addition, the proposals set out in this report seek to maintain and protect services as much as possible so any detrimental impact to protected groups is mitigated.

(6) **Human Resources Considerations** The workforce which undertakes these contracted transport services are drivers and passenger assistants who are either employees or sub-contractors of external providers. It should be noted there may be local difficulties with some companies where a number of their driving personnel remain unable to work due to COVID-19 shielding measures. Suppliers have been asked to make the transport teams aware of any difficulties in fulfilling the terms of their contracts due to personnel shortages and this has not presented any insurmountable issues to date.

(7) **Environmental and Health Considerations** Whilst making the contractual and concessionary fare payments does not present practical environmental or health considerations, there are significant concerns from a transport operational perspective in responding to the Government directive. These concerns fall into the following categories:

- Social distancing (school transport and local bus services) – reduced provision due to social distancing requirements.

- Social distancing (SEND) and ASCH services – necessary to reduce capacity to one student and passenger assistant in one taxi and 2-3 students on a 16 seat minibus (depending if one travels in a wheelchair).
- Capacity of vehicles – the market does not have the drivers or vehicles to supply a large amount of additional routes.
- Operator concerns – age/gender profile of many drivers (older males); driver availability as some remain shielded, higher risk profile of some drivers (ethnicity), handling of cash, risk of transmission, enforcement of mask wearing and adherence to social distancing by students, limiting numbers alighting the vehicle at bus stops, etc.
- Personal Protective Equipment (PPE) availability and conformity – particularly relevant for the transport of SEND students who typically travel in smaller vehicles, such as taxis with an accompanying passenger assistant. Some SEND children have challenging behaviours (such as spitting, touching) and some require personal contact during the journey to school.

(8) **Social Value Considerations** The Council issues free bus passes to students who have a statutory entitlement to travel assistance to enable them to travel to school on the contracted school bus network. The Gold Card concessionary pass system also allows older people and those with specific disabilities to use bus services at no charge.

It should be noted that the transport suppliers and many of the operators impacted by the proposals in this report are local small and medium enterprises (SMEs). Continuing with the payments will thereby help to protect jobs and the local economy, at least for the immediate future.

(9) **Other Considerations**

In preparing this report the relevance of the following factors has been considered: prevention of crime and disorder, property and transport considerations.

(10) **Key Decision** Yes.

(11) **Call-In** Is it required that call-in be waived in respect of the decisions proposed in the report? No.

(12) **Background Papers** None.

(13) **OFFICER'S RECOMMENDATIONS** That Cabinet:

13.1 Notes the current situation with regard to public transport services in the County and the need to maintain flexibility and responsiveness in the adopted approaches.

- 13.2 Approves the proposed payment arrangements to bus, coach and taxi operators for contracted Council transport services and concessionary fares reimbursement from 1 April 2021 until the 31 December 2021 or until the completion of the assessment of ongoing transport requirements is completed and alternative recommendations made or until changes in Government guidance if this is earlier.
- 13.3 Agrees to receive a further report later in the year which will set out any changes in the Council responsibilities regarding bus services and funding following the publishing of the new National Bus Strategy.

**Tim Gregory**  
**Director – Economy, Transport and Environment**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

Report of the Director – Economy, Transport and Environment

**RURAL GIGABIT BROADBAND TOP UP VOUCHER SCHEME  
(HIGHWAYS, TRANSPORT AND INFRASTRUCTURE)**

(1) **Purpose of Report** To update Cabinet of the opportunity afforded by a recent Department of Culture, Media and Sport (DCMS) initiative enabling 'local bodies' to provide a "top up" contribution to the Rural Gigabit Voucher Scheme, to identify the most appropriate potential funding options and to seek approval to participate in this scheme.

(2) **Information and Analysis**

**Background**

Derbyshire's superfast programme (Digital Derbyshire) has been in place since 2014 and is based on achieving 24mbps broadband speed. Overall take up for the programme has now reached 59% and to date, the County has achieved 95.8% coverage at 24mbps. However, this level of coverage is not consistent across Derbyshire; high speeds and high coverage is better achieved in urban and sub-urban areas and there are several examples where the speed has either dropped below this threshold or the existing infrastructure (beyond the roadside green cabinet) is not able to maintain the required speed resulting in many properties not being able to achieve the Superfast speeds.

The problem of speed and coverage is much more prevalent in rural areas where the digital infrastructure is very old. There are circa 3,900 postcodes within Digital Derbyshire Intervention Area (the last 5% of the County area) that contain properties not able to access Superfast Broadband speeds or where the entire postcode area has been excluded from the Digital Derbyshire roll out on the basis of value for money (see below).

The help enable wider coverage in 'difficult to reach' places, DCMS has in place a voucher scheme that supports residential and businesses premises (typically in rural locations) to access Superfast broadband where the cost of providing infrastructure through the Digital Derbyshire programme is prohibitive (i.e. does not demonstrate value for money or meet Public Subsidy

Control Rules (formerly State Aid) restrictions that prevent an installation going ahead when the cost of delivery exceeds the cost per premise cap (£1,500) within the current terms of the BT contract). Vouchers are currently available from DCMS up to a value of £1,500 for residential properties, but even this can fall short of the true cost of installing digital infrastructure in rural locations which typically can see average costs of £3,000 per property (or considerably more in remote areas).

The voucher scheme is financially supported and facilitated by DCMS and marketed nationally. Communities and premises that are not currently programmed to receive Digital Derbyshire infrastructure increasingly are being signposted to the voucher website where a list of eligible suppliers offer support to the application processes. The aim is to get two or more premises, or ideally whole communities, applying for vouchers as this ensures better economies of scale on infrastructure costs.

For rural areas though, the financial difference between the value of the voucher per residential premise (£1,500) and the real cost of the infrastructure per premise (c £3,000+ on average) are significantly different and often result in the community or group of premises not taking up the voucher scheme on the grounds of affordability. This means more Derbyshire communities are getting left behind in terms of digital connectivity, contributing to the challenge of 'levelling up'.

Alternative broadband technologies such as satellite, 4G and microwave transmission are available for hard to reach communities but these technologies currently have relatively slow download speeds (usually around 20 Mbps) and are expensive for subscribers due to charges being made for data usage. Other products such as Starlink are also being developed and will be available to the market over the short to medium term. It is important to note that coverage of these technologies is patchy and service availability can be weather dependent. As a result, it is felt that wire broadband technology provides the most stable, future proofed and lowest subscriber cost solution at this moment in time, however, the Digital Derbyshire website will be updated to ensure consumers have access to information on the latest technologies and solutions.

### **Rural Gigabit Scheme**

DCMS is currently implementing a £5 billion Government initiative to provide gigabit (100mbps) capability to all homes and businesses by 2025. Initial indications are that DCMS views "demand led" schemes such as the Rural Gigabit Broadband Voucher scheme as an important part of the overall strategy to increase the fibre broadband footprint to harder to reach/rural communities.



The national Rural Gigabit Scheme has a maximum available budget of £200m within the wider Rural Gigabit Connectivity Programme. It is a micro grant scheme which offers vouchers worth £1,500 per home and up to £3,500 for each small to medium enterprises (SMEs) to support the cost of installing gigabit-capable broadband in rural communities. There is no limit to the number of applications in the scheme and the offer has been available since September 2020 and currently anticipated to finish in March 2021 (based on current DCMS funding and rate of take up). DCMS has advised that a follow on Top Up scheme, benefitting rural communities is likely to be announced and that unallocated funding from the existing scheme can be rolled forward.

### **The “Top Up” Scheme**

‘Top up’ kicks in at the point where the existing voucher values are not sufficient to cover the cost of infrastructure installation. The scheme itself works on a list of confirmed, eligible postcodes that meet the Department for Environment, Food and Rural Affairs (DEFRA’s) definition of a rural location (i.e. class D or beyond). As with the existing voucher scheme, marketing of the offer will take place both nationally and locally to encourage take up and interested premises or communities will be actively signposted to the website of suppliers that support the application process. Local promotion will also include face to face community meetings to encourage economies of scale.

Although DCMS has an available total budget of £200m to support rural connectivity, the Department is seeking a financial contribution from the Local Body to enable access to the fund by rural postcodes in that area. It is proposed that the ‘Top Up’ fund contribution from the Council would be £500,000 which would facilitate access to an additional £1,500 for residential vouchers and £3,500 for SMEs, effectively doubling the value of the current, available voucher. This does seem to reflect the real, average cost of infrastructure per premise in rural areas.

Building Digital UK (BDUK) is the delivery arm of DCMS and is responsible for implementing the Rural Gigabit scheme. BDUK will pay its voucher value first (the existing £1,500 or £3,500 for residential and business premises respectively) and then, if required, will top up the remainder of the cost of installation from the Council’s contribution up to the maximum amount agreed with the local body (Derbyshire County Council). The Council’s financial contribution would remain in the Council’s budget until a retrospective invoice is received.

BDUK has advised it will make an administration charge of £50 + VAT for each community project, i.e. each pre-registered package which could be between one or two postcodes up to 100’s of postcodes, depending on the supplier.

The primary benefit of the 'Top Up' scheme is that an even greater number of residential and business premises in the hardest to reach locations (the last 5%) in Derbyshire would have the opportunity to access exceptionally fast broadband or improve the speed and quality of their current provision; this opportunity is essential to levelling up economic advantage and supporting sustainable growth in areas which typically would not be able to access the current Digital Derbyshire programme.

A Council contribution of £500,000 (this is the minimum amount required by DCMS) would potentially enable at least circa 330 residential or 140 SME premises to take advantage of the voucher scheme in the hardest to reach locations. This figure is likely to be greater due to full top up contribution not being utilised in every case.

The 'Top Up' scheme was launched in September 2020 and the proposal has been subsequently considered and agreed at the Digital Derbyshire Programme Board prior to formal consideration by Cabinet. BDUK is urgently seeking confirmation that participating local bodies have the required funding to support the roll out/conclusion of this phase of the programme.

To date, 14 new projects have been registered in Derbyshire with a combined total value of just under £1m and it is anticipated these projects will require a combined "top up" contribution from the Council of approximately £100,000. The planned roll forward of the top up scheme by DCMS would mean that any unallocated Government or Council funding will remain available for local residents and businesses after 31 March 2021.

A number of funding options have been considered in the development of this proposal. These are set out below alongside the relevant advantages and disadvantages of each:

- a) **Allocate a proportion of the Gainshare earned from the Digital Derbyshire Contract 1:** Despite several attempts to secure clarity, BDUK has not given clear assurances that the Council can utilise Gainshare for this scheme - without losing the BDUK element of the Gainshare. This could mean that the BDUK element of the current Gainshare pot is returned to Government and therefore lost from future local investment in Digital Derbyshire. Furthermore, the Council has been advised that the Treasury is reluctant to move funding from one programme to another.
- b) **Submit a bid to D2N2 (Derby, Derbyshire, Nottingham and Nottinghamshire) as part of the current pipeline call:** Unfortunately, the call is for future projects with no indication of implementation timescales or available funds. Given the pressing timescales of the Rural Gigabit Scheme, this is not a timely option.

- c) **Utilise nominated Council core funding:** The Council has set aside a Recovery Fund of £15m to support those economic and community initiatives that will aid recovery post Coronavirus (COVID-19). Although there are many competing priorities for this fund, the proposed 'Top Up' Scheme is aligned to the broad principles of the Fund. The COVID-19 crisis has demonstrated the critical importance of good digital connectivity for both residents and businesses to ensure access to e-commerce, health advice, learning and other things and this top up scheme will help support the 'levelling up' of economic growth opportunities in rural areas which otherwise will struggle to access broadband connectivity at any time in the foreseeable future.

### **Governance**

It is anticipated that the 'Top Up' scheme will be administered and managed by the Digital Derbyshire Team in parallel with the day to day management of the wider programme and will be governed by the existing Digital Derbyshire governance structure, including the internal Programme Board.

(3) **Financial Considerations** As detailed in the report. The 'Top Up Scheme' meets the principles of the COVID-19 Recovery Fund and is therefore considered an appropriate use.

(4) **Legal Considerations** The Director of Legal Services will advise in relation to any legal documentation relating to the DCMS 'Top Up' Scheme.

(5) **Other Considerations**

In preparing this report the relevance of the following factors has been considered: legal, prevention of crime and disorder, equality and diversity, human resources, environmental, health, social value and transport considerations.

(6) **Key Decision** Yes.

(7) **Call-In** Is it required that call-in be waived in respect of the decisions proposed in the report? Yes.

Councillor Bull, Chair of Improvement and Scrutiny Committee – Places has approved the waiver of the call in due to the urgent nature of the decision required.

(8) **Background Papers** Held on file within the Economy, Transport and Environment Department.

- (9) **OFFICER'S RECOMMENDATIONS** That Cabinet:
- 9.1 Notes the Department of Culture, Media and Sport (DCMS) 'Top Up Scheme', including any extended roll out beyond 31 March 2021, and that confirms the County Council's participation and contribution of £500,000 to the programme.
- 9.2 Approves funding to be secured from the Coronavirus (COVID-19) Recovery Fund up to a maximum of £500,000.

**Tim Gregory**  
**Director – Economy, Transport and Environment**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

Report of the Director – Economy, Transport and Environment

**FUTURE HIGHWAYS MODEL AND HIGHWAYS CAPITAL PROGRAMME  
2021-22 (HIGHWAYS, TRANSPORT AND INFRASTRUCTURE)**

(1) **Purpose of Report** To seek Cabinet approval for the adoption of the next stage of the Future Highways Model (FHM) and for the delivery of the Highways Capital Programme for the year 2021-22.

(2) **Information and Analysis**

**Future Highways Model – Next Steps**

A waypoint review has been conducted by Proving Services, the organisation that advised the Council in 2018, on the progress to implement the Future Highways Model, (FHM). It is clear that this work has been significantly disrupted by the challenging circumstances over the past 18 months, with Toddbrook Reservoir, three flooding events impacting the county and the impacts of COVID-19. In addition, staff pressures and lack of capacity have meant that inadequate resources have been available to develop the work.

The review has identified a number of areas that are not working effectively, with major changes required to improve accountability and responsibility, internal processes, customer enquiries, capital planning and financial costing and management. Fundamentally, it has been determined that the current internal structures and processes are not sustainable and are not supporting the future needs of the Council.

The Future Highways Model (as a “mixed economy” operating model) is designed to provide a flexible, efficient and effective service and is based on a client/delivery (or commissioner/provider) structure. The mixed economy approach has been widely adopted by the top performing members of the Future Highways Research Group (FHRG) and is a top-scoring future option for the many authorities approaching contract renewal.

Mixed economy operations are already embedded within the Highways Service. Unlike many authorities who outsourced their highways services, DCC has retained many in-house capabilities. Critical among these is the ability to be an “intelligent client”. When combined with the agility of in-house design, maintenance and construction capabilities, these core capabilities will

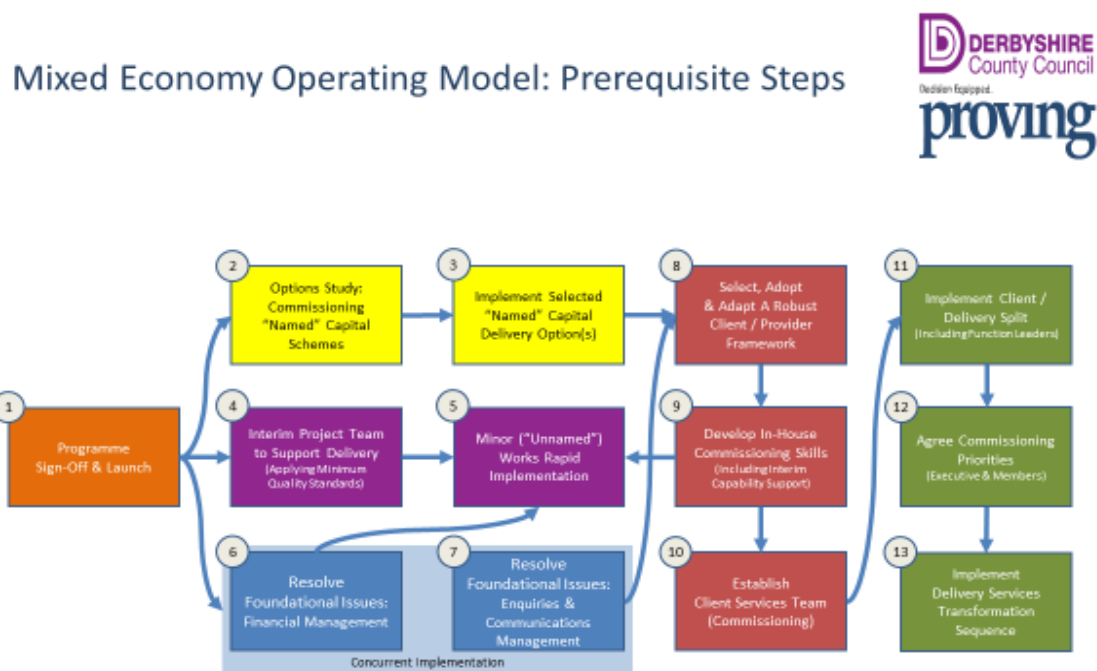
provide the foundations for transforming the service from an often-inefficient *In-house Plus Top-Up* operating model to a high-performing *Mixed Economy Highways Service*.

The waypoint review endorsed the mixed economy model and nationally this is becoming the model of choice for Highways Authorities. This is due to its flexibility and responsiveness, while enabling the use of external partners to provide specialist, complex and additional services. However, the effective working of this model is dependent on the establishment of an effective client team. This necessitates a delineation between the commissioning and the delivery components of the service. This is not in place at present with overlaps, a lack of clarity regarding accountability and gaps in capability to commission and manage the provision of services at the scale required.

As this report lays out, this is particularly important now in the delivery of the capital programme, given the scale of external commissioning that will be required over the next few years. At least £20m+ per year will need to be commissioned externally, in addition to the maximum of £20m per year that can be delivered internally, in order to deliver the scale of the capital programme. Having a robust commissioning capability that defines the scope of projects, sources and contracts for the packages of work and assures value for money and quality is essential moving forward.

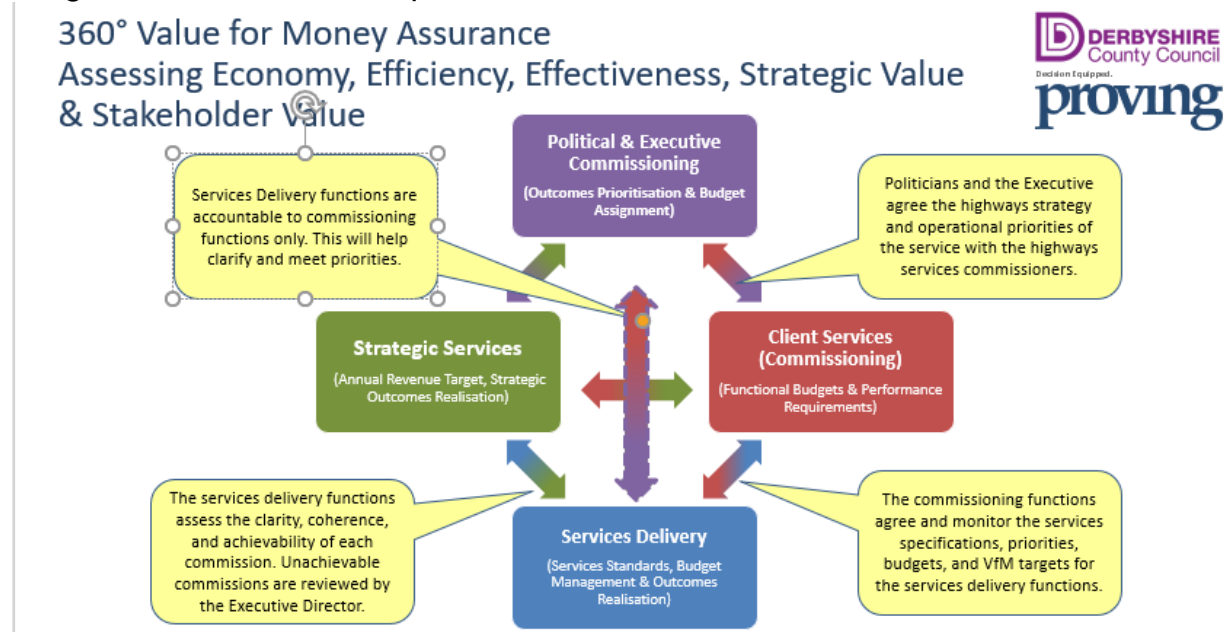
The changes proposed to the Highways Service in the implementation of the FHM as a result of the waypoint review are radical and far reaching. A plan for this change has been developed and this is to be adopted, in a staged process, over the next 2 years with dedicated programme support. This is outlined in model form at Diagram 1 below:

Diagram 1: Implementation Stages



This staged programme of change will redefine responsibilities, necessitate a complete review of resources, processes and practices and will require significant change throughout the service. It will lead to a new operating model for the service that clarifies functions and accountability, with roles and responsibilities revolving around client services or delivery services. The links between these areas and with external providers would be forged through strong processes and relationship management. The illustrative structure shown in Diagram 2 will now need to be developed specifically for the DCC operating environment.

Diagram 2: Outline Conceptual Model



A programme team to support this change will need to be established for the duration of this change programme, with a senior executive officer to act as the sponsor to formally oversee and monitor progress at regular review points.

It is proposed to initially commit up to £1.5m of Economy, Transport and Environment unallocated reserve funds to support this change process over the 2-year period, to be controlled by the Managing Executive Director in consultation with the Cabinet Member for Highways, Transport and Infrastructure and the Director of Finance & ICT. The proposed initial resource requirement is set out below, but may need refinement as the work progresses. In addition, success is also dependent upon the commitment of considerable internal resources from Highways and from the Council's corporate functions.

- Sponsoring Officer
  - Senior executive officer – Managing Executive Director or Executive Director.
- Senior Responsible Officer

- 1 Person, part-time – Executive Director or Director.
- Programme Manager
  - 1 Person, qualified, full-time for the duration of the programme.
- Business Analysts & Function / Workflow Designers (as Subject Matter Expert(s))
  - 2 People, qualified, full-time for the duration of the programme.
- Benefits Realisation & Risks Manager
  - 1 Person, qualified, full-time for the duration of the programme.
- Contracts & Contracting Support Officer
  - 1 Person, part-time secondment.
- Support & Programme Administration
  - 2 People, qualified, full-time for the duration of the programme.

This programme is designed to significantly improve service delivery and is not a cost-based review. There are no specific savings targets associated with this change. The service is under-resourced and will continue to recruit to fill vacant posts and to manage succession, in what is a workforce with an older age profile. The analysis indicates that the Highways Service is not currently running at maximum productivity, and that the changes proposed will facilitate more cost effective, well managed services, driven by the already highly effective asset management approach. The aim is to radically improve the handling of enquiries through greater use of technology, as well as attain better fulfilment and responsiveness. Once the changes have been adopted and have matured there are clear future opportunities for income generation.

Inevitably, there are risks to this programme, such as short term challenges taking priority over the programme, or inadequate resourcing. There is risk of change fatigue and of some interruption to services. To minimise these and to assure overall delivery, a delivery board will be established, led by the Service Director Highways, to manage the overall delivery of the programme. Initially, they will be responsible for the development of the outline business case and change plan for the delivery of the staged programme. A formal corporate FHM programme board will be established, chaired by the sponsor and include senior representation from Finance, HR, Procurement and Legal, to review progress on a regular basis. This will be integrated into the Council's developing corporate Project Management Office and transformation model. In addition, it is proposed that regular progress reviews will be conducted by Improvement and Scrutiny committees at six monthly intervals.

Following approval of this FHM programme, and in parallel with establishing the programme team, an Outline Business Case will be developed, along with the detailed Business Change Plan and the Highways Services Commissioning Framework. The aim is to have these available for review by the FHM programme Board in mid May.

### **Highways Capital Programme - Background**

Annually, the Council receives central Government funding via a number of different grants for highways and transport improvement and maintenance schemes. The availability and value of these funds varies each year



depending upon central Government priorities. Some are allocated via the application of a formula, (f), using road length and highway asset numbers, some are allocated through a bidding process, (b):

- Highway maintenance block – repair of roads, structures and infrastructure (f)
- Integrated transport block – transport capital improvement schemes (f)
- Highway maintenance incentive fund – asset management funding (f)
- Pothole action fund – repair and prevention of highway defects (f)
- Challenge funds – individual major maintenance projects (b)
- Flood resilience fund – flooding defence projects (b)
- Public Service Agreement casualty reduction fund – road safety initiatives (b)
- Active travel funds – improved cycling and walking facilities (f)

Funding has been allocated to meet asset needs, elected members' suggestions and the strict criteria of the different funds. Previous grant allocations for the past 3 years have been prioritised as shown in Table 1 below:

<b>Block</b>	<b>2018/19</b>	<b>2019/20</b>	<b>2020/21</b>
<b>Highway Maintenance Programme</b>	<b>£20.050m</b>	<b>£19.469m</b>	<b>£18.454m</b>
Carriageways - principal roads/resilient network	18.0%	18.3%	21.9%
Carriageways - non principal roads/non resilient network	21.2%	22.4%	26.6%
Footways	15.6%	13.6%	8.8%
Drainage and flood management	2.6%	2.9%	4.6%
Bridges, structures, retaining walls and highway boundary structures	15.8%	15.2%	14.0%
Traffic, signs, lines and signals	14.2%	13.2%	12.1%
Street lighting	6.5%	6.3%	4.6%
Rights of way	4.0%	5.4%	5.2%
Cycle routes	2.1%	2.5%	1.3%
Greenways	0.0%	0.2%	0.9%
<b>Integrated Transport Programme</b>	<b>£3.644m</b>	<b>£3.644m</b>	<b>£3.644m</b>
Road, Freight and Parking Management	0.0%	0.0%	8.6%
Key Cycle Network investment plan	20.2%	10.0%	2.7%
Local cycling and walking investment plan	3.1%	7.1%	3.6%
Sustainable Economic Connectivity	9.0%	1.4%	17.4%
Casualty reduction initiatives	16.6%	4.3%	38.9%

Preparation and Implementation of Major Highway Projects	51.1%	77.2%	28.8%
<b>Other Grant Funding</b>			
PSA Road Safety Programme (draw down from reserve)	<b>£0.227m</b>		
DfT Highways Maintenance Challenge Fund Tranche 2B (allocated Feb 2020)			<b>£4.867m</b>
DfT Pothole & Highways Maintenance Challenge Fund Tranche 3 (allocated Jun 2020)			<b>£12.906m</b>
DfT Active Travel Fund 1 (allocated May 2020)			<b>£0.443m</b>
DfT Active Travel Fund 2 (allocated Nov 2020)			<b>£1.684m</b>
Additional Highway Maintenance (allocated in Nov 2018)	<b>£8.414m</b>		
National Productivity Investment Fund			
Flood Resistance Fund	<b>£1.514m</b>		
<b>Total Funding</b>	<b>£33.349m</b>	<b>£23.113m</b>	<b>£41.998m</b>

*Table 1: Historic programme budget allocations*

The delivery of the Highways Capital Programme has been a challenge to the Council in recent years. Funding allocations have grown and the timings of allocations from central Government have varied. Together with a number of severe weather incidents and COVID-19, the size of the allocations has exceeded the approximate £20m capacity of the service to deliver each year. This has resulted in a backlog of highways schemes still to be delivered and this is increasing each year.

### **2021-2022 Highways Capital Programme**

The 2021-2022 funding allocations from DfT were announced on 15 February 2021, with the Council's allocation being a total of £27.3m. Together with delays and underspends from previous years, the value of the Highways capital programme is expected to be in excess of £70m.

The Council has set itself a target to deliver the £70m of capital schemes during the next three financial years to bring the programme up to date. This is likely to mean that a programme in the region of £40m will need to be delivered in each of the next three financial years. This is more than double that which has been achieved in recent years.

In determining which schemes will be prioritised to be delivered in 2021-2022, an assessment has been made based upon the Department for Transport's Early Assessment and Sifting Tool (EAST). This evaluates all schemes on the current programme that have not yet been delivered, together with new schemes identified for inclusion on the basis of the asset management

analysis or other priorities. All criteria are scored on a scale of 1 to 5. The scoring definitions are shown in Appendix A. Each maintenance scheme has been scored against:

- Strategic Case - Meeting the Council's asset management needs and Council priorities for 2021-2022.
- Delivery Case - Practical deliverability in year, scheme already in development, evidence of member requests and stakeholder support.
- Financial & Commercial Case - Affordable within the budget available, low-cost risk, reduces future costs and able to be packaged to deliver greater value for money
- Block Priority – Priority of scheme within block.

Each integrated transport scheme has been scored against:

- Strategic Case - Meeting the Council's Local Transport Plan, Investment Protocol and Council priorities.
- Delivery Case - Practical deliverability in year, scheme already in development, evidence of Member and stakeholder support.
- Economic Case – Delivery of economic, environmental and social benefits, and casualty reductions/road safety benefits.
- Financial & Commercial Case – Affordable within the budget available, low-cost risk, reduces future costs and able to be packaged to deliver greater value for money.
- Block Priority – Priority of scheme within block.

Highway maintenance and integrated transport schemes with the highest total scores are proposed for allocation of funding for 2021-2022 as shown in Appendix B. In accordance with the Council's asset management strategy, the proposed programme for delivery in 2021-2022 includes a large number of carriageway maintenance schemes. These are proposed in response to the impacts upon the network of the recent severe weather, together with preventative maintenance to mitigate future severe weather impacts upon the network.

A major programme of drainage and flood management is proposed, in response to the repetitive and serious flood challenges the Council has faced over the past 18 months and the likelihood of reoccurrence. Significant investment in local road safety schemes will be delivered, reinforcing the Council's commitment to enhancing road safety and aiming to reduce the numbers of people killed or seriously injured on Derbyshire's roads. A large programme of further improvements to the county's cycle network and public transport infrastructure is also proposed together with advanced design on schemes for construction in future years.

The proposed breakdown of blocks to be delivered in the 2021-2022 are shown in Table 2 below:

Block	%	Value
<b>Highway Maintenance Programme</b>		<b>£</b>
Carriageways	42.6%	£16.154m
Footways	8.3%	£3.152m
Drainage and flood management	17.5%	£6.634m
Bridges, structures, retaining walls and highway boundary structures	10.0%	£3.810m
Signs, lines and signals	8.5%	£3.218m
Street lighting	6.3%	£2.401m
Rights of way	4.5%	£1.698m
Cycle routes	1.8%	£0.677m
Greenways	0.5%	£0.185m
<b>TOTAL HIGHWAY MAINTENANCE PROGRAMME</b>		<b>£37.928m</b>
<b>Integrated Transport Programme</b>		
Traffic Management	9.2%	£0.480m
Key Cycle Network investment	11.0%	£0.570m
Local cycling and walking investment	5.8%	£0.299m
Public transport	30.8%	£1.600m
Road safety	38.4%	£1.992m
Preparation and Implementation of Major Highway Projects	4.8%	£0.250m
<b>TOTAL INTEGRATED TRANSPORT PROGRAMME</b>		<b>£5.191m</b>
DfT Highways Maintenance Challenge Fund Tranche 2B (part delivery in 2021-2022)		£2.000m
DfT Active Travel Fund 2		£1.684m
<b>TOTAL 2021-2022 PROGRAMME</b>		<b>£46.803m</b>

*Table 2: 2021-2022 capital programme allocations*

The value of the 2021-2022 programme far exceeds the current delivery capacity of the Council's in-house service. Together with bespoke sub-contractors the in-house team can deliver a maximum of approximately £20m per year. To deliver this radically increased programme, the Council will deliver the schemes through the mixed economy model of in-house resources, delivering schemes to a similar value as in previous years, with significant packages of schemes sourced from external design and construction providers to deliver the additional volume. These will be commissioned through previously approved local and national frameworks in order to deliver best value to the Council and to its residents. These routes were authorised in the Capital Programme report considered by Cabinet on 14 January 2021, (Minute No. 07/21 refers).

Schemes identified to be delivered by the in-house teams include footway resurfacing, structures, reactive capital improvements, drainage investigations, highway improvements, road safety, rights of way, cycle routes and greenways. Schemes identified for delivery from external provider support have been packaged up in order to deliver greater value for money for the Council. These include packages by type and/or location and include large resurfacing schemes, surface dressing, structures, traffic signals, drainage and street lighting schemes. In order to minimise any possible disruption to Derbyshire residents and users, network availability will need to be secured for each individual scheme, to ensure coordination between the works by the Council and others. Where clashes are identified, schemes may have to be reallocated to a different delivery time.

It is proposed to establish a new capital programme delivery team whose responsibilities will be to commission works, manage the delivery of the programme, monitor the performance of delivery teams and undertake the commercial reconciliations. The team will be funded from the Capital programme resources. In the short term it will be necessary to appoint some external resources while the Council builds its in-house commissioning and contract management capability and meets the capacity needs. This is the only option if the programme is to be delivered at the scale required. It is recommended that £2.5m of underspends in the capital programme identified during this review are allocated to support the external commissioning of works in the next 2 years under the control of the Director Highways and monitored through the FHM Programme Board.

The approximate split of works to be delivered in the mixed economy model by the in-house service and those to be supported by external providers are shown in Table 3 below:

Block	Value £	% In-house service	% External provider
<b>Highway Maintenance Programme</b>			
Carriageways	£16.154m	30%	70%
Footways	£3.152m	100%	0%
Drainage and flood management	£6.634m	18%	82%
Bridges, structures, retaining walls and highway boundary structures	£3.810m	32%	68%
Signs, lines and signals	£3.218m	37%	63%
Street lighting	£2.401m	0%	100%
Rights of way	£1.698m	100%	0%
Cycle routes	£0.677m	100%	0%
Greenways	£0.185m	100%	0%
<b>TOTAL HIGHWAY MAINTENANCE PROGRAMME</b>	<b>£37.928m</b>	<b>37%</b>	<b>63%</b>
<b>Integrated Transport Programme</b>			
Traffic Management	£0.480m	100%	0%
Key Cycle Network investment	£0.570m	100%	0%
Local cycling and walking investment	£0.299m	100%	0%
Public transport	£1.600m	100%	0%
Road safety	£1.992m	100%	0%
Preparation and Implementation of Major Highway Projects	£0.250m	100%	0%
<b>TOTAL INTEGRATED TRANSPORT PROGRAMME</b>	<b>£5.191m</b>	<b>100%</b>	<b>0%</b>
DfT Highways Maintenance Challenge Fund Tranche 2B	£2.000m	0%	100%
DfT Active Travel Fund 2	£1.684m	0%	100%
<b>TOTAL 2021-2022 PROGRAMME</b>	<b>£46.803m</b>	<b>41%</b>	<b>59%</b>
		<b>(£19.2m)</b>	<b>(£27.6m)</b>

Table 3: In-house service and external provider programme allocations

Appendix C lists those schemes which will be included within future year's programmes. This includes schemes which have been previously approved for delivery and some schemes where early design will be undertaken in 2021-2022. It is recognised that not all previously approved schemes are included within the proposed 2021-22 programme due to prioritisation and the scale of the challenge.

It is proposed that a new business cycle is adopted, with a plan to develop a 5-year rolling capital programme of schemes, based upon the Council's robust asset management approach. This would be reviewed annually, as a minimum, but would enable more detailed forward planning, incorporating those schemes previously approved and identifying when the schemes will be delivered. Where it is clear that a change is needed to be made to the 2021-2022 programme, it is recommended that Cabinet delegates to the Managing Executive Director, the authorisation to consider and approve any changes in consultation with the Cabinet Member for Highways, Transport and Infrastructure.

**(3) Financial Considerations** The maintenance and integrated transport block allocations are received annually in the form of direct grants from central Government. The 2021-2022 funding allocations from DfT will be £27.3m. The value of capital programme backlog and underspends is approximately £44m. The Council has set itself a target to clear the backlog of schemes during the next three financial years to bring the programme up to date. This is likely to mean that a programme in the region of £40m will need to be delivered in each of the next three financial years. The proposed value of the 2021-2022 Highways capital programme of approximately £47m and will be delivered using the Council's mixed economy model a combination of in-house resources supported by external resources.

It is recommended that Cabinet delegates to the Managing Executive Director, in consultation with the Cabinet Member for Highways, Transport and Infrastructure, the authorisation to approve any changes to the programme, (within the tolerance of the overall budget).

The Economy, Transport and Environment unallocated reserves are available for use and £1.5m can be allocated to an earmarked FHM reserve to support the delivery of the FHM programme as recommended.

The review of the Highways Capital programme has identified £9m of underspend and unallocated resources. To enable the plans for the externally delivered components of the Highways programme £2.5m can be made available to establish the necessary commissioning support.

This is a radical and vital change programme to reform the Highways Service. It is acknowledged that this cannot be achieved at the same time as delivering significant savings targets. As a result, it is accepted that Highways will not be in a position to deliver the previously identified revenue savings for highways

in 2021-22. Whilst any slippage can be supported by the use of one-off funding, it is expected that the savings are delivered in later years or an alternative savings proposal is brought forward to ensure that the Council can meet its funding gap over the medium term. A further review of the situation will be conducted in 2022-23.

(4) **Legal Considerations** The Traffic Management Act 2004 requires the County Council to publish advance notice of highway schemes or apply for permits to work. The Local Transport Act 2008 requires the County Council to prepare a Local Transport Plan containing its highways and transport policies and its proposals for the implementation of those policies, with the Service Plan forming a part of this implementation plan.

The Head of Paid Service (Managing Executive Director) is responsible for the manner in which the discharge of the Council's functions is co-ordinated, the number and grade of officers required for the discharge of functions and the organisation of officers. Subject to approval of the allocation of £2.5m from the Highways capital programme underspends, the Managing Executive Director has the authority to approve the establishment of a commissioning team to commission, manage and monitor the delivery of the capital programme.

The Responsibility for Functions at Appendix 1 to the Constitution makes it clear that reviewing and authorising changes to departmental structures and establishments must be dealt with in conjunction with the Director of the Organisational Development and Policy.

In addition, in exercising delegated powers it is the duty of an officer to whom the exercise of powers is delegated to consult such other officers, Director of Finance & ICT and Director of Legal and Democratic Services as may be appropriate in the circumstances and to have regard to any advice given.

(5) **Other Considerations**

In preparing this report the relevance of the following factors has been considered: prevention of crime and disorder, equality and diversity, human resources, environmental, health, property, social value and transport considerations.

(6) **Key Decision** Yes. Although the intention to make this key decision has not been included in a Notice of Key Decisions (which is the normal legal requirement), the Chair of the Improvement and Scrutiny Committee has been consulted, as is required by the Constitution in situations of special urgency, and he has agreed that the taking of the decision cannot be reasonably deferred.

(7) **Call-In** Is it required that call-in be waived in respect of the decisions proposed in the report? No.



- (8) **Background Papers** None.
- (9) **OFFICER'S RECOMMENDATIONS** That Cabinet:
- 9.1 Approves the next stage of Future Highways Model (FHM) programme of change for the Highways service, agrees the establishment of a £1.5m FHM reserve from Economy, Transport and Environment unallocated reserves to fund the change programme under the control of the Managing Executive Director, in consultation with the Cabinet Member – Highways, Transport and Infrastructure and the Director of Finance & ICT.
- 9.2 Notes the outline programme governance arrangements for the FHM programme of change, the development of the Outline Business Case, the Business Change Plan and the Highways Services Commissioning Framework.
- 9.3 Approves the delivery of the 2021-2022 Highways Capital Programme as set out in Appendix B.
- 9.4 Approves the allocation of £2.5m from the Highways capital programme underspends to establish a commissioning team to commission, manage and monitor the delivery of the capital programme.
- 9.5 Delegates to the Managing Executive Director, in consultation with the Cabinet Member - Highways, Transport and Infrastructure, the authorisation to approve any changes to the Highways Capital Programme 2021-2022, (within the tolerance of the overall budget).
- 9.6 Approves the development of a new business cycle for the highways capital programme leading to a 5 year forward capital programme of schemes, incorporating the Appendix C list of pre-approved schemes.

**Tim Gregory**  
**Director – Economy, Transport and Environment**

# Highway Maintenance Programme Scheme Evaluation Scoring Definitions

## Strategic Case

- meets the Council's asset management needs.
- meets the Council's priorities.

## Scoring

- to what extent does the scheme meet the strategic needs?

Assessment		Description
1	Poor	Significant non-alignment with asset management needs and/or Council priorities.
2	Low	There is some non-alignment with asset management needs and/or Council priorities.
3	Reasonable	Overall, the scheme fits well with asset management needs and/or Council priorities.
4	Good	The scheme fits very well with asset management needs and/or Council priorities.
5	Excellent	Scheme complements other schemes or works delivering asset management needs and/or Council priorities, has no negative impacts on other schemes or outcomes and demonstrates 'doing more with less'.

## **Delivery Case**

- scheme is deliverable within the financial year
- scheme is already in development
- scheme is not dependent upon third party issues or challenges
- there is clear evidence of member requests and stakeholder support

## **Scoring**

- to what extent does the scheme meet the delivery needs?

<b>Assessment</b>		<b>Description</b>
1	Poor	Significant risks in deliverability of scheme in the financial year and/or against member requests and stakeholder support.
2	Low	There are some risks in deliverability of scheme in the financial year and/or limited member requests and stakeholder support.
3	Reasonable	Overall, the scheme is deliverable in the financial year with evidence of member requests and stakeholder support.
4	Good	The scheme is deliverable in the financial year with extensive evidence of member requests and stakeholder support.
5	Excellent	Scheme complements other schemes or works with widespread support, delivers positive outcomes and has no negative impacts on other schemes or outcomes.

## **Financial & Commercial Case**

- Scheme is affordable within the budget available.
- Scheme has low financial cost risk.
- Scheme reduces future costs and does not place a cost burden upon future budgets.
- Scheme is able to be packaged with other schemes to deliver greater value for money.

### **Scoring**

- to what extent does the scheme meet the financial and commercial requirements?

<b>Assessment</b>		<b>Description</b>
1	Poor	Scheme costs significantly exceed budget, fails to reduce costs and/or creates significant future additional cost pressures.
2	Low	Scheme is not affordable within budget, has significant cost risks, does not reduce costs and/or creates future additional cost pressures.
3	Reasonable	Overall, the scheme is affordable within the budget available, has low cost risks and does not place a cost burden on future budgets.
4	Good	The scheme is affordable within the budget available, has no cost risks and reduces future costs.
5	Excellent	The scheme is affordable within the budget available, has no cost risks, reduces future costs and is able to be packaged with other schemes to deliver greater value for money.

## **Block Priority**

- Scheme is a priority within the block taking into account schemes which haven't been delivered in previous years programmes.

## **Scoring**

- to what extent is the scheme a priority?

<b>Assessment</b>		<b>Description</b>
1	Poor	Scheme is a very low priority when compared to other schemes within the block.
2	Low	Scheme is a low priority when compared to other schemes within the block.
3	Reasonable	Scheme is of equal priority to other schemes within the block.
4	Good	Scheme is a high priority when compared to other schemes within the block.
5	Excellent	Scheme is a high priority, complements other schemes or works, delivers positive outcomes and has no negative impacts on other schemes or outcomes.

# Integrated Transport Scheme Evaluation Scoring Definitions

## Strategic Case

- meets the Council's Local Transport Plan and Investment Protocol.
- meets the Council's priorities.

## Scoring

- to what extent does the scheme meet the strategic needs?

Assessment		Description
1	Poor	Significant non-alignment with the Council's Local Transport Plan, Investment Protocol and Council priorities.
2	Low	There is some non-alignment with the Council's Local Transport Plan, Investment Protocol and Council priorities.
3	Reasonable	Overall, the scheme fits well with the Council's Local Transport Plan, Investment Protocol and Council priorities.
4	Good	The scheme fits very well with the Council's Local Transport Plan, Investment Protocol and Council priorities.
5	Excellent	Scheme delivers the Council's Local Transport Plan, Investment Protocol and Council priorities, complements other schemes or works, has no negative impacts on other schemes or outcomes and fits with wider transport and government objectives.

### **Delivery Case**

- scheme is deliverable within the financial year
- scheme is already in development
- scheme is not dependent upon third party issues or challenges
- there is clear evidence of member requests and stakeholder support

### **Scoring**

- to what extent does the scheme meet the delivery needs?

<b>Assessment</b>		<b>Description</b>
1	Poor	Significant risks in deliverability of scheme in the financial year and/or against member requests and stakeholder support.
2	Low	There are some risks in deliverability of scheme in the financial year and/or limited member requests and stakeholder support.
3	Reasonable	Overall, the scheme is deliverable in the financial year with evidence of member requests and stakeholder support.
4	Good	The scheme is deliverable in the financial year with extensive evidence of member requests and stakeholder support.
5	Excellent	Scheme complements other schemes or works with widespread support, delivers positive outcomes and has no negative impacts on other schemes or outcomes.

## Economic Case

- Scheme delivers quantifiable economic benefits.
- Scheme delivers quantifiable environmental benefits.
- Scheme delivers quantifiable social benefits.
- Scheme enables casualty reductions/road safety benefits.

## Scoring

- to what extent does the scheme meet the economic requirements?

Assessment		Description
1	Poor	Scheme does not deliver any economic, environmental or social benefits or enable any casualty reductions/road safety benefits.
2	Low	Scheme delivers minimal economic, environmental or social benefits or enables some casualty reductions/road safety benefits.
3	Reasonable	Scheme delivers some economic, environmental or social benefits and enables some casualty reductions/road safety benefits.
4	Good	Scheme delivers good economic, environmental and social benefits and enables good casualty reductions/road safety benefits.
5	Excellent	Scheme delivers excellent economic, environmental and social benefits and enables good casualty reductions/road safety benefits.



## **Financial & Commercial Case**

- Scheme is affordable within the budget available.
- Scheme has low financial cost risk.
- Scheme reduces future costs and does not place a cost burden upon future budgets.
- Scheme is able to be packaged with other schemes to deliver greater value for money.

### **Scoring**

- to what extent does the scheme meet the financial and commercial requirements?

<b>Assessment</b>		<b>Description</b>
1	Poor	Scheme costs significantly exceed budget, fails to reduce costs and/or creates significant future additional cost pressures.
2	Low	Scheme is not affordable within budget, has significant cost risks, does not reduce costs and/or creates future additional cost pressures.
3	Reasonable	Overall, the scheme is affordable within the budget available, has low cost risks and does not place a cost burden on future budgets.
4	Good	The scheme is affordable within the budget available, has no cost risks and reduces future costs.
5	Excellent	The scheme is affordable within the budget available, has no cost risks, reduces future costs and is able to be packaged with other schemes to deliver greater value for money.

### **Block Priority**

- Scheme is a priority within the block taking into account schemes which haven't been delivered in previous years programmes.

### **Scoring**

- to what extent is the scheme a priority?

<b>Assessment</b>		<b>Description</b>
1	Poor	Scheme is a very low priority when compared to other schemes within the block.
2	Low	Scheme is a low priority when compared to other schemes within the block.
3	Reasonable	Scheme is of equal priority to other schemes within the block.
4	Good	Scheme is a high priority when compared to other schemes within the block.
5	Excellent	Scheme is a high priority, complements other schemes or works, delivers positive outcomes and has no negative impacts on other schemes or outcomes.

# 2021-22 Highways Capital Programme:

## 01 Highway Maintenance Programme

01	Carriageways	£16,153,688
02	Footways	£3,151,751
03	Drainage and flood management	£6,634,000
04	Bridges, structures, retaining walls and highway boundary structures	£3,809,639
05	Signs, Lines and Signals	£3,217,604
06	Street Lighting	£2,400,974
07	Rights of way	£1,698,000
08	Cycle routes	£677,232
09	Greenways	£184,676

**Highway Maintenance Programme Total**      **£37,927,564**

## 02 Integrated Transport Programme

01	Traffic Management	£480,000
02	Key Cycle Network investment	£570,050
03	Local cycling and walking investment	£299,242
04	Public Transport	£1,599,941
05	Road Safety	£1,992,176
06	Preparation and Implementation of Major Highway Projects	£250,000
07	Countryside Assets	

**Integrated Transport Programme Total**      **£5,191,409**

**Highways Capital Programme Total**      **£43,118,973**

### 01 Carriageways

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
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		Various	Various pre-patching	Proprietary works to support 2021 and future years surface dressing programme	Various	£1,177,499
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			Various	SCRIM Remedials	Carriageway Surface Treatment/Carriageway Resurfacing	Various	£340,000
			Various	Various - Carriageway Patching	Carriageway Patching	Various	£630,000
			Various	Various - Severe weather recovery	Carriageway repairs	Various	£1,000,000
			Various	Various - Network resilience	Carriageway repairs	Various	£585,069
			Various	Various - High impact short network sections	Carriageway repairs	Various	£1,900,000

#### Resilient Network

			Holymoorside	A619 Chatsworth Road (Holymoore Rd junction to HRA joint at Brookside Glen)	Surface Dressing	Dronfield West & Walton, Walton & West	£28,865
			Woodville	A514 Swadlincote Road (Woodhouse St to A511 Clock rbt)	Surface Dressing	Swadlincote Central	£33,600
			Hayfield	A624 Glossop Road/Chapel Road (Hollingworth Head to A6015)	Surface Dressing	New Mills	£178,500
			Hathersage	A6187 Sheffield Road (The Beeches to County Boundary)	Surface Dressing	Bakewell	£140,513
			Buxton	A6 Bakewell Road (Morrisons Rbt to Cuning Dale)	Surface Dressing	Buxton North & East/ Buxton West	£94,987
			Monyash	A515 Ashbourne Road (Pilsbury Road to Bruntmoor Cottage)	Surface Dressing	Dovedale	£93,292
			Matlock	A615 Matlock Green (Knowlstone Pl to Mornington Rise)	Surface Dressing	Matlock	£14,297
			Calver	A623 Un-named Section (Calver X-Roads to Trinkey Lane)	Surface Dressing	Derwent Valley	£23,701
			Brough	B6049 Stretfield Road (A6187 to Brough Cottage)	Surface Dressing	Chapel & Hope Valley	£22,557
			Old Tupton	A61 Derby Road (Roundabout to The Homestead)	Surface Dressing	Clay Cross North	£17,644
			Clay Cross	A61 Derby Road (The Homestead to The Six Halts)	Surface Dressing	Clay Cross North	£41,060

			Clay Cross	A61 Derby Road (McDonalds to Tesco petrol station)	Surface Dressing	Clay Cross North	£21,102
			Clay Cross	A61 High Street (Aldi to Thanet St)	Surface Dressing	Clay Cross North	£28,004
			Shirland	A61 Main Road (Town End to Speed Limit signs)	Surface Dressing	Wingerworth & Shirland	£45,166
			Shirland	A61 Chesterfield Road (southbound) (Duals splitter to Alfreton brook)	Surface Dressing	Wingerworth & Shirland	£12,453
			Shirland	A61 Chesterfield Road (northbound) (Duals splitter to Alfreton brook)	Surface Dressing	Wingerworth & Shirland	£13,195
			Alfreton	A61 Chesterfield Road (southbound) (Alfreton brook to duals splitter)	Surface Dressing	Alfreton & Somercotes	£21,864
			Alfreton	A61 Chesterfield Road (northbound) (Alfreton brook to duals splitter)	Surface Dressing	Alfreton & Somercotes	£22,328
			Alfreton	A61 Chesterfield Road (Duals to King Street)	Surface Dressing	Alfreton & Somercotes	£19,328
			Brimington	A619 Ringwood Road (High St to Bottom Lodge)	Surface Dressing	Brimington	£31,098
			Staveley	A619 Chesterfield Road (Bottom Lodge to Private Drive)	Surface Dressing	Staveley	£6,843
			Staveley	A619 Lowgates (Duke Street roundabout to Fan Rd)	Surface Dressing	Staveley North & Whitting	£16,517
			Mackworth	A52 Ashbourne Road (Brun Ln to Mackworth Duals )	Surface Dressing	Alport and Derwent	£61,590
			Ilkeston	A6096 Millership Way (KFC/Tesco rbt to railbridge)	Surface Dressing	Ilkeston East	£35,914
			Kirk Langley	A52 Ashbourne Road (New House Farm to Flagshaw Ln)	Surface Dressing	Alport and Derwent	£33,231
			Langley Mill	A608 New Derby Road (The Great Northern PH to duals splitter)	Surface Dressing	Greater Heanor	£12,866
			Langley Mill	A608 Derby Road (Asda rbt to The Great Northern PH)	Surface Dressing	Greater Heanor	£7,719

		Ripley	B6441 Hartshay Hill (30mph to B6374 Cromford Rd)	Surface Dressing	Ripley East and Codnor	£11,435
		Ripley	B6441 Nottingham Road (Grosvenor Rd to A610)	Surface Dressing	Ripley East & Codnor	£36,901
		Chesterfield	A619 Chatsworth Road eastbound (Brampton Furnishings to West Bars rbt)	Surface Dressing	Boythorpe & Brampton South	£30,237
		Dronfield	B6057 Chesterfield Road (Green Ln to no. 27)	Carriageway Resurfacing	Dronfield East	£71,056
		Ashbourne	A515 St Johns Street	Carriageway resurfacing	Dovedale	£54,351
		Ilkeston	A609 Nottingham Road (Adam Street to County Boundary)	Carriageway Resurfacing	Ilkeston South	£103,950
		Bakewell	A6 Matlock Street ph2 (Granby Road to HRA joint at Holywell junction)	Carriageway Resurfacing	Bakewell	£107,260
		Ilkeston	U6788 Hallam Fields Road Phase 2 (No. 67 to Pleasant View)	Carriageway Resurfacing	Ilkeston South	£40,786
		Chesterfield	A61 Horns Bridge Rbt	Carriageway Resurfacing	Spire	£146,209
		Matlock	A6 Dale Road (John Hadfield House north to SMA/SD joint)	Carriageway Resurfacing	Matlock	£50,729
		Staveley	A619 Chesterfield Road (Morrisons rbt)	Carriageway Resurfacing	Staveley	£19,672
		Staveley	U2315 Huntsman Road	Carriageway Resurfacing	Staveley North & Whitting	£30,838
		Codnor	A610 Market Place	Carriageway Resurfacing	Ripley East & Codnor/ Heanor Central	£23,476
		Buxton	A6 Bakewell Road	Carriageway Resurfacing	Buxton West	£158,606

			Dronfield	B6057 Chesterfield Road (Green Ln to no. 27)	Carriageway Resurfacing	Dronfield East	£71,056
			Chesterfield	A619 West Bars Roundabout (incl Wheatbridge Rd junction and Markham Road eastbnd junct)	Carriageway Resurfacing	Boythorpe & Brampton South/ Spire	£110,420
			Renishaw	A6135 Station Road (Spinkhill Ln to west of rail bridge)	Carriageway Resurfacing	Eckington & Killamarsh	£149,062
			Long Eaton	B6002 Wilsthorpe Road/Pennyfields Boulevard rbt	Carriageway Resurfacing	Sawley/ Petersham	£19,043
			New Mills	A6015 Albion Road (A6 Swan Signals to joint at Station)	Carriageway Resurfacing	New Mills	£71,056
			New Houghton	A617 Chesterfield Road (Pleasley rbt)	Carriageway Resurfacing	Shirebrook & Pleasley	£88,251
			Chapel-En-Le-Frith	A6 Chapel By-Pass Northbound (100m approach to Whaley Bridge rbt)	Carriageway Resurfacing	Whaley Bridge	£46,778
			Barlborough	A6135 West bound dual (A619 to Jn 30)	Carriageway Resurfacing	Barlborough & Clowne	£93,225
			Buckland Hollow	A610 Ripley Road (Approach to B6013 Pentrich from Ripley)	Carriageway Resurfacing	Ripley West & Heage	£37,173
			Baslow	A623 Calver Road aka Shay Bend	Carriageway Resurfacing/ High Friction Surfacing	Derwent Valley	£116,944
			Langley Mill	A608 Derby Road half of rbt outside Lidl	Carriageway Resurfacing	Greater Heanor	£53,859
			Yeldersley	A52 Derby Road (100m approach either side Lady Hole Lane)	Carriageway Resurfacing	Ashbourne	£63,950

			Chesterfield	A617 Southbound dual (Lordsmill rbt to Horns Bridge rbt)	Carriageway Resurfacing	Spire	£77,380
			Barlborough	A6135/M1 Junction 30 roundabout circulatory	Carriageway Resurfacing	Barlborough & Clowne	£170,250
			Alfreton	A61 Derby Road (A615 Eachwell Ln to Oakerthorpe Brook)	Carriageway Resurfacing	Alfreton & Somercotes	£31,975
			Buxton	A53 Station Road /A515 roundabout	Carriageway Resurfacing	Buxton West	£24,162
			Bakewell	A6 Haddon Road/Agricultural Way (HRA joint o/s allotments to Intake Ln)	Carriageway Resurfacing	Bakewell	£57,555
			Tupton	A61 Derby Road/Queen Victoria Road rbt	Carriageway Resurfacing	Wingerworth & Shirland/ Clay Cross North	£32,615
			Mastin Moor	A619 Worksop Road (Norbriggs Rd to Lansbury Ave)	Carriageway Resurfacing	Staveley	£23,981
			Ripley	A610 Nottingham Road (B6441 rbt to no. 168)	Carriageway Resurfacing	Ripley East and Codnor	£31,975
			Barlborough	A619 De Rodes Arms roundabout	Carriageway Resurfacing	Barlborough & Clowne	£38,659
			Ilkeston	A6096 Little Hallam Hill/Lower Stanton Road (Quarry Hill Rd to no.67)	Carriageway Resurfacing	Ilkeston West/ Ilkeston South	£48,324
			Heath	A617 Hasland Bypass east bound (100m approach to Jn29)	Carriageway Resurfacing	Sutton	£37,173
			Shipley	A6007 Heanor Road/Shipley Common Lane junction (no.228 to no.192)	Carriageway Resurfacing	Ilkeston West/ Ilkeston East	£47,963



			Chesterfield	A61 Chesterfield IRR nthbnd (100m approach to Tesco rbt)	Carriageway Resurfacing	St Mary's	£28,875
			Matlock	A632 Chesterfield Road (Quarry Ln to 100m northeast)	Carriageway Resurfacing	Matlock	£5,198
			Ilkeston	A6096 Ladywood Road (both directions 60m approaches to St Norberts Dr eastern junct)	Carriageway Resurfacing	Ilkeston West/ Ilkeston South	£38,370
			Ripley	A610/Wyatts Way rbt	Carriageway Resurfacing	Ripley East and Codnor	£31,336
			Swadlincote	A514 Civic Way (Midland Rd to Church St)	Carriageway Resurfacing	Swadlincote Central/ Swadlincote South	£113,831
			Brimington	A619 Chesterfield Road (Brackendale Close to Hall Road)	Carriageway Resurfacing	Brimington	£143,888

#### Non-Resilient Network

			Brimington	C185 High Street (A619 to Chapel St)	Surface Dressing	Brimington	£4,500
			Chesterfield	Sheffield Road (St Helens St to Shirland St)	Surface Dressing	Spire	£6,750
			Whaley Bridge	A5004 Buxton Road (Macclesfield Road to Speed Limit)	Surface Dressing	Whaley Bridge	£22,050
			Combs/ Doveholes	C268 Cowlow Lane (Two sections)	Surface Dressing	Whaley Bridge/ Chapel & Hope Valley	£33,252
			Ashbourne	U0739 Old Derby Road	Surface Dressing	Ashbourne	£30,851
			Ashbourne	U0766 Willow Meadow Road	Surface Dressing	Ashbourne	£22,287
			Wingerworth	U14217 Malthouse Lane	Surface Dressing	Wingerworth & Shirland	£46,402
			Wingerworth	U14183 Elm Tree Drive	Surface Dressing	Wingerworth & Shirland	£9,388
			Wingerworth	U14184 Pear Tree Avenue	Surface Dressing	Wingerworth & Shirland	£7,382
			Wingerworth	U14185 Lydgate Drive	Surface Dressing	Wingerworth & Shirland	£4,873
			Wingerworth	U14186 Eden Street	Surface Dressing	Wingerworth & Shirland	£5,770

			Wingerworth	U14164 Allendale Road	Surface Dressing	Wingerworth & Shirland	£13,652
			Wingerworth	U14165 Central Drive	Surface Dressing	Wingerworth & Shirland	£6,737
			Wingerworth	U14166 Hayfield Close	Surface Dressing	Wingerworth & Shirland	£6,378
			Wingerworth	U14167 Deerlands Road	Surface Dressing	Wingerworth & Shirland	£26,810
			Darley Dale	U0204 Park Lane	Surface Dressing	Derwent Valley	£13,724
			Darley Dale	U0212 Hallmoor Road	Surface Dressing	Derwent Valley	£46,330
			Darley Dale	U0214 Long Hill	Surface Dressing	Derwent Valley	£10,643
			Darley Dale	U0215 Moor Lane	Surface Dressing	Derwent Valley	£9,496
			Darley Dale	U9646 Foggs Hill	Surface Dressing	Derwent Valley	£5,770
			Darley Dale	U0247 Bent Lane	Surface Dressing	Derwent Valley	£128,204
			Dronfield Woodhouse	U14784 Sheards Drive	Carriageway Micro-Asphalt	Dronfield West & Walton	£17,385
			Langley Mill	U8361 Edward Street (Thompson St to end)	Carriageway Micro-Asphalt	Greater Heanor	£19,901
			South Normanton	U12098 The Grange	Carriageway Micro-Asphalt	South Normanton & Pinxt	£1,035
			Ripley	U8826 Booth Street	Carriageway Micro-Asphalt	Ripley East & Codnor	£6,686
			Alfreton	U9407 Connaught Court	Carriageway Micro-Asphalt	Alfreton & Somercotes	£8,355
			Eckington	U15018 Staniforth Avenue	Carriageway Micro-Asphalt	Eckington & Killamarsh	£22,772
			Ednaston	C223 Hollington Lane	Carriageway Micro-Asphalt	Ashbourne	£31,601
			Buxton	A537 Cat and Fiddle (A54 Jtn to County Boundary)	Surface Dressing	Buxton West	£49,002
			Buxton	A54 Macclesfield Main Road (River Wye to A54/A537 Jtn)	Surface Dressing	Buxton West	£60,000

			Calver	A625 Froggatt Edge (Riddings Lane to Moorlands Lane)	Surface Dressing	Derwent Valley	£40,431
			Snelston/Norbury	B5033 Cockshead Lane/Green Lane/Norbury Hollow/Dove Street	Surface Dressing	Ashbourne	£209,113
			Ashford in the Water	B6465 Ashford Lane (Longstone Lane to Little Longstone Road)	Surface Dressing	Bakewell	£65,483
			Thorpe	C62 Narlow Lane	Surface Dressing	Dovedale	£39,010
			Thorpe	C62 Washbrook Lane	Surface Dressing	Dovedale	£23,071
			Bradbourne	C147 Brassington Lane	Surface Dressing	Wirksworth	£71,535
			Buxton	U10318 Temple Road (College Rd to Green Ln)	Surface Dressing	Buxton West	£14,438
			Buxton	U10327 Spencer Road (College Rd to Green Ln)	Surface Dressing	Buxton West	£14,438
			Buxton	U10291 Burlow Avenue	Surface Dressing	Buxton North & East	£4,574
			Buxton	U10292 Harris Road	Surface Dressing	Buxton North & East	£7,484
			Buxton	U10293 Elysee Gardens	Surface Dressing	Buxton North & East	£4,955
			Buxton	U10294 Tedder Avenue	Surface Dressing	Buxton North & East	£4,193
			Buxton	U10295 Trenchard Avenue	Surface Dressing	Buxton North & East	£13,964
			Buxton	U10296 Nettleton Lane	Surface Dressing	Buxton North & East	£3,846

			Buxton	U10297 Kirkstone Road	Surface Dressing	Buxton North & East	£5,544
			Buxton	U10298 College Way	Surface Dressing	Buxton North & East	£1,733
			Combs	U10436 The Avenue	Surface Dressing	Whaley Bridge	£2,368
			Combs	U10437 Bellot Lane	Surface Dressing	Whaley Bridge	£2,916
			Stoney Middleton	U1129 Meadow Close	Surface Dressing	Derwent Valley	£7,046
			Stoney Middleton	U1138 Denham Crescent	Surface Dressing	Derwent Valley	£3,003
			Stoney Middleton	U1139 High Street (A623 to Middleton Lane)	Surface Dressing	Derwent Valley	£12,509
			Stoney Middleton	U1140 Middleton Lane (High Street to Highfields Farm)	Surface Dressing	Derwent Valley	£22,037
			Chinley	U10491 Charley Lane (A6 Bridgeholm Green to Whitehough Head Lane)	Surface Dressing	Whaley Bridge	£21,310
			Chinley	U10560 Princes Road	Surface Dressing	Whaley Bridge	£6,653
			Chinley	U10562 Belgrade Avenue	Surface Dressing	Whaley Bridge	£11,954
			Chinley	U10566 Ash Grove	Surface Dressing	Whaley Bridge	£13,825
			Chinley	U10567 Alders Avenue (incl cul-de-sac)	Surface Dressing	Whaley Bridge	£10,742
			Chinley	U10569 Pike View Close	Surface Dressing	Whaley Bridge	£3,188

			Chinley	U10570 Stockton Drive	Surface Dressing	Whaley Bridge	£4,401
			Chinley	U10572 Hartington Drive	Surface Dressing	Whaley Bridge	£1,940
			Chinley	U10573 Hawthorn Close	Surface Dressing	Whaley Bridge	£2,911
			Chinley	U10574 Hunters Green Close	Surface Dressing	Whaley Bridge	£2,841
			Matlock	U0061 Littlemoor Lane	Surface Dressing	Matlock	£49,088
			Two Dales	U0211 Wheatley Road	Surface Dressing	Derwent Valley	£8,691
			Hathersage	U1336 Church Bank	Surface Dressing	Bakewell	£5,798
			Hathersage	U1328 Moorland Road	Surface Dressing	Bakewell	£9,384
			Hathersage	U1324 Mill Lane	Surface Dressing	Bakewell	£5,532
			Hathersage	U1323 Dore Lane	Surface Dressing	Bakewell	£5,862
			Alfreton	B600 Nottingham Road (Prospect St to Abbott Rd (A38 slip))	Surface Dressing	Alfreton & Somercotes	£22,586
			Staveley	B6053 Eckington Road (Hall Lane to railbridge omit from A6192 road bridge to Farndale Rd)	Surface Dressing	Staveley North & Whittington	£64,455
			Sheepbridge	B6057 Sheffield Road (Sheffield Rd junction to boat yard)	Surface Dressing	Staveley North & Whittington	£28,702
			Clowne	B6418 High Street (John St to B6417 High St)	Surface Dressing	Barlborough & Clowne	£11,273

			Clowne	B6417 Mill Green Way (Tesco rbt to North Rd rbt)	Surface Dressing	Barlborough & Clowne	£5,405
			Brimington	B6050 Devonshire Street (A619 to Foljambe Rd)	Surface Dressing	Brimington	£7,235
			Chesterfield	B6057 Sheffield Rd (Donkey Derby PH to Duke St)	Surface Dressing	St Mary's	£44,040
			Palterton	C106 Ramcroft / Rylah Hill (Jn 29 to Main Street)	Surface Dressing	Bolsover South	£63,785
			Dronfield	C297 Gosforth Drive	Surface Dressing	Dronfield West & Walton	£51,784
			Pinxton	U12016 Alexander Terrace	Surface Dressing	Sth Normanton & Pinxton	£13,369
			South Normanton	U12135 Eastfield Drive	Surface Dressing	Sth Normanton & Pinxton	£16,187
			South Normanton	U12133 Corn Close	Surface Dressing	Sth Normanton & Pinxton	£15,402
			South Normanton	U12059 The Sycamores	Surface Dressing	Sth Normanton & Pinxton	£10,470
			South Normanton	U12060 Chestnut Drive	Surface Dressing	Sth Normanton & Pinxton	£2,697
			South Normanton	U12129 Leamington Drive	Surface Dressing	Sth Normanton & Pinxton	£9,350
			South Normanton	U12132 Highfield Drive	Surface Dressing	Sth Normanton & Pinxton	£5,411
			South Normanton	U12130 Beech Grove	Surface Dressing	Sth Normanton & Pinxton	£3,638
			South Normanton	U12131 Hazel Grove	Surface Dressing	Sth Normanton & Pinxton	£7,681
			South Normanton	U12142 High View Road	Surface Dressing	Tibshelf	£41,760
			South Normanton	U12141 Normanton Brook Road	Surface Dressing	Tibshelf	£3,479
			New Whittington	U2053 Brearley Avenue	Surface Dressing	Staveley North & Whittington	£19,520
			Bolsover	U12792 Oxcroft Lane (Mill Ln to B6417 Mansfield Rd)	Surface Dressing	Bolsover South	£100,144

			Bolsover	U12845 Elmtan Lane (from Welbeck Rd for 110 metres)	Surface Dressing	Bolsover South	£3,292
			Shirebrook	U9827 Portland Drive (Portland Rd to Sports Direct rbt)	Surface Dressing	Shirebrook & Pleasley	£17,591
			Elmtan	U12539 Frithwood Lane	Surface Dressing	Bolsover North	£41,309
			Birdholme	U2640 Grangewood Road	Surface Dressing	Birdholme	£18,982
			Grangewood	U2685 Harehill Road (Birchwood Cres to bus turning area)	Surface Dressing	Birdholme	£43,699
			Newbold	U3298 Highfield Lane	Surface Dressing	St Mary's	£46,674
			Chesterfield	U2951 New Beetwell Street	Surface Dressing	Spire	£21,559
			Chesterfield	U2958 Beetwell Street (New Beetwell St to Hipper St)	Surface Dressing	Spire	£7,755
			Clowne	U12764 Rectory Road	Surface Dressing	Barlborough & Clowne	£11,683
			Clowne	U12746 Portland Street	Surface Dressing	Barlborough & Clowne	£14,801
			Clowne	U12739 Neal Street	Surface Dressing	Barlborough & Clowne	£9,107
			Clowne	U12737 Brook Lane (Neal St to A616 Creswell Rd)	Surface Dressing	Barlborough & Clowne	£2,501
			Clowne	U12719 Wilson Avenue	Surface Dressing	Barlborough & Clowne	£10,672
			Clowne	U12720 Jago Avenue	Surface Dressing	Barlborough & Clowne	£6,866
			Clowne	U12721 Rose Avenue	Surface Dressing	Barlborough & Clowne	£4,320
			Clowne	U12722 Clune Street	Surface Dressing	Barlborough & Clowne	£9,021
			Steetley	U9828 Dumb Hall Lane (County Boundary to County Boundary)	Surface Dressing	Bolsover North	£8,351
			Whitwell	U12656 Scratta Lane (Steetley Lane to County Boundary)	Surface Dressing	Bolsover North	£4,481
			Morton	U14480 Holland Close	Surface Dressing	Clay Cross South	£5,948
			Pilsley	U14461 Grange Road	Surface Dressing	Clay Cross South	£9,771
			Pilsley	U14472 Willow Close	Surface Dressing	Clay Cross South	£2,235
			Pilsley	U14470 Queen Street	Surface Dressing	Clay Cross South	£7,929

		Pilsley	U14464 Pear Tree Road	Surface Dressing	Clay Cross South	£7,092
		Pilsley	U14463 Broom Avenue	Surface Dressing	Clay Cross South	£10,077
		Pilsley	U14462 Lonsdale Road	Surface Dressing	Clay Cross South	£3,113
		Pilsley	U14467 Damon Close	Surface Dressing	Clay Cross South	£3,656
		Pinxton	U12002 Plymouth Avenue (to limits of adoption)	Surface Dressing	Sth Normanton & Pinxton	£18,307
		Chesterfield	U2477 Crow Lane (Piccadilly Rd to Green Ln)	Surface Dressing	Spire	£19,531
		Staveley	U2277 Fan Road (A619 to Cemetery Ln excl rbt)	Surface Dressing	Staveley North & Whittington	£35,534
		Staveley	U2278 Erin Road (Cemetery Ln to Staveley Rd)	Surface Dressing	Staveley	£20,594
		Staveley	U2316 Telford Crescent	Surface Dressing	Staveley North & Whittington	£6,064
		Staveley	U2230 Franklyn Drive	Surface Dressing	Staveley North & Whittington	£9,315
		Staveley	U2232 Hartington View	Surface Dressing	Staveley North & Whittington	£3,875
		Staveley	U2231 Hillcrest Grove	Surface Dressing	Staveley North & Whittington	£3,401
		Staveley	U2348 Ringwood Avenue	Surface Dressing	Staveley	£3,783
		Staveley	U2349 Rowsley Crescent	Surface Dressing	Staveley	£3,309
		Staveley	U2353 Dale Close	Surface Dressing	Staveley	£6,254
		Staveley	U2350 Cromford Drive	Surface Dressing	Staveley	£7,005



			Staveley	U2352 Litton Close	Surface Dressing	Staveley	£2,437
			Staveley	U2351 Tideswell Close	Surface Dressing	Staveley	£2,246
			Stretton	U14498 Mickley Lane	Surface Dressing	Wingerworth & Shirland	£27,148
			Belper	A609 Nottingham Road (Short Street to St John's Road)	Surface Dressing	Belper	£45,166
			Harsthorne	A514 Ticknall Road (SMA bed vic. Hartshorne Wood)	Surface Dressing	Melbourne	£19,161
			Shottle	A517 Ashbourne Road (Shottlegate Frm to no.27)	Surface Dressing	Alport and Derwent	£19,161
			Belper	A517 Bridge Foot (Belper Lane to A6)	Surface Dressing	Alport and Derwent	£17,793
			Belper	A609 Lander Lane	Surface Dressing	Belper	£6,515
			Riddings	B6016 Greenhill Lane, South St, High St (Swanwick Rd - Bullock Ln)	Surface Dressing	Alfreton and Somercotes	£87,914
			Swadlincote	B5353 Newhall Road (B586 Midway Rd to Springfield Rd rbt)	Surface Dressing	Swadlincote Central	£19,979
			Swadlincote	B5353 High Street (Wellwood Rd to Chapel St)	Surface Dressing	Swadlincote North	£15,253
			Ripley	B6179 Butterley Hill (Argyll Rd to Pentrich Rd)	Surface Dressing	Ripley East and Codnor	£9,986
			Derby	B6179 Alfreton Road (Duffield Rd to 30mph)	Surface Dressing	Breadsall and West Hallam	£9,051
			Swanwick	B6016 The Green (B6179 to High Street)	Surface Dressing	Alfreton and Somercotes	£6,819
			Horsley	C69 Smalley Mill Road (Wood Ln to Church St)	Surface Dressing	Horsley	£63,993
			Breadsall	C162 Moor Road (Breadsall sign to Morley Alms Houses)	Surface Dressing	Breadsall and West Hallam	£62,595

			Belper	C5 Dalley Lane	Surface Dressing	Alport and Derwent	£54,470
			Mackworth	C2 Brun Lane	Surface Dressing	Alport and Derwent	£50,889
			Quarndon	C234 The Common (Burley Ln to Inn Ln)	Surface Dressing	Melbourne	£48,296
			Belper	C234 Gunhills Lane	Surface Dressing	Alport and Derwent	£46,518
			Long Eaton	C359 Fields Farm Road (B6540 to Forbes Cl)	Surface Dressing	Long Eaton	£40,650
			Long Eaton	C164 Rushy Lane (30mph to Stanton Rd)	Surface Dressing	Sandiacre	£34,610
			Derby	C161 Portway (Alfreton Rd to Nether Ln)	Surface Dressing	Horsley	£27,102
			Stanley	C16 Station Road (Morley Lane 30mph at allotments)	Surface Dressing	Breadsall and West Hallam	£26,963
			Belper	C152 Whitewells Lane (Wyver View to Dairywood Farm)	Surface Dressing	Alport and Derwent	£26,623
			Belper	C73 Hazelwood Hill	Surface Dressing	Alport and Derwent	£24,902
			Belper	C4 Red Lane	Surface Dressing	Duffield and Belper South/ Horsley	£23,481
			Kirk Hallam	U6752 Godfrey Drive (A6096 to A6096)	Surface Dressing	Ilkeston West	£51,201
			Kirk Hallam	U6767 Oliver Road (Godfrey Drive to Godfrey Drive)	Surface Dressing	Ilkeston West	£28,078
			Kirk Hallam	U6771 Wyndale Drive (Abbot Rd to Godfrey Drive)	Surface Dressing	Ilkeston West/ Breadsall & West Hallam	£18,431
			Kirk Hallam	U6772 Sunningdale Drive (Wyndale Drive to Wyndale Drive)	Surface Dressing	Breadsall & West Hallam	£17,267
			Kirk Hallam	U6773 Crosshill Drive	Surface Dressing	Breadsall & West Hallam	£2,252
			Kirk Hallam	U6774 Ridgeway Drive	Surface Dressing	Breadsall & West Hallam	£4,767

			Kirk Hallam	U6775 Highfield Drive	Surface Dressing	Breadsall & West Hallam	£2,553
			Kirk Hallam	U6776 Bankfield Drive	Surface Dressing	Breadsall & West Hallam	£2,065
			Kirk Hallam	U6779 Sharp Close	Surface Dressing	Breadsall & West Hallam	£1,614
			Kirk Hallam	U6780 Friars Court	Surface Dressing	Ilkeston West	£2,177
			Stanley	U7213 Morley Lane (Derby Rd/Station Rd to start of path)	Surface Dressing	Breadsall & West Hallam	£31,306
			Stanley	U7214 Common Lane (Morley Ln to A609 Belper Rd, Stanley Common)	Surface Dressing	Breadsall & West Hallam	£67,793
			Stanley	U7211 Glebe Crescent	Surface Dressing	Breadsall & West Hallam	£9,084
			West Hallam	U7160 Scargill Road (Hallam Way to St Wilfrid's Road incl cul-de-sac adl No.59 to 63 )	Surface Dressing	Breadsall & West Hallam	£17,943
			Heanor	U8251 Roper Avenue	Surface Dressing	Greater Heanor	£34,985
			Heanor	U8252 Buxton Avenue (Roper Ave to Sunningdale Ave incl service road to Avis Ave)	Surface Dressing	Greater Heanor	£21,884
			Heanor	U8254 Avis Avenue	Surface Dressing	Greater Heanor	£7,695
			Heanor	U8255 Owers Avenue	Surface Dressing	Greater Heanor	£3,303
			Heanor	U8257 Frederic Avenue	Surface Dressing	Greater Heanor	£3,491
			Heanor	U8258 Corfield Avenue	Surface Dressing	Greater Heanor	£3,228
			Heanor	U8253 Coppice Drive	Surface Dressing	Greater Heanor	£10,023
			Heanor	U8256 Birchfield Park	Surface Dressing	Greater Heanor	£1,914

			Heanor	U8259 Old Coppice Side (Roper Ave to Roper Ave (incl. service road no. 106 to 108))	Surface Dressing	Greater Heanor	£28,453
			Heanor	U8260 Thorpe Hill Drive	Surface Dressing	Greater Heanor	£16,404
			Osleston & Thurvaston, Trusley	U5050 Unnamed Road From Butterpot Lane Through to Goldhurst Farm	Surface Dressing	Hilton	£54,467
			Dalbury Lees	U5051 Church Road Off Heage Lane And Radbourne (C45 Dalbury to start of track (nr Manor Fm))	Surface Dressing	Hilton	£24,587
			Dalbury Lees	U5052 Unnamed road from Long Lane to Dalbury Hollow (Long Lane to C45 Dalbury)	Surface Dressing	Hilton	£95,345
			Sawley	U6253 Lakeside Avenue	Surface Dressing	Sawley	£9,084
			Sawley	U6254 Lakeside Crescent	Surface Dressing	Sawley	£3,716
			Sawley	U6255 Austen Avenue	Surface Dressing	Sawley	£10,135
			Sawley	U6256 Kennedy Avenue	Surface Dressing	Sawley	£6,982
			Ripley	U8864 Highfield Way (Kirk Close to Maple Ave incl service rd 73 - 85)	Surface Dressing	Ripley West & Heage	£19,107
			Ripley	U8868 Kirk Close (Pear Tree Ave to limit of adoption at school entrance)	Surface Dressing	Ripley West & Heage	£6,682
			Ripley	U8870 High Meadow Close	Surface Dressing	Ripley West & Heage	£1,914
			Ripley	U8869 Hazeltree Close	Surface Dressing	Ripley West & Heage	£1,652

		Ripley	U8872 Willow Avenue	Surface Dressing	Ripley West & Heage	£5,931
		Ripley	U8873 Oak Avenue	Surface Dressing	Ripley West & Heage	£7,695
		Ripley	U8871 Ash Crescent	Surface Dressing	Ripley West & Heage	£12,425
		Ripley	U8862 Hawthorn Avenue	Surface Dressing	Ripley West & Heage	£11,899
		Ripley	U8881 Poplar Avenue	Surface Dressing	Ripley West & Heage	£3,266
		Ripley	U8882 Briars Way	Surface Dressing	Ripley West & Heage	£4,992
		Ripley	U8879 Rowan Avenue	Surface Dressing	Ripley West & Heage	£7,357
		Ripley	U8878 Chestnut Avenue	Surface Dressing	Ripley West & Heage	£8,071
		Ripley	U8890 Slack Lane	Surface Dressing	Ripley West & Heage	£10,248
		Ripley	U8899 Lime Avenue	Surface Dressing	Ripley West & Heage	£5,105
		Ripley	U8911 Jubilee Avenue	Surface Dressing	Ripley West & Heage	£6,119
		Ripley	U8912 Glebe Avenue	Surface Dressing	Ripley West & Heage	£4,317
		Ripley	U8913 Harris Close/Avenue	Surface Dressing	Ripley West & Heage	£9,384
		Ripley	U8844 Padley Close	Surface Dressing	Ripley West & Heage	£2,065
		Ripley	U8856 Ledo Avenue	Surface Dressing	Ripley West & Heage	£4,655
		Ripley	U8857 Jura Avenue	Surface Dressing	Ripley West & Heage	£6,832

			Cotmanhay	U7032 Beresford Drive	Surface Dressing	Ilkeston East	£10,661
			Cotmanhay	U7033 Birchover Place	Surface Dressing	Ilkeston East	£1,577
			Cotmanhay	U7031 Devonshire Close	Surface Dressing	Ilkeston East	£1,727
			Cotmanhay	U7037 Monsall Avenue	Surface Dressing	Ilkeston East	£4,429
			Cotmanhay	U7036 Donner Crescent	Surface Dressing	Ilkeston East	£9,835
			Cotmanhay	U7035 Dovedale Circle	Surface Dressing	Ilkeston East	£20,758
			Cotmanhay	U7034 Castleton Avenue	Surface Dressing	Ilkeston East	£3,416
			Cotmanhay	U7038 Lathkill Avenue	Surface Dressing	Ilkeston East	£5,518
			Cotmanhay	U7039 Ilam Square	Surface Dressing	Ilkeston East	£2,102
			Cotmanhay	U7040 Darley Square	Surface Dressing	Ilkeston East	£1,877
			Cotmanhay	U7043 Edale Square	Surface Dressing	Ilkeston East	£2,365
			Cotmanhay	U7055 Dronfield Place	Surface Dressing	Ilkeston East	£2,177
			Cotmanhay	U7046 Hartington Place	Surface Dressing	Ilkeston East	£2,140
			Cotmanhay	U7054 Langley Avenue	Surface Dressing	Ilkeston East	£5,293
			Cotmanhay	U7053 Coppice Avenue	Surface Dressing	Ilkeston East	£13,476
			Cotmanhay	U7056 Church Drive (Coppice Ave to end (at posts))	Surface Dressing	Ilkeston East	£7,658
			Cotmanhay	U7041 Bailey Brook Road (Skeavington's Ln to Turnoak Avenue)	Surface Dressing	Ilkeston East	£8,821

			Cotmanhay	U7363 Turnoak Avenue	Surface Dressing	Ilkeston East	£3,566
			Cotmanhay	U7041 Holmewood Avenue	Surface Dressing	Ilkeston East	£2,027
			Cotmanhay	U7041 Blackwell Avenue	Surface Dressing	Ilkeston East	£3,604
			Cotmanhay	U7364 Inkerman Close	Surface Dressing	Ilkeston East	£2,140
			Cotmanhay	U7041 Longcroft Avenue	Surface Dressing	Ilkeston East	£2,140
			Cotmanhay	U9945 Langwith Close	Surface Dressing	Ilkeston East	£1,239
			Sturston	Road to Sturston Hall Farm (A517 to Mill Ln)	Surface Dressing	Ashbourne/ Dovedale	£16,632
			Birdholme	U2682 Stubbing Road (Birchwood Crescent to top junction)	Carriageway Resurfacing	Birdholme	£21,955
			Old Whittington	U2112 Whitting Valley Road	Carriageway Resurfacing	Staveley North & Whittington	£75,076
			Alderwasley	C233 New Road (250m northwards from Chapel Hill junction)	Carriageway Resurfacing	Alport & Derwent	£54,269
			Belper	U9453 Hollyseat Lane	Carriageway Resurfacing	Alport & Derwent	£49,914
			Belper	A517 Bridge Hill (Belper Lane junction)	Carriageway Resurfacing	Alport & Derwent	£12,966
			Hope	A6187 Castleton Road (Pindale Road to Edale Road)	Carriageway Resurfacing	Chapel & Hope Valley	£12,349
			Hope	A6187 Castleton Road	Carriageway Resurfacing	Chapel & Hope Valley	£170,134
			Shottlegate	A517 Ashbourne Road (Lambhouse Ln to Shottlegate Frm)	Carriageway Resurfacing	Alport & Derwent	£100,274

			Hilcote	B6406 Berristow Lane (New Street to Blackwell Trail)	Carriageway Resurfacing	Tibshelf	£29,417
			Buxton	Burlow Road	Carriageway Resurfacing	Buxton North & East	£160,000
			Ashbourne	U0721 King Edward Street	Carriageway Resurfacing	Ashbourne	£70,256
			Matlock Bath	U0376 Upperwood Road	Carriageway Resurfacing	Wirksworth	£40,425
			Staveley	C40 Inkersall Road (Barlow Rd to Stevenson Road)	Carriageway Resurfacing	Staveley/ Staveley North & Whittington	£43,368
			Clay Cross	Eyre Street (A61 to Bridge St)	Carriageway Resurfacing	Clay Cross North	£28,600
			Old Whittington	U2101 Newbridge Lane (From Whitting Valley Rd south east)	Carriageway Resurfacing	Staveley North & Whittington	£105,074
			Eckington	U15059 Gosber Street	Carriageway Resurfacing	Eckington & Killamarsh	£70,345
			Stanley	U7212 Dale Road (White Gables to limit of adoption)	Carriageway Resurfacing	Breadsall & West Hallam	£24,038
			Higham	C58 Strettea Lane (B6013 to no.63)	Carriageway Resurfacing	Wingerworth & Shirland	£28,912
			Old Whittington	B6057/B6052 Station Road (Whittington Moor Roundabout to Whitting Valley Rd)	Carriageway Resurfacing	Staveley North & Whittington	£124,489
			Pinxton	U12003 Plymouth Avenue	Carriageway Resurfacing	South Normanton & Pinxton	£5,582
			Upper Lumsdale	U0091 Lumsdale Road (Smuse Ln to Highfields Sch)	Carriageway Resurfacing	Matlock	£273,493
			Great Hucklow	U1088 Dirty Lane	Carriageway Resurfacing	Bakewell	£111,166
			Youlgrave	C288 Alport Lane (New Road to Raenstor Lodge)	Carriageway Resurfacing	Dovedale	£99,478
			Eckington	U15083 Peveril Road	Carriageway Resurfacing	Eckington & Killamarsh	£61,818
			Barrow Hill	U2216 Station Road (Works Rd to Campbell Dr)	Carriageway Resurfacing	Staveley North & Whittington	£140,761
			Shirebrook	U12425 Merchant Street	Carriageway Resurfacing	Shirebrook & Pleasley	£28,945



			Ilkeston	C338 Church St/Cotmanhay Rd rbt	Carriageway Resurfacing	Ilkeston East	£27,761
			Furness Vale	U10581 Diglee Road (no.17 to limit of adoption)	Carriageway Resurfacing	Whaley Bridge	£27,117
			Tintwistle	U11125 Bank Lane (A628 to 168m southwest)	Carriageway Resurfacing	Etherow	£41,633
			Buxton	U10243 Hollins Avenue (conc road)	Carriageway Resurfacing	Buxton West	£14,679
			Shirebrook	B6407 Main Street (Common Ln to Common Ln juncts)	Carriageway Resurfacing	Shirebrook & Pleasley	£8,591
			Temple Normanton	B6039 Mansfield Road (Chesterfield Rd to Church Ln)	Carriageway Resurfacing	Sutton	£160,255
			Tintwistle	U11126 Chapel Brow (A628 to Old Rd) part cobbled	Carriageway Resurfacing	Etherow	£28,747
			Ilkeston	U6926 Digby Street (Station St to limit of adoption)	Carriageway Resurfacing	Ilkeston East	£52,289
			Alfreton	B600 Nottingham Road (Well Somercotes to B6016)	Carriageway Resurfacing	Alfreton and Somercotes	£28,422
			Crich	C38 Cromford Road	Carriageway Resurfacing	Alport & Derwent	£160,000
			Crich	Roes Lane, Crich	Carriageway Resurfacing	Alport & Derwent	£39,994
<b>Carriageways Total</b>							<b>£16,153,688</b>

## 02 Footways

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Ashbourne	Compton Street	Footway Repaving	Dovedale/ Ashbourne	£67,939
	Chisworth	A626 Marple Road	Footway Resurfacing	Glossop & Charlesworth	£26,250
	Ashbourne	Compton Street (Phase 3)	Re-slab Footway	Ashbourne	£52,500
	Ashbourne	St Johns Street (Phase 7)	Re-slab Footway	Dovedale/ Ashbourne	£52,500
	Bakewell	A619 Rutland Square	Re-slab Footway	Bakewell	£52,500
	Ashbourne	St Johns Street (Phase 6)	Re-slab Footway	Dovedale/ Ashbourne	£49,875
	Cromford	Lea Road	Footway Resurfacing	Wirksworth	£21,000
	Shipley	Hassock Lane South	Footway Resurfacing	Greater Heanor	£34,913

		Sawley	Ruskin Avenue (Slabs) (Phase 1)	Footway Resurfacing	Sawley	£49,875
		Sawley	Dovedale Avenue (Slabs) (Phase 1)	Footway Resurfacing	Sawley	£49,875
		Langley Mill	Cromford Road (Station Rd to Argyle Street)	Footway Resurfacing	Greater Heanor	£29,653
		Borrowash	Chevin Avenue	Footway Resurfacing	Breaston	£18,090
		Bakewell	A619 Rutland Square	Re-slab Footway	Bakewell	£101,908
		Various	Various locations countywide	Footway Slurry Sealing	Various	£469,873
		Various	Various locations countywide	Footway Patching	Various	£500,000
		Sickleholme	A6187 Sickleholme (Crossroads to Garden Centre)	Footway Resurfacing	Bakewell	£31,500
		Buxton	Cavendish Avenue	Footway Resurfacing	Buxton West	£42,000
		Hope	A6187 Castleton Road/Market Place (Village centre to Railway Bridge)	Footway Resurfacing	Chapel & Hope Valley	£31,500
		Buxton	Darwin Avenue	Footway Resurfacing	Buxton West	£15,750
		Starkholmes	Starkholmes Road (Duke William to Riber Road)	Footway Resurfacing	Matlock	£63,000
		Matlock	Hurds Hollow (Dimple Road to Smedley Street)	Footway Resurfacing	Matlock	£36,750
		Darley Dale	B6013 Chesterfield Road (A6 to Park Lane)	Footway Resurfacing	Derwent Valley	£26,250
		Ashbourne	Mayfield Road	Footway Resurfacing	Ashbourne	£26,250
		Litton	Mires Lane (Speed limit to Hall Lane)	Footway Resurfacing	Bakewell	£15,750
		Peak Dale	School Road	Footway Resurfacing	Buxton North & East	£52,500
		New Mills	Mellor Road	Footway Resurfacing	New Mills	£57,750
		Glossop	A6016 Primrose Lane	Footway Resurfacing	Glossop & Charlesworth	£52,500
		Hadfield	Green Lane	Footway Resurfacing	Etherow	£73,500
		Long Eaton	Dovedale Avenue (Phase 2)	Footway Resurfacing	Sawley	£84,000
		Long Eaton	Ruskin Avenue (Phase 2)	Footway Resurfacing	Sawley	£84,000
		Shipleigh	Hassock Lane North / South (Phase 2)	Footway Resurfacing	Greater Heanor	£52,500
		Loscoe	Flamstead Avenue	Footway Resurfacing	Heanor Central	£60,900
		Loscoe	Church View	Footway Resurfacing	Heanor Central	£10,080
		Heanor	Broadway	Footway Resurfacing	Heanor Central	£63,000

			Waingroves	Church Street	Footway Resurfacing	Ripley East and Codnor	£70,875
			Waingroves	Waingroves Road	Footway Resurfacing	Ripley East and Codnor	£99,645
			Walton	Whitecotes Lane (Davian Way to school)	Footway Resurfacing	Boythorpe and Brampton South	£47,250
			Holme Hall	Woodland Walk (evens side)	Footway Resurfacing	Loundsley Green and Newbold	£13,650
			Hollingwood	Pine Street (odd side)	Footway Resurfacing	Brimington	£27,300
			Alfreton	Flowery Leys Lane (Cowhan Close to Birchwood Rd)	Footway Resurfacing	Alfreton and Somercotes	£16,800
			North Wingfield	Dark Lane (south side)	Footway Resurfacing	Sutton	£28,350
			Wingerworth	Halcyon Approach (north side)	Footway Resurfacing	Clay Cross North	£17,850
			Marsh Lane	Main Road (Quarry Hill to School Lane)	Footway Resurfacing	Eckington and Killamarsh	£26,250
			Troway	Quarry Hill	Footway Resurfacing and kerbing	Eckington and Killamarsh	£37,800
			Dronfield	Wingfield Close	Footway Resurfacing	Dronfield West and Walton	£12,600
			Dronfield	Mickley Lane	Footway Resurfacing and kerbing	Dronfield West and Walton	£14,700
			Whitwell	High Street	Footway Resurfacing	Bolsover North	£17,850
			Bakestone Moor	Peter More Hill (Petra Cottage into village)	Footway Resurfacing	Bolsover North	£31,500
			Tibshelf	Peveiril Road	Footway Resurfacing	Tibshelf	£16,800
			Holmewood	Chesterfield Road (Shakespeare St to A6175)	Footway Resurfacing	Sutton	£34,650
			Whitwell	A619 Worksop Road (B6043 to The Half Moon PH)	Footway Resurfacing	Bolsover North	£36,750
			Morton	Stretton Road (Church Lane to number 63)	Footway Resurfacing	Clay Cross South	£42,000
			Chesterfield	Springbank Road	Footway Resurfacing	Boythorpe and Brampton South	£18,900
			Newbold	St Johns Road	Footway Patching	St Marys	£42,000
			Brimington	Devon Drive	Footway Patching	Brimington	£42,000
<b>Footways Total</b>							<b>£3,151,751</b>

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various	Flood Damage Remedials	Various	£3,750,000
	Various	Various locations Countywide	Drainage investigation	Various	£1,300,000
	Various	A6 Derwent Valley Challenge Fund Contribution	Drainage Works	Derwent Valley/ Matlock/ Wirksworth/ Alport & Derwent	£344,000
	Matlock	Dimple Road	Surface water infrastructure replacement	Matlock	£90,000
	Various	Various locations Countywide	Highway Bridges/Landslip/Loss of carriageway support	Various	£1,150,000
<b>Drainage and flood management Total</b>					<b>£6,634,000</b>

#### 04 Bridges, structures, retaining walls and highway boundary structures

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Ticknall	Highwayside Culvert - (P32029)	Culvert relining	Melbourne	£195,710
	Hayfield	Hayfield Swallow House	Bridge bearing replacement	New Mills	£31,429
	Chesterfield	Wharf Lane Footbridge V37714	Rewaterproofing and parapet upgrade	Spire	£40,321
	Killamarsh	C48017 Sheffield Road Trail Bridge	General repairs	Eckington & Killamarsh	£50,000
	Various	Various locations Countywide	Principal Bridge Inspections and works arising from inspections	Various	£633,220
	Various	Various locations Countywide	Structures Assessments	Various	£49,268
	Little Hayfield	A624 Little Hayfield	Retaining wall rebuilds	New Mills	£7,019
	Various	Various locations Countywide	Countywide Minor Culvert Data Capture and Repairs	Various	£150,000
	Various	Various locations Countywide	Landslip / Rock Fall Investigation & Remedial Works	Various	£150,000
	Various	Various locations Countywide	Retaining Wall Asset Management Data Collection	Various	£52,000

			Various	Various locations Countywide	Structures Assessments & Post Tensioned Special Inspections	Various	£150,000
			Calver	S27089 North Cliff Landslip (B6001)	Geophysics investigation and c/w patching	Derwent Valley	£25,000
			Whaley Bridge	S07009 Shallcross Woods Landslip (A5004)	Remedial scheme	Whaley Bridge	£1,000,000
			Rowsley	Rowsley flood spans (P26714)	General Repairs	Derwent Valley	£75,000
			Kniveton	Agnes Meadow bridge (C24004)	Bridge Repairs	Wirksworth/ Ashbourne/ Dovedale	£69,218
			Kniveton	C25030 B5035 Kniveton Lane	Repairs / strengthening	Wirksworth	£75,000
			Loscoe	P44033 Loscoe Culvert (A6007 High St)	Box Culvert	Heanor Central	£143,302
			Ripley	P35059 Canal Culvert (A610)	Major desilt and general maintenance	Ripley West and Heage	£26,515
			Buxton	D07016 Lightwood Road	Structure replacement - Design	Buxton West	£50,000
			Clowne	D47067 Slayley Hill	Strengthening of substandard structure. Design & Investigation	Barlborough & Clowne	£25,000
			Shirebrook	H56023 Carter Lane (B6031)	Strengthening joint scheme with NCC and HEHRE	Shirebrook & Pleasley	£80,000
			Buxton	H07170 Staden Lane	Resurface and edge protection	Buxton North and East	£28,403
			Cromford	Z35200 FP.51 Cromford (River Derwent)	Repaint and redeck	Wirksworth	£86,186
			Wormhill	Z07156 FP.25 Wormhill	Footbridge replacement	Buxton North and East	£45,044
			Edale	Z18105 FP.25 Edale	Footbridge replacement	Chapel and Hope Valley	£33,994
			Wingerworth	A36177 - Birkin Lane Culvert	Culvert replacement and retaining wall works	Wingerworth & Shirland	£7,010
			Whaley Bridge	S07009 Shallcross Woods Slip	Slip SI and design	Whaley Bridge	£6,000
			Bakewell	S26188 Station Road Landslip	Design and temporary measures	Bakewell	£60,000

			Alport	S26086 Bowers Hall Landslip (B5056)	Installation of drainage system	Bakewell	£50,000
			Chatsworth	S26087 Bridgehouse Landslip (B6012)	Geophysics investigation and c/w patching	Derwent Valley	£25,000
			Various	A57 Landslips	Site investigations to developing sites, remote monitoring, temporary measures	Glossop & Charlesworth/ Chapel & Hope Valley	£50,000
			Millers Dale	C17004 Upper Monksdale Culvert (B6049)	Hydrological modelling, investigation works and design	Dovedale	£40,000
			Chesterfield	D37877 Off Crow Lane	Redecking of substandard bridge. Design & Site Investigation	Spire	£75,000
			Rushup Edge	Rushup Edge Retaining Walls (C374)	Retaining wall rebuild	Chapel & Hope Valley	£50,000
			Baslow	RB270139 The Wheatsheaf Hotel Retaining Wall (A619)	Retaining wall rebuild	Derwent Valley	£100,000
			Woodville	P31008 Woodville Culvert (A514)	Major maintenance / CIPP lining	Melbourne/ Swadlincote Central	£75,000
<b>Bridges, structures, retaining walls and highway boundary structures Total</b>							<b>£3,809,639</b>

### 05 Signs, Lines and Signals

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Chesterfield	A619 Markham Road/Park Road	Replacement of Signal Equipment	Spire	£270,048
	Chesterfield	Soresby Street	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Boythorpe & Brampton South	£69,896

			Ripley	A610 Brittain Drive	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ripley East & Codnor	£102,286
			Ashbourne	A515 / A517 Derby Road	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ashbourne	£223,214
			Wadshelf	A619 Eastmoor	Upgrade of Junction Interactive Signs with new technology together with replacement of old associated equipment	Dronfield West & Walton	£10,000
			Various	Various locations Countywide	Countywide road markings	Various	£517,000
			Various	A617 Hasland By-pass	Replacement of road stud inserts	Spire/ Birdholme/ Sutton	£44,000
			Monyash/ Alfreton	A515 Monyash & A61 Alfreton	Renewal of winter maintenance weather stations	Dovedale/ Wingerworth & Shirland	£36,750
			Chesterfield	Holywell Street	Junctions & bus lanes	Spire	£268,251
			Various	Various locations Countywide	Highway Signs	Various	£90,535
			Various	Various locations Countywide	Replacement of miscellaneous transport assets (including cattle grids)	Various	£392,750
			Ilkeston	A6007/ Shipley Common Lane junct	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ilkeston East/ Ilkeston West	£96,000

			Chatsworth	B6012 Beeley Bridge	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Derwent Valley	£60,000
			Chesterfield	Crow Lane	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Brimington/ Spire	£84,000
			Renishaw	A6135 Main Road	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Eckington & Killamarsh	£40,250
			Kilburn	A609/B6179 Toll Bar crossroads Kilburn	Replacement of Signal Equipment	Horsley	£200,652
			Glossop	A57 High St West/Spring St Glossop	Junction & Puffin Crossing	Glossop & Charlesworth	£46,991
			Various	Various high routes	Erection of snow gates	Various	£10,500
			Borrowash	Station Road, Borrowash	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Breaston	£132,000
			Temple Normanton	A617 Hasocky Lane	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Sutton	£59,861
			Oakerthorpe	A615 / B6013 Oakerthorpe	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ripley West & Heage	£144,020



			Cresswell	A616 Mansfield Road, Cresswell	Interactive Signs	Bolsover North	£6,794
			Quarndon	Burley Lane	Interactive Signs	Duffield & Belper South	£6,794
			Renishaw	A6135 Sheffield Road Renishaw	Interactive Signs	Eckington & Killamarsh	£6,794
			North Wingfield	A6178 Williamthorpe Road - 2 sites	Interactive Signs	Sutton	£13,588
			Chesterfield	A632 Walton Road	Interactive Signs	Dronfield West & Walton/ Boythorpe & Brampton South	£6,794
			Hartshorne	Hartshorne - 2 sites (Gravel Pit Hill/Robins Cross Lane)	Interactive Signs	Melbourne	£13,501
			Old Whittington	B6052 Whittington Hill	Interactive Signs	Staveley North & Whittington	£6,794
			New Whittington	B6052 Handley Road	Interactive Signs	Staveley North & Whittington	£6,794
			Belper	A609 Kilburn Road	Interactive Signs	Belper	£5,000
			Pleasley	Pleasley - 2 sites Common Lane & Outgang Lane 1 site 01 06 14	Interactive Signs	Shirebrook & Pleasley	£27,176

			Tideswell	A623 Manchester bends	Interactive Signs	Dovedale	£27,176
			Osmaston	A52 Osmaston / Church Lane	Interactive Signs	Ashbourne	£27,176
			Bradley	A517 Bradley Smithy	Interactive Signs	Ashbourne	£20,382
			Heanor	A608 / A6007 Heanor Church, Heanor	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Greater Heanor/ Heanor Central	£143,837
<b>Signs, Lines and Signals Total</b>							<b>£3,217,604</b>

### 06 Street Lighting

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide	Street Lighting Network / Structural Testing	Various	£40,000
	Various	A6 Cromford to Buxton	Upgrade lighting to LED with replacement columns where necessary	Various	£200,000
	Chesterfield	A619 Chesterfield to Holymoorside	Street Lighting Network	Dronfield West & Walton/ Walton & West/ Boythorpe & Brampton South/ Spire	£271,751
	Various	B6179 Ripley to Little Eaton and surrounding link roads (Passive safe and cabling network)	B6179 Ripley to Little Eaton and surrounding link roads (Passive safe and cabling network)	Breadsall & West Hallam/ Horsley/ Ripley West & Heage/ Ripley East & Codnor	£325,000
	Langley Mill	A608 Nottingham Road to Enterprise Way to A610 (cabling and passively safe)	A608 Nottingham Road to Enterprise Way to A610 (cabling and passively safe)	Greater Heanor	£50,000
	Belper	Belper	Heritage Lighting	Belper	£58,000

			Glapwell	A617 / A632 Bramley Vale/ Glapwell area and surrounding network	Street Lighting Network	Tibshelf	£95,173
			Willington	A5132 Willington - Y Pass area and Slip roads (passive safe)	A5132 Willington - Y Pass area and Slip roads (passive safe)	Etwell & Repton	£116,100
			Heanor/ Shipley/ Ilkeston	A6007 Heanor to Ilkeston	A6007 Heanor to Ilkeston	Greater Heanor/ Heanor Central/ Ilkeston West/ Ilkeston East	£150,000
			Various	A6005 Borrowash to Draycott	Installation of passive safe lighting columns, LED lighting and replacement of cable network	Breaston	£244,000
			Oakerthorpe	A615/B6013 Belper Road, Oakerthorpe	A615/B6013 Installation of passive safe lighting columns, LED lighting and cable network replacement	Wingerworth and Shirland/ Ripley West and Heage	£67,100
			Denby	B6179 Derby Road, Denby	Installation of passive safe lighting columns, LED lighting and cable network replacement	Horsley	£91,500
			Various	B6019 Alferton to Pinxton	Installation of passive and standard lighting columns, LED lighting and cable network replacement	Various	£305,000
			Baslow	A619/A623/A621/B6012 Baslow	Installation of passive safe lighting columns, LED lighting and cable network replacement	Derwent Valley	£146,400
			Various	Street Lighting Network	Countywide defective column replacements	Various	£228,750
			Various	Various locations Countywide	Project management of local transport capital projects and preparation of future local transport capital programmes (early starts)	Various	£12,200
<b>Street Lighting Total</b>							<b>£2,400,974</b>

### 07 Rights of Way

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide	Advanced Design to enable Major route improvements in future programmes	Various	£75,000

			Bamford	Bamford Clough	Major route improvement - Reconstruction	Chapel & Hope Valley	£225,000
			Various	Various locations Countywide	Major route improvements (former non-classified highways which are now recorded as PRowS)	Various	£748,000
			Various	Various locations Countywide	General rights of way improvements	Various	£650,000
<b>Rights of Way Total</b>							<b>£1,698,000</b>

### 08 Cycle Routes

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)	
		Peak Forest Tramway (Chinley)	Trail at Stephanie Works off Whitehough Head Lane	Resurfacing and drainage improvements	Whaley Bridge	£67,939
		Peak Forest Tramway (Chinley)	700m section between Harpur Cottage and Lower Crist	Resurfacing and drainage improvements	Whaley Bridge	£52,500
		Rowsley	White Peak Loop (Harrison Way to Old Station Close)	Refurbish boardwalk	Derwent Valley	£131,250
		Various	Pennine Bridleway National Trail	Ongoing surfacing and drainage work identified in annual condition survey. Match funding to secure additional funding from Pennine National Trails Partnership Natural England.	Various	£23,058

			Staveley	Trans Pennine Trail - 500m section at Staveley/Lowgates	Resurfacing of trail	Staveley North & Whittington	£27,176
			Various	Trans Pennine Trail	Improvements to community access points lining onto the trail between Inkersall and Staveley - rebuild 5 unsafe flights of steps	Various	£20,382
			Pleasley	Skegby Trail - 760m of trail adjacent to Pleasley Pit Country Park	Resurfacing of trail	Shirebrook & Pleasley	£58,412
			Pleasley	Skegby Trail	Safety improvements at Batley Lane crossing for horses	Tibshelf	£79,341
			Wingerworth	Avenue Sites, off A61 Wingerworth	Delivery of multi-user routes to establish KCN connectivity into Chesterfield/ surrounding communities and linking River Washlands Greenway with Five Pits Trail	Clay Cross North	£58,674
			Whaley Bridge	Shallcross Incline	Resurfacing work to repair flood damage.	Whaley Bridge	£28,500
			Various	Key Cycle Networks	Urgent weather related maintenance works	Various	£100,000
			Various	Visit Sleep Cycle Repeat	Key cycle network signage	Various	£30,000
<b>Cycle Routes Total</b>							<b>£677,232</b>

## 9 Greenways

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Bolsover	Stockley Trail - 500m of trail	Resurfacing of trail	Bolsover South	£27,176
	Elvaston	Elvaston Castle Multi-user Trail	Resurfacing of trail	Aston	£105,000
	Elvaston	Elvaston Castle Riverside Link Path	Resurfacing of trail	Aston	£52,500

## 02 2021-22 Capital Integrated Transport Programme

### 01 Traffic Management

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Clifton	Doles Lane / Green Lane	Proposed road closure of ford to vehicular traffic (subject to statutory consultations)	Ashbourne	£30,000
	Various	Various locations Countywide	Small-scale signing and lining schemes to improve management of traffic to reduce delays to road users and reduce conflicting demands for parking in town and village centres in accordance with national legislation and DCC environmental code of practice to reduce clutter.	Various	£180,000
	Various	Various locations Countywide	Small-scale signing and lining schemes to improve management of traffic to reduce delays to road users and reduce conflicting demands for parking in town and village centres in accordance with national legislation.	Various	£270,000
<b>Traffic Management Total</b>					<b>£480,000</b>

### 02 Key Cycle Network Investment

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
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			Glossop	Key Cycle Network (KCN) link between Glossop Road and Gamesley Sidings	Delivery of Key Cycle Network (KCN) link	Glossop & Charlesworth/ Etherow	£52,500
			Countywide	Various locations Countywide	Section 106 Top up fund	Various	£105,000
			White Peak Loop	Various locations	Route Construction	Various	£105,000
			Markham Vale/ Poolsbrook	Bolsover Loop to Trans Pennine Trail	Design of KCN between Markham Vale and Poolsbrook Country Park	Various	£30,000
			Etwall	Great Northern Greenway	Culvert scheme top up fund - Mickleover Trail Etwall	Etwall & Repton	£22,550
			Longcliffe	High Peak Trail - Hoe Grange (Ph 3)	Retaining Wall Repairs	Wirksworth	£35,000
			Ryder Point	High Peak Trail - Ryder Point	Retaining Wall Repairs	Wirksworth	£100,000
			Shirebrook	Archaeological Way and other routes through Shirebrook	Route improvements	Shirebrook & Pleasley	£120,000
					<b>Key Cycle Network Investment Total</b>		<b>£570,050</b>

### 03 Local Cycling and Walking Investment

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2020-21 Whole Scheme Cost (Design & Construction)
		Countywide	Various locations	Groundwork Service Level Agreement	£21,000
		Fenny Bentley	A515 Fenny Bentley near Bentley Brook	Installation of new pedestrian crossing	£123,390
		Various	Various locations Countywide	Provision of dropped crossings to enhance accessibility to and connectivity in town and village centres.	£74,352
		Various	Various locations Countywide	Provision of dropped crossings to enhance accessibility to and connectivity in town and village centres.	£80,500

**04 Public Transport**

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
	Bolsover	Bolsover Market Place	Bus stop (west bound) improvements	Bolsover North/ Bolsover South	£47,250
	Various	Alfreton to Ripley - "The Comet" corridor	Bus stop accessibility and infrastructure improvements	Various	£130,200
	Various	Various - "Wheels to Work"	Purchase of scooters & e-bikes	Various	£52,500
	Glossop	Henry Street	Bus shelter replacement & provision of real time information	Glossop & Charlesworth	£28,350
	Various	Various locations Countywide	Purchase and installation of electric vehicle charging points	Various	£105,000
	Various	Various locations Countywide	Public transport facility lighting renewals to provide safer waiting areas for passengers, minimise carbon emissions from energy usage and support resilience of bus services.	Various	£21,191
	Various	Hope Valley bus routes 271 and 272.	Replacement of bus stop facilities, including several shelters in the Hope Valley focussed on bus service routes 271 and 272. The scheme would support a higher profile for sustainable tourism and resilience of bus services in the Peak District and as such would form part of the larger National Park low carbon travel strategy which is currently being developed.	Various	£61,000



			Hathersage	Hathersage Square	Replacement of bus stop facilities, including a replacement shelter. Scheme supports the Heart for Hathersage project which seeks to improve Hathersage centre as a community space. The scheme would support a higher profile for sustainable tourism and resilience of bus services in the Peak District and as such would form part of the larger National Park low carbon travel strategy which is currently being developed.	Bakewell	£27,000
			Various	Bus service routes 236 and 237	Replacement of bus stop facilities and provision of accessibility requirements. Scheme supports resilience of bus services for residents, visitors and employees in the Glossopdale area. Additional money from S106 funding in the area will ensure the scheme is able to deliver more facilities.	Various	£81,000
			Buxton	Town centre improvements	Projects to support Buxton Town Travel Plan including signage, enhanced cycle storage, e-bike hire, improve coach drop-off/ pick up facilities to support more sustainable tourism and growth e.g. to support reopening of Buxton Crescent and Spa.	Various	£40,000
			Various	Various locations Countywide	Provision of bus shelter lighting	Various	£24,150
			Matlock	A6 Bakewell Road bus stop	Bus stop accessibility and infrastructure improvements	Matlock	£45,150
			Hope Valley	Hope Valley Service 271/272 corridor - Phase 2	Accessibility and infrastructure improvements	Various	£51,450
			Various	Glossop area Service 237 Corridor - Phase 2	Accessibility and infrastructure improvements	Various	£43,050

			Various	A619 Corridor	Extension of electronic bus real time information (RTI) screens to additional bus stops to support increased usage of sustainable travel modes to/ from Chesterfield town centre. Screens will be introduced to support measures to reduce pollution along the corridor including within the Brimington Air Quality Management Area.	Various	£22,000
			Various	Various locations Countywide	Design and preparation of locations for electric vehicle charging points to support the Low Emission Vehicle (LEVI) Strategy	Various	£30,000
			Various	Various Locations: Hope Valley, Chesterfield town centre, Dronfield, A61 corridor between Chesterfield & Ripley, South Derbyshire, Belper and Matlock rail stations.	Expansion of Real Time Information System	Various	£399,000
			Various	Various bus stops countywide	Bus stop accessibility upgrades & new provision	Various	£94,500
			Various	Various bus stops countywide	Bus stop & station signage	Various	£15,750
			Brough	A6187/B6049 Brough Lane End junction	Relocation of bus stops	Chapel & Hope Valley	£21,000
			Various	Various locations Countywide	New & Replacement Bus Shelters	Various	£136,500
			Various	Various locations Countywide	Bus stop infrastructure replacement	Various	£10,500
			Matlock	Matlock Market Hall "bus station"	Contribution to DDDC improvement scheme	Matlock	£55,650
			Ilkeston	Ilkeston Station	Provision of secure, covered cycle parking	Ilkeston East	£26,250
			Long Duckmanton	A632 Chesterfield Road/ Rectory Road junction	Interactive signage improvements to improve junction safety for buses.	Sutton	£15,750
			Various	Various locations Countywide	Refurbishment & Modernisation of cycling monitoring equipment on KCN	Various	£15,750
<b>Public Transport Total</b>							<b>£1,599,941</b>

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Hayfield	A6015 New Mills Road / A624 Chapel Road	Remove dedicated left turn lane	New Mills	£66,857
			Whittington Moor	B6057 Whittington Moor	Road narrowing, traffic calming and general street scene enhancement works to reduce casualties and in particular pedestrian casualties along this route.	St Mary's	£211,040
			Hulland Ward	Hulland Ward - Intake Lane crossroads	Junction Improvements	Wirksworth	£42,297
			Dronfield	A61 Bowshaw Rdbt	Anti-skid	Dronfield West & Walton/ Dronfield East	£8,000
			Chesterfield	A617 East of Horns Bridge	Improvements to advance signing/lining	Spire	£8,000
			Buxton	A6 Fairfield Road	Surface Improvements	Buxton North & East	£1,387
			Buxton	A54	Surface Improvements & kerbs/drainage	Buxton West	£18,326
			Rosiliston	Rosiliston crossroads	CPO (Legal Fees)	Linton	£3,938
			Various	A6096 Spondon to Kirk Hallam	Suggested 60mph - interactive signs	Various	£13,286
			Long Eaton	Longmoor Road/Bostocks Lane	Carriageway widening/mini rdbt improvement	Petersham	£11,938
			Buxton	A515 Brierlow Bar	Traffic Signals & removal of Interactive signs	Buxton North & East/ Dovedale	£50,000
			Paltrerton	Rylah Hill	Improvements to drainage and carriageway surfacing	Bolsover South	£55,998
			Melbourne	B587 Derby Road / Main Street	High Friction Surface Treatment, including possible resurfacing to facilitate works	Melbourne	£57,318
			Dronfield	A61 Bowshaw roundabout	Extend Anti-skid and resurface to facilitate surface	Dronfield West & Walton/ Dronfield East	£39,763
			Sudbury	A515 at junction with Leathersley Lane	Surfacing treatments	Ashbourne	£43,427

			Alfreton	A61 approach to Watchorn roundabout	Resurfacing with high friction on approach to roundabout	Alfreton & Somercotes	£45,754
			South Normanton	Birchwood Lane	Improved drainage, new chevrons and verge master marker posts	South Normanton & Pinxton/ Alfreton & Somercotes	£28,400
			Hulland Ward	Intake Lane Crossroads	Highway junction improvements	Wirksworth	£40,000
			Buxton	A6 Fairfield Road	Surface improvements	Buxton North & East	£30,000
			King Sterndale	A6 Wyedale on bend near Cowdale	Hardstanding around base of chevrons and high friction surfacing / surface improvements / clearance of vegetation.	Buxton North & East	£57,303
			Ilkeston	A6096 Ladywood Road / Cat and Fiddle Lane	Consideration of pedestrian refuge islands and associated collision remedial measures	Breadsall & West Hallam	£3,696
			Newhall	B5353 High Street, Newhall near junction with Orchard Street	High Friction Surface Treatment, including possible resurfacing to facilitate works	Swadlincote North	£40,036
			Buxton	A54	Surface improvements / Kerbing to assist drainage / debris on road	Buxton West	£100,000
			Staveley	Inkersall Road / Inkersall Green Road	Verge hardening to improve visibility	Staveley	£31,069
			Chesterfield	A617 East of Hornsbridge	Improvements to advance signing / markings and carriageway assessments	Spire	£3,629
			Darley Dale	A6 Dale Road / Station Road	Traffic Signals with removal of existing pedestrian crossing	Derwent Valley	£168,000
			Alfreton	B6019 Mansfield Road / Salcombe Road	Replacement of mini roundabout with Traffic Signals	Alfreton & Somercotes	£240,000
			Ripley	B6179 Lower Kilburn (Near Petrol Station)	Speed Limit reduction and Interactive Signs	Horsley	£11,500
			Chellaston	A514 Swarkestone Road (northbound approach to A50 roundabout)	High Friction Surfacing	Aston	£20,300
			Cromford	A6 Dale Road (near junction with Artist corner car park)	Interactive sign indicating speed limit and slow down message	Wirksworth	£11,500
			Stretton	A61 Main Road / B6014 Morton Road	Central Refuge Island to incorporate give way sign.	Wingerworth & Shirland	£18,750
			Alfreton	B600 Nottingham Road (Cotes Park area)	Reduced Speed Limit, Refuge Islands and Interactive Signs	Alfreton & Somercotes	£100,000

		Ripley	B6179 Derby Road / Elms Aveune	Removal of right turn habourage	Ripley West & Heage/ Ripley East & Codnor	£9,200
		Ripley	A610 Ripley Road / B6013 Chesterfield Road	Interactive sign indicating turning traffic	Ripley West & Heage	£9,200
		Kirk Hallam	A6096 Ladywood Road / Godfrey Drive	Re-locate safety camera	Ilkeston West	£17,250
		Clay Cross	A61 / Eyre Street	Junction narrowing	Clay Cross North	£12,500
		Riddings	West Street / Greenhill Lane	Anti Skid surfacing extended back from crossing and junction warning signs	Alfreton & Somercotes	£8,383
		Chesterfield	A61 Derby Road (Jawbones Hill)	Anti Skid surfacing extend back from crossing to also cover side road approach	Spire	£9,070
		Marlpool	A6007 Ilkeston Road / Sunningdale Avenue	Signing and Lining Improvements	Greater Heanor	£9,896
		Sandiacre	B5010 Derby Road / Longmoor Lane	Improvements to white lining and improvements to Street Lighting	Sandiacre	£13,783
		Tansley	A615 junction with Lickpenny Lane	Surface treatments	Wingerworth & Shirland/ Alport & Derwent	£54,984
		Ashover	A632 Spancarr Crossroads	Proposed Speed Reduction to 50 mph Walton to Kelstedge	Wingerworth & Shirland/ Dronfield West & Walton	£45,000
		Chesterfield	Boythorpe Road junction with St Augustines Road	Signing and lining improvements	Boythorpe & Brampton South/ Birdholme	£28,372
		Newbold	Highfield Lane, Newbold	Interactive sign	Loundsley Green & Newbold	£8,000
		Various	A6096 Spondon to Kirk Hallam	suggested 50 mph (subject to consultations) / Interactive Signs	Various	£69,918
		Newbold	Highfield Lane, Newbold	Interactive Signs (30 SLOW down)	Loundsley Green & Newbold	£14,907
		Various	Various locations Countywide	Small-scale signing and lining schemes to improve management of traffic to reduce collisions and road casualties in accordance with national legislation	Various	£100,201
<b>Road Safety Total</b>						<b>£1,992,176</b>

Scheme Number			Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2021-22 Whole Scheme Cost (Design & Construction)
			Woodville	Woodville-Swadlincote Regeneration Route	Funding Contribution	Swadlincote South/ Swadlincote Central	£250,000
<b>Preparation and Implementation of Major Highway Projects Total</b>							<b>£250,000</b>

# Future Capital Highways Programme:

## 01 Highway Maintenance Programme

01	Carriageways	£420,304
02	Footways	£203,190
03	Drainage and flood management	£1,368,000
04	Bridges, structures, retaining walls and highway boundary structures	£4,653,948
05	Signs, Lines and Signals	£3,101,551
06	Street Lighting	£523,000
07	Rights of way	£0
08	Cycle routes	£365,878
09	Greenways	£40,000

**Highway Maintenance Programme Total                   £10,675,871**

## 02 Integrated Transport Programme

01	Traffic Management	£16,970
02	Key Cycle Network investment	£882,451
03	Local cycling and walking investment	£0
04	Public Transport	£0
05	Road Safety	£283,188
06	Preparation and Implementation of Major Highway Projects	£0

**Integrated Transport Programme Total                   £1,182,609**

**Future Capital Highways Programme Total           £11,858,480**

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01 Carriageways					
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
<b>Resilient Network</b>					
	Chesterfield	B6057 Saltergate (Eyes to Co-op)	Carriageway Resurfacing	Spire	£47,963

			Langley Mill	A608 New Derby Road / A610 Rbt Heanor (DCC Side)	Carriageway Resurfacing	Greater Heanor	£101,909
			Chesterfield	B6057 Holywell Cross From Saltergate (vicinity East bnd splitter to Holywell Street)	Carriageway Resurfacing	Spire/ Boythorpe & Brampton South	£46,877
			Swanwick	B6179 Derby Road Thorntons rdbt	Carriageway Resurfacing	Alfreton and Somercotes	£40,764
			Alfreton	A61 Derby Road (Eachwell Lane junction)	Carriageway Resurfacing	Alfreton & Somercotes	£23,981

#### Non-Resilient Network

			Alfreton	A615 Eachwell Lane	Carriageway Resurfacing	Alfreton & Somercotes	£37,709
			Matlock	C319 Oker Road	Surface Dressing	Derwent Valley	£44,710
			Matlock	C319 Snitterton Road (Oker Road to Matlock Spa Road)	Surface Dressing	Derwent Valley	£53,448
			Stoney Middleton	U1131 Avenue Close	Carriageway Resurfacing	Derwent Valley	£1,473
			Stoney Middleton	U1132 The Nook (A623 to Old Hall Gardens)	Carriageway Resurfacing	Derwent Valley	£7,017
			Stoney Middleton	U1133 The Bank	Carriageway Resurfacing	Derwent Valley	£4,736
			Stoney Middleton	Mill Lane (The Bank to Back Tor Cottage)	Carriageway Resurfacing	Derwent Valley	£8,576
			Chinley	U10571 Granby Avenue	Carriageway Resurfacing	Whaley Bridge	£1,143
						<b>Carriageways Total</b>	<b>£420,304</b>

#### 02 Footways

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Alfreton	A61 Watchorn Roundabout	Culvert Screen	Alfreton & Somercotes	£30,000
	Various	Various roadside reserves countywide	Environmental and bio-diversity improvements including contributions to partner agencies such as Derbyshire Wildlife Trust	Various	£25,000
	Matlock	A615 Bakewell Road	Footway Re-slabbing	Matlock	£48,190



			Swadlincote	High Street	Footway repaving	Swadlincote South	£50,000
			Various	Mickleover Greenway	Structural repairs based on condition reports	Various	£50,000
						<b>Footways Total</b>	<b>£203,190</b>

### 03 Drainage and flood management

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide	Carriageway repairs and reinstatement	Various	£1,368,000
<b>Drainage and flood management Total</b>					<b>£1,368,000</b>

### 04 Bridges, structures, retaining walls and highway boundary structures

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide	Footbridge Replacement	Various	£100,000
	Various	Various locations Countywide	Retaining Wall Rebuild	Various	£350,000
	Various	Various locations Countywide	Scheduled Ancient Monument Bridges Major Maintenance	Various	£250,000
	Various	Various locations Countywide	Scour Investigations	Various	£50,000
	Various	Various locations Countywide	Principal Bridge Inspections and works arising from inspections	Various	£360,000
	Ashford	P17131 Thornbridge Hall (A6020)	Structure replacement	Bakewell	£86,250
	Various	Various locations Countywide	Bridge inspections	Various	£200,000
	Sawley	Harrington Bridge C43017	Pier protection	Sawley	£950,222
	Various	Various locations Countywide	Footbridge Replacement	Various	£84,107

			Various	Various locations Countywide	Retaining wall Rebuild	Various	£70,000
			Various	Various locations Countywide	Landslip / rock fall investigation & remedial works	Various	£71,266
			Chesterfield	P37909 Ridding Brook (A61)	Major maintenance	St. Mary's / Staveley North & Whittington	£120,000
			Bradwell	C18027 Bradwell (B6049)	Major maintenance including concrete repairs	Bakewell	£40,250
			Aston/ Thornhill	P18082 Glenbrook Culvert (A6187)	Culvert replacement	Chapel & Hope Valley	£90,000
			Glossop	P09013 Victoria (A624)	Major maintenance	Glossop & Charlesworth	£144,000
			Hathersage	P28045 Main Road Culvert (A6187)	Concrete repairs	Bakewell	£24,000
			Castle Gresley	P21032 Gresley East Roundabout (A444)	Temporary propping	Linton	£130,000
			Grassmoor, Hasland, Winsick	P46022 Manor Farm (A617)	Surfacing and general repairs	Grassmoor, Hasland & Winswick	£28,750
			Dronfield	A61 Dronfield	Impact protection works	Dronfield	£117,187
			Bakewell	Z26193 Weir Footbridge	General repairs including repaint and reapply anti-slip	Bakewell	£62,500
			Little Eaton	RB340208 Eaton Bank Rigga Retaining Wall	Retaining wall failure investigation / desk study	Breadsall & West Hallam	£0
			Weston Underwood	D24011 Cutler Lane	Redecking of substandard bridge. Design & Works	Alport & Derwent	£100,000
			Swarkestone	P32013 New Cuttle (A514)	Major concrete repairs and refurbishment	Aston	£125,000
			New Mills	P08335 Low Leighton (A6015)	Major maintenance	New Mills	£65,000
			Glossop	P09011 Charlestown (A624)	Major maintenance	Glossop & Charlesworth	£65,000
			Fenny Bentley	P15048 Fenny Bentley (A515)	Design of maintenance scheme and site investigation	Dovedale	£25,000
			Rowsley	P26712 Tinkersley (A6)	Major maintenance	Derwent Valley	£50,000

			Various	Various locations Countywide	Bridge joint replacement	Various	£135,878
			Holmesfield	Blake Brook (P27052)	Culvert Replacement	Derwent Valley	£64,728
			Dronfield	Dronfield - Lea Road ramp	Replacement	Dronfield North/ Dronfield South	£59,810
			Hathersage	P28009 Toad's Mouth (A6187)	Major maintenance	Bakewell	£50,000
			Milford	P34707 Milford (A6)	Major maintenance	Duffield & Belper South	£75,000
			Chesterfield	P37712 Brewery Street (A61)	Major maintenance	Spire	£150,000
			Shirland	P45704 Shirland Toll Bar (A61)	Major maintenance / CIPP lining	Wingerworth & Shirland/ Alfreton & Somercotes	£60,000
			Brough	RB180084 Brough Mill Retaining Wall (B6049)	Retaining wall stabilisation	Chapel & Hope Valley	£100,000
			Sutton Scarsdale	RB460018 Palterton Lane 1 Retaining Wall	Retaining wall rebuild	Sutton	£100,000
			Little Hayfield	Little Hayfield Retaining Walls (A624)	Retaining wall rebuild	New Mills	£50,000
			Hathersage	The Dale Hathersage Washout	Retaining wall installation	Bakewell	£50,000
<b>Bridges, structures, retaining walls and highway boundary structures Total</b>							<b>£4,653,948</b>
<b>05 Signs, Lines and Signals</b>							
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)		
	Bradwell	B6049 Church Street	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Bakewell	£72,000		
	Somercotes	B600 Nottingham Road/Cotes Park Lane junction	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Alfreton & Somercotes	£108,000		

			Ladybower	A57/A6013 junction	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Chapel & Hope Valley	£108,000
			Chesterfield	Littlemoor/ Dunston Lane junction	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	St Mary's	£51,750
			Walton on Trent	Walton on Trent bridge	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Linton	£108,000
			Glossop	A57 Trans Pennine Way	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Etherow	£40,250
			Kirk Hallam	A6096 Ladywood Road	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ilkeston West	£40,250
			Long Eaton	A6005 Derby Road / Trent College	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Petersham	£40,250
			Swadlincote	A444 Stanton Road / Park Road, Newhall	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Swadlincote North	£120,000
			Ilkeston	King George Avenue / Drummond Road	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Ilkeston West	£40,250

			Belper	Far Laund	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Belper	£40,250
			Morley Smithy	A608 Main Road (Northern side)	Replacement of old Interactive Sign including LED and other technology to reduce ongoing maintenance	Breadsall & West Hallam	£23,000
			Morley Smithy	A608 Main Road (Southern side)	Replacement of old Interactive Sign including LED and other technology to reduce ongoing maintenance	Breadsall & West Hallam	£23,000
			Various	Various locations Countywide	Replacement of signal heads with LED lighting units to reduce energy and maintenance costs, reduce carbon budget requirements and future proof for reduced availability of traffic signal bulbs.	Various	£113,000
			Swadlincote	A514 Civic Way Belmont Street	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	Swadlincote Central / Swadlincote South	£40,250
			Hayfield	A624 Hayfield	Replacement of old signal equipment including LED and other technology to reduce delays, improve efficiency and reduce ongoing maintenance and energy costs	New Mills	£40,250
			Various	Various locations Countywide	Safety Restraint Systems	Various	£402,919
			Various	Various locations Countywide	Maint twin amber flashing lamps	Various	£31,130

			Various	Various locations Countywide	Maint signs	Various	£79,947
			Various	Various locations Countywide	Highway remedial work at road hump sites and any associated re-profiling works	Various	£74,300
				M1 Jct 29 Chesterfield leg	Signals	2019-20	£800,000
			Darfoulds	A619 Darfoulds Bridge		Bolsover North	£336,755
			Heath	M1 Jct 29 Mansfield leg	Signals	Sutton	£300,000
			Various	Various locations Countywide	Highway fencing	Various	£68,000
<b>Signs, Lines and Signals Total</b>							<b>£3,101,551</b>

### 06 Street Lighting

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Ripley	A610 / A38 Buckland Hollow	Street Lighting Network	Ripley West & Heage	£373,000

			Various	A610 /A6007 Ripley/Heanor to County Boundary	Street Lighting Network	Various	£150,000
<b>Street Lighting Total</b>							<b>£523,000</b>

<b>07 Rights of Way</b>					
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
<b>Rights of Way Total</b>					

<b>08 Cycle Routes</b>					
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Tibshelf	Five Pits Trail - High Street, Tibshelf to Silverhill Trail	Resurfacing of trail	Tibshelf	£60,000
	Arkwright/ Brimington Common	Trans Pennine Trail - Arkwright line to Westwood and Staveley bridleway 41	Surface improvements	Sutton/ Staveley	£135,878
	Tibshelf/ Newton	Five Pits Trail - Newton Link	Resurfacing of trail	Tibshelf	£35,000
	Tibshelf	Five Pits Trail - Tibshelf Common	Resurfacing of trail	Tibshelf	£15,000
	Etwall	Great Northern Greenway - Mickleover A50 bypass	Resurfacing and drainage improvements	Hilton	£60,000
	Etwall	Great Northern Greenway - Mickleover Station Close, Etwall to A50 bypass	Resurfacing and drainage improvements	Hilton/ Etwall & Repton	£35,000
	Various	NCN 67 route - Nutbrook Trail	Re-surfacing of KCN route	Various	£20,000

			Various	KCN Trails Network in the North East	Accessibility Audit	Various	£5,000
<b>Cycle Routes Total</b>							<b>£365,878</b>

<b>9 Greenways</b>							
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)		Intervention description (linked to project/ programme objectives)		Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Middleton-by-Wirksworth	High Peak Trail - Middleton Top Wheel-pit		Maintenance of a key feature adjacent the High Peak Trail		Wirksworth	£40,000
<b>Greenways Total</b>							<b>£40,000</b>

## 02 2021-22 Capital Integrated Transport Programme

<b>01 Traffic Management</b>							
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)		Intervention description (linked to project/ programme objectives)		Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Various	Various locations Countywide		Bridge surveys to check bridge heights and signing requirements		Various	£16,970
<b>Traffic Management Total</b>							<b>£16,970</b>

<b>02 Key Cycle Network Investment</b>							
Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)		Intervention description (linked to project/ programme objectives)		Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Creswell	Archaeological Way and other routes through Creswell		Route improvements		Bolsover North	£80,000



			Holmewood	Five Pits Trail - Richards Crossing to Devonshire Terrace	Resurfacing of trail	Sutton	£60,000
			Pilsley	Five Pits Trail - Locko to Timber Lane	Resurfacing of trail	Tibshelf	£45,000
			Renishaw	Trans Pennine Trail - Renishaw to Westthorpe Dip	Resurfacing of trail	Eckington & Killamarsh	£90,000
			Elmton with Creswell	Elmton Restricted Byway 33 - E47174 Eyres Top bridge	Additional funding required for replacement of accommodation bridge carrying a public right of way, in line with PBI" (principle bridge inspection)	Bolsover North	£31,500
			Various	Various Key Cycle Routes as set out in Cabinet Report dated 16th January 2020 Appendix 1 including the White Peak Loop.	Design and preparation of priority future Key Cycle Network (KCN) routes including planning permissions and other consents.	Various	£100,000
			Hipper Valley Greenway	Upgrade approx 500m of main Greenway, provide two wider bridges and improve link paths into neighbouring residential areas	For work outside scope, but which compliments EATF Tranche 2 Submission to improve access for walking and cycling in Chesterfield.	Various	£157,500
			Somersall/Holymoorside	Hipper Valley 3 - Somersall Lane to Holymoorside	Extension of Hipper Valley Trail KCN	Dronfield West & Walton/ Walton & West	£293,451
			Cromford	High Peak Trail - bottom of Sheep Pasture Incline	Resurfacing and drainage improvements	Wirksworth	£25,000
<b>Key Cycle Network Investment Total</b>							<b>£882,451</b>

### 03 Local Cycling and Walking Investment

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
<b>Local Cycling and Walking Investment Total</b>					<b>£0</b>

### 04 Public Transport

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
<b>Public Transport Total</b>					<b>£0</b>

### 05 Road Safety

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
	Rosliston	Rosliston Crossroads	Re-design of crossroads subject to successful land negotiations	Linton	£24,774
	Long Eaton	Longmoor Road / Bostocks Lane	Carriageway widening / roundabout improvement	Petersham	£8,915
	Buxton	A515 Brierlow Bar	Traffic Signals and removal of Interactive Signs	Buxton North & East/ Dovedale	£249,499
<b>Road safety Total</b>					<b>£283,188</b>

### 06 Preparation and Implementation of Major Highway Projects

Scheme Number	Parish	Location (list of streets or names of assets affected. Wider area schemes use a broad location)	Intervention description (linked to project/ programme objectives)	Electoral Division	2022-23 Whole Scheme Cost (Design & Construction)
<b>Preparation and Implementation of Major Highway Projects Total</b>					<b>£0</b>

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PUBLIC  
Author: Ellen Langton

Agenda Item No

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Director of Public Health**

**Director of Public Health Annual Report  
(Health and Communities)**

**1. Purpose of the report:**

Cabinet are asked to:

- i. Note the delay to the drafting and publication of the 2020 Director of Public Health Annual Report.
- ii. Agree that the Director of Public Health Annual Report be aligned to reporting within the financial year, with the next report to be published within the first quarter of the 2021/22 financial year.

**2. Information and analysis:**

The Health and Social Care Act (2012) sets out a statutory requirement for all Directors of Public Health to provide an annual independent report on the health of the local population and for the local authority to publish it. The report needs to highlight areas of specific concern and make recommendations for change. The Director of Public Health can tailor the report to align with local issues and priorities.

The Director of Public Health Annual Report is currently aligned to the calendar year and the last report, entitled 'Stronger for Longer' was published and reported to Cabinet on 16 January 2020.

Due to the ongoing COVID-19 response, the Director of Public Health has been unable to produce a report in the 2020 calendar year due to limited capacity and a need to focus on responding to and managing the evolving situation to co-ordinate the health protection and wider public health response.

To mitigate the absence of a formal Annual Report for 2020 the Public Health department will produce a short report, most likely in the form of a video, to cover 2020 focusing on the response to COVID-19 and the work undertaken by the Director of Public Health.

From 1 April 2021 Cabinet is asked to agree that the Annual Report is aligned to the financial year rather than the calendar year. This approach brings a range of benefits to the authority, including:

- Alignment to the Council Plan and Service Plan processes.
- Alignment to performance reporting processes and procedures.
- Ability for the Director of Public Health Annual Report to reflect corporate priorities and influence forward planning more directly.

The report provides Cabinet and other strategic groups, such as the Health and Wellbeing Board and Joined Up Care Derbyshire, with a copy of the report to provide further influence across the health and social care system within Derbyshire.

**3. Social Value considerations:**

The Director of Public Health Annual Report routinely highlights issues relating to health inequalities, deprivation and where the authority and partner agencies can deliver additional social value to address many of these issues through a range of commissioned services, contracts and project-based activity.

**4. Legal considerations:**

The Health and Social Care Act (2012) outlines that the Director of Public Health has a duty to write a report, whereas the authority's duty is to publish it (under section 73B(5) & (6) of the 2006 Act, inserted by section 31 of the 2012 Act). The content and structure of the report may be decided locally.

**5. Health considerations:**

The Director of Public Health report sets highlight areas of specific concern and make recommendations for change. The Director of Public Health can tailor the report to align with local issues and priorities to drive change and action across the local health and social care system.

**6. Financial considerations:**

The costs associated with the development and publication of the 2020 and 2021/22 Director of Health Annual Reports can be met from within existing Public Health budgets.

**7. Other considerations:**

In preparing this report the relevance of the following factors has been considered: prevention of crime and disorder, equality of opportunity, human resources, environmental, property and transport considerations.

**8. Background papers:**

[The role of the Director of Public Health – information on gov.uk](#)

**9. Key Decision:**

Yes

**10. Call-in:**

Is it required that call-in be waived for any decision on this report?

No

**11. Officer's Recommendation:**

Cabinet are asked to:

- i. Note the delay to the drafting and publication of the 2020 Director of Public Health Annual Report.
- ii. Agree that the Director of Public Health Annual Report be aligned to reporting within the financial year, with the next report to be published within the first quarter of the 2021/22 financial year.

**Dean Wallace**  
**Director of Public Health**

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**DERBYSHIRE COUNTY COUNCIL****CABINET****11 March 2021****Report of the Director of Public Health****REIMBURSEMENT OF STOP SMOKING PHARMACOTHERAPY PRODUCT COSTS FOR THE FINANCIAL YEAR 2021/22****1. Purpose of the report:**

To seek Cabinet approval to reimburse the Derby and Derbyshire Clinical Commissioning Group (CCG), for the costs of stop smoking pharmacotherapy products available on prescription only for the 2021-22 financial year.

**2. Information and analysis:**

Smoking is the main cause of preventable illness, disability and premature death in England. It also accounts for half the difference in life expectancy between the most affluent and most deprived groups within society. In Derbyshire, it is a key issue with 12.6% of adults smoking compared to the England average of 13.9% (APS method, 2019). Smoking is a key contributor to health inequalities with a prevalence of 19.5% in Derbyshire (England 23.2%) in routine and manual workers (APS method, 2019). The prevalence of smoking varies considerably across the county, from 8.6% in North East Derbyshire to 15.8% in South Derbyshire, the highest in the county (APS method, 2019).

In Derbyshire 13.5% of pregnant women are smoking at time of delivery, compared to the England average of 10.4% (2019 data). The prevalence remains even higher in people with mental health conditions, where more than 25% of adults in Derbyshire with a serious mental illness smoke.

In Derbyshire, during 2017-18 there were 8,138 smoking attributable hospital admissions and around 16 out of every 100 deaths in Derbyshire can be related to smoking. The number of deaths during 2015-17 estimated to be attributable to smoking was 3,995.

Live Life Better Derbyshire (LLBD) provides an evidence-based stop smoking service to support smokers who want to quit smoking. It is the most effective method of quitting smoking, with smokers four times more likely to quit smoking with a stop smoking service than if they tried to quit on their own. The support provided by the LLBD stop smoking service consists of

behavioural support (advice on quitting, setting a date to quit and dealing with withdrawal symptoms/cravings) and access to smoking cessation pharmacotherapy products.

There are a range of smoking cessation pharmacotherapy products available to help smokers quit smoking including nicotine replacement therapy (e.g. patches or gum) which are provided directly by LLBD, and others such as bupropion and varenicline, which are only available on prescription. A local pathway exists to allow smokers to access bupropion and varenicline whilst receiving behavioural support from the LLBD stop smoking service.

The monies for smoking cessation pharmacotherapy products issued on a prescription did not originally transfer to local authorities when Public Health responsibilities transferred on 1 April 2013 under the Health and Social Care Act 2012 and instead were included within CCG budgets. Following discussions with the Derby and Derbyshire CCG, it was agreed the County Council would hold the budgets associated with the cost of smoking cessation pharmacotherapy products issued on a prescription (bupropion and varenicline only). Therefore the budgets were transferred from the Derby and Derbyshire CCG by the Department of Health to Derbyshire's ring-fenced Public Health Grant with effect from 1 April 2016. However prescriptions issued in general practice are initially charged to CCG prescribing budgets and therefore it is expected that CCG will invoice Derbyshire Public Health for these costs as they no longer hold the budget for prescriptions related to stop smoking products (bupropion and varenicline only).

### **3. Social Value considerations:**

Supporting smokers to quit will support healthier communities and reduce health inequalities. Smoking is the leading cause of premature mortality nationally. Smoking accounts for half the difference in life expectancy between the most affluent and most deprived groups within society. Therefore reducing smoking prevalence can help to close the health inequality gap.

**4. Financial considerations:**

A maximum annual charge for 2021/22 has been agreed with the CCG based on historic prescribing costs. The table below provides the value for Derbyshire CCG:-

<b>CCG</b>	<b>Maximum Annual Charge</b>
Derbyshire CCG	£326,150

The cost will be met by the ring-fenced Public Health Grant budget.

**5. Other considerations:**

In preparing this report the relevance of the following factors has been considered: legal, prevention of crime and disorder, equality of opportunity, human resources, environmental, health, and property and transport considerations.

**6. Background papers:**

None

**7. Key Decision:**

No

**8. Call-in:**

Is it required that call-in be waived for any decision on this report? No

**9. Officer's recommendation:**

That Cabinet approves the reimbursement of prescription only smoking cessation pharmacotherapy product costs (bupropion and varenicline only) to the Derby and Derbyshire CCG to a maximum cost of £326,150.

**Dean Wallace**  
**Director of Public Health**

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PUBLIC

Author: Jackie Wagstaffe

Phone number: 07970 495400

Agenda Item No

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Director of Public Health**

**Feeding Derbyshire - Affordable Food Network  
(Health and Communities)**

**1. Purpose of the report:**

To seek Cabinet approval to provide a grant to the value of £0.300m to Rural Action Derbyshire to enhance work of the Feeding Derbyshire project, by supporting the set-up of an additional affordable food network across Derbyshire over a 2-year period.

**2. Information and analysis:**

Over the last few years, household food insecurity has increased amongst Britain's families and more people are turning to food banks for support.

Nationally, the State of Hunger report 2019 found that around 11% of children aged under-16 live in food insecure households and around 36% of food parcels distributed by the Trussell Trust's network of food banks go to children. The End Child Poverty Report in 2019 found that over 42% of Derbyshire children were living in poverty.

COVID-19 has magnified this issue. The Food Foundation estimates that close to 5 million adults are currently food insecure, compared with 2 million pre-lockdown, and this includes 1.7 million children living in these households.

Feeding Derbyshire seeks to help those struggling with low incomes, debt and poor access to affordable, nutritious food. It Aims to reduce the negative impact of hunger by working to ensure projects are inclusive and reach the most vulnerable people in the county and give families and individuals the confidence and capacity to be self-reliant, able to meet their own and their children's needs.

Rural Action Derbyshire (RAD) co-ordinate a network of 34 foodbanks operating across 41 locations, as part of the Feeding Derbyshire Partnership. The alliance also currently supports the work of a number of Community Cafés, School Holiday Clubs, Breakfast and After-School Clubs across Derbyshire. Additionally, in direct response to COVID-19, RAD set-up a batch cook-delivery scheme involving 12 projects providing ready meals to some of the most vulnerable people in the county. All are essential and even more so in the current climate but alone they cannot form a long-term solution to food insecurity.

The affordable food network work proposed in this report will add value and provide a steppingstone out of crisis by creating a longer term and more sustainable alternative to food banks. This will be achieved by:

- Building a network of Community Shops and Pantries across Derbyshire that give people access to good quality, affordable and nutritious food locally.
- Utilising existing networks of partners to provide support to individuals and families with financial/economic challenges, in order to strengthen personal resilience.
- Adopting a model that enables community shops and pantries to be self-financing and sustainable within 2 years, e.g. not-for profit/social enterprise

The network would start off with a small number of outlets across the county, with an aspiration to increase this to 12 settings by the end of the first year. Longer term outcomes associated with this intervention include:

- Reduced reliance and dependency on emergency foodbanks
- Potential to restore and strengthen people's self-esteem and improved mental wellbeing.
- Increase in self-reliance and community resilience by supporting people to help their budgets go further and reduce the risk of falling into debt and crisis.
- Expansion of opportunities to support people with additional 'wrap-around' advice services to maximise their income.
- Opportunities to provide community shop/pantry customers with additional services to support them, for example with their food knowledge and cooking skills.
- Reduction in reliance on grant funding amongst the community food sector in the medium to long term

Work has already started to scope out the project, and 3 models of delivery have been identified:-

1. High Street/Pop-up – using an existing vacant high street property, for example shop, post office, food outlet, pub.
2. Within a community venue – locate within a community venue, for example church or village hall, community centre.
3. Mobile/Delivery facility – convert vehicle for a mobile shop/advice point

The delivery model utilised will vary across the County and be determined by a combination of local area assets available and identified need. Two pilot areas have already been identified in Shirebrook and Holmewood. Both of these communities have Index of Multiple Deprivation (IMD) overall scores that rank them in the 10 – 20% most deprived Lower Super Outcome Areas (LSOAs) nationally (Derbyshire Observatory, 2021). They also link to existing Feeding Derbyshire food projects and provide an opportunity to develop at pace, as they have already identify potential premises. Learning from these initial projects will inform work in other localities. An evidence-based approach will be applied to target communities across Derbyshire. The project will ensure all 8 Districts and Boroughs have the opportunity to develop a setting during the first 2 years.

All projects will be encouraged and supported to use a membership and donation system which will provide income to assist with running costs and enable sustainability. Projections vary across the different models of delivery due to running costs, but are based on each outlet attracting 100 members, paying a £12 per annum membership fee and then a suggested donation of £5 towards the cost of the food for the weekly visit to the shop. The estimated income builds over the 2-year period as membership increases enabling self-financing and sustainability in the longer term.

This approach will support delivery against a number of key public health outcomes including building resilient communities, healthy start, healthy lives, and the foundations of health such as reducing income deprivation. It will support reducing health inequalities across our communities, measured by adopting an Outcomes Based Accountability Approach. Evaluation embedded within the project will also enhance the significant intelligence already gathered as part of the Feeding Derbyshire approach.

**3. Financial Considerations:**

The proposed cost of £0.300m will be grant funded to Rural Action Derbyshire to deliver the project outlined in this report. Costs will be met from the existing Public Health ring-fenced budget.

**4. Legal Considerations:**

The Council's standard grant agreement shall be used to set out the terms and conditions for which the grant is made.

**5. Other Considerations:**

In preparing this report the relevance of the following factors has been considered: equality of opportunity, health, human resources, environmental, transport, property and crime and disorder considerations.

**6. Background Papers:**

None

**7. Key Decision:**

No

**8. Is it required that the Call-in period be waived in respect of the decisions being proposed within this report?**

No

**9. Officer's Recommendation:**

That Cabinet approves the funding of £0.300m to Rural Action Derbyshire, to support the set-up of an affordable food network across Derbyshire over a 2-year period, as outlined in this report.

**Dean Wallace**  
**Director of Public Health**



PUBLIC

Author: Iain Little/ Ellen Langton

Agenda Item No

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Director of Public Health**

**Urgent Officer Decision – Implementation of Community Testing in Derbyshire  
(Health and Communities)**

**1. Purpose of the report:**

To ask Cabinet to:

- i. Note a decision made using delegated powers arising from the ongoing response to the COVID-19 pandemic in relation to the commencement of a community testing programme.
- ii. Seek retrospective approval from Cabinet for the programme of activity.

**2. Information and analysis:**

A decision was taken by Corporate Management Team utilising an Urgent Officer Decision on 18 December 2020 to introduce targeted community testing to identify asymptomatic COVID-19 cases in a phased approach across Derbyshire, commencing on 21 December 2020 in Swadlincote.

The Department of Health and Social Care invited Directors of Public Health from all Public Health Authorities in Tier 3 areas, as of the beginning of December 2020, to submit plans for the introduction of community testing to identify asymptomatic individuals with COVID-19. The proposal submitted for Derbyshire complements the existing symptomatic testing programme, and the whole home testing programme within care homes.

On Friday 11 December 2020, the Council was informed that Derbyshire's plan had been agreed, with a start date of 21 December 2020.

The programme of community testing has been developed and following activity in Swadlincote, further activity will take place in other communities targeting particularly areas where asymptomatic testing will allow Public Health and partners to understand more about the spread of COVID-19. Roll out across the county will take place in the final phase.

The programme of activity will be kept under regular review to make sure that we are utilising learning from the latest epidemiological analysis to inform site locations and activity.

Delivering a community testing programme supports the Council's overall goal to reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City).

The programme will be subject to external evaluation and the Cabinet Member for Health and Communities, alongside any appropriate partnership meetings will be kept updated on the learning from the programme.

### **3. Legal considerations:**

Urgent officer decisions can be taken by Executive Directors as outlined in the Council Constitution, which states:

'Notwithstanding any other provision of this Constitution, the Executive Directors shall have power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chairman, to take such action deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the time scales involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee'.

### **4. Financial Considerations:**

The community testing programme is supported by funding of £14 per head for each test completed. The £14 will be a combination of in-kind support and cash to the Council. There is a potential financial risk to the Council if testing uptake targets are not achieved. However, this is mitigated by additional Contain Outbreak Management funding the Council has received, a proportion of which has been earmarked to provide further financial support in the event that community testing produces unexpected financial risk. At present, as a result of the national lockdown, the Council received approximately £6.5m Contain Outbreak Management Fund funding, and there was a report considered by Cabinet on 14 January 2021, highlighting how this funding will be invested. Further funding will be available to the Council up to the end of the financial year in line with any ongoing restrictions. These payments will continue up until 31 March 2021 and the funding can be rolled over into the next financial year.

Funding will be spent in accordance with the grant conditions outlined by Government.

**5. Human Resource Considerations:**

The rapid roll out of the community testing programme will require Public Health to recruit to a range of temporary roles utilising redeployed County Council and partner agency staff, alongside agency staff and individuals specifically recruited to support the delivery of the community testing programme.

**6. Equality considerations:**

An Equality Impact Assessment has been undertaken and is attached to this report for reference. The impact assessment is being reviewed and updated as the programme of community testing is delivered in different communities across the county. The report attached is focused on the initial phase of delivery within the Swadlincote area and developing roll out in Bolsover and Amber Valley areas.

**7. Other considerations:**

In preparing this report the relevance of the following factors has been considered: prevention of crime and disorder, environmental, health, property and transport considerations.

**8. Background papers:**

[Community testing: explained from gov.uk](#)  
[Community testing a guide for local areas](#)

**9. Key Decision:**

Yes

**10. Call-in:**

Is it required that call-in be waived for any decision on this report?

No

**11. Officer's Recommendation:**

To ask Cabinet to:

- i. Note a decision made using delegated powers arising from the ongoing response to the COVID-19 pandemic in relation to the commencement of a community testing programme.
- ii. Seek retrospective approval from Cabinet for the programme of activity.

**Dean Wallace**  
**Director of Public Health**

**Appendix 1: Copy of Urgent Officer Decision form**

DERBYSHIRE COUNTY COUNCIL  
OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones		Service: COVID-19 Community Testing	
Delegated Power Being Exercised: Emergency powers			
Subject of Decision: (i.e. services affected)		Introduction and roll out of targeted community testing across Derbyshire	
Is this a review of a decision? If so, what was the date of the original decision?		No	
Key decision? If so have Democratic Services been notified?		Yes	
Decision Taken (specify precise details, including the period over which the decision will be in place and <b>when it will be (further) reviewed</b> ):		<p>To introduce targeted community testing to identify asymptomatic COVID19 cases in a phased approach across Derbyshire, commencing on 21<sup>st</sup> December 2020 in Swadlincote for a period of six weeks.</p> <p>A programme of community testing has been developed and following activity in Swadlincote further activity for a period of six weeks each will take place in Amber Valley, Bolsover, Chesterfield and Derbyshire Dales targeting particularly communities where asymptomatic testing will allow Public Health and partners to understand more about the spread of COVID-19. Roll out across the county will take place in the final phase.</p> <p>The programme of activity will be kept under regular review to make sure that we are utilising learning from the latest epidemiological analysis to inform site locations and activity.</p>	
Reasons for the Decision (specify all reasons for taking the		The Council's overall goal is to reduce the spread of the virus, protect the most	

<p>decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	<p>vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City).</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>Not endeavouring to undertake targeted community testing, however this would risk continuation of existing rates of community transmission, and place vulnerable residents at risk of death or serious illness from COVID, and increase pressure on local NHS services. No alternative options were included in the plan submitted to DHSC.</p>
<p>Has a risk assessment been conducted ?- if so what are the potential adverse impacts identified and how will these be mitigated?</p>	<p>The programme of work is on the PH Departmental risk log and a separate risk log for the programme has been developed and is subject to ongoing review by the Settings and Community Testing Board.  Across the COVID-19 testing landscape there are various risks to the Council that need to be acknowledged and which are being worked through, at present these include; clinical governance, insurance liability, and human resources. All of these could lead to significant reputation risk to the Council.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public? If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>No, there has been no consultation in advance of the service going live as we are delivering a nationally defined testing programme that requires the Council to conform to a Standard Operation Procedure. We have engaged the University of Nottingham to undertake evaluation throughout the programme of testing and will use this approach to engage and learn from local communities who have taken part in testing.</p>

<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>An impact assessment has been completed and is subject to ongoing review.</p> <p>Key impacts and mitigations identified are:</p> <ul style="list-style-type: none"> <li>• Testing is available to anyone aged 11 and over as it is not recommended the test devices are used with children younger than this. Children aged under 11 who are exhibiting COVID symptoms can access COVID testing through the national testing portal.</li> <li>• The risks associated with death and severity of illness from COVID increase with age, and we will monitor information from the testing sites to review attendance by age and determine if additional targeted measures are required</li> <li>• National evidence demonstrates that people from BAME communities have been disproportionately impacted by COVID 19 and offering and supporting participation in community testing will be important. We will monitor information from the testing sites to review attendance by ethnicity and determine if additional targeted measures are required</li> <li>• Learning from sites elsewhere in England suggests that concerted effort is required to ensure that there is participation in community testing across all socio-economic groups. Local communication channels and door-to-door leafleting will target all households within the testing areas.</li> <li>• Testing will initially focus on urban communities within the county, however the inclusion of Derbyshire Dales within the second phase will allow us to consider any rural issues that may act as a barrier to participate in community testing.</li> </ul>
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<p>Background/Reports/Information considered and attached (including Legal, HR, Financial, Equality and other considerations as required))</p>	<p>DHSC invited Directors of Public Health from all Public Health Authorities in Tier 3 as of the beginning of December to submit plans for the introduction of mass community testing to identify asymptomatic individuals with COVID19. The proposal submitted for Derbyshire will complement the existing symptomatic testing programme, and also the whole home testing programme within care homes.</p> <p>On Friday 11th December, the Council was informed that Derbyshire’s plan had been agreed, with a start date of 21st December.</p> <p>Recognising the limitations of the lateral flow test itself, the plan ensures that:</p> <ul style="list-style-type: none"> <li>• We are targeting areas that have the highest infection rate or areas where from local intelligence we believe there might be an issue but have been unable to reach with the testing approach that has been available to us until now.</li> <li>• We want to undertake repeat testing in definable geographies and/or settings to target that to maximise the public health benefit of community testing.</li> <li>• Generate learning to inform the wider national roll-out across a mix of areas, settings and target populations to target, bearing in mind the two bullet points above.</li> </ul> <p>Due to Derbyshire’s large and varied geography comprising of small towns and rural villages it is essential that we are provided with military support to plan, set up and initially staff community testing sites. This would be followed by a phased transition to a civilian operation support by redeployed public sector workforce from across the council and partner agencies between 4 to 6 weeks after launch. Initially, we would look to bolster our contact tracing and wider health protection work, which wraps around the testing</p>
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	<p>process to operate an effective locally based test, trace and isolate approach in line with the attached strategy.</p> <p>More specifically we would look to rapidly deploy a focused approach that offers some scale/coverage at the start but expands over the four to six-week period to other targeted areas or cohorts. Initially, we would look to focus on a smaller number of areas based on our local epidemiology and then broaden out. The aim would be to undertake repeat testing, with a view that we pull out of some locations after six weeks (workplace focused/ areas with reducing prevalence) and then look to replace this with medium/ small testing sites mixed with local door to door activity in targeted communities utilising local civilian resources.</p> <p><b>Financial considerations</b></p> <p>The community testing programme is supported by funding of £14 per head for each test completed. The £14 will be a combination of in-kind support and cash to the Council. There is a potential financial risk to the Council if testing uptake targets are not achieved. However this is mitigated by additional containment funding the Council has received, a proportion of which is being held-back should community testing produce unexpected financial risk. At present as a result of the national lockdown the Council received approximately £6.5m containment funding, there is a paper for January Cabinet highlighting how this funding will be invested. Whilst the County is in tier 3 we will receive containment payments of £4 per head of population for each month that we are in tier 3, should the County become a tier 2 area this payment will fall to £2 per head of population for each month that we are in tier 2. These payments will continue</p>
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	<p>up until 31<sup>st</sup> March 2021 and the funding can be rolled over into the next financial year.</p> <p>Funding will be spent in accordance with the requirements of the grants.</p> <p><b>Legal considerations</b></p> <p>The Council’s Constitution provides that “notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee.”</p> <p>The Improvement and Scrutiny Procedure Rules states:  “13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council’s or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.</p>
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	<p>Decisions taken as a matter of urgency should be reported to the next available meeting of the Council, together with the reasons for urgency.”</p> <p>In accordance with the Access to Information Procedure Rules, where it is intended to make a key decision in cases of special urgency, then the key decision can only be taken if the decision maker obtains the agreement of the chairman of a relevant Improvement and Scrutiny Committee that the taking of the decision is urgent cannot be reasonably deferred.</p> <p>The Council has powers in accordance with S1-6 of the Localism Act 2011 to do that which will be to the ‘benefit of the authority, its area or persons resident or present in its area.’ The proposed benefit of this action is to identify those persons identifying as asymptomatic whilst having Covid-19 and to thereby reduce the spread of the infection.</p> <p>The Director of Legal Services will ensure that appropriate indemnities are in place before the commencement of the testing programme.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussed and approved at Local Engagement Board on 14<sup>th</sup> December. Cllr Lewis chaired the meeting, with Cllr Hart in attendance.</p>
<p>Approval of Chair of appropriate Improvement and Scrutiny Committee where call in is intended to be waived and key decision to be made without requisite notice – please note this is obligatory in those circumstances</p>	<p>Councillor Taylor, chair of Improvement and Scrutiny Committee – Health has approved the waiver of the call in due to the urgent nature of the decision required; has agreed special urgency applies and the notice of key decision is not required; and supports the action being taken.</p>

Decision:	To commence a programme of targeted community testing in Derbyshire to help manage and mitigate the impact of COVID-19 on local communities in the county.
Signature and Date:	

**Appendix 2: Equality Impact Assessment**



## Derbyshire County Council Equality Impact Analysis Record Form 2018

Department	Public Health
Service Area	Health Protection
Title of policy/ practice/ service of function	Community Testing for COVID-19
Chair of Analysis Team	Anne Hayes

### Stage 1. Prioritising what is being analysed

- a. Why has the policy, practice, service or function been chosen? (rationale)
- b. What if any proposals have been made to alter the policy, service or function?

Derbyshire has recently been approved to roll out COVID-19 community testing. This is a new service function to support the COVID-19 response and therefore it is appropriate an Equalities Impact Assessment is undertaken. Community testing is delivered using lateral flow testing technology.

Community Testing will take place across a number of communities in Derbyshire from late 2020 to early 2021.

The programme of community testing has been developed following activity in Swadlincote with further activity for a period of six weeks in other communities targeting particularly areas where asymptomatic testing will allow Public Health and partners to understand more about the spread of COVID-19. Roll out across the county will take place in the final phase.

The programme of activity will be kept under regular review to make sure that we are utilising learning from the latest epidemiological analysis to inform site locations and activity.

Delivering a community testing programme supports the Council's overall goal to reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City).

The programme will be subject to external evaluation and the Cabinet Member for Health and Communities, alongside any appropriate partnership meetings being kept updated on the learning from the programme.

c. What is the purpose of the policy, practice, service or function?

The overall goal of community testing is to reduce the spread of the virus, protect the most vulnerable and drive down the infection rates across the county area of Derbyshire, excluding Derby City. The aims of the programme are to ensure:

- We are targeting areas that have the highest infection rate or areas based on local intelligence which indicates there might be an issue but the available testing approach up to date has been unable to reach such communities.
- We undertake repeat testing in defined geographies or settings, and we maximise public health benefit.
- We want to generate learning to inform the wider local rollout of community testing and feed learning into national roll out.

d. Will the proposals lead to changes in staffing resources/ the organisation of staffing? If Yes, please outline.

There will need to be significant recruitment to support the delivery of this new service for the county council on a short to medium term basis. We anticipate some form of community testing will need to take place throughout the first six months of 2021, with a potential to continue at a reduced level throughout the rest of the year to support outbreak management and response.

## Stage 2. The team carrying out the analysis

Public Health Community Testing Oversight Group and members of the Public Health department.

## Stage 3. The scope of the analysis – what it covers

The analysis will cover the initial development and implementation of the community testing programme in communities within South Derbyshire, Bolsover and Amber Valley. The Equalities Impact Assessment will be reviewed and refreshed as testing takes place in additional communities across Derbyshire.

This Equalities Impact Assessment has been undertaken in terms of providing assurance in relation to the delivery of the overarching programme and where appropriate information will be added in relation to specific locations and/ or any learning that is fed back throughout the initial stages of the programme. As this is a new and rapidly evolving service it needs to be acknowledged that the authority needs to learn from the experience and refine approaches on an ongoing basis.

#### Stage 4. Data and consultation feedback

##### a. Sources of data and consultation used

<b>Source</b>	<b>Reason for using</b>
Derbyshire Observatory data	Modelling of target communities and approach to testing
Learning from NHS Test and Trace of pilots in Liverpool and Merthyr	Learning from pilot activity
National guidance supplied by the Department of Health and Social Care, Public Health England and NHS Test and Trace	Provides baseline policies and procedures that need to be delivered in Derbyshire
Derbyshire Test, Trace and Isolate Strategy	Provides strategic context for the roll out of community testing and how wider support is linked into the process
Submission to Department of Health and Social Care for participating in the initial stages of the community testing programme	Provides rationale and policy context
COVID-19 epidemiological data	Evidence regarding where we have decided to focus initial activity

#### Stage 5. Analysing the impact or effects

##### a. What does the data tell you?

<b>Protected Group</b>	<b>Findings</b>
Age	<p>Testing is available to anyone aged 11 and over. It is not recommended that Lateral Flow tests are conducted on children aged 11 and under unless there is clear consent from a parent or guardian.</p> <ul style="list-style-type: none"> <li>• In the Swadlincote area, the selected MSOAs where testing activity is focused have a population of 44,192 people of which 38,109 are aged 11 and over.</li> <li>• In the Amber Valley Cotes Park area, testing activity will be focused on working age adults who are employed at a local business.</li> <li>• In the Amber Valley Heanor area, the selected MSOAs where testing activity is focused have a population of 27,092 people of which 23,748 are aged 11 and over.</li> </ul>



	<ul style="list-style-type: none"> <li>• In the Bolsover South Normanton area, the selected MSOAs where testing activity is focused have a population of 14,914 aged 11 and over.</li> <li>• In the Bolsover Creswell area, the selected MSOAs where testing activity to be focused has a population of 11,021 aged 11 and over.</li> </ul> <p>The risks associated with COVID-19 increase with age, therefore considering the population aged 65 and over is important.</p> <ul style="list-style-type: none"> <li>• In Swadlincote the population aged 65 and over is 7,353.</li> <li>• In Amber Valley - Heanor area the population aged 65 and over is 5,133.</li> <li>• In Bolsover - South Normanton area the population aged 65 and over is 3,177.</li> <li>• In Bolsover - Creswell area the population aged 65 and over is 2,693.</li> </ul> <p>Evaluation from the initial mass testing pilot in Liverpool suggests however that younger people are less likely to attend community testing, with older people being more likely to attend.</p>
Disability	<p>We have considered the potential impact of individuals with a long-term health condition or disability attending testing.</p> <p>It is ultimately personal choice whether to attend testing as the opportunity is open to anyone aged 11 and over living in the selected areas. However, it would be a reasonable assumption to make, that those identified as clinically extremely vulnerable and individuals living in the same household as someone who is clinically extremely vulnerable may be less likely to come forward for testing because they are following guidance which advises to minimise social contact.</p> <p>There is no specific government guidance which states whether clinical extremely vulnerable individuals should or should not come forward for testing.</p> <p>All the sites which are being selected for community testing are accessible for people with a physical disability. Staff are aware that they may need to support individuals with a physical or learning disability.</p> <p>Each testing station has a privacy booth in place which will allow additional privacy should this be required.</p>
Gender (Sex)	<p>Testing is open to anyone aged 11 and over, so it is not anticipated to have an adverse impact due to gender.</p> <p>Evaluation of the initial mass testing programme in Liverpool suggests that females are slightly more likely to participate in testing. Therefore, analysis of demographic data associated with the testing process will need to be monitored and if appropriate specific communications and marketing to target particular sections of the local community will need to be undertaken.</p>

Gender reassignment	Testing is open to anyone aged 11 and over, so it is not anticipated to have an adverse impact due to gender reassignment.
Marriage and civil partnership	Testing is open to anyone aged 11 and over, so it is not anticipated to have an adverse impact due to marriage or civil partnership status.
Pregnancy and maternity	Individuals who are pregnant or have recently given birth are able to take part in the testing, there is not anticipated to be an adverse impact due to pregnancy or maternity.
Race	<p>National research has highlighted that people from BAME communities have been disproportionately impacted by COVID-19 and therefore offering and encouraging participation in community testing is important.</p> <p>In Derbyshire, 2.5% of the population belong to BAME ethnic groups compared to the England proportion of 14.5%</p> <p>Across the Swadlincote Community testing site area, the average proportion from BAME communities is 2.3% similar to the Derbyshire rate and below the overall proportion for South Derbyshire district, 4.3%. The % BAME in Swadlincote suggests the need to monitor uptake carefully to ensure this group is not under-represented.</p> <p>Amber Valley district has BAME communities at 1.9% of the population. The identified MSOAs have a similar average proportion at 1.9% but this includes a higher proportion of 2.3% in the Heanor East and Langley Mill MSOA – again suggesting the need to monitor uptake carefully to ensure this group is not under-represented.</p> <p>Bolsover district has BAME communities at 1.9% of the population. The average proportion across the MSOAs is 2.05%. However this includes higher proportions notably in South Normanton Broadmeadows &amp; Pinxton: 2.9% and Creswell &amp; Hodthorpe: 2.2% – again suggesting the need to monitor uptake carefully to ensure this group is not under-represented.</p> <p>Evaluation of the initial mass testing programme in Liverpool suggests that BAME groups were underrepresented when compared to 2011 Census data. Therefore, analysis of demographic data associated with the testing process will need to be monitored and if appropriate specific communications targeting particular sections of the local community will need to be undertaken.</p> <p><i>(Source: PHE fingertips, local health profile. Census 2011.)</i></p>
Religion and belief including non-belief	<p>Testing is open to anyone aged 11 and over, and it is not anticipated to have an adverse impact due to religion or non-belief.</p> <p>Testing centres in Swadlincote were closed over the Christmas Break (Christmas Eve, Christmas Day and Boxing Day). However there was no</p>

adverse impact in terms of unmet demand immediately prior to or after the Christmas break.

The split across religion and belief is available below at district/borough level:

	Has religion %	Christian %	Buddhist %	Hindu %	Jewish %	Muslim %	Sikh %	Other religion %	No religion %	Religion not stated %
Amber Valley	62.4	61.2	0.2	0.1	0.1	0.2	0.1	0.5	30.3	7.3
Bolsover	66.1	65.2	0.2	0.1	0.0	0.2	0.1	0.3	27.0	6.8
South Derbyshire	66.6	64.0	0.2	0.3	0.0	0.3	1.5	0.4	26.8	6.6

(Source: Nomis, Census 2011)

As testing roles out to communities across Derbyshire throughout 2021 consideration will be given to other religious holidays and events which may need to be considered.

Each testing station has a privacy booth in place which will allow additional privacy should this be required.

Sexual orientation

Testing is open to anyone aged 11 and over, so it is not anticipated to have an adverse impact due to an individual's sexual orientation.

## Other

Socio-economic

Learning from Liverpool who initially trialled mass testing has indicated that this needs to be a key area of focus as their analysis suggests that people living in more deprived areas of the city did not participate. Additionally young professionals in Liverpool were hard to reach as were males occupied in front line service occupations.

This report considers the IMD score for the identified MSOAs with comparison to Derbyshire and England to support information of socio-economic status across the identified MSOAs.

The Derbyshire IMD score is 18.5, slightly less deprived than England at 21.8.

MSOAs	IMD
South Derbyshire district	13.7
Swadlincote central	17.5
East	18.4
North	21.3
South	17.3
West	21.1
Amber Valley borough	18.1
Heanor East/ Langley Mill	17.4
Heanor Gate/ Aldercar	17.8
Heanor South/ Shipley Park	34.8

	<table border="1"> <tr> <td>Bolsover district</td> <td>24.8</td> </tr> <tr> <td>South Normanton, Broadmeadows and Pinxton</td> <td>17.9</td> </tr> <tr> <td>South Normanton West</td> <td>24.4</td> </tr> <tr> <td>Whitwell and Elmtton</td> <td>21.1</td> </tr> <tr> <td>Creswell and Hodthorpe</td> <td>35.5</td> </tr> </table> <p>(Source: PHE fingertips, local health profile. Census 2011.)</p> <p>In summary, the Swadlincote MSOAs show deprivation similar and slightly less than England but higher than the district IMD score – concluding that Swadlincote has deprivation in its population compared to the rest of the district.</p> <p>Amber Valley MSOAs show a slightly less deprivation level compared to England but the area around Heanor South shows higher deprivation.</p> <p>Bolsover district as a whole has higher deprivation than England and the MSOAs reflect this.</p> <p>The current and planned testing areas include some MSOAs with higher levels of deprivation compared to England and this needs to be a key focus in development to mitigate against under-representation from lower socio-economic groups and maximise uptake.</p>	Bolsover district	24.8	South Normanton, Broadmeadows and Pinxton	17.9	South Normanton West	24.4	Whitwell and Elmtton	21.1	Creswell and Hodthorpe	35.5														
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Rural	<p>Testing is initially focused in suburban or urban areas of the county. However, future phases of the programme will cover more rural communities.</p> <p>We are also aware that travel time to symptomatic testing sites are lengthy so undertaking testing more locally will improve access to testing for some populations.</p> <p>Breakdown of households by district, by rural/urban classification across current planned testing areas:</p> <table border="1"> <thead> <tr> <th></th> <th>Urban minor conurbation</th> <th>Urban city and town</th> <th>Rural town and fringe</th> <th>Rural village</th> <th>Rural hamlet and isolated dwellings</th> </tr> </thead> <tbody> <tr> <td>Amber Valley</td> <td>54.4%</td> <td>26.3%</td> <td>7.9%</td> <td>6.7%</td> <td>4.7%</td> </tr> <tr> <td>Bolsover</td> <td>19.1%</td> <td>33.8%</td> <td>39.9%</td> <td>6.0%</td> <td>1.2%</td> </tr> <tr> <td>South Derbyshire</td> <td>0.0%</td> <td>56.8%</td> <td>27.9%</td> <td>10.6%</td> <td>4.7%</td> </tr> </tbody> </table> <p>(Source: Nomis, Census 2011)</p>		Urban minor conurbation	Urban city and town	Rural town and fringe	Rural village	Rural hamlet and isolated dwellings	Amber Valley	54.4%	26.3%	7.9%	6.7%	4.7%	Bolsover	19.1%	33.8%	39.9%	6.0%	1.2%	South Derbyshire	0.0%	56.8%	27.9%	10.6%	4.7%
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Employees of the Council	<p>Employees of the council will support the delivery of community testing and therefore these cohorts will be able to access daily lateral flow testing as a requirement of the role. Employees not participating in this programme of work will not have this opportunity but may be able to access other testing programmes such as those for front line health and social care staff and also testing in schools. As the programme develops, Public Health may be able to offer target testing to key groups of staff that undertake business critical roles but are not yet subject to a regular testing programme.</p>																								

- b. What does customer feedback, complaints or discussions with stakeholder groups tell you about the impact of the policy, practice, service or function on the protected characteristic groups?

<b>Protected Group</b>	<b>Findings</b>
Age	People want to be tested to protect other family members both younger and older from the risk of COVID-19.
Disability	People want to protect others in their family with a health condition or disability and therefore want to be tested. Consideration is recommended to support engagement possibly through partnerships/established networks and forums in Derbyshire to encourage participations from this group.
Gender (Sex)	Females are more likely to attend so there is a need to target marketing and communications towards other groups especially males in front line roles or where circumstance presents particular risk factors.
Gender reassignment	This cohort of people have concerns and fears about judgement and non-confidentiality across multiple service areas and this may hinder attendance. Community testing communications planning takes such challenges into account.
Marriage and civil partnership	People want to be tested to protect other family members both younger and older from the risk of COVID-19.
Pregnancy and maternity	This group can participate in testing and family members are encouraged to attend to further help protection.
Race	Plans to encourage attendance and engagement need to be in place. This could be through working with established networks, forums and use of cultural settings if applicable in Derbyshire to encourage participations. Resource materials will be available in different languages identified locally.
Religion and belief including non-belief	Testing centres are open 7 days a week from 9am to 7pm during the week and 9am-4pm at weekends. Therefore a range of times are available for testing to allow people to accommodate any religious or cultural practices. All testing stations have a booth for additional privacy should this be required.
Sexual orientation	People want to be tested to protect other family members both younger and older from the risk of COVID-19 and

	maintain their role in the workplace especially if a key worker. Testing sites should be mindful that individuals may have concern relative to their lifestyle and/or personal circumstance. One aspect in this community is lack of trust around confidentiality and judgement issues across services. These elements should be acknowledged in planning to reassure individuals and encourage test uptake.
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## Other

Socio-economic	Testing will enable people to continue in key roles in the workplace and support protection across family members. There may be a risk of being unable or unsure to self-isolate following a positive test.
Rural	Planning will be mindful of this area when and if development happens across more rural areas and any specific challenges such as difficulty to travel/ attend a community testing site. Mobile facilities might be considered.
Employees of the Council	Staff on short term contracts. The testing programme will result in new recruits and employment opportunities within the Council at a time of high level of unemployment. This may support and improve wellbeing and quality of life. Individuals involved may also need to work additional hours and this may support across socio economic circumstances.

- c. Are there any other groups of people who may experience an adverse impact because of the proposals to change a policy or service who are not listed above?

<p>Clinically extremely vulnerable and clinically vulnerable may not be able to attend testing and it would not be advisable for them to do so.</p> <p>Socially isolated individuals who may have limited access to transport and networks of support may not be aware of the testing opportunities taking place and they may not be able to get to a testing site.</p>
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- d. Gaps in data

What are your main gaps in information and understanding of the impact of your policy and services? Please indicate whether you have identified ways of filling these gaps.

Gaps in data	Action to deal with this
There are gaps in data as this is a new and evolving service both locally and nationally.	We will continue to learn from published research, data and information from bodies such as the Department of Health and Social Care, Public Health England and NHS Test and Trace. We will also learn from other local authorities both regionally and nationally as the programme evolves, however Derbyshire is considered to be a pilot area.

### **Stage 6. Ways of mitigating unlawful prohibited conduct or unwanted adverse impact, or to promote improved equality of opportunity or good relations**

We are undertaking targeted communications to encourage uptake. As the programme develops we will further refine and target communications to key sectors of the community to make sure that testing targets the right people.

Recommendations to mitigate adverse impact include:

- Staff training to promote awareness of equality and the importance of an inclusive approach.
- Partner involvement whereby promotional resources will be made available to organisations working with groups identified in this EIA to further support their access.
- Communications and marketing should be inclusive and use additional resources such as materials in different languages as appropriate to meet local need.
- Plans to ensure that community testing is seen as a positive experience for individuals and across the wider community. Working with partner organisations, promotion of visual resources and local media might be considered. We are promoting testing via social media and the community is leaving positive feedback which will encourage others to attend.
- Undertaking evaluation and learning throughout.
- Consistent analysis of epidemiology to target activity.
- Actively learning from the initial roll out to enhance and improve the offer, including the use of a “one stop shop” element

### **Stage 7. Do stakeholders agree with your findings and proposed response?**

We need to undertake further engagement to understand whether stakeholders agree with the findings of this initial analysis early in 2021. There has been limited opportunity to date to do this due to the rapid deployment of the service.

### **Stage 8. Main conclusions and recommendations**

Testing provides opportunities for the local community to actively participate and take proactive steps to help identify asymptomatic transmission within communities across Derbyshire of COVID-19.

The approach and venues are community based and we are seeking to have an open and accessible process that actively encourages participation.

Learning from other areas such as Liverpool and taking into consideration challenges in Derbyshire – there are some potential implications in relation to age, gender, disability, BAME and socio economic groups which risk under-representation.

Uptake should be monitored on an ongoing basis and mitigations enacted to ensure the access from these identified EIA groups is maintained and improved to mitigate against under-representation.



**Stage 9. Objectives setting/ implementation**

<b>Objective</b>	<b>Planned action</b>	<b>Who</b>	<b>When</b>	<b>How will this be monitored?</b>
Weekly review of data	Test uptake data reviewed against the broader picture of local populations identified in this EIA.	Knowledge and Intelligence Team (KIT)	Weekly	Weekly comparison against EIA group data as a whole to identify level of representation and if gaps.
Evaluation	Formative and summative evaluation, collation of data sources.	Nottingham University	During and at end	During development and at end.
User experience	Monitoring of feedback from emails/ social media and verbal comments captured at site.	Nottingham University	Ongoing	During development and at end; use of uptake data.
BAME network	Engagement of BAME networks, cultural settings in identified areas	Community Engagement Board of LRF	Monthly	Testing uptake from BAME groups as a proportion of local cohort.
Active learning approach	Review of data learning from other authority practice	Settings and Community Testing Board	Ongoing during development	Ongoing report and final.
Health inequalities is a key consideration	Engagement of local wider system partners working with groups identified in this EIA	Settings and Community Testing Board and KIT – data analysis	ongoing	Monitoring of uptake through weekly data comparison against EIA group data.
Review and update EIA for other pilot sites as programme moves to other locations across Derbyshire	Conduct EIA review and update aligned to new locations/ new data.	Settings and Community Testing Board	As new locations develop.	Amended EIA



**Stage 10. Monitoring and review/ mainstreaming into business plans**

Please indicate whether any of your objectives have been added to service or business plans and your arrangements for monitoring and reviewing progress/ future impact?

- Weekly performance review submission to Department of Health and Social Care Review as programme as new sites identified and approach is refined
- Measure included within Council Plan and Service Plan for 2021/22 (pending confirmation)
- Evaluation by Nottingham University

**Stage 11. Agreeing and publishing the completed analysis**

Completed analysis approved by Settings and Community Testing Board  
on 13 January 2020

Where and when published?

On DCC website in Equality Analysis section following approval of report by Cabinet.

**Decision-making processes**

**Where linked to decision on proposals to change, reduce or withdraw service/ financial decisions/ large-scale staffing restructures**

**Attached to report (title):** Urgent Officer Decision – Implementation of Community Testing in Derbyshire

**Date of report:** 11 March 2021

**Author of report:** Iain Little/ Ellen Langton

**Audience for report e.g. Cabinet/ date:** Cabinet

**Web location of report:** tbc

**Outcome from report being considered**

To ask Cabinet to:

- i. Note a decision made using delegated powers arising from the ongoing response to the COVID-19 pandemic in relation to the commencement of a community testing programme.
- ii. Seek retrospective approval from Cabinet for the programme of activity.

**Details of follow-up action or monitoring of actions/ decision undertaken**

To be completed in due course.

**Updated by:**

**Date:**

**Agenda Item**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Adult Social Care and Health**

**HOME CARE FEES 2021-22  
ADULT CARE**

**1. Purpose of report**

To seek Cabinet approval;

- to make an inflationary increase of 2.29% for independent sector home care provision from 1 April 2021;
- to increase travel/visit rates by an average of 2.30% from 1 April 2021;
- to increase the fee rate for in-house home care and extra care provision from 1 April 2021 by 2.29%;
- to make an inflationary increase of up to 2.29% for specialist home care placements where evidence is provided of inflationary pressures.
- to make an inflationary increase of up to 2.29% on the Well Being payment made to providers in extracare settings.

**2. Information and Analysis**

COVID-19 has presented challenges that go beyond anything that could have expected. Derbyshire Home Care Providers have been crucial in providing essential personal care and support on behalf of some of the most vulnerable people in the community. Their staff have worked tirelessly throughout the pandemic and covered for each other when they have needed to self-isolate. Providers have remained resilient and have worked hard to keep recruiting and supporting staff to help respond positively to the impact of COVID 19. Despite the welcome news about effective vaccines these challenges will persist for some time with Home care workers still undertaking valuable support services.

The Government have over the past 12 months introduced a number of funding initiatives targeted to help support Home Care providers with meeting the additional temporary costs associated with COVID 19. Providers have also been able to obtain free additional Personal and Protective Equipment; an offer which has been extended to June 2021.

There are approximately 4,334 people currently in receipt of long-term home care support provided by 55 independent sector home care providers. The independent sector provides the majority of the long-term packages of care whilst the Council's in-house service now focuses on the provision of short term care.

The Derbyshire Homecare Association ("the Association") represent many of the independent home care providers. It has requested, on behalf of their members, that the Council consider an increase in fees for 2021-22 to assist with meeting costs associated with the provision of homecare and the continued promotion of the National Living Wage. Adult Social Care has also received additional requests from other providers not represented by the Association for a fee increase to reflect inflationary pressures they are experiencing.

The Association were invited to a meeting held on the 27 January 2021 with the Council to discuss the sector's views about current market conditions and inflationary pressures. The views shared at this meeting have assisted the Council in detailing 2021-22 fee proposals described in this paper.

## **2.1 Costs Highlighted by Home Care Providers**

In responding to the providers' request for an inflationary fee increase, the Council has identified the main budget headings that need to be considered when setting home care fee rates. Appendix 1 details these headings and the proposed inflationary increases and justification are set out below.

Providers have expressly asked that the analysis should also take account of additional costs associated with COVID 19. The Council has decided that the approach of responding to inflationary pressures remains most prudent with the expectation that targeted Government funding will continue to support providers with meeting any temporary costs associated with COVID 19. The Council is prepared to review this position if it is evidenced that some cost elements become regular working practice and that there are no alternative funding arrangements.

### National Living Wage

Providers have identified that the National Living Wage is due to increase by 2.2% for staff aged 25 and over from 1 April 2021 (from £8.72 per hour to £8.91 per hour a 19p increase). They note that they have very few staff aged under 25 and that they do not want a two-level pay system, as this would be detrimental to recruitment and retention of under 25's to the industry. This increase in minimum wage is supported by Providers however they would want to pay more than this to be able to recruit and retain staff and maintain differentials between staff groups.

### Council response

To reflect the National Living Wage increase the Council has decided to apply a 19p increase on the calculation of hourly home care wages for all staff including those aged under 25.

### Auto pension enrolment

The required auto pension enrolment was another area that provider representatives requested that the Council should continue to build into the fee rates.

### Council response

The auto-enrolment pension scheme has been subject to a national introduction over the past few years. It has been nationally accepted that the additional costs to a provider will be in the region of 3%. It is therefore proposed that the fee model used by the Council should reflect the auto pension enrolment value of 3% for all staff costs which came into effect from 1 April 2020.

### Travel time

The Association have highlighted that when Her Majesty's Revenue and Customs (HMRC) undertake checks of payment of wages by home care providers they are focused on ensuring that providers pay their home care staff at least the minimum wage for their travel time between calls. The Association would like the Council to ensure that the travel rates paid reflect journey time and allow them to meet their national minimum wage obligations.

### Council response

The Council makes an additional payment per home care visit to assist providers in paying staff travel time and to meet the costs incurred by staff. The National Minimum Wage rules require home care providers to count travel time between visits towards the calculation of working time. The impact of the National Living Wage means that providers should have to pay staff more to compensate for the travel time element.

### Travel payments

As noted, the Council pays an additional amount to providers for each home care visit to assist providers to meet costs associated with travelling from one call to the next. There are currently four values as shown in the table below:

<b>Value per Home Care Visit</b>	<b>2020-21</b>	<b>Proposed 2021-22</b>	<b>Increase %</b>
Urban	£2.30	£2.38	3.40%
Semi-Rural	£3.03	£3.10	2.30%
Rural	£3.98	£4.05	1.70%
Extra Rural	£5.90	£6.00	1.70%
<b>Average</b>			<b>2.30%</b>

The values are linked to population density at ward level and the likely travel time between calls. The highest travel payment is meant to encourage take up of new work in the more rural parts of the county where travel time between calls and impact of weather and isolated nature of the calls can be difficult to cover. It is noted that encouraging providers to take on new work in isolated rural areas is always challenging. The travel payment represents an element of staff time and a contribution towards the cost of using their vehicle. Providers have asked that the increase in travel payments is weighted slightly towards the lowest rate of travel.

#### Other cost increases.

Providers have also expressed concerns about other organisational costs, including but not limited to the increase in costs of Protective Personal Equipment (PPE) and the increase use of alcohol gels to meet infection prevention and control procedures.

#### Council Response

The Council acknowledges that PPE costs have increased substantially. However, providers are able to obtain free PPE from the national PPE hub and also obtain up to 3 days of free PPE from the Council when waiting on a delivery. It is proposed to increase head office costs as shown in Appendix 1 by 2%

## **2.2 Council Proposals**

The Council is constantly reviewing how the Home Care Market can be supported to be more efficient and effective to promote the availability of high quality safe provision. The following details proposals and current service developments to enhance the market. Areas for development include:

#### Staff recruitment and retention

Providers continue to report that recruitment and retention of Home Care workers is extremely difficult. They highlight concerns about how low wages in the sector coupled with low unemployment in parts of the county and poor



status of a career in social care impact on their ability to recruit and retain sufficiently well trained staff.

The workforce has a high level of turnover locally and although some of this movement may be between jobs in the sector, providers frequently lose staff in whom they have made a considerable investment in terms of training and development. This volatility in the workforce creates instability in the market with Providers having to hand back work to the Council when they lose staff.

The recruitment and retention of staff is particularly problematic in the more rural parts of the county. The impact of the difficulties in recruiting staff is that Adult Care is unable to arrange packages of care which can impact for instance on delaying hospital discharge.

In response to the workforce challenge Adult Care and the local NHS have established Joined Up Care Derbyshire (JuCD). JuCD is co-ordinating a range of activities designed to meet the three Programme themes:

- Attraction and Recruitment
- Traineeships and Apprenticeships
- Retention and Progression

The Council have recruited project staff to specifically work with social care providers with a focus on attraction and recruitment.

#### Introduction of a new recording system.

The Council has successfully introduced a new recording and scheduling system. It is the Council's intention to use the recorded information to pay for each call made. This will result in cashable savings following the removal of paper invoicing system. This new initiative to pay based on recorded activity would only start once it is tested with Provider representatives to ensure that provider cash flow is not disproportionately impacted.

#### Provider Response

Providers have expressed support for this new system although there have been some initial problems with transitioning to this new system.

#### Direct Care

The Council's Direct Care Service continue to shift priority from provision of long term to short-term care. The Direct Care service will focus more on provision of short-term care with an emphasis on assisting people who may have been unwell to regain skills. Direct Care will no longer take on new long-term care packages unless there is no alternative provider available. Private

Providers will have to increase their own workforce capacity to facilitate this shift to ensure this change in priority is a success.

### Providers Response

Providers are encouraged by the opportunity to expand their service offer but have asked for it to be noted that they are restricted by difficulties with recruiting and retaining staff which limits their opportunities to grow their service.

## **2.3 Proposals**

The home care fee rate proposals for 2021-22 have taken account of the feedback received from Home Care providers about inflationary pressures as detailed earlier in this report. Appendix 1 details how these inflationary pressures impact on the standard cost headings used in Derbyshire Home Care fee model to give a proposed inflationary uplift of 2.29% for 2021-22.

The proposed inflationary value of 2.29% on the hourly rate for the provision of Independent Sector Home Care is also used as a standard inflationary value for the other service types as shown in the table below.

<b>Service Type</b>	<b>Rate 2020-21</b>	<b>Rate 2021-22</b>
Independent Sector Home Care Hours	£15.72 per hour	£16.08 per hour
Independent Sector Sleep In (10pm-7am)	£81.81 per night	£83.70 per night
Independent Sector Live- In Carer	£1,392.79 per week	£1,424.71 per week
In-House Home Care Hours	£23.40 per hour	£23.88 per hour
In-House Extracare (Day)	£15.36 per hour	£15.72 per hour
In-House Extracare (Night)	£18.96 per hour	£19.44 per hour
Well Being	£14.03 per week	£14.35 per week

## **3. Impact of the Proposals on Independent Sector Specialist Fees**

The proposals made in this report, and summarised in Appendix 1, focus on the basic fee rates for the provision of home care. The Council also funds specialist home care services for people who live in supported living care settings.

Supported living is an alternative to residential care, providing home care support and accommodation to people who are assessed as eligible for adult care services. In a supported living service, the housing provider and support provider are separate, and the client is a tenant with their accommodation costs being met by Housing Benefits. Accommodation is homely not

institutional with clients contributing directly to daily tasks around their own home.

Specialist home care providers receive higher hourly fee rates than those recorded above which reflect the greater investment in staff and training to meet an individual's needs. It is proposed that an increase in payments of up to 2.3% can be agreed with providers that provide specialist home care provision in supported living care settings where they can evidence that their costs have increased.

#### **4. Well Being Service**

A Well Being Service is provided in all the Extracare schemes and it includes 24-hour staffing on-site or on-hand, 365 days a year, help in an emergency and the co-ordination of an activities and events programme. The weekly payment is the same across all the schemes and it is proposed that this is increased by 2.29% for 2021-22 from £14.03 to £14.35

#### **5. Financial Considerations**

The proposed increase of 2.29% on home care fees and 2.30% on travel rates from 1 April 2021 will cost an estimated £1.067m.

The proposed increase of up to 2.29% from 1 April 2021 on specialist homecare fees for Supported Living Schemes will cost an estimated £0.722m per annum.

The proposed increase of 2.29% from 1 April 2021 on the Well Being Service will cost an estimated £0.007m per annum.

The total costs of £1.797m per annum can be met from the budget growth allocated for Adult Care fee increases as approved by Council on 3 February 2021.

#### **6. Legal Considerations (taken from last year's cabinet paper)**

The Care Act 2014 places a duty on the Council to promote an efficient and effective market, with a view to ensuring that any person in its area wishing to access services in the market:

- a) has a variety of providers to choose from who (taken together) provide a variety of services;
- b) has a variety of high-quality services to choose from; and
- c) has sufficient information to make an informed decision about how to meet the needs in question.

In performing that duty, the Council must have regard to the importance of ensuring the sustainability of the market. Sufficient inquiries should be undertaken, to ensure the Council is properly informed of the local market conditions.

The Care and Support Statutory Guidance further details the duties on local authorities to facilitate markets that offer a diverse range of high-quality and appropriate services. The Council must assure itself that the fee levels do not compromise the provider's ability to (1) support and promote the wellbeing of people receiving care and support; (2) meet the statutory obligations to pay at least the national minimum wage; and (3) provide effective training and development of staff.

The Council is satisfied that the methodology used in calculating the proposed fee increase properly reflects the increased cost pressures highlighted by the providers

## **7. Other Considerations**

In preparing this report the relevance of the following factors has been considered: environmental, prevention of crime and disorder, equality and diversity, human resources, health, property and transport considerations.

## **8. Key Decision**

Yes

## **9. Is it necessary to waive the call-in period?**

No

## **10. OFFICER'S RECOMMENDATION**

That Cabinet agrees:

- to make an inflationary increase of 2.29% for independent sector home care provision from 1 April 2021;
- to increase travel/visit rates by an average of 2.30% from 1 April 2021;
- to increase the fee rate for in-house home care and extra care provision from 1 April 2021 by 2.29%;
- to make an inflationary increase of up to 2.29% for specialist home care placements where evidence is provided of inflationary pressures.
- to make an inflationary increase of up to 2.29% on the Well Being payment made to providers in extracare settings.

**Helen Jones**  
**Executive Director – Adult Social Care and Health**  
**County Hall**  
**MATLOCK**

## Proposed Home Care Fee Rate for 2021-22

	2020-21	Proposed 2021-22	Increase
	£	£	%
Wages	10.02	10.24	
Employers NI	0.51	0.52	
Pension	0.30	0.31	
<b>Hourly Cost</b>	<b>10.83</b>	<b>11.07</b>	
Holiday Pay 4 weeks	0.83	0.85	
Sickness pay assume 2 weeks per year	0.41	0.42	
5 training days per year	0.21	0.22	
Bank holidays 8 days per year	0.32	0.33	
<b>Net Hourly Rate</b>	<b>12.60</b>	<b>12.89</b>	
Head office and profit	3.12	3.18	
<b>Total Hourly Rate</b>	<b>15.72</b>	<b>16.07</b>	
<u>Rounded Rate</u>	<b>15.72</b>	<b>16.08</b>	<b>2.29%</b>

## Assumptions Used in Calculating Fee Rates for 2020-21

The following considerations are made to ensure Home Care Providers are able to recruit and retain staff.

- Contribution towards hourly wage has been increased by 2.20% to reflect the increase to National Living Wage from 1 April 2021
- Employers pay of 13.8% National Insurance at pay above £184 per week.
- Holiday Pay is calculated at 4 weeks plus an additional 8 days bank holiday entitlement. This is equivalent to 5.6 weeks statutory minimum annual leave entitlement.
- 2.23% Pension Contribution
- Training day funding allocation at 5 days
- Head office costs - increased by 2%

### **Hourly Rate**

It is practicable to ensure the hourly rate is divisible by 12 to allow for payments to be made in 5-minute blocks. The Council is currently implementing a new recording and scheduling system which will likely facilitate a change in how fees are set from April 2022.

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Agenda Item No:

## **DERBYSHIRE COUNTY COUNCIL**

### **CABINET**

**11 March 2021**

#### **Report of the Executive Director for Adult Social Care and Health**

#### **CARE HOME AND DAY CARE FEES 2021-22**

#### **ADULT CARE**

### **1. Purpose of the Report**

To seek Cabinet approval to:

- increase the rate paid to independent sector residential care homes for the financial year 2021-22 by 2.53% per week;
- increase the rate paid to independent sector nursing homes for the financial year 2021-22 by 2.53% per week
- make an inflationary payment of up to 2.53% for specialist care home placements where evidence is provided of inflationary pressures;
- set a rate of £42.78 per day (from £41.72 per day) for a day care placement in a care home;
- increase the rates for in-house day care and residential care by 2.53%;
- increase the dementia fee rate to £47.81 per week (from £46.62)
- make an inflationary payment of up to 2.53% for well-performing block contracts in specific circumstances.

### **2. Information and Analysis**

This has been an unprecedented year for the Care Industry, the impact of the COVID 19 pandemic has been widespread and it has brought many challenges. Locally there has been remarkable resilience shown by provider services, their staff have worked tirelessly to support some of the most vulnerable people in our communities and tried to keep up the spirits of people who haven't been able to have meaningful contact with their families.

As noted, the impact of COVID 19 on the Care Market has been incredibly complex. Since the onset of the pandemic Providers have received a great deal of new national guidance to help ensure that their services are safe and provide the best support to residents and staff. Providers, especially sole traders, report that sometimes this has felt overwhelming and that they were

grateful for the support that officers from Adult Care, Public Health and the local NHS offered to help them manage the impact of strict infection control procedures in their services.

In April 2020 the Council decided to make an additional temporary payment for a three month period of 10% on top of our normal fee rates to assist with cost pressures consequent to the Covid-19 pandemic, in accordance with guidance issued by the Local Government Association (LGA) and Association of Directors of Social Services (ADASS). The temporary fee uplifts paid for these three months totalled over £2.1 million. This additional payment was financed using funding that the Government had allocated to Local Authorities to assist with responding to Covid-19 pressures across the services they deliver.

Following the Council's three month temporary increase in fees the Government introduced four separate funding initiatives targeted to help support Care Homes with meeting the additional temporary costs associated with COVID 19. The Council was asked to distribute this grant funding to Care Home Providers in Derbyshire to assist with meeting the costs associated with provision of infection prevention and control procedures, developing managed visiting arrangements for family members, the undertaking of regular testing of residents and staff and to assist with the promotion of vaccinations.

Providers have also been able to obtain free additional Personal and Protective Equipment (PPE) throughout this period from the Government PPE Portal, an offer which has been extended to June 2021.

Whilst these one-off costs, associated with COVID 19, are recognised to have been a burden to the market the additional initial fee uplift, grant payments and access to free PPE have ensured the current sustainability of the local care home market.

## **2.1 Background**

The focus of this paper is to consider the inflationary pressures experienced by Care Homes and to make recommendations about future funding arrangements.

The Council has contract arrangements in place with over 174 independent sector care homes for older people that, as of January 2021, provide support on behalf of the Council to approximately 2,491 people as detailed below:

- 741 people in nursing placements where the person needs constant involvement of, or supervision of, a qualified nurse;
- 1,750 residential placements.

A further 369 people are supported in Council run Care Homes.

## **2.2 Fee Levels**

A comprehensive review of fee levels for independent sector care services is undertaken annually. To assist with this review representatives of the Derbyshire Care Providers Association (“the Association”) were invited to meet with Councillor Wharmby and Julie Vollar, Service Director for Adult Social Care and Health on the 15 January 2021 to set out particular business pressures affecting the care market and their costs.

The Association continue to express its view that the detailed fee analysis and consultation previously undertaken by the Council was flawed and the fee rates do not cover their actual costs. The Association have requested that the Council undertake a new study to better understand their costs.

The views shared at this meeting have assisted the Council in detailing 2021-22 fee proposals described in this paper. The Council has also received additional requests from other Care Home providers not represented by the Association for a fee increase to reflect inflationary pressures these have also been considered when setting fee proposals.

As in previous years the focus will be on reflecting on the inflationary pressures on care home provision. The analysis will be informed by the additional costs associated with COVID 19. The Council is prepared to review this position if it is evidenced that some cost elements become regular working practice and that there are no alternative funding arrangements.

## **3. Financial Considerations**

The Council wrote to the Association on the 1 February 2021 when it shared details of the proposed fee rates for 2021-22. The Association wrote back to the Council on 10 February 2021 detailing their concerns with the Council’s fee proposals. These concerns include their belief that the Council has not taken account adequately enough the financial impact of COVID 19 on their businesses, that the Council’s fee model is flawed, and that the Council financially supports its own homes better than the private sector. The letter from the Association can be found in Appendix 1. Some of the concerns highlighted by the Association are considered below.

### Impact of COVID 19 on their Businesses

Care Home providers expressed great concern that the current average occupancy levels in Care Homes has reduced compared to the same period last year. It is understood that Care Homes have experienced above average deaths of their residents which is linked to the impact of COVID 19 and that

they have experienced a reduction in the number of referrals made by health and social care for placements and a reduction in self-funded placements. Providers have reported that the impact of COVID 19 in the first wave has impacted on people's confidence in Care Homes being a safe place. They have reported a reduction in the number of referrals especially of self-funders as people look to be supported at home.

Providers have noted that the reduced occupancy levels and the lack of confidence in securing new residents will likely result in providers being unable to sustain their businesses. The Council is requested to ensure that fees paid support the care home market to be sustainable and to increase the value of the fees to address the drop in occupancy.

The Association has asserted that the current vacancy level is 76% and that the Council operates a fee model based on 90%. They have requested that the fee model needs to be updated to reflect the current situation, which means an additional £97.17 per resident per week.

Actual DCC Fee (based on 90% occupancy)	£527.48
Adjusted DCC Fee sought (76% actual occupancy)	£624.65
Difference	£ 97.17

### Council Response and Analysis

The number of vacancies reported by care homes in the county changed from 6.5% in January 2020 to 20% in January 2021. The method for collecting this information has changed during this time with homes now being encouraged to identify all the unused registered care beds whether they are available for use or not on a national care tracker. The previous local approach used to collect data in January 2020 identified how many beds were vacant and available to be used, any rooms or wings of a home that were not in use due to business reasons were not counted. Clearly this difference in data collection does not facilitate a direct comparison but it is certainly accepted that there has been a reduction in occupancy levels over the past 12 months.

The Council funded 2,693 placements in Care Homes in January 2020 compared with 2,491 in January 2021, an 8% reduction in funded placements. However, the majority of this reduction was due to a change in the funding arrangements of nursing placements with the responsibility moving to the Derbyshire Clinical Commissioning Group from the Council.

The market has remained stable over the past year with only one service closure across the county where the provider has decided that they could no longer sustain a specialist service (15 dementia beds) due to occupancy

dropping below 50%. The Care Home that this service was in remains open and it is likely these beds will be used for another client group.

The fee model used by the Council was developed with a 10% vacancy threshold. The Council's view is that the fee model should not be amended to increase the contribution towards sustaining empty beds in the Care Home sector. Whilst a viable and sustainable market is one that operates with higher occupancy, there is uncertainty over the future role of Care Home beds following the impact of COVID 19 due to individual choice for care. The Council has decided not to increase fee levels to help cover the costs of empty beds whilst this uncertainty exists.

### Staff Costs

Providers have suggested that they have had to meet additional staff costs due to changes in activity to work safely in care homes during the COVID19 pandemic. They have also identified that the National Living Wage is due to increase by 2.2% for staff aged 25 and over from 1 April 2021 (from £8.72 per hour to £8.91 per hour a 19p increase). This increase in minimum wage is supported by Providers however they would want to pay more than this to be able to recruit and retain staff and maintain differentials between staff groups.

The Association letter in Appendix 1 argues that the Council's fee model does not reflect the true costs of staffing and in their view the funds allocated in the fee model means they are unable to pay above the minimum wage. The Association have requested a further £71.71 be included in the weekly fee rate.

### Council response

For the increase of the National Living Wage, the Council has decided to use the 2.2% minimum wage increase for all staff costs including staff aged under 25 to assist with maintaining wage differentials. The Council notes the Association's request for the fee model to be reviewed. The Council's fee model is based on previous feedback from Providers of their own costs. Every home has different staffing levels reflecting the environment and client needs and legislation does not indicate what safe staffing levels are and there is no officially recognised tool for calculating appropriate staffing levels.

The Council notes that the Government has made a number of grant contributions to Care Homes during 2020-21 to assist with temporary additional staffing costs associated with COVID 19. The Council will continue to distribute separately COVID 19 emergency funding allocated to the Council to assist Providers with their costs.

In response to the workforce challenges described by the Association, Adult Care and the local NHS have established Joined Up Care Derbyshire (JuCD). JuCD is co-ordinating a range of activities designed to meet the three Programme themes:

- Attraction and Recruitment
- Traineeships and Apprenticeships
- Retention and Progression

The Council has recruited project staff to specifically work with social care providers with a focus on attraction and recruitment. The Council also continues to offer free places on its own in-house training courses.

### Nursing provision

The Association has requested that the Council respond in the fee setting exercise to concerns in respect of sustainability of Care Homes with Nursing. The Association has highlighted that they experience extreme difficulties with recruitment and retention of nursing staff. They have also noted that a number of homes have recently deregistered from providing nursing beds with the intention of only offering residential beds.

The Association have noted that the Council has previously signalled that there is likely to be an increased need in nursing beds in the next 10 years and have questioned how the Council is going to encourage investment. The Association have asserted that the current level of funding does not allow for any new investment into council funded areas and even encourages de-registration and closures in the independent sector.

### Council Response

The sustainability of the Nursing Care Home market is of great importance to the Council as it assists with ensuring that there are suitable places for people to live following a period of admission in hospital.

The Council accepts that any new investment into increasing the availability of nursing beds will need to be part of a broader funding strategy with the local NHS. The planning for such investment will need to take account of the short term impact of COVID 19 on the market.

### Additional Cost Pressures

The Association highlighted in their letter of 1 February 2021 that in their view the Council has undervalued a number of the costs in the fee model. They have particularly highlighted that due to COVID 19 obtaining/renewing care home insurance has been especially difficult with some insurance providers

leaving the market and others increasing their premiums. This increase has been in response to perceived increased risk associated with additional claims associated with the impact of COVID 19 being made against individual homes. The Association have provided copies of documents from an Insurance Broker stating the weekly cost of new insurance is £6.15 against the Council's proposed value of £3.98.

They also asked that the costs for provision of agency staffing and recruitment costs are also reconsidered as some providers have needed to use agency staffing to cover for staff needing to self-isolate.

It has also been highlighted that the cost of general Personal Protective Equipment (PPE) has substantially increased and although homes are grateful for free access to PPE this does not cover all of their equipment. They have also highlighted the additional costs associated with maintaining infection prevention and control procedures in their homes and how this has required additional staff time and purchase of additional cleaning products.

### Council Response

In recognition of the increases in insurance the Council has proposed to increase the value of the contribution towards insurance costs by 50% to £3.98 from the previous year's value of £2.65. It is also proposed to increase the contribution towards medical supplies which includes PPE costs by 50%. The Council notes the concerns about the additional agency staff costs some providers have experienced as a direct result of managing the impact of COVID 19. These costs were covered by the Infection Control grants distributed by the Council on behalf of the Government. The Council is prepared to review this position if it is evidenced that some cost elements become regular working practice and that there are no alternative funding arrangements.

### Direct Care Provision

The Association has also expressed concern about the Council's own Care Home provision and the fees paid for the beds in the Community Care Centres. They have argued that the fee rates and capital costs paid for Direct Care provision are greater than the Council is prepared to pay for equivalent provision in the independent sector. The Association believe that the Independent Sector provides "Best Value" for the local tax payer and that the Council spends extra funds on its own Care Homes.

### Council Response:

The Council notes their concerns.

### **3.1 Fee Rate Methodology**

The method for identifying the proposed fee rates builds on the work previously undertaken to identify a basic care home fee rate as detailed in the paper presented to Cabinet on the 9 September 2014. As part of this work the Council asked care home providers to complete a questionnaire to evidence their costs. The Council then used standard cost headings to analyse the returns before identifying values against each cost head - see Appendix 2.

To develop fee rate proposals for 2021-22, the amount paid under each cost heading has been increased based on a set of assumptions about inflationary pressures – see Appendix 2. These proposals have taken account of the feedback received from Care Home providers. Based on this analysis, it is proposed to increase fee rates for basic care home provision by £14.28 per week which is equivalent to a 2.53% increase on the fee rate for 2020-21.

This methodology has been consistently challenged by the Association and a request has been made at meetings for the Council to commission an independent review of the actual costs of care. The Council is satisfied that its analysis and transparent methodology as shown in Appendix 2 is correct and so such a review is not necessary.

### **3.2 Impact of the Proposals on Care Home Fees for other Client Groups and Specialist Placements**

The proposals made in this report, and summarised in Appendix 2, focus on the basic fee rates for older people. It is proposed to also increase the base fee rates for Residential Care Home by 2.53% and Nursing Homes by 2.53% for other client group placements. This includes care home provision for people with a physical disability, people with learning disabilities and people with mental ill health- see Appendix 3.

The changes proposed to the basic fee rates for other client groups will not change the level of funding already paid against specialist care home placements where fee rates have been individually negotiated to ensure that the needs of people who require complex support/care arrangements are met. The fees for specialist placements are often substantially more than the standard care home fees.

The additional funding (top-up) paid for a specialist placement reflects the greater investment in staff and training to meet an individual's needs. It is proposed that up to 2.53% can be agreed with providers in receipt of specialist placements where they can evidence their increase in costs.



### **3.3 Dementia Fee Rate**

An additional payment of £46.62 per week is currently paid towards supporting people with a diagnosis of dementia which is payable to homes that meet set criteria of dementia-friendly provision. It is proposed this payment is increased by 2.53% to give a new value of £47.81 per week from 1 April 2021.

The Dementia fee was introduced to encourage homes to demonstrate that they have the skills, experience, environment and organisational culture in place to provide high quality dementia care. The Council presented this criterion as many providers describe their service as being able to meet the needs of people with dementia, but are unable to evidence that staff have adequate training, that the culture of the service is dementia friendly or that the environment has been adapted to be suitable for someone with a diagnosis of dementia. The importance of this award will continue to be promoted with care home providers to increase the take up.

### **3.4 Day Care Placements in Care Homes for Older People**

Some people who are supported to live in their own homes are able to use day care places in care homes for older people. The care home is often situated close to where an individual resides and the homes frequently help with transport to and from the home, as well as providing meals. In some cases, homes will also provide a bathing service and are very flexible with places being made available at weekends and evenings, which can help Carers to get a break. The standard fee rate paid by the Council for day care placements in independent sector care homes is currently £41.72 per day. It is proposed for 2021-22 that this fee level to be paid to providers is increased by 2.53% to provide a new daily fee of £42.78 per day.

## **4. Block Contracts**

Adult Care has a small number of block contracts with independent sector profit and not for profit organisations. A number of these agreements were established before the introduction of the National Living Wage. A number of these providers have requested an inflationary increase to assist them with meeting this additional unforeseen cost. It is proposed that payments of up to 2.53% can be agreed with providers who operate block contracts on behalf of the Council where they can provide detailed evidence of their increase in costs relating especially to the increase in minimum wage rates as well as showing that they are meeting their agreed targets for activity and performance.

## 5. Financial Considerations

The estimated cost of this proposal to increase fee rates from 1 April 2021 is £3.226m per annum and can be met from the budget growth allocated for Adult Care fee increases.

## 6. Legal Considerations

The Care Act 2014 places a duty on the Council to promote an efficient and effective market, with a view to ensuring that any person in its area wishing to access services in the market:

- 1) has a variety of providers to choose from who (taken together) provide a variety of services;
- 2) has a variety of high-quality services to choose from and;
- 3) has sufficient information to make an informed decision about how to meet the needs in question.

In performing that duty, the Council must have regard to the importance of ensuring the sustainability of the market. Sufficient inquiries should be undertaken, to ensure the Council is properly informed of the local market conditions.

The Care and Support Statutory Guidance further details the duties on local authorities to facilitate markets that offer a diverse range of high-quality and appropriate services. The Council must assure itself that the fee levels do not compromise the provider's ability to (1) support and promote the wellbeing of people receiving care and support; (2) meet the statutory obligations to pay at least the national minimum wage; and (3) provide effective training and development of staff.

Case law is clear that the Council should take steps to ensure that fee levels reflect the actual cost of care. The rates should also take into account the legitimate current and future costs, and the potential for improved performance and most cost-effective ways of working.

The Council is under a duty to promote diversity and quality in the market of care and support provision.

The Council properly recognises that the Government has introduced various funding sources intended to support adult social care providers with the pressures of infection control arising from the impact of Covid-19 on service delivery and will continue to review this as the Government's response to the pandemic develops.

With this assurance the Council is therefore satisfied that the methodology used in calculating the proposed fee increase is equitable and properly reflects the increased cost pressures highlighted by the providers.

## **7. Equality and diversity Considerations**

Providers are required to adhere to Derbyshire County Council's Equal Opportunities policies. The Council also encourages Providers to gain the Derbyshire Respect and Dignity award.

## **8. Other Considerations**

In preparing this report the relevance of the following factors has been considered: human resources, health, environmental, transport, and crime and disorder considerations.

## **9. Key Decision**

Yes

## **10. Is it necessary to waive the call-in period?**

No

## **11. Officer's Recommendation**

That Cabinet agrees to:

- increase the rate paid to independent sector residential care homes for the financial year 2021-22 by 2.53% per week;
- increase the rate paid to independent sector nursing homes for the financial year 2021-22 by 2.53% per week
- make an inflationary payment of up to 2.53% for specialist care home placements where evidence is provided of inflationary pressures;
- an updated fee rate of £42.78 per day (from £41.72 per day) for a day care placement in a care home;
- increase the rates for in-house day care and residential care by 2.53%;
- an updated dementia rate of £47.81 per week (from £46.62)
- make an inflationary payment of up to 2.53% for well-performing block contracts in specific circumstances.

**Helen Jones**  
**Executive Director – Adult Social Care and Health**  
**County Hall**  
**Matlock**

## Appendix 1

**The following is a copy of a letter submitted to the Council by the Derbyshire Care Providers Association. Some of the layout has been lost in the transfer to this document, however all of the content remains the same.**

Derbyshire Care Providers Association Ltd. Springwood Gardens, Belper, Derbyshire, DE56  
1RT Phone: 0800 020 9554 | Email: [secretary@derbyshirecare.org.uk](mailto:secretary@derbyshirecare.org.uk) | Website:  
[www.derbyshirecare.org.uk](http://www.derbyshirecare.org.uk)



Derbyshire Care Providers Association (formerly known as Derbyshire Care Homes Association)

**Date: 10/02/2021**

**Dear Councillor Wharmby**

**Re: Care Home Fees 2021/22**

We are writing to you on behalf of the Derbyshire Care Providers Association in relation to the Council's proposed increase of 2.53% in fee levels for residents living in independent sector care homes.

We must say we are shocked by the proposal. The sector is in an indisputable crisis where costs are soaring whilst income is greatly reduced yet there is no attempt to support the sector and the residents who live in these homes.

We were clearly informed in our last meeting by the Council that it could not afford to pay a fee that covers the additional costs from Covid-19 and we have year on year been informed that the Council cannot afford to pay for the full cost of care for people living in the independent sector care homes.

It is therefore extremely concerning to read the Derbyshire County Council press release on the 5 February 2021 which says "*we are in a robust financial position due to careful budgeting and sufficient extra funding from government to support extra spending due to the coronavirus pandemic... This year the Government has allowed local authorities with responsibility for adult social care, like Derbyshire, to raise council tax by a maximum of 5%, made up of an adult social care precept of 3% (which must be spent solely on adult social*

care)...At the meeting on Wednesday we agreed to the 2.5% increase, made up of 1% for adult social care (which will raise just over £3.4m).

So in effect, the Council:

1. Has already decided how much it is going to spend on social care even though it hasn't fully consulted with the independent sector on cost pressures, and
2. The Council has decided to ask for less funds (1%) for adult social care than they can (3%).

The Council has a responsibility to ensure a sustainable care sector under the Care Act 2014. Despite openly recognising their concerns for the sustainability of the independent sector before the pandemic, the Council is still avoiding its duties and is in fact making the situation worse for the sector even though it has the power and opportunity to raise more funds for the sector. It's very likely that this could ultimately have a serious effect on residents living in some care homes, something the Council will be responsible for since it has avoided its duties.

We would like a full response from the Council setting out why they will not fund the sector appropriately to ensure it is sustainable.

### **Concerns about the sustainability of the sector**

We raised our concerns (again) last year about the sustainability of the sector, which unfortunately the Council (again) did not respond to.

Over the last few years, there has been no investment into local authority funded areas by the independent sector, solely because of the lack of funding by the Derbyshire County Council. On the contrary, some homes have either de-registered nursing services or closed down.

In its commissioning strategy 2019-2035, the Council highlights that (page 4):

- "the resilience and sustainability of the care home sector in Derbyshire is a concern"

At the same time statistics show that (page 4):

- "recruitment is an ongoing challenge"
- "an increase of 53% in people with dementia by 2030"
- "aged 90 and over will more than double by 2035"

The Council is also concerned about “affordable provision of nursing and residential care in some parts of the county”.

These issues are however not addressed in the commissioning strategy. There will clearly be a great need for independent sector care homes with more provision for complex needs as people stay in their own homes for longer.

To create a sustainable care home sector there must be sustainable fee levels, something the Council has a duty to have regard to under the Care Act.

What is extremely concerning is also that the Council has not made any attempt to recognise any additional costs that the Covid-19 pandemic has contributed to other than a mere £2 per resident for medical supplies and clinical waste!

### **Duty of the Local Authority under the Care Act**

In performing its duty to promote the efficient and effective operation of the market, the Council is required to have regard to “*the importance of ensuring the sustainability of the market*”. The Department of Health’s guidance on this point highlights the impact that a local authority (as a significant purchaser of care) has on the sustainability of the market. Specifically, the guidance states:

*“Local authorities must not undertake any actions which may threaten the sustainability of the market as a whole, that is, the pool of providers able to deliver services of an appropriate quality – for example, by setting fee levels below an amount which is not sustainable for providers in the long-term.”* (paragraph 4.35 of DoH ‘Care and Support Statutory Guidance’)

The Guidance also states that:

*“Local authorities should understand the business environment of the providers offering services in their area and seek to work with providers facing challenges and understand their risks.”*

In areas such as Nottinghamshire where the Council fee to the independent sector is significantly higher, there has been an influx of investment in new care homes in Council funded areas, which has stabilised the market and made it more sustainable. Nottinghamshire County Council, along with many other Local Authorities, have also continually supported the sector with the extra costs it has incurred during the pandemic, yet in Derbyshire this stopped in August.

### **DCC Fee Model pays below the National Living Wage**

The DCC provided a fee model for the independent sector fees based on cost figures which are now 10 years out of date. Flaws of the model have been set out to Council every year with evidence showing that the fee is well below actual costs.

A new study is required to understand the costs, as per the responsibility of the Council under the Care Act.

The most obvious and concerning issue is that the Council's fee model, even in its flawed state, is asking the independent sector to pay all staff (apart from the manager) £1.40 below the National Living Wage. This gap is increasing year on year.

This is clearly a failure to comply with the Care Act by the Council and it would constitute a criminal offence if staff were paid below National Living Wage.

The staff in care homes have done and continue to do a heroic job during the Covid-19 pandemic. The staff have worked relentlessly and sometimes to the detriment of their own and their family's health. It is frankly shameful that the Derbyshire County Council offers fees which don't even match the National Living Wage for staff in the independent sector. Why does the Council only value its own care home staff and not the 7000 or so staff working in the independent sector? Perhaps we ought to seek the public's opinion on this.

	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>
Staff Costs in DCC model (excl management)	£277.59	£294.77	£301.25
Rota hours per resident per week (national average)	32.1	32.1	32.1*
Wages per hour in DCC model (excluding on costs, holiday pay, etc)	£6.92	£7.35	£7.51
National Living Wage	£8.21	£8.72	£8.91
<b>Underfunding</b>	<b>£1.29</b>	<b>£1.37</b>	<b>£1.40</b>

\*Note hours per resident per week are assumed the same for the purpose of the model, although in reality we know that staff hours have increased significantly in 2021/22 making the situation even worse

Another £71.71 (per resident per week) is required just to be able to pay the National Living Wage – again this gap is increasing year on year.

### Underfunding in DCC model for Staff (excluding management)

Senior staff Hours (senior care, chef, deputy on shift) 25% of staff hours	8.0	8.0	8.0
Senior staff wages	£9.75	£10.26	£10.45
Other staff hours on National Living Wage	24.1	24.1	24.1
National Living Wage	£8.21	£8.72	£8.91
Total Fee required to cover staff costs to meet National Living Wage requirement (including on-costs)	£344.87	£365.34	£372.96
Actual Fee offered by DCC for the staff above	£277.59	£294.77	£301.25
<b>Underfunding by DCC for staff wages alone</b>	<b>£67.28</b>	<b>£70.57</b>	<b>£71.71</b>

### Recruitment and retention

In the Commissioning Strategy the Council has recognised that *“recruitment of quality staff is an ongoing challenge and there is a high level of vacancies”*. This is also evidenced in the Council’s own costs which shows that they Council is spending nearly £34 per resident per week on Agency staff alone. Yet the Council does not account for Agency Costs in the fee model to the independent sector.

In effect, the Council is expecting that the independent sector:

1. receive only 1/3 of the fee that the Council pays for its new care homes;
2. pay below the National Living Wage, and;
3. should have no problem with recruitment so they don’t need to spend anything on Agency Fees.

It’s very concerning that the Council has recognised the issue but is still not addressing it (*“resilience and sustainability of the care home sector in Derbyshire is a concern”* (p4 Commissioning Strategy)).

The Care Sector is in extreme difficulties with recruitment and retention, aggravated by the Covid-19 pandemic.



To help address some of the recruitment and retention issues, the sector needs a fee that gives them the opportunity to pay more than the National Living Wage and to offer incentives for higher qualifications.

### **Nursing Fees**

The sustainability of nursing services continues to be a significant issue.

The DCC is predicting an increase in the need of these services, yet the trend shows a decline in the supply in Council funded areas.

In summary, the cost issues related to nursing care are (as previously highlighted):

- Manager (nurse qualified – higher salary)
- Lower occupancy due to shorter length of stay, which means higher risk and lower revenue
- Higher risk – clinically (health and safety)
- Recruitment fees – mainly recruit via recruitment agents at £4000 per candidate
- Additional care staff, well above residential care
- Additional equipment (special beds, mattresses, chairs, medical products etc)
- Wage cost for the nurses are increasing as demand for their services are high (only some of which is covered by the Free Nursing Care funding)

Considering that the Council is expecting to require additional nursing beds, how is the Council going to encourage investment? The current level of funding does not allow for any new investment into council funded areas and even encouraging de-registration and closures in the independent sector.

### **Occupancy**

When the Council first created a funding model for the independent sector based on figures 10 years ago, it falsely assumed the sector had a 90% occupancy even though its survey showed an 85% occupancy. In other words, the Council decided to split the sector costs between more residents than actually existed. This meant the fee was 5% insufficient in the first place.

The Council has now provided figures to us showing the sector is at 76% occupancy. That means that sector is receiving even less income to support its costs (which have actually increased). The sector cannot cut its costs – it still has to have the same staffing levels (and more now during the pandemic),

the same utilities costs, bank loans/rent etc. The fee model needs to be updated to reflect the current situation, which means an additional £97.17 per resident per week.

<b>Actual DCC Fee (based on 90% occupancy)</b>	<b>£527.48</b>
<b>Adjusted DCC Fee (76% actual occupancy)</b>	<b>£624.65</b>
<b>Difference</b>	<b>£97.17</b>

Lower occupancy combined with higher costs is a huge threat to the market. The Council has to pay fees that reflect costs and it has to ensure sustainability – it has a legal responsibility to act on this.

### **Covid-19 costs**

The pandemic has caused substantial additional staffing costs for care homes. Additional temporary funding has been provided by the Government but the sector is currently forecast to spend over £3 million more than it has received on specific additional staffing costs (figures provided by the Council).

Many Local Authorities have continued to support the care home sector for loss of revenues and increased costs during the pandemic to prevent closures and ensuring people are looked after with appropriate staffing levels. The Derbyshire County Council has failed to do so.

In addition to providing an increase in fees which merely reflects the increases at the minimum National Living Wage rate, there needs to be a temporary Covid-19 uplift to support care homes through this crisis. The Council has access to occupancy figures and can adjust the fee levels according to occupancy levels of the market to ensure the market is sustained.

### **Other comments on costs in the fee model:**

- **Recruitment costs** – only £1,460 allowed for 40 bed home with 36 residents. Recruitment agents charge £5,000 for one nurse alone and care homes are constantly trying to recruit new staff.
- **Costs for Agency staff** to cover for recruitment difficulties in Derbyshire need to be allowed – half of what the DCC spend on their own homes would be sufficient!
- **Food** - why does the DCC ask the independent sector to pay less for food than its own homes? It's not realistic and should be adjusted. It's obvious that it is too low at £24.63 per resident per week. We can provide evidence of this.

- **Insurance** - the DCC Model now allows for £3.98. See enclosed letter from an established insurance broker for Derbyshire and Nottinghamshire care homes, showing that the average premium per bed is £6.15 (so £8.09 per resident at 76% occupancy).

### **DCC in-house care homes**

We find it shocking and frankly bizarre that the Council has justified to itself that it can spend £millions on its own care homes and at the same time saying it cannot afford to fulfil its legal (and moral) obligation to pay sustainable fees for Derbyshire residents living in the independent sector care homes.

The tax payer is paying two or three times more for a resident in a DCC home. Whatever the political view, the Council has a responsibility to ensure ALL care home residents are offered a sustainable service at fees that cover costs and yet it is spending all the extra funds on their own care homes. This doesn't represent Best Value to tax payers and it is discriminatory to residents living in the independent sector care homes.

Did you know that the Council is spending double the capital on their new care homes compared to the independent sector (£200,000 per bed compared to £100,000 per bed) with no more space or no better facilities for residents?

We kindly request a detailed response to deal with all of the above matters.

We look forward to hearing from you.

Yours sincerely,

**P.Falleth**

**On behalf of the Derbyshire Care Providers Association Committee**

## Appendix 2

	2020-21 £	2021-22 Inflation	2021-22 £
<b>RESIDENTIAL HOME</b>			
<b>A) STAFF, INCLUDING EMPLOYERS' ON-COSTS</b>			
TOTAL CARE ASSISTANTS (inc senior) STAFF	236.37	2.2%	241.57
Catering, cleaning and laundry staff cost per resident	53.28	2.2%	54.45
Other Staff Costs (excluding management and admin)	5.12	2.2%	5.23
Management, administration, reception staff cost per resident	73.63	2.2%	75.25
Pension Cost (3% of above)	11.06	2.2%	11.30
<b>TOTAL STAFF</b>	<b>379.46</b>		<b>387.80</b>
<b>B) REPAIRS AND MAINTENANCE</b>			
Maintenance capital expenditure	17.80	1.9%	18.14
Repairs and maintenance (revenue costs)	10.05	1.9%	10.24
Contract maintenance of equipment	1.98	1.9%	2.02
<b>TOTAL REPAIRS AND MAINTENANCE</b>	<b>29.83</b>		<b>30.40</b>
<b>C) OTHER NON-STAFF CURRENT COSTS AT HOME</b>			
Food	24.43	0.8%	24.63
Utilities (gas, oil, electricity, water, telephone)	23.13	0.8%	23.32
Handyman and gardening (on contract)	9.31	2.2%	9.51
Insurance	2.65	50.0%	3.98
Total medical supplies and continence products	2.64	50.0%	3.96
Trade and clinical waste	1.78	50.0%	2.67
Registration fees (including DBS checks)	3.54	0.8%	3.57
Recruitment	0.76	2.2%	0.78
Direct training expenses (fees, facilities, travel and materials) net of grants and subsidies	10.62	2.2%	10.85
Other non-staff current expenses	21.67	2.2%	22.15
Equipment Costs	3.80	1.5 %	3.86
<b>TOTAL NON-STAFF CURRENT EXPENSES</b>	<b>104.33</b>		<b>109.28</b>
<b>TOTAL EXCLUDING CAPITAL</b>	<b>513.62</b>		<b>527.48</b>
Return on capital	50.04	0.8%	50.44
<b>Summary Weekly Fee</b>	<b>563.66</b>		<b>577.92</b>
Divisible by 7	563.64		577.92

Percentage Increase			2.53%
<b>NURSING HOME</b>	2020-21	2021-22	2021-22
	£	Inflation	£
Residential rate	563.64		577.92
Nursing Top-Up	42.28	2.53%	43.33
Nursing Rate	<b>605.92</b>		<b>621.25</b>
Divisible by 7	605.92		621.25
Percentage Increase			2.53%

### **Inflation Assumptions used by Derbyshire County Council to Identify Fee Rates for 2021-22**

In considering the budget lines in the fee cost model in Appendix 2 the Council utilised the Office of National Statistics' Consumer Price Inflation (CPI) report for December 2020 as a proxy for understanding the costs in the care industry. The influence of Brexit and any ongoing impact on COVID 19 on inflation are difficult to predict but the Council will reconsider the costs used in the fee model if there is a substantial inflationary uplift during 2021-22.

Some of the budget lines used in Appendix 2 do not have a direct corresponding goods or services division in the CPI report in such cases individual judgements have been made about how inflation may contribute to the costs of running a care home. The CPI report noted that standard inflation across all activity was 0.8% which is used as default in this fee modelling tool.

### **Staffing**

From April 2021 Providers will be required to pay all staff over 25 at least the national living wage of £8.91 which is equivalent to a 2.2% increase on the national living wage rate of £8.72 set in April 2020.

The Council has decided to use the 2.2% minimum wage increase for all staff costs including staff aged under 25. It is also proposed that any other costs linked to staffing eg training, recruitment are also increased by 2.2%.

Auto-enrolment pension scheme has been subject to a national introduction over the past few years, where providers have to offer to sign all staff into a pension scheme. From 1 April 2020 it has been nationally accepted that the costs to a provider should be in the region of an additional 3% on their staffing bill.

## **Repairs and Maintenance**

There are no direct comparisons with CPI available for these areas of cost. The closest comparable areas show inflationary costs of 1.9% for services and maintenance. This inflationary level has been used in the Council's calculation.

## **Other Non-Staff Costs**

Food is shown across most food types as minus inflation, so the Council proposes to use standard inflation of 0.8%

For utilities the Council has used the average overall value of standard inflation value of 0.8% increase.

There is no direct comparison for Insurance Costs in the CPI tables; the value used in the Council's fee model is £2.65 per person per week. In the feedback received from Care Association in 2019 in response to the Council's fee proposals it was argued that the true cost of insurance was closer to £4 per person per week. Noting that all care homes are different sizes and undertake different activity and at different stages of renewal of their insurance it is proposed to use a value of £3.98 as the insurance value reflecting the concerns that insurance providers have left the market and premiums have increased.

## **Registration Fees:**

The Care Quality Commission reported on the 29 October 2020 that there will be no increase in fees for 2021-22. It is currently unclear if the Disclosure Baring Service will increase fees for 2021-22 so this value will be increased by standard inflation of 0.8%

## Appendix 3

### Usual Cost of Care Fee Rates – From 1 April 2021

	Weekly Standard Rate	Quality Premium Rate (Additional £22.75 per week)
	£	£
<b>INDEPENDENT SECTOR</b>		
<b>Residential Placement</b>		
Older People Mental Health/Drug & Alcohol	577.92	600.67
Physical Disability (>65)	659.82	682.57
Learning Disability	595.84	618.59
<b>Nursing Placement</b>		
Older People/Mental Health/Drug & Alcohol	621.25	644.00
Physical Disability (>65)	655.90	678.65
Learning Disability	591.57	614.32
Nursing fees exclude Funded Nursing Care contribution.		
<b>Dementia Care Payment</b>		
Residential & Nursing	47.81	
<b>Day Care (per day)</b>		
Residential & Nursing	42.78	
<b>IN HOUSE PROVISION</b>		
<b>Residential Placement</b>		
Homes for Older People	770.77	
Homes for Older People (Preserved Right Pre 01/10/20)	600.67	
Community Support Beds / Specialist Dementia	1,248.59	
Community Support Beds / Specialist Dementia (Preserved Rights 01/08/18 to 30/09/20)	1,110.20	
Community Support Beds / Specialist Dementia (Preserved Rights Pre 01/08/18)	600.67	
LD Residential	1,304.52	
<b>Day Care (per day)</b>		
Older People	41.84	
Learning Disability	54.92	

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**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Adult Social Care & Health**

**Withdrawal of bi-weekly review of specific Urgent Officer Decisions  
during the Coronavirus Pandemic**

**ADULT SOCIAL CARE & HEALTH**

**1. Purpose of the Report**

To seek cabinet approval:

- To withdraw 3 specific decisions from bi-weekly Officer and Cabinet Member review as they are now subject to Government guidance and some progress has been made since the original decisions. These decisions include cessation of care home visiting and temporary closure of day service's for both older adults and adults with a learning disability and Autism.
- To withdraw 1 specific decision from bi-weekly Officer and Cabinet Member review as it no longer requires an ongoing decision to be made. This relates to Fire Risk Mitigation Work.

**2. Information and Analysis**

**Background**

The purpose of the initial report on 23.04.2020 and subsequent bi-weekly reviews has been to provide the Cabinet Member with an update in relation to those actions which were the subject of Officer's Decisions utilising emergency decision making powers as detailed in the constitution, and to provide assurance in relation to the reviews which have been undertaken.

The challenges relating to the COVID-19 pandemic necessitated urgent decision-making processes by the Executive Director for Adult Social Care and Health to be implemented to ensure the welfare of service users and the public and to safeguard the interests of the Council. The decisions have been

made under the urgent delegated powers to Executive Directors as set out in the Constitution.

In the main, the decisions have related to short-term temporary arrangements which have been subject to regular review. This has been particularly important where subsequent Government guidance has been issued notably in the area of Adult Social Care. It is intended that as Cabinet is now able to function by meetings being held 'remotely' the need for officers to make urgent decisions will now diminish.

However, it has been important that officer decisions have been reviewed regularly by elected members and officers. At the 4 June 2020 Cabinet meeting it was agreed that Cabinet would formally delegate review decisions to the relevant Cabinet Member (CABCO) meeting as these were meetings held in public, virtually if necessary, in order to ensure maximum transparency. A summary of review decisions made by Cabinet members has been reported to Cabinet every two months. As a further safeguard any significant reductions in service that have been reviewed and substantially maintained over any eight-week period have been referred to Cabinet as soon as possible after the eight-week period for ratification.

All review decisions to date have been discussed with the Executive Director and Cabinet Member following review by the Senior Management Team. In addition, the Principal Social Worker has been engaged and consulted with over the initial decisions and has reviewed all updates. The Principal Social Worker is satisfied that the original decisions have been made with due regard for the Department of Health and Social Care Ethical Framework. Where appropriate Care Act easement guidance has been considered and formed part of the decision-making process initially. The Principal Social Worker is aware of the review processes in place.

### **Officer decisions**

Officer decisions have been made and reviewed bi-weekly in relation to the following 7 areas, and have now been reviewed a total of 24 times since the start of the Coronavirus pandemic:

- 1. Adult Social Care Residential Homes for Older People, closure to visitors (ASCODR1)**
- 2. Closure of Older Adults Day Centres and Cessation of service delivery for over 70's in learning disability day Services (ASCODR2)**
- 3. Closure of building based Day Centres for people with a Learning Disability (ASCODR3)**
- 4. Cessation of planned respite breaks services for Older Adults and people with a Learning Disability (ASCODR4)**
- 5. Fire Risk Mitigation Work (ASCODR6)**

## 6. Financial Charging

## 7. Shared Lives carers additional payments

### Initial Officer decisions 1-7 and latest reviews:

#### Initial Officer decisions 1- Care home visiting:

The initial decision taken on 20 March 2020 to cease care home visiting was deemed to be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.

The decision taken on 20 March 2020 was to cease to allow general visits from friends and family to people living within Derbyshire County Council's residential establishments.

The decision has been subject to a minimum of fortnightly reviews by SMT and Cabinet Member.

Government advice at the start of the pandemic advised that people aged 70 and over and those deemed clinically vulnerable or clinically extremely vulnerable were required to self-isolate.

The advice in March from the Director of Public Health (DPH) and the written guidance updated on 20 March 2020 and the interpretation provided by the Director for Public Health, from advice he had received from Public Health England; is that the words "strongly advised" meant, that Adult Care should place visiting restrictions on homes for older people and Learning Disabilities/Autism.

Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response, which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.

An Equality Impact Analysis was undertaken and identified that the decision would have had an impact on both older people and people with a learning disability and Autism and their families and carers. This EIA was completed on 30 June and has been kept under review.

#### Current Officer decisions 1 – Care home visiting:

Due to tier 4 restrictions and subsequently whole of England lockdown measures further introduced on 05 January 2021; closed window visits to residents within care homes are only permissible at this current time due to rising infection levels and a new more transmissible strain of Covid-19.

[www.gov.uk/guidance/national-lockdown-stay-at-home](https://www.gov.uk/guidance/national-lockdown-stay-at-home)

Adult Social Care and Health had planned to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing) within care homes, and letters from Derbyshire County Council Adult Social Care and Health had been sent to

relatives confirming that development. These plans are now on hold and will re-start once it is safe for indoor contact visiting to be re-established. Plans are also being developed to create safe visiting spaces either using floor to ceiling glass or pods where the environment allows it.

Further mitigations have now been developed to allow visiting to take place in prescribed and limited circumstances for example within the care of someone at the end of their life. Government guidance set out on 05 January 2021 continues to be reviewed regularly to take account of the local and national circumstances and any further revised guidance. Quick decisions are required in the event of infection spikes or localised outbreaks. All decision are made in line with updated Government guidance and based upon advice from the local Director of Public Health (DPH).

The national plans to roll out the Covid-19 vaccination programme are progressing in all Derbyshire County Council care homes currently.

The decision to cease care home visiting is now subject to Government guidance and direction from the Local Director of Public Health. This is no longer dependant on Officer decision.

**Initial Officer decision 2 - Closure of Older Adults Day Centres and Cessation of service delivery for over 70's in learning disability day Services:**

The original decision taken on 20 March 2020 was significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.

Closure of Older Adults Day Centres happened with effect from 5pm on 20 March 2020. Cessation of service delivery for people aged over 70 in Learning Disability Day Services took effect from 5pm on 20 March 2020 also.

The original decision has been subject to a minimum of fortnightly review by SMT and Cabinet Member.

Government and Public Health England advice in relation to reducing the risk of infection spread in relation to COVID-19 at the time stated that those over 70 should self-isolate, and from 4 July should seek to minimise contact with people from other households.

As most users of our older adult's day centres are over 70 years old it was not possible to continue to operate those services as individuals self-isolated in order to adhere with social distancing requirements.

Although there are small numbers of people aged under 70 attending the centres they were also likely to fall into the category of having an underlying 'high risk' health condition which meant they were advised to strongly adhere to social distancing guidelines and reduce contact with others outside of their household.

To support the Government guidance for people aged 70 and over to self-isolate and maintain social distancing, it was appropriate for day centres for older adults to remain closed and to avoid bringing groups of six or more people together in a closed environment.

Similarly, a decision was made to cease the attendance for those aged over 70 attending our learning disability day services so that we were able to adhere to Government guidance and protect those individuals; other service users and staff from increased risk.

From 4 July 2020 onwards, it was considered appropriate to maintain this position as individuals continued to be advised to limit contact with other people and social distancing measures needed to be maintained. All individuals were assessed in relation to ongoing alternative support to enable them to manage without their day centre support. Monitoring of the wellbeing of individuals was also undertaken during the closure period and additional support was offered to Carers where appropriate.

From 4 July onwards consideration was given to linking in with local community activity created by the Community Response Hub, technology solutions and outdoor activities as alternative forms of provision for individuals where appropriate. Individual risk assessments were undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite where appropriate and alternative arrangements were considered.

Risk assessments have been reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures were eased. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response, which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken. An Equality Impact Analysis was undertaken and identified that the decision would have had an impact on both older people and their families and carers. This EIA was completed on 30 June and has been kept under review.

Mitigations have been put in place through the regular reviews, and where appropriate care packages have been adjusted to accommodate the temporary closure of the building-based day services. The Community Response Unit, established by the County Council and a range of voluntary organisations and local businesses, has also been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of older adults who use building-based day services have

been referred to and have made use of the Community Response Unit's services.

Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered. The Council continued to review the access restrictions imposed on all its buildings in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people.

**Latest Officer decisions 2- Closure of Older Adults Day Centres and Cessation of service delivery for over 70's in learning disability day Services:**

A steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021. The ambition is to open all our Older Adults day services in a phased approach.

By re-opening four day services in phase one to small groups of no more than 15 people; we followed Regulation 11(9) of *The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020*, which enabled the continuation of day care as a permitted exception to an indoor gathering as restrictions were eased:

[https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf)

Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020.

Following a new national lockdown in place from 05 January 2021 due to rising infection rates new more transmissible variants of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential.

All day service attendees and/or their carers have been contacted by day service staff to advise them of the new guidelines. Some attendees who are not classed as Clinically Extremely vulnerable have declined to attend at this time or until they have been vaccinated. Attendees have been assured that this will not jeopardise their place moving forwards. We have revisited establishment risk assessments for all open day services to ensure all continue to meet covid secure guidelines under the new national lockdown. The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently approximately only 35% of people who attended historically being able to attend on any one day. We have also put in place safe

systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.
- Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however again we are reviewing the safety of this option under the new national lockdown.

Further re-opening of centres as part of phase 2 will be on an individual basis whilst we are still subject to lockdown restrictions, and a further centre for Older Adults is planned to reopen on an essential basis to limited numbers in March 2021.

The Department for Health and Social Care has announced the roll-out of weekly routine testing on 01 February 2021 for high risk adult day care centres that are deemed critical to remain open throughout lockdown and all day services that remain open will be weekly testing staff for Covid.

The decision for most day services to cease operating is now subject to Government guidance relating to bringing groups of people together and social distancing, this is no longer dependant on bi-weekly Officer decision.

### **Initial Officer decisions 3 - Closure of building based Day Centres for people with a Learning Disability:**

Closure of building based Day Centres for people with a Learning Disability took place with effect from 5pm on 23 March 2020. It was a significant decision in terms of its effects on communities living or working in an area comprising of two or more electoral divisions in the county area.

This meant the closure of DCC run day centres for people with a learning disability and Autism, with advice for private, voluntary and independent (PVI) sector services that operate in large groups using a building base to close.

Other PVI day services that operate in small groups outside and through one to one activity with personal assistance were not advised to close. The decision has been subject to a minimum of fortnightly reviews by SMT and Cabinet Member.

In order to adhere to Government guidance, we needed to close our day centres for people with a learning disability and avoid bringing groups of people together in a closed indoor environment where social distancing may not be able to be effectively maintained.

At the start of lockdown, we tried to deliver the service using opportunities to do this in a way that enabled social distancing, but this was proven to be unsustainable.

All individuals were assessed as to whether they required ongoing alternative support to enable them to manage without their day centre service. Monitoring of the wellbeing of individuals will also be undertaken during the closure period. From the 17 April 2020, it was agreed that the limited operation of two day centres would take place to support people with complex needs. This was considered and approved as appropriate risk mitigation to prevent placement breakdown and reduce the probability of hospital admission.

As lockdown measures were eased from 4 July 2020 onwards, the following measures were considered as alternatives as appropriate to the individual.

- digitally
- phone calls to Service users or their carers
- Activity packs to do at home
- Shopping
- Newsletters, Support letters and photographs
- Some farms offered delivery of food packs to service user's doors and into the local community.

Some private, voluntary and independent sector services have continued to provide alternative provision to support families and carers.

Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite if appropriate and alternative arrangements have been considered throughout the pandemic. These risk assessments have been reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures have eased at various points throughout the Covid-19 pandemic.

In most circumstances a decision to temporarily cease day service provision would have been subject to consultation with people who access these



services. Consultation was however unable to take place due to national advice being issued from the Government regarding the COVID-19 pandemic response, which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken. The decision will have had an impact on both people with a learning disability and their families and carers. The temporary suspension of the service, and the ongoing assessment of people's care packages brings with it the potential for further risks to those who were attending the service. However, this risk had to be balanced against the risk of infection from COVID 19 and adherence to national guidelines. An Equality Impact Analysis (EIA) was completed on 30 June and has been kept under review.

The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services. Derbyshire Carers Association has also continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans have been offered.

Whilst the Council continues to review the access restrictions imposed on all of its buildings, in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people, it is not expected that building-based services will be returning as previously provided prior to COVID-19. As such, a programme group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and will report back to Cabinet with an established position.

### **Latest Officer decisions 3 - Closure of building based Day Centres for people with a Learning Disability:**

A working group has continued to explore options for the future of these services. Latest officer decisions have taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by re-opening some day services to small groups of People; we have followed Regulation 11(9) of The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020, which enabled the continuation of day care as a permitted exception to an indoor gathering:

[https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

Following a new national lockdown in place from 05 January 2021 due to rising infection rates and new more transmissible variants of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential.

All day service attendees and/or their carers have been contacted by the hub practitioners to discuss with them the new guidelines and to complete further individual risk assessments. Service Users choosing or not able to attend have been assured that this will not jeopardise their place moving forwards. A joint decision by attendees, carers/ family and colleagues was taken to close 'No limits' day service due to the extreme vulnerability of those that attend. Staff at the centre are continuing to offer support remotely. Other day centres that had opened, remain open.

We have revisited the establishment risk assessments for all open day services to ensure they all continue to meet Covid secure guidelines under the new national lockdown.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however, again we are reviewing the safety of this option under the new national lockdown.
- A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments where their usual base remains closed.

All mitigation measures remain in place whilst a national lockdown remains in place. The decision for most day services to cease operating is now subject to Government guidance relating to bringing groups of people together and social distancing, this is no longer dependant on Officer decision.

**Initial Officer decision 4 - Cessation of planned respite breaks services for Older Adults and people with a Learning Disability:**

An Officer decision to cease planned respite breaks services for Older Adults and people with a Learning Disability took effect from 23 March 2020 Which was significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area. The decision has been subject to a minimum of fortnightly review by SMT and Cabinet Member throughout the pandemic.

Government and Public Health England advice in relation to reducing the risk of infection spread in relation to COVID-19 was that those aged 70 and over and those vulnerable due to underlying health conditions should self-isolate and adhere to social distancing requirements. We were therefore required to reduce the risk of cross infection for both those using respite and long-term care home residents to reduce the number of individuals coming in and out of the services to reduce risks in relation to infection transmission.

As most users of our older adult respite care services are aged 70 and over it was no longer possible to continue to operate those services safely.

Similarly, significant numbers of the people using our learning disability respite services have an underlying 'high risk' health condition which meant that they were advised to socially distance and minimise contact with others from outside of their household as a programme of 'Shield and Protect' was introduced. In order to protect these individuals and other residents within our residential care homes a decision was taken by Officers and Cabinet member for non-urgent respite provision to remain closed.

As the initial decision was made, all individuals usually accessing respite services were monitored to ensure that the withdrawal of planned respite would not lead to significant risks to their health and wellbeing. Individual risk assessments were undertaken.

The decision would usually have been subject to consultation with service users. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken. The decision has impacted on older adults, people with a learning disability and their families and carers. The temporary suspension of the service brings with it the potential for further risks to those who make use of the service; however, this risk was balanced with the risk of infection from COVID 19.

Mitigations have been put in place through the regular review of people who would normally access the provision, and where appropriate care packages have been adjusted to accommodate the temporary cessation of the service

Emergency respite services have continued to operate from a number of the Council's establishments, or as outreach services for some people.

The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. Whilst it is not known if people who have previously accessed respite services have been referred to or used the Unit, it is known that older adults and people with a learning disability who use other services such as building-based day care have accessed this Unit's services. Derbyshire Carers Association from the start of the pandemic has continued to offer support to carers who may have seen their caring duties increase as a result of the cessation of planned respite. In addition, Carers emergency plans have been offered.

An EIA was completed on 30 June and has been kept under review. Any excess staffing capacity generated as a result of this cessation of service has been temporarily redeployed to support other service areas responding to the COVID-19 pressures.

**Latest Officer decision 4 - Cessation of planned respite breaks services for Older Adults and people with a Learning Disability:**

Following ongoing work by officers the current position is that most building-based planned respite services continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so, however this is currently being reviewed as to the safety of continuing this during the new national lockdown.

Officers continue working towards re-opening a centre within the County to offer respite in a Covid-secure environment when it is safe to do so.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.
- Derbyshire Carers Association has continued to offer support to carers who have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision also being closed in addition to cessation of planned respite within residential care.
- Carers emergency plans have been offered.

This position continues to be an Officer and Cabinet Member decision supported by Government guidance which is likely to remain unchanged whilst restrictions are in place during the pandemic.

**Initial Officer decision 5 - Fire Risk Mitigation Work:**

A decision was taken on 22 March 2020 to conduct all essential maintenance and specific fire risk mitigation works within our Residential Care Homes for Older People in order to deliver on previously identified risk mitigation and ensure the safety of residents and staff.

The decision was significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area. The decision has been subject to a minimum of fortnightly review by SMT and CMT in line with national Government guidance relating to vulnerable groups and social distancing.

Despite the Coronavirus outbreak and the requirements for people to work from home where possible, managing contact with other individuals through social distancing; it was deemed necessary for previously identified fire risk mitigation works to continue to be completed so that residents and staff continued to be supported to live and work in a safe environment. Initially Property colleagues identified that any non-essential works should cease in order to protect their staff from the risk of infection transmission, suggesting that the remaining fire risk mitigation works should be suspended.

Subsequent detailed discussions continued between Directors from both departments in order to weigh up the risks to residents and Adult Social Care staff associated with not completing the works; against the risks to Property staff from undertaking the fire risk mitigation works. It was agreed that those fire risk mitigation works, and any other essential maintenance should continue.

Property and adult social care establishment managers and staff have continued throughout to complete individual risk assessments for each establishment / area of work in order to ensure that infection control measures and social distancing requirements are maintained whilst operatives are on site. This has included ensuring that the work area is closed to access for residents and staff safety.

Ongoing risk assessments have taken place to inform the wider mitigation works programme by Property Services and colleagues in health and safety.

This decision by Officer and Cabinet Member would not have been subject to consultation with service users and the public as it relates to maintenance of residential care homes. It was separate to the decision which was subject

to consultation regarding the long-term strategy for Direct Care Homes for Older People.

**Latest Officer decision 5 - Fire Risk Mitigation Work:**

All phase 1 and 2 work has now been completed in the homes which required a rewire as part of the fire risk mitigation works, apart from some minor ventilation installation work on kitchen doors at 4 homes which has been delayed because of supply issues. The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessments, and these have been evaluated.

The risk evaluation took place on 5 January 2021 and there remained some follow up work to complete by individual establishments. This was formally reviewed again on the 28 January 2021 and there remains a minimal amount of ongoing work for completion in a small number of homes. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required which significantly reduces the fire risk. There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.

As all essential phase 1 and 2 work is now complete, this is no longer deemed to require bi-weekly officer decision review.

**Initial Officer decision 6 - Financial Charging:**

A decision was approved by the Corporate Management Team on 8 April 2020 to adjust the client contribution guidance to meet changes in service due to the Coronavirus Pandemic.

The decision has been subject to a fortnightly review to ensure that the charging arrangements appropriately reflect operational service arrangements which have been put in place in line with national guidance regarding social distancing and supporting vulnerable people throughout the COVID-19 pandemic. Several services have had to close, and some people have been prevented from accessing other services. In these circumstances, guidance was required to outline which charging regime applies in those different scenarios.

The alternative option would have been to continue to charge people, which Adult Care could technically have done under our current co-funding scheme. However, as individuals are no longer receiving a service, it was thought that they would consider this to be unfair and would give rise to numerous complaints particularly when there is no identified timeframe for an end to the changes that have had to be made.

No risk assessment was carried out with regards to the ceasing of charging. But risk assessments were carried out for all people to ensure that they would be safe when their services were removed.

The decision taken was not one which Adult Care would expect to consult Service Users and Carers over, as this is usually done when a charge is introduced, not removed.

**Latest Officer decision 6 - Financial Charging:**

following ongoing work by officers, the current position is that these interim charging arrangements should remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements have changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place, and the new national lockdown. This decision by CMT, SMT and Cabinet member has continued to remain unchanged throughout the pandemic and remains subject to bi-weekly Officer review.

**Initial Officer decision 7 - Shared Lives carers additional payments:**

A decision to make discretionary payments in order maintain our Shared Lives carer capacity and reliance took effect from 1 April 2020 in order to support placements. The decision does not affect significant numbers of people in two or more electoral divisions.

From 1 April 2020 it was proposed to:

- Pay full time Shared Lives carers an additional £40 per week.
- Pay short break and day support Shared Lives carers the amount which they ordinarily would have earned.

Due to the lack of day and residential short breaks being available to Shared Lives carers they are in effect being asked to provide unpaid care for three days per week 9am-5pm plus four weeks respite (28 days per year pro rata).

This proposal was initially implemented for a period of eight weeks, after which reviews have taken place with the service manager responsible for Shared Lives and the appropriate Group Manager with Assistant Director oversight on a fortnightly basis. It was felt that the review would ascertain whether the additional payments needed to continue for a further period of time. This was a delegated decision.

Shared Lives carers provide family based 24 hour accommodation and support primarily for people with learning disabilities currently within Derbyshire. Some Shared Lives carers also provide both day care and overnight short break opportunities in order that carers of people who continue to live in a family home can have a break from their caring roles.

The closure of all day and short break services including those run by DCC and the NHS health trust during the Coronavirus pandemic has curtailed available breaks for Shared Lives carers, forcing them to undertake care on a 24/7 basis for the foreseeable future. Those Shared Lives carers who provide regular short breaks for the families/carers of people with learning disabilities and breaks during the day have been unable to do so due to both the closure of day services and the rules on social distancing.

Consequently, they have seen a significant drop in their income. These carers are unable to take advantage of government schemes for the self-employed throughout the pandemic response period and would therefore be financially disadvantaged which may have placed Shared Lives arrangements in jeopardy of breaking down without Adult Care making an additional payment.

For short break and day support carers a 'one off' payment was considered, but this was thought to be unfair due to the different level of support that each carer gives. The agreed decision better reflected the range of activities undertaken by the carer to support each individual/s they care for. Had the decision not been taken, there was deemed to be a significant risk of losing some very good carers, and the people they care for would most likely have had to be placed in significantly more dependant and expensive care.

The decision made was not subject to User and Carer consultation or EIA as no groups are being negatively impacted due to the decision being one of increasing payment to enable individuals to continue to provide support to people with a long-term health condition or disability.

#### **Latest Officer decision 7 - Shared Lives carers additional payments:**

Following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Bi-weekly review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

Payments to full time-Shared Lives carers should continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment. For full time carers we are seeking to liaise with them in terms of accessing respite provision if



required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to a day service.

This position remains unchanged and is subject to ongoing Officer Decision review.

#### **Four officer decisions requested to be withdrawn from bi-weekly review:**

- **Decisions 1-3 (Care home visiting, Older Adults day service and Learning Disability day service cessation):**

This report seeks permission to omit decision 1-3 above from the bi-weekly reviews by Cabinet member and officers, as decision 1-3 are no longer ratified as they were at the start of the pandemic. These were decisions made in an emergency at the emergence of the Coronavirus pandemic. Decisions 1-3 are now subject to Government guidance and legislation, with oversight from the Director of Public Health for Derbyshire. We have also made progress since the original decision was made by re opening some day service establishments to small groups of people in line with Government guidance. If this position changes, Adult Social Care will report back to Cabinet as necessary.

- **Decision 5 (Fire Risk Mitigation Work):**

This report seeks permission to omit decision 5 above from the bi-weekly reviews by Cabinet member and officers, as decision 5 regarding fire risk mitigation work within Derbyshire County Council residential homes for Older Adults is now redundant. All urgent works are complete and non-urgent work is to be continued as part of a 2 year forward focused plan.

- Decisions 4, 6 and 7 remain the responsibility of Officer and Cabinet member for ongoing bi-weekly reviews for as long as they remain relevant throughout the period of the Coronavirus pandemic.

A copy of the 2 most recent full Officer Decision records are attached at **Appendix 1 and 2.**

### **3. Financial Considerations**

#### **Financial impact of decisions 1,2,3 and 5:**

##### **Decision 1 Temporary cessation of care home visiting**

- There have been no additional costs associated with this decision.

**Decision 2 Temporary cessation of Older Adults Day services**

- It is estimated that the department will lose approximately £2,800 per week in co-funding contributions, reducing slightly as some services have re-opened. This loss of income has been met from the COVID-19 Emergency Grant.

**Decision 3 Temporary cessation of Day services for people with a learning disability and/or who have Autism.**

- It is estimated that the department has lost approximately £5,000 per week in client contributions, reducing slightly as some services have re-opened to a small number of individuals. This loss of income has been met from the COVID-19 emergency grant.

**Decision 5 Essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People**

- There were no additional costs associated with this decision.

The request to withdraw these 4 areas from bi-weekly Officer and Cabinet member review decisions has no additional financial impact.

**4. Human Resources Considerations****Decision 1 Temporary cessation of care home visiting**

- There have been no Human Resource considerations associated with this decision.

**Decision 2 Temporary cessation of Older Adults Day services**

- Staff have been temporarily redeployed to support other service areas responding to the COVID-19 pressures.

**Decision 3 Temporary cessation of Day services for people with a learning disability and/or who have Autism.**

- Staff have been temporarily redeployed to support other service areas responding to the COVID-19 pressures.

**Decision 5 Essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People.**

- Risk assessments to ensure staff safety have been ongoing throughout.

The request to withdraw these 4 areas from bi-weekly Officer and Cabinet member review decisions has no additional impact on Human Resource considerations.

**5. Legal Considerations****Decision 1 Temporary cessation of care home visiting**

It was noted that the decision taken had human rights implications in terms of a right to respect for private and family life. (Article 8 Human Rights Act 1998). Officers were asked to ensure that the report detailed how these rights have been balanced and how residents might be supported in having contact with friends and family, other than direct contact. Mitigations were identified including Skype calls initially and closed window visits more latterly. The human rights implications were carefully balanced against an individual's wellbeing and the risks to a person's health if visiting was permitted within a home increasing chances of transmission of the disease.

### **Decision 2 Temporary cessation of Older Adults Day services**

The Officer decision record indicated that individual assessments were to be undertaken in a timely way to ensure affected persons received the support necessary. Reviews were reported to have taken place and assurances were given that area teams of Adult Care staff were actively reviewing support needs every two weeks and where appropriate following an initial priority rated assessment, social work teams have contacted some individuals on a daily basis to check there is no significant change in their circumstances that may require consideration and mitigation.

### **Decision 3 Temporary cessation of Day services for people with a learning disability and/or who have Autism.**

Similar assurances were necessary regarding decision 3. The Council has powers in accordance with S1-6 of the Localism Act 2011 to do that which will be to the 'benefit of the authority, its area or persons resident or present in its area'. The proposed benefit of this decision was to support the reduction in COVID-19 infections and reduce the transmission of the virus. Due to the timescales involved it was not possible to consult affected persons but arrangements were put in place to assess those current service users who may be impacted by the decision to ensure that they are provided with alternate support should this be required. An evaluation of the risks of this course of action and the mitigation of these risks and an EIA was undertaken. The decision taken was deemed proportionate and reasonable response to COVID-19 risks. The suspension of this service type, in isolation, where other services will continue a business as usual basis, is possible applying flexibilities under the Care Act 2014. It represents a Stage 2 decision as set out within the Care Act easements Guidance for local authorities

### **Decision 5 Essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People.**

The proposed work and the risks inherent in either undertaking or discontinuing this was carefully evaluated. The decision to continue the work was proportionate to this evaluation. In the event of new guidance being received regarding the presence of operatives within residential settings the decision would have been promptly revisited.

Removing this decision from bi-weekly Officer and Cabinet Member review has no impact on legal implications as all essential works in phase 1 and 2 of the planned works are now complete.

By withdrawing these 4 decisions from bi-weekly review by Officers and Cabinet Member, there should be no further legal implications as all four decisions remain the subject of government guidance and Coronavirus legislation. *The Health Protection (Coronavirus, Restrictions) (No. 3) and (All Tiers) (England) (Amendment) Regulations 2021*.

The authority would act in accordance with the above legislation and guidance.

## **6. Background Papers**

See attached Appendices 1 and 2;

- 07012021 Review of officer Decisions for ASCH
- 21012021 Review of officer Decisions for ASCH

## **7. Key Decision**

Yes

## **8. Is it required that the Call-in period be waived in respect of the decisions being proposed within this report?**

No

## **9. Officer's Recommendation**

That Cabinet approves:

- Withdrawal of the three specific decisions from bi-weekly officer and Cabinet Member review as they are now subject to government guidance, and some progress has been made since the original decisions. These decisions include cessation of care home visiting and temporary closure of day service's for both older adults and adults with a learning disability and Autism.
- Withdrawal of one specific decision from bi-weekly officer and Cabinet Member review as it no longer requires an ongoing decision to be made. This relates to Fire Risk Mitigation Work where all essential work is now complete.

**Helen Jones**  
**Executive Director – Adult Social Care & Health**  
**County Hall**  
**MATLOCK**

**DERBYSHIRE COUNTY COUNCIL****CABINET MEMBER****21 January 2021****Report of the Executive Director for Adult Social Care & Health****REVIEW OF URGENT OFFICER DECISIONS  
TAKEN TO SUPPORT COVID-19 RESPONSE****ADULT SOCIAL CARE AND HEALTH****1. Purpose of the Report**

The purpose of the report is to provide the Cabinet Member with an update in relation to those actions which were the subject of Officer's Decisions utilising emergency decision making powers as detailed in the constitution and to provide assurance in relation to the reviews which have been undertaken.

**2. Information and Analysis**

The current challenges relating to the COVID-19 pandemic have necessitated urgent decision-making processes by the Executive Director for Adult Social Care and Health to be implemented to ensure the welfare of service users and the public and to safeguard the interests of the Council. The decisions have been made under the urgent delegated powers to Executive Directors as set out in the Constitution.

In the main, the decisions relate to short-term temporary arrangements which are subject to regular review. This is particularly important where subsequent Government guidance has been issued notably in the area of Adult Social Care. It is intended that as Cabinet is now able to function by meetings being held 'remotely' the need for officers to make urgent decisions will now diminish.

However, it is important that officer decisions are kept under regular review by elected members and officers. At the 4 June 2020 Cabinet meeting it was agreed that Cabinet would formally delegate review decisions to the relevant Cabinet Member (CABCO) meeting as these were meetings held in public, virtually if necessary, in order to ensure maximum transparency. A summary of review decisions made by Cabinet members will be reported to Cabinet

every two months. As a further safeguard any significant reductions in service that have been reviewed and substantially maintained over any eight-week period will be referred to Cabinet as soon as possible after the eight-week period for ratification.

Below, in table 1, is an update on the reviews that have taken place since the last Cabinet Member meeting on 21 December 2020. All review decisions to date have been discussed with the Executive Director and Cabinet Member following review by Senior Management Team.

A copy of the most up to date version of the Officer Decision Records is attached as Appendix 1.

**Table 1: Summary of officer decision record reviews.**

Officer Decision	Review notes and recommendation
Adult Social Care Residential Homes for Older People, closure to visitors (ASCODR 1)	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 28 December 2020 notes that local restrictions from Public Health allow only for closed window visits at this current time due to several outbreaks of Covid-19.</p> <p>We plan to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing). Letters from Derbyshire County Council Adult Social Care and Health have been sent to relatives confirming that development. The decision to restart indoor contact visiting will be taken once arrangements for Lateral Flow Test testing for visits are in place.</p> <p>The national plans to roll out the Covid-19 vaccination programme are progressing.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Closure of Older Adults Day Centres	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p>

<p>and Cessation of service delivery for over 70's in learning disability day Services (ASCODR 2)</p>	<p>The latest review on week beginning 28 December 2020 notes that a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020-2021. The ambition is to open all our Older Adults day services in a phased approach.</p> <p>Based on current Government guidelines and following advice from our legal services; by re-opening four day services in phase one to small groups of people; we are following Regulation 11(9) of <i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020. We continue to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021. We are currently working on ensuring that the locations where possible to open further day centres in phase 2 meet robust Covid secure requirements.</p> <p>The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.</p> <p>Following a prioritisation exercise for reopening centres in phase 1; a number of those offered a place who had been assessed as highest priority declined in some areas, thus allowing for places to be additionally offered to those assessed as moderate risk. We will need to revisit prioritisation according to people's changing needs in phase 2 reopening.</p>
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	<p>We discussed with all who planned to attend in phase 1 that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, (<i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020,</i>) there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others. We will revisit this advice with people within the phase 2 offer.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> <li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
<p>Closure of building based Day Centres for people with a Learning Disability (ASCODR 3)</p>	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 28 December 2020 notes that a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020-2021.</p> <p>A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.</p>



Based on advice from our legal services; by opening some day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enables the continuation of day care as a permitted exception to an indoor gathering:

[https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We continue to work with colleagues in health and safety and Public Health in order to be able to open additional centres shortly.

The work we continue to do to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, Government guidance will allow for no more than 15 service users on any day to access individual services unless in separate 'bubbles' of 15 using separate areas of a building with separate facilities. This may be possible at some of the larger centres.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- In addition to the small number of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).
- A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
<p>Cessation of planned respite breaks services for Older Adults and people with a Learning Disability (ASCODR 4)</p>	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 28 December 2020 notes that following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>Currently officers remain working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans have been offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
<p>Fire Risk Mitigation Work (ASCODR 6)</p>	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p>

	<p>The latest review on week beginning 28 December 2020 notes that all of the phase 1 and 2 work has been completed except for the following:</p> <ul style="list-style-type: none"> <li>• Goyt Valley House where compartmentation work will be completed by 08 January 2021;</li> <li>• Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021;</li> <li>• Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).</li> </ul> <p>The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these took place on 15 December 2020. There are still some areas outstanding for clarification which we are working with Health and Safety Colleagues to rectify. We will be revisiting the risk evaluation on 5 January 2021 when it is anticipated that all additional information requested is complete. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required.</p> <p>There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Financial Charging	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 28 December 2020 notes that following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however</p>

	<p>we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. This position continues to remain unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
<p>Shared Lives carers additional payments</p>	<p>This has been reviewed on twenty-one occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 28 December 2020 notes that following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to the day centres. This position remains unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>

### 3. Feedback from Principal Social Worker

The Principal Social Worker has been engaged and consulted with over the initial decisions and has reviewed these latest updates. The Principal Social Worker is satisfied that the original decisions have been made with due regard for the Department of Health and Social Care Ethical Framework. Where

appropriate Care Act easement guidance has been considered and formed part of the decision-making process. The Principal Social Worker is aware of the review processes in place.

#### **4. Financial Considerations**

As part of the urgent officer decision-making process, regard has been had to financial implications and these are detailed where appropriate on the original Officer Decisions.

#### **5. Human Resources Considerations**

As part of the urgent officer decision-making process, regard has been had to human resources implications and these are detailed where appropriate on the original Officer Decisions.

#### **6. Legal Considerations**

The reviews of the Officer Decisions made under powers delegated to officers in accordance with the Constitution have ensured that timely consideration is given to the necessity and proportionality of the continuation of those actions outlined in the Officer Decision Records.

The Council's Constitution provides that "notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The preparation of an Equalities Impact Assessment in relation to all the Officer Decisions made using urgent delegated powers has been undertaken and will continue to inform decision making.

#### **7. Equality implications**

As part of the urgent officer decision making process, regard has been given to equality implications within the demand time scales applying. A consolidated Equality Impact Assessment is in development and was reported to the 4 June 2020 Cabinet meeting. Specific EIAs in relation to the decisions that affect visiting restrictions at older people's care homes, the closure of day centres and the closure of respite provision have been developed alongside a more comprehensive covering report.

## 8. Other Considerations

In preparing this report the relevance of the following factors has been considered: Social Value, Human Rights, equality of opportunity, health, environmental, transport, property, social value and crime and disorder considerations.

## 9. Background Papers

- Officer Decision Records considered by Cabinet on 23 April 2020, 14 May 2020 and 4 June 2020 and published on the county council website.
- Officer Decision Record considered by Cabinet Member 25 June 2020 and published on the county council website.
- Decision making process during Covid Epidemic – report to Cabinet 4 June 2020
- Review of urgent officer decisions taken to support COVID-19 Response – report to Cabinet Member 11, 25 June and 9 and 24 July 2020.
- Cabinet Report - 30 July and associated Equality Impact Assessments
- Equality Impact Analysis – Urgent decisions in relation to council services, functions and assistance

## 10. Key Decision

As indicated in reports

## 11. Is it required that the Call-in period be waived in respect of the decisions being proposed within this report?

No

## 12. Officer's Recommendation

The Cabinet Member for Adult Social Care and Health is asked to:

- i. Note the review of decisions made under urgent delegated powers arising from the COVID-19 Pandemic; and
- ii. Note that future review decisions will be made on a fortnightly basis by the Cabinet Member for Adult Care.

**Helen Jones**  
**Executive Director – Adult Social Care & Health**  
**County Hall**  
**Matlock**

## Appendix 1 – Copy of Officer Decision Records

DERBYSHIRE COUNTY COUNCIL

### OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Residential Care	
Subject of Decision: (i.e. services affected)	Adult Social Care Residential Homes for Older People, closure to visitors
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of the decision taken on 20 March 2020
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	Decision taken on 20/3/20 to cease to allow general visits from friends and family.  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision)	Government advice at the start of the pandemic advised that people aged 70 and over were required to self –isolate. The expectation of further advice from government as to implications for care homes was anticipated, but with none arriving to inform this initial decision.  The advice in March from the Director of Public Health (DPH) and the written guidance updated on 20/3/2020 and the interpretation provided by the DPH, from advice he had received from Public Health England is

<p>Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	<p>that the words “strongly advised” meant, that we should I put visiting restrictions to homes for older people in place.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>We considered keeping the homes open to restricted visitors (friends and families only), but this seemed insufficient in the light of the guidance and advice received. We considered further social distancing measures. These were to be a letter, to friends/ relatives advising them of the risks, asking them to consider their position and reminding them of hygiene and social distancing measures and the potential to visit outside of the home. This was in fact the position agreed at the 8.30 meeting on the 20/2/2020, however, we reverted subsequently after the advice from the DPH and formally changed the decision at a specially reconvened ASC Gold later in the day. Minutes of both meetings are available.</p>
<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>A risk assessment was not undertaken as the decision was taken urgently in response to national guidance issued by the Government in relation to the COVID-19 Pandemic. Subsequently some adverse impacts have been identified and these have been mitigated against – e.g. by ensuring all DCC HOPs and CCC’s have access to Skype technology so family members can keep in touch.</p> <p>Further mitigations have now been developed to allow visiting to take place in prescribed and limited circumstances as detailed in the SMT update section to this ODR. This reflects changing government guidance both in relation to the easing of the lockdown from 4 July 2020 (which does permit limited contact with other people, but with the importance of keeping your distance from people not in your household) and guidance issued on 22 July 2020 on visiting care homes during coronavirus. From 6 July structured, scheduled visits can now take place outdoors (where possible). This will continue to be reviewed regularly to take account of the local and national circumstances and any further revised guidance. A quick decision may be required in the</p>



	<p>event of a second spike or localised outbreak to retract these arrangements and return to restricting all visits to residential care homes.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, this decision would have been subject to consultation as it would potentially adversely impact on family members, carers and friends of the individual in the home, as well as the person living in the care establishment. This decision had to balance the need to consult with the increased risk to vulnerable groups. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>The decision will have had an impact on the people accessing care; their families and carers and staff employed to provide direct care services. The temporary restrictions to the service, brings with it the potential for further risks to those currently using and those needing the service, since it will be more difficult in some ways to monitor well-being, and identify changes in needs, without the fundamental role family and friends provide in advocating on behalf of, and interpreting the needs of their loved ones. It is also likely to be the case that many of those who visit their loved ones and friends within our care homes may be less likely to be connected digitally, so the usual alternative means of providing services, is available to a lesser degree.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>The guidance which initially supported the decision is: <a href="https://www.gov.uk/government/publications/covid-19-guidance-on-social-distancing-and-for-vulnerable-people/guidance-on-social-distancing-for-everyone-in-the-uk-and-protecting-older-people-and-vulnerable-adults">https://www.gov.uk/government/publications/covid-19-guidance-on-social-distancing-and-for-vulnerable-people/guidance-on-social-distancing-for-everyone-in-the-uk-and-protecting-older-people-and-vulnerable-adults</a></p> <p>Revisions have been made to the visiting arrangements following the publication of this guidance: <a href="https://www.gov.uk/government/publications/staying-">https://www.gov.uk/government/publications/staying-</a></p>

	<p><a href="#"><u>alert-and-safe-social-distancing/staying-alert-and-safe-social-distancing-after-4-july</u></a></p> <p>Further revisions have been made to the visiting arrangements following the publication of this guidance on 22 July 2020:</p> <p><a href="https://www.gov.uk/government/publications/visiting-care-homes-during-coronavirus"><u>https://www.gov.uk/government/publications/visiting-care-homes-during-coronavirus</u></a></p> <p>Further revisions can be made with immediate effect to the visiting arrangements should local infection levels rise; in accordance with the publication of this plan on 18 September 2020:</p> <p><a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021"><u>https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</u></a>.</p> <p><b>Feedback on original Officer Decision:</b></p> <p><b>Principal Social Worker</b></p> <p>The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied this decision has been informed by Government advice for residential care and supported living guidance which states:</p> <p>‘How care homes can minimise the risks of transmission?’</p> <p>Care home providers should stop all visits to residents from friends and family. Medical staff and delivery couriers can still visit, but you should leave a hand sanitiser by the entrance and ask them to wash their hands as soon as they enter the building.’</p> <p>The Principal Social Worker is satisfied that the decision maker has shown due regard for balancing the impact on Human Rights Act Article 8, Care Act 2014 Wellbeing Principle and the Department of Health and Social Care Ethical Framework when reaching this decision.</p>
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	<p>All Adult Social Care homes have been issued with a laptop and staff are supporting remote contact with family and friends via technology including telephone, videos, email and Skype so that essential family contact and links are maintained. All residents have person centred support plans and personal service plans that identify important others and contingency plans developed to ensure contact is promoted.</p> <p><b>Finance</b> There are no additional costs associated with this decision.</p> <p><b>Human Resources</b></p> <p><b>Legal</b> Decision is not time limited – would be beneficial to indicate a review date.</p> <p>Response: This decision will be reviewed every two weeks by SMT as part of RODR process and this will be referenced in Cabinet Report as mitigation</p> <p>Decision has HR implications (Article 8 – family life) report should detail how these rights have been balanced and how residents might be supported in having contact with friends and family, otherwise than direct contact.</p> <p>Response: This is referenced via mitigations provided via Skype and it is acknowledged that this an issue, however it is felt important in the current climate that this has to be balanced against an individual's wellbeing and the risks to a person's health if visiting is permitted within a home increasing chances of transmission of the disease.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>This took place with Cllr Wharmby by phone on 20 March 2020.  Consultation with Cllr Wharmby on Review 19/05/2020  Consultation with Cllr Wharmby on Review 27/05/2020  Consultation with Cllr Wharmby at Cabinet Member Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u> ,<u>25/06/2020</u>, <u>9/07/2020</u>,</p>

	<p><u>24/07/2020 and 6/08/2020, 03/09/2020, 17/09/2020, 1/10/2020, 15/10/2020, 29/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021</u></p>
Decision:	<p>To close all DCC residential homes with immediate effect on 20/3/2020 and to advise independent sector homes of our position and expectation.</p> <p><b>SMT review: 22/04/2020</b> - Social distancing requirements still in place and therefore need to maintain position. The national guidance now states there is a requirement to allow family members to visit loved ones at the end of life which is being delivered locally. Video calling arrangements are in place and has been positively received. SS is to provide clarity on end of life procedures across all establishments.</p> <p><b>Review by SMT 6/05/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as social distancing requirements are still in place and therefore it is important DCC maintains this position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk of COVID-19.</p> <p>Feedback from staff working in Derbyshire County Council operated residential care homes informed the original decision as some individuals were becoming anxious about the on-going visits from relatives and the risk that posed to individuals living in the home. This remains a concern amongst operational teams in relation to infection control. Nationally, it is recommended that visiting restrictions are in place for care homes due to concerns about the prevalence of the disease in these settings.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally. Direct Care staff are developing a consistent approach to end of life visiting arrangements to facilitate the implementation of this national guidance. We have ensured that each DCC home has video calling facilities to allow family members to keep in regular contact with people living</p>

	<p>in a DCC residential care establishment and this has been received positively received.</p> <p>We have also informed PVI Sector homes of this ongoing position to inform their decision making.</p> <p><b>Review by SMT 21/05/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as social distancing requirements are still in place and therefore it is important DCC maintains this position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk of COVID-19.</p> <p><b>Review by SMT 4/06/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p> <p>As a result of the latest changes to social distancing arrangements introduced by the Government this week officers are exploring how visiting restrictions can be relaxed to enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained. This work will be undertaken in conjunction with Health and Safety and Public Health colleagues to ensure any relaxing of the current arrangements are undertaken in a way that continues to minimise the risk to all parties of infection spread. The outcome of this work will be reported to Cabinet Member and will inform changes to visiting restrictions moving forward.</p> <p><b>Review by SMT 11/06/2020:</b> Hold position as PVI sector currently more broadly is not seeking to relax visiting as a potential risk to the residents in the home</p>
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	<p>in terms of infection prevention control. Officers to undertake a piece of work scoping of what might be possible and under what circumstances.</p> <p><b>Review by SMT 18/06/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p> <p>As a result of the latest changes to social distancing arrangements introduced by the Government this week officers are exploring how visiting restrictions can be relaxed to enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained. This work will be undertaken in conjunction with Health and Safety and Public Health colleagues to ensure any relaxing of the current arrangements are undertaken in a way that continues to minimise the risk to all parties of infection spread. The outcome of this work will be reported to Cabinet Member and will inform changes to visiting restrictions moving forward.</p> <p><b>Review by SMT 2/07/2020:</b> It is considered necessary to continue with general visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p>
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	<p>As a result of the latest changes to social distancing arrangements introduced by the Government officers a range of prescriptive visiting arrangements that enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained has been developed and will be implemented.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the position has been revised so that whilst general visiting restrictions need to remain in place, temporary arrangements have been put in place to enable short visits to take place by family members in homes that have no cases of COVID-19 Guidelines have been developed and these will continue to be reviewed in line with national guidance and reflect the change in Government guidance on 4 July which still encourages those in the shielding cohort or with an underlying health condition to strictly observe social distancing measures and limit contact with people outside of their household. The newly announced testing for Care Home residents (every 28 days) and staff (every week) may impact on the ability to facilitate these visits i.e. if positive test results are returned.</p> <p><b>Review by SMT 30/07/2020 notes:</b> that local guidelines which have been developed are being reviewed so that they are in line with guidance issued by central government on 22 July which outlines an enhanced role by the Director of Public Health in terms of ensuring visiting guidelines are robust and risk assessed. We will be seeking to reflect this recommended best practice within visiting arrangements at residential homes for older people and also working with the private, voluntary and independent care home sector across Derbyshire to ensure they also put appropriate arrangements in place.</p> <p><b>Review by SMT 12/08/2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance.</p>
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	<p>These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p><b>Review by SMT on week beginning 24 August 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health,</p>
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	<p>explaining their enhanced role in relation to care homes.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response public health advice last week which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example a friend may visit one week and a son/ daughter the next week where this is appropriate and in accordance with the residents wishes.</p>
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	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response to public health advice on 14 September 2020 which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example, a friend may visit one week and a son/ daughter the next week where this is appropriate and in accordance with the residents wishes.</p>
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	<p>There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response to public health advice on 14 September 2020 which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example, a friend may visit one week and a son/ daughter the next</p>
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	<p>week where this is appropriate and in accordance with the residents wishes.</p> <p>An additional letter has been sent to all Care Home visitors and Managers on the 28 September 2020 from Dean Wallace Director of Public Health stating that restrictions remain unchanged at this current time, however are subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p><b>Review by SMT on week beginning 19 October 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of</p>
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	<p>infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020 and will take account of the Adult Social Care: COVID Winter Plan 2020-2021.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b> on the 28 September 2020 a letter from Dean Wallace Director of Public Health stated that restrictions remain unchanged at this current time, however these where subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>This restriction was instigated by Dean Wallace, Director of Public Health through a letter on 13 October 2020. in relation to cessation of all care home visiting within the Bolsover; Glossopdale; Chesterfield; Erewash and North East areas of Derbyshire until further notice due to the infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>On the 20 October this was followed by a further letter from Dean Wallace, Director of Public Health outlining the above restriction and due to the raising infection rate further recommended cessation of face to face visiting across the whole of Derbyshire. This recommendation is likely to be impacted further as national guidance develops this week.</p>
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	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> on the 28 September 2020 a letter from Dean Wallace Director of Public Health stated that restrictions remained unchanged at that time, however these were subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>This restriction was instigated by Dean Wallace, Director of Public Health through a letter on 13 October 2020. in relation to cessation of all care home visiting within the Bolsover; Glossopdale; Chesterfield; Erewash and North East areas of Derbyshire until further notice due to the infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>On the 20 October this was followed by a further letter from Dean Wallace, Director of Public Health outlining the above restriction and due to the raising infection rate further recommended cessation of face to face visiting across the whole of Derbyshire. This recommendation is likely to be impacted further as national guidance develops. Subsequently the whole of England has entered a further lockdown on 5 November 2020 for an initial 4-week period.</p> <p>Derbyshire County Council homes have continued to follow national guidance and are now developing plans for 'closed window visits' in accordance with the</p>
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	<p>local Director of Public Health's advice. Some homes have started these, and some require further work. The environment and layout in some homes does prohibit this. A review is being undertaken on all homes that will struggle to provide an isolated safe internal space to facilitate indoor visits (once permitted again), therefore as part of this review, we will work with our property team to look at options for the supply and instillation of screens in designated visiting rooms.</p> <p>Once restrictions are lifted, in order to ensure visitors conform to infection control procedures, visits are sensitively supervised and the risk assessment and guidance provided to family Carers is clear that hand washing/ sanitising must take place on entry/exit to the home and that PPE must be worn.</p> <p>Our guidance currently states that once face to face visits are allowed; touching should be kept to a minimum. The government's latest guidance states that social distancing must be maintained, but also that consideration should be given to the availability of additional PPE (such as gloves and aprons) if social distancing is difficult to maintain. We anticipate this would be considered in end of life situations and will be reviewing our current guidance and risk assessments to reflect that.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> following the first phase of the Covid-19 Pandemic and the original decision to cease all face to face visits in Care homes, on the 20 October 2020 this was followed by further correspondence from Dean Wallace, Director of Public Health reinforcing additional restrictions due rising infection rates across the County. Cessation of face to face visiting across the whole of Derbyshire was once again instigated following a brief period of visits being supported. Subsequently The whole of England entered further lockdown on 5 November 2020 for an</p>
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	<p>initial 4-week period. Local restrictions from Public Health allow only for closed window visits at this current time due to several outbreaks of Covid-19.</p> <p>When the local Director of Public Health's restrictions are lifted to enable visits to take place once more, should a further outbreak occur; Public Health will once again advise that the home should rapidly move to stop indoor contact visiting. This is with the exception of specific circumstances such as at the end of life, in order to protect vulnerable residents, staff and visitors. These restrictions could continue until such time it is understood that an outbreak has been brought under control and the care home has recovered. The decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team if this occurs.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p> <p><b>Review by SMT week beginning 14 December 2020 notes that</b> the decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team if this occurs. Whilst this remains in place having moved out of the second full lockdown into tier 3 in Derbyshire on 02 December 2020, visitor testing will be a further safety measure as and when homes do re-open to visitors. We plan to roll out visitor testing via lateral flow tests (fast-track testing) once relatives can visit again. A letter has been sent to relatives discussing both this development and the roll out programme of Covid-19 vaccinations across care homes on 02 December 2020 from Derbyshire County Council Adult Social Care and Health.</p> <p>We will update our visitor guidelines in due course and make the necessary preparations to ensure our homes are equipped to facilitate visits safely as and when appropriate to do so following advice from Public Health locally using the tests in accordance with national guidance.</p>
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	<p>The national plans to roll out the Covid-19 vaccination programme are progressing rapidly. At this stage we do not have an exact date for the launch of the programme although we anticipate the roll out of the vaccine will commence in the coming few weeks. The Council is awaiting further information from health colleagues about the implementation of the programme across care homes in Derbyshire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> notes that following the first phase of the Covid-19 Pandemic and the original decision to cease all face to face visits in Care homes, on the 20 October 2020 this was followed by further correspondence from Dean Wallace, Director of Public Health reinforcing additional restrictions due rising infection rates across the County. Cessation of face to face visiting across the whole of Derbyshire was once again instigated following a brief period of visits being supported. Local restrictions from Public Health allow only for closed window visits at this current time due to several outbreaks of Covid-19. The decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team when the Covid-19 infection rate comes under control. Whilst restrictions to visiting remain in place having moved out of the second full lockdown into tier 3 in Derbyshire on 02 December 2020, visitor testing will be a further safety measure as and when homes do re-open to visitors.</p> <p>We plan to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing). Letters from Derbyshire County Council Adult Social Care and Health have been sent to relatives discussing this development in addition to the roll out programme of Covid-19 vaccinations across care homes; and the measures we are taking with colleagues in property services to facilitate safe visiting spaces on 2 and 18 December 2020.</p>
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	<p>The national plans to roll out the Covid-19 vaccination programme are progressing rapidly. At this stage we do not have an exact date for the launch of the programme although we anticipate the roll out of the vaccine will commence in the coming few weeks. The Council is awaiting further information from health colleagues about the implementation of the programme across care homes in Derbyshire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Simon Stevens 20/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – Older Adults Day Centres
Is this a review of a decision? If so, what was the date of the original decision?	Yes, original decision was taken on 20/03/2020
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>Closure of Older Adults Day Centres with effect from 5pm on 20/03/2020. Cessation of service delivery for people aged over 70 in LD Day Services with effect from 5pm on 20/03/2020</p> <p><b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.</p>
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	<p>Government and PHE advice in relation to reducing the risk of infection spread in relation to COVID-19 states that those over 70 should self-isolate and from 4 July should seek to minimise contact with people from other households.</p> <p>As the vast majority of users of our older adult's day centres are over 70 years old it is not possible to continue to operate those services as individuals self-isolate to adhere with social distancing requirements.</p> <p>Although there are small numbers of people aged under 70 attending the centres they are also likely to fall into the category of having an underlying</p>

	<p>'high risk' health condition that means they are advised to strongly adhere to social distancing guidelines and reduce contact with others outside of their household.</p> <p>To support the Government guidance for people aged 70 and over to self-isolate and maintain social distancing it is appropriate that our day centres for older adults remain closed and we avoid bringing groups of six or more people together in a closed environment.</p> <p>Similarly we need to cease the attendance for those aged over 70 attending out learning disability day services so that we can follow Government guidance and protect those individuals, other service users and staff from increased risk.</p> <p>From 4 July onwards it is considered appropriate to maintain this position as individuals are advised to limit contact with other people and social distancing measures need to be maintained.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>All individuals have been assessed in relation to ongoing alternative support to enable them to manage without their day centre service. Monitoring of the wellbeing of individuals will also be undertaken during the closure period. Additional support is being offered to Carers where appropriate.</p> <p>From 4 July onwards consideration is being given to linking in with local community activity created by the Community Response Hub, technology solutions and outdoor activities as alternative forms of provision for individuals where this is appropriate.</p>
<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite if appropriate and alternative arrangements are being considered.</p>

	<p>These risk assessments are being reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures are eased.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, it would have been subject to consultation with service users. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>An Equality Impact Analysis has been undertaken and identified that the decision will have had an impact on both older people and their families and carers. This EIA was completed on 30 June and is being kept under review.</p> <p>The temporary suspension of the service, and the ongoing assessment of older people's care packages brings with it the potential for further risks to those who were attending the service. However, this risk needs to balance with the risk of infection from COVID-19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular reviews, and where appropriate care packages have been adjusted to accommodate the temporary closure of the building-based day services. The Community Response Unit, established by the County Council and a range of voluntary organisations and local businesses, has also been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</p>

	<p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>The Council continues to review the access restrictions imposed on all its buildings in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>Staff have been temporarily redeployed to support other service areas responding to the COVID-19 pressures</p> <p><b>Feedback in relation to original Officer decision</b></p> <p><b>Legal</b> Decision is not time limited, if the issue persists in the longer term then report would be beneficial to highlight longer term strategy to manage the needs of the affected cohort.</p> <p>Response: Two-week review process is now in place and captured on RODR pro forma</p> <p>ODR indicates that individual assessments are to be undertaken to ensure affected person receive the support necessary – update and assurance could be given in the report to confirm timescales and outcomes for these assessments</p> <p>Response: This will be detailed in Cabinet report and RODR document, but reviews have taken place and are being actively reviewed by P&amp;P teams every two weeks. If appropriate following an initial RAG rated assessment social work teams are contacting some individuals on a daily basis to check there is no significant change in their circumstances that may require consideration and mitigation.</p>

	<p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the Government's guidance about social distancing, full consideration has been given to contingency discussions and planning for alternative support evidenced by the statements:</p> <p>'All individuals will be assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.'</p> <p>'Monitoring of the wellbeing of individuals will also be undertaken during the closure period.'</p> <p>Co-funding contributions will be suspended for people whose only service is day care but will continue for people who access other community-based services.</p> <p><b>Finance</b> It is estimated that the department will lose approximately £2,800 per week in co-funding contributions. This loss of income will be met from the COVID-19 Emergency Grant.</p> <p><b>Human Resources</b> Staff will be temporarily redeployed to support other service areas responding to the COVID-19 pressures.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion with Cllr Jean Wharmby on 19/03/2020 Consultation with Cllr Wharmby on Review 19/05/2020 Consultation with Cllr Wharmby on Review 27/05/2020 Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>09/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>,</p>



	<u>29/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021</u>
Decision:	<p>Agreed  <b>Review agreed by CMT 7/04/2020 and SMT 08/04/2020</b></p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020:</b>  It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p><b>Review by SMT 4/06/2020, 11/06/2020, 18/06/2020:</b>  It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance that prohibits people from meeting indoors in large groups. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>Officers are actively scoping options to see whether individuals who would normally attend a</p>

	<p>day centre can be offered alternative provision which would involve activities based outdoors in line with current social distancing requirements. This needs to be considered on a case by case basis in order to take into account a range of factors specific to an individual that may dictate whether this is feasible as individuals in the shielded group have greater restrictions still in place.</p> <p><b>Review by SMT 2/07/2020:</b> It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance that prohibits people from meeting indoors in large groups.</p> <p>Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p> <p>Consideration is being given to linking in with local community activity created by the Community</p>
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	<p>Response Hub, technology solutions and outdoor activities as alternative forms of provision for individuals where this is appropriate.</p> <p><b>Review by SMT 16/07/2020:</b> following ongoing work by officers the current position is that building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p>Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p><b>Review by SMT 30/07/2020:</b> The latest review notes that a steering group is continuing to develop a plan for the re-opening of day centres. Initial work has included a desktop-based risk assessment and ranking of establishments to identify which could potentially open as part of a phased-approach; and working with voluntary sector providers to share learning around their experiences of safe re-opening.</p>
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	<p>Whilst this work is ongoing the current position is that building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> </ul> <p><b>Review by SMT 12/08/2020:</b> noted that a steering group is continuing to develop a plan for the re-opening of day centres. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. A comms plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Whilst this work is ongoing the current position is that building-based day services will remain closed due to the national government guidance</p>
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	<p>advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> </ul> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers.</p> <p>The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p>
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	<p><b>Review by SMT on week beginning 24 August 2020 notes that</b> a steering group is continuing to develop a plan for the re-opening of day centres. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. A comms plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Whilst this work is ongoing the current position is that the majority of building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household. However, options are being explored with the potential of opening three-day service buildings for Older Adults and three day service buildings for people with a Learning Disability across the County. The aim if possible is to open these by 4 October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for 35% capacity to run. Adult Social Care is working very closely with the private, voluntary and independent sector to establish what an offer of community outreach could look like for those who are unable to be accommodated within the building-based capacity. The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of older adults, and where appropriate care packages have been adjusted</li><li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li></ul>
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	<ul style="list-style-type: none"> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> <li>• A hub has now been created with the aim of assisting the development of plans to maximise our offer of support taking into account the restrictions and guidance still in place. Once plans are finalised staff can be redeployed effectively.</li> </ul> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers.</p> <p>The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that a steering group is</b></p>
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	<p>continuing to develop a plan for the re-opening of day centres.</p> <p>Social distancing, even with the current relaxations, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment.</p> <p>A communication plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Options are being explored with the potential of opening three-day service buildings for Older Adults The aim, if possible, is to open these by early October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity and the co-dependency of transport arrangements. We are going to write to all people and / or their family/ carers who accessed this service historically to explain this work with the letters arriving with people during week of 7 September 2020.</p>
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	<p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes</b> that a steering group is continuing to develop a plan for the re-opening of day centres. Currently work is underway in order to get day services open as soon as is safely possible to do so. The aim is to open three by the beginning of November with the rest following shortly after. This is of course subject to any local changes due to the ongoing pandemic.</p> <p>Social distancing will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment.</p>
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	<p>A communication plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening if and when this is permitted and safe to do so.</p> <p>Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, the plan being explored would allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity and the co-dependency of transport arrangements.</p> <p>Communications planned to be published week of 7 September 2020, including letters, had been paused whilst clarification was sought on the implementation of the new Laws arising from the introduction of the 'rule of six', which we now know day services are exempt from.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b></p>
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	<p>Three day centres are being considered in the first phase.</p> <p>Property Services and Health and Safety are working towards the buildings being “Covid” compliant by October, but it is likely to be some weeks after that before we can safely have people using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work</p>
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with representatives from voluntary sector providers to share learning around their experiences of safe re-opening if and when this is permitted and safe to do so.

Letters were sent out on Monday 28 September 2020 to people who use day services and their carers stating our plan to re-open 3-day service facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise will commence week beginning 5 October 2020.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

**Review by SMT 19 October 2020 notes that:** a steering group is continuing to develop a plan for the re-opening of day centres. This review has account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.

Three-day centres are being considered in the first phase. Property Services and Health and Safety are working towards the buildings being "Covid" compliant by October, but it is likely to be some weeks after that before we can safely have people

	<p>using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples ; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening and to ensure capacity across Derbyshire.</p> <p>Letters were sent out on Monday 28 September 2020 to people who use day services and their</p>
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	<p>carers stating our plan to re-open 3-day service facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise has commenced and will be completed by the end of October.</p> <p>Queen's Court and Shirevale have both been signed off as COVID -19 secure with Ambervale requiring one further risk assessment due to the day centre being a component of the residential unit. However, exploration is now needed to explore the impact of Tier 2 and Tier 3 local restrictions on these arrangements.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>A prioritisation exercise has commenced and is now completed in several areas. Plans are in place to re-open Queen's Court and Shirevale by mid-November.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Three-day centres are being considered in the first phase. Queen's Court; Shirevale and Ambervale which have been signed off as COVID -19 secure. Work is underway to also open Jubilee which operates in conjunction with Queens Court as 1 service over 2 locations to account for the rurality in the north of the County.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are</p>
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	<p>being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. However recent Government guidance now only allows for 15 people at any one time, exclusive of staff.</p> <p>We are also exploring transport which has also seen a significant reduction in capacity. With transport there are also now additional rigorous cleaning regimes which must be in place for infection control. There are also interdependencies with ensuring children and young people with disabilities can attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening and to ensure capacity across Derbyshire. It may be possible to access private and voluntary sector provision if after the prioritisation exercise; there is an indication that there are more individuals who are deemed to be at the highest priority need to re-access a service than what restrictions allow to be provided for in-house.</p> <p>Letters were sent out on Monday 28 September 2020 to people who use day services and their carers stating our plan to re-open 3-day service</p>
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	<p>facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise has commenced and is due to be completed week beginning 09 November 2020. Plans are in place to aim for opening the above 3 services with the addition of Jubilee in the High Peak during November 2020.</p> <p>Based on advice from our legal services; by opening the above day services to small groups of people; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding them of the risks and the judgment that they must make whether to continue to attend the service or not.</p> <p>Given several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend, or it is deemed not in their best interests to attend; their place will be held for this period of time.</p>
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	<p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Based on current Government guidelines and following advice from our legal services; by opening the above day services to small groups of people; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) are being re-opened in the first phase of opening centres. Queen's Court with Jubilee; Shirevale and Ambervale which have been signed off as COVID -19 secure. It was anticipated that these services would re-open with reduced numbers of people attending during the week beginning 30 November 2020, but due to revised arrangements</p>
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	<p>concerning safe transport of people who might become symptomatic during a session, these services are now due to reopen week beginning 7 December 2020.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are putting in place safe systems of work around making sure that transport to and from the day services is Covid safe, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work and letters were sent out to those people on Monday 28 September 2020 informing them of our re-opening plans.</p> <p>To note a number of those offered a place who have been assessed as highest priority have declined in some areas thus allowing for places to be additionally offered to those assessed as moderate risk.</p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding Service Users and Carers of the risks and the informed decision that they must make whether to continue to attend the service or not.</p>
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Given that several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend, or it is deemed not in their best interests to attend; their place will be held for this period of time.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale which have been signed off as COVID -19 secure. The intention is to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021.

The work we have done to prepare for this has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently looking like only 35% of people

who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.
- Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

**Review by SMT week beginning 28 December 2020 notes that** notes that a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021. The ambition is to open all our Older Adults day services in a phased approach.

Based on current Government guidelines and following advice from our legal services; by re-

	<p>opening four day services in phase one to small groups of people; we are following Regulation 11(9) of <i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>, which enables the continuation of day care as a permitted exception to an indoor gathering: <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020. We continue to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021. We are currently working on ensuring that the locations where possible to open further day centres in phase 2 meet robust Covid secure requirements.</p> <p>The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.</p> <p>Following a prioritisation exercise for reopening centres in phase 1; a number of those offered a place who had been assessed as highest priority declined in some areas, thus allowing for places to be additionally offered to those assessed as moderate risk. We will need to revisit prioritisation according to people's changing needs in phase 2 reopening.</p> <p>We discussed with all who planned to attend in phase 1 that have health conditions which mean they are clinically vulnerable and clinically</p>
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	<p>extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, (<i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>), there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others. We will revisit this advice with people within the phase 2 offer.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> <li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Simon Stevens 20/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – Learning Disability Day Services
Is this a review of a decision? If so, what was the date of the original decision?	Yes, original decision date was 23/03/2020.
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>Closure of building based Day Centres for people with a Learning Disability with effect from 5pm on 23/03/2020.</p> <p>This will mean the closure of DCC run day centres for people with a day service and advice to PVI services that operate in large groups using a building base to close.</p> <p>Other PVI day services that operate in small groups outside and through one to one activity with personal assistance are not at this time being advised to close.</p> <p><b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.</p>
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council	In order to adhere to Government guidance, we need to close our day centres for people with a learning disability and avoid bringing groups of people together in a closed indoor environment where social distancing may not be able to be effectively maintained.

<p>policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>At the start of lockdown, we tried to deliver the service using opportunities to do this in a way that enable social distancing, but this has not proven sustainable.</p> <p>All individuals have been assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.</p> <p>Monitoring of the wellbeing of individuals will also be undertaken during the closure period.</p> <p>From the 17 April, it was agreed that the limited operation of two day centres would take place to support people with complex needs has been considered and approved as appropriate risk mitigation to prevent placement breakdown and reduce the probability of hospital admission.</p> <p>As lockdown measures are eased from 4 July onwards, the following measures are being considered as alternatives if appropriate for the individual.</p> <ul style="list-style-type: none"> <li>• digitally</li> <li>• phone calls to Service users or there their carers</li> <li>• Activity packs to do at home</li> <li>• Shopping</li> <li>• Newsletters, Support letters and photographs</li> <li>• Some farms were offering and delivering food packs to service user's doors and into the local community.</li> </ul> <p>Some private, voluntary and independent sector services have continued to provide alternative provision to support families and carers.</p>



<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite if appropriate and alternative arrangements are being considered.</p> <p>These risk assessments are being reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures are eased.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, it would have been subject to consultation with people who access DCC day service provision. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p> <p>From 4 July as lockdown measures eased, the intention within Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/ carers, recognising that fewer people will be able to attend a building-based offer on a daily basis and this should be reserved for those most at risk of carer breakdown and those with the highest level of need.</p>

<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>The original decision was taken in response to guidance published by the Department for Health and Social Care concerning the high-risk groups of people who should self-isolate for 12 weeks, and the adhere to the 2-metre social distancing.</p> <p>The decision will have had an impact on both people with a learning disability and their families and carers. The temporary suspension of the service, and the ongoing assessment of people's care packages brings with it the potential for further risks to those who were attending the service. However, this risk needs to balance with the risk of infection from COVID 19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular reviews, and where appropriate care packages have been adjusted to accommodate the temporary closure of the building-based day services. A temporary day service is being provided by the Council for some people living with complex learning disabilities where it was felt appropriate to continue to provide that service. This has been done in accordance with Government guidelines concerning social distancing, use of PPE and infection control measures.</p> <p>An EIA was completed on 30 June and is being kept under review.</p> <p>The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</p> <p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre</p>
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	<p>provision being closed and carers emergency plans are being offered.</p> <p>Whilst the Council continues to review the access restrictions imposed on all its buildings, in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people, it is not expected that building-based services will be returning as previously provided prior to COVID-19.</p> <p>A programme group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and will report back to Cabinet with a position that will update/ override this ODR and put longer term arrangements in place.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p><b>Feedback in relation to original Officer decision</b></p> <p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the Government's guidance about social distancing, full consideration has been given to contingency discussions and planning for alternative support evidenced by the statements:</p> <p>'All individuals will be assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.'</p> <p>'Monitoring of the wellbeing of individuals will also be undertaken during the closure period.'</p> <p>Co-funding contributions will be suspended for people whose only service is day care but will continue for people who access other community-based services.</p>

	<p><b>Finance</b> It is estimated that the department will lose approximately £5,000 per week in client contributions. This loss of income will be met from the COVID-19 emergency grant.</p> <p><b>Human Resources</b> Staff will be temporarily redeployed to support other service areas responding to the COVID-19 pressures.</p> <p><b>Legal</b> The Council has powers in accordance with s1-6 of the Localism Act 2011 to do that which will be to the 'benefit of the authority, its area or persons resident or present in its area'. The proposed benefit of this action is to support the reduction in COVID-19 infections and reduce the transmission of the virus. Due to the timescales involved it has not been possible to consult affected persons but arrangements have been put in place to assess those current service users who will be impacted by the decision and to ensure that they are provided with alternate support should this be required. An evaluation of the risks of this course of action and the mitigation of these risks and an EIA are being undertaken.</p> <p>The decision is a proportionate and reasonable response to COVID-19 risks. The suspension of this service type, in isolation, where other services will continue on a business as usual basis is possible applying flexibilities under the Care Act 2014. It represents a Stage 2 decision as set out within the Care Act easements: Guidance for local authorities</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion between Helen Jones and Cllr Jean Wharmby on 23/03/2020  Consultation with Cllr Wharmby on Review 19/05/2020  Consultation with Cllr Wharmby on Review 27/05/2020  Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>,</p>

	<p><u>17/09/2020, 1/10/2020, 15/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021</u></p>
Decision:	<p>Agreed by CMT 23/03/2020</p> <p>Review agreed by CMT 7/04/2020 and SMT 08/04/2020</p> <p><b>Review by SMT 17/04/2020</b> proposal to utilise two learning disability day service buildings during COVID-19 outbreak was agreed.</p> <p><b>Review by SMT 22/04/2020, 21/05/2020, 6/05/2020, 4/06/2020, 11/06/2020, 18/06/2020:</b> It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre.</p> <p>Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>For clients with a complex learning disability, where appropriate, individual arrangements have been put in place to ensure there is an alternative day service provision and this is in place to prevent placement breakdown or avoid hospital admission.</p> <p><b>Review by SMT 2/07/2020:</b> it is considered necessary to keep the closure of day centre provision in place.</p> <p>Everyone who attended a day service has been assessed, contingency plans put into place, with these being reviewed on a two-weekly basis to ensure social care need is being met. A very small</p>

number of adults with learning disability have continued to access some building-based support to prevent family breakdown. This has been complex to manage and has required significant risk assessments to be in place.

Some private, voluntary and independent sector services have continued to provide alternative provision to support families and carers.

A variety of the alternative support being delivered is:

- digitally
- phone calls to Service users or there their carers
- Activity packs to do at home
- Shopping
- Newsletters, Support letters and photographs
- Some farms were offering and delivering food packs to service user's doors and into the local community.

Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The intention with Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/ carers, recognising that fewer people will be able to attend a building-based offer on a daily basis

and this should be reserved for those most at risk of carer breakdown and those with the highest level of need.

**Review by SMT 16/07/2020:** Following ongoing work by officers the current position is that learning disability day services will remain closed. A working group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and reported on at an appropriate time. This group is actively reviewing Government guidance, including that issued from 4 July to consider how these services can be re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

Several factors are being explored these have been raised nationally through the Association of Directors for Adult Social Services (ADASS). This includes testing, use of face masks, the ability to manage with dignity, social distancing for people who lack capacity to understand the need and requirement for this. The experience of the attendee and their family/ carer to ensure that this remains a positive experience

The intention within Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/ carers, recognising that fewer people will be able

to attend a building-based offer on a daily basis and this should be reserved for those most at risk of carer breakdown and those with the highest level of need. People with Learning Disability and their carers will shortly be receiving letters communicating this approach.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

**Review by SMT 30/07/2020:** The latest review on 30 July 2020 notes that a 'hub' of staff is being created to explore specifically the safest and most practicable way of starting to deliver a service again. Training in upskilling staff in order to do so is currently being developed. Following ongoing work by officers the current position is that learning disability day services will remain closed. A working group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and reported on at an appropriate time. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people



who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team on 13 August 2020.

**Review by SMT 12/08/2020:** The latest review on 13 August 2020 notes that the working group has continued to work on options for the future of these services. A 'hub' of staff will be established on the 17 August to co-produce the new offer with people with learning disability, their families and carers. Many of the buildings used as day centres have not been designed with this in mind.

Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the

same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time which indicate building based occupancy will need to reduce to approximately 35%. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment

Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE).
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team on 27 August 2020.

**Review by SMT on 27/08/2020 notes that** the working group has continued to explore options for the future of these services. A temporary 'hub' of staff was established on the 17 August to progress

	<p>reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.</p> <p>Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even if relaxed, will remain a feature within the Country for some time which indicate building-based occupancy will need to reduce to approximately 35%. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. Options are being explored with the potential of opening three day service buildings for people with a Learning Disability across the County. The aim if possible is to open these by 4 October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for 35% capacity to run.</p> <p>Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li> <li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate</li> </ul>
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guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.

- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.

#### **Review by SMT on week beginning 7**

**September 2020 notes** that the working group has continued to explore options for the future of these services. A temporary 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.

Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.

Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even with the current relaxation, will remain a feature within the Country for some time which indicate building.

Options are now being explored with the potential of opening three-day service buildings for people with a Learning Disability across the County. The

	<p>aim if possible is to open these by early October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity, the activities to be offered and the co-dependency of transport arrangements.</p> <p>Consideration is being given as to how we can use our existing day services to provide non-building based, activities in local communities for those unable to attending day centres.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.</li><li>• A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li></ul>
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- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.

**Review by SMT on week beginning 21**

**September 2020 notes** that a working group has continued to explore options for the future of these services. A temporary 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.

Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.

Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even with the current relaxation, will remain a feature within the Country for some time which indicate building.

Options have been explored with the potential of opening three-day service buildings for people with a Learning Disability across the County. The aim is to open these by early November 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan would allow for approximately a 35% capacity. Work continues to explore prioritise who should access this capacity, the activities to be offered and the co-dependency of transport arrangements. Consideration is being given to how we can use our existing day services to provide non-building based, activities in local communities for those unable to attend day centres.

	<p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li> <li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.</li> <li>• A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p>
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Three day centres are being considered in the first phase. A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with 71 people with a learning disability and/or who are autistic.

Property Services and Health and Safety are working towards the buildings being "Covid" compliant by October, but it is likely to be some weeks after that before we can safely have people using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.

Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create "bubbles", ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.

The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.



The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020

**Review by SMT 19 October 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but

also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.

Four-day centres have been considered in the first phase.

Parkwood, Newhall, Alderbrook and additionally No limits. Property Services and Health and Safety have ensured the buildings are COVID -19 compliant. Detailed risk assessments have been compiled which include the following examples ; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.

The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.

It is anticipated that these centres will be able to open to reduced numbers on the 2 of November however exploration is now needed to explore the impact of Tier 2 and Tier 3 local restrictions on these arrangements.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November

**Review by SMT week beginning 2 November 2020 notes that** Parkwood, Newhall and No limits are now open to reduced numbers with Alderbrook

planning to open as soon as building repair work has been completed to fix damage from a significant leak.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.

Following intensive risk assessments, the day centres that are Covid safe and currently open to small numbers of people with a learning disability are; Newhall, Parkwood, No Limits, Whitemoor and Outlook; with Alderbrook opening shortly.

The work we have done to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service Users on any day to access individual services unless in completely separate 'bubbles' of 15 using separate areas of a building with separate facilities such as entrances/exits and toilet facilities. This is possible at some of the larger centres such as Outlook in Long Eaton.

Based on advice from our legal services; by opening the above day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which

	<p>enables the continuation of day care as a permitted exception to an indoor gathering: <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding them of the risks and the judgment that they must make whether to continue to attend the service or not.</p> <p>Given several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend or it is deemed not in their best interests to attend; their place will be held for this period of time.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li> <li>• In addition to the small amount of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li> <li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have</li> </ul>
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	<p>made use of the Community Response Unit's services.</p> <ul style="list-style-type: none"> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> This has been reviewed on nineteen occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 30 November 2020 notes that a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.</p> <p>Based on advice from our legal services; by opening the above day services to small groups of People; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p>
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	<p>Following intensive risk assessments, the day centres that are Covid safe and currently open to small numbers of people with a learning disability are; Newhall, Parkwood, No Limits, Whitemoor and Outlook. Alderbrook has just opened, and Carter Lane will be opening soon.</p> <p>The work we have done to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service Users on any day to access individual services unless in completely separate 'bubbles' of 15 using separate areas of a building with separate facilities such as entrances/exits and toilet facilities. This is possible at some of the larger centres such as Outlook in Long Eaton.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• In addition to the small amount of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li><li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li></ul>
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The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021. A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by opening some day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enables the continuation of day care as a permitted exception to an indoor gathering: [https://www.legislation.gov.uk/uksi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/uksi/2020/1200/pdfs/ukxi_20201200_en.pdf)

A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We are working on more being able to open over the coming weeks.

The work we continue to do to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service users on any day to access individual services unless in separate 'bubbles' of 15 using separate areas of a building with separate facilities. This may be possible at some of the larger centres. The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- In addition to the small number of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).
- A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

**Review by SMT week beginning 28 December 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by opening some day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enables the continuation of day care as a permitted exception to an indoor gathering:  
[https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)



	<p>A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We continue to work with colleagues in health and safety and Public Health in order to be able to open additional centres shortly.</p> <p>The work we continue to do to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, Government guidance will allow for no more than 15 service users on any day to access individual services unless in separate 'bubbles' of 15 using separate areas of a building with separate facilities. This may be possible at some of the larger centres.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• In addition to the small number of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li><li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li></ul>
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	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
<p>Signature and Date: Simon Stevens 23/03/2020</p>	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – planned respite.
Is this a review of a decision? If so, what was the date of the original decision?	Yes, review of decision made 23/03/2020
Key decision? If so have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and <b>when it will be (further) reviewed</b> ):	Cessation of planned respite breaks services for Older Adults and people with a Learning Disability with effect from 23/03/2020  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	Government and Public Health England advice in relation to reducing the risk of infection spread in relation to COVID-19 states that those aged 70 and over 70 should self-isolate and adhere to social distancing requirements.  We need to reduce the risk of cross infection for both those using respite and long-term care home residents to reduce the number of individuals coming in and out of the services to reduce risks in relation to infection.  As the vast majority of users of our older adult respite care services are used by people aged 70 and over it is not possible to continue to operate those services safely.

	<p>Similarly, significant numbers of the people using our learning disability respite services are likely to fall into the category of having an underlying 'high risk' health condition that means they would be advised to socially distance and minimise contact with others from outside of their household.</p> <p>In order to protect them and other residents within our residential care homes non-urgent respite provision remains closed.</p>
Alternative Options Considered (if appropriate) and reasons for rejection of other options	All individuals are being monitored and reviewed during the period the provision does not operate as normal to ensure that the withdrawal of planned respite does not lead to significant risks to their health and wellbeing.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term emergency respite if appropriate and alternative arrangements are being considered.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision	Yes it would have been subject to consultation with service users. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.
Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?	<p>The decision will have had an impact on older adults, people with a learning disability and their families and carers. The temporary suspension of the service, and the ongoing assessment of peoples care packages brings with it the potential for further risks to those who make use of the service. However, this risk needs to balance with the risk of infection from COVID 19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular review of people who would normally</p>

	<p>access the provision, and where appropriate care packages have been adjusted to accommodate the temporary cessation of the service Emergency respite services have continued to operate from a number of the Council's establishments, or as an outreach services for some people, to support both older adults and people with a learning disability and their family / carers – particularly in order to reduce the risk of carer breakdown.</p> <p>The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. Whilst it is not known if people who have previously accessed respite services have been referred to or used the Unit, it is known that older adults and people with a learning disability who use other services such as building-based day care have accessed this Unit's services.</p> <p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of the cessation of planned respite and carers emergency plans are being offered.</p> <p>Whilst the Council continues to review the access restrictions imposed on all its buildings, in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people, it is not expected that the building-based planned (bookable) respite service will return to normal operating arrangements in the short to medium term.</p> <p>An EIA was completed on 30 June and is being kept under review.</p>
Background/Reports/Information considered and attached (including Legal, HR, Financial and other	Any excess staffing capacity generated as a result of this cessation of service will be temporarily redeployed to support other service areas responding to the COVID-19 pressures

<p>considerations as required))</p>	<p><b>Feedback on original Officer Decision:</b></p> <p><b>Legal</b></p> <p>Decision is not time limited, if the problem persists in the longer then report would be beneficial to highlight longer term strategy to manage the needs of the affected cohort.</p> <p>Response: Two-week review process is now in place and captured on RODR pro forma</p> <p>ODR indicates that individual assessments are to be undertaken to ensure affected person receive the support necessary – update and assurance could be given in the report to confirm timescales and outcomes for these assessments</p> <p>Response: This will be detailed in Cabinet report and RODR document, but reviews have taken place and are being actively reviewed by P&amp;P teams every two weeks.</p> <p><b>Finance</b></p> <p>There are no additional financial considerations in relation to this proposal.</p> <p><b>Principal Social Worker</b></p> <p>The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the government’s guidance about social distancing and COVID-19 guidance in relation to residential care and supported living full consideration has been given to contingency discussions and planning for alternative support evidenced by the following statement: ‘All individuals will be monitored and reviewed during the cessation period to ensure that the withdrawal of planned respite doesn’t lead to significant risks to their health and wellbeing’. Guidance has been issued to frontline assessment staff to inform their person-centred conversations when exploring equivalent levels of support. Associated RAG rating records have been regularly completed and updated.</p>
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<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion between Helen Jones and Cllr Jean Wharmby on 22/03/2020          Consultation with Cllr Wharmby on Review 19/05/2020          Consultation with Cllr Wharmby on Review 27/05/2020          Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>, <u>12/11/20</u>, <u>26/11/2020</u>, <u>10/12/2020</u>, <u>21/12/2020</u>, <u>7/01/2021</u></p>
<p>Decision:</p>	<p>Agreed  <b>Review agreed by CMT 07/04/2020 and SMT 8/04/2020</b></p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> It is considered necessary to continue to cease planned respite activity due to ongoing social distancing requirements in line with national Government guidance. Clear evidence that spread within care homes is due to bringing people in from the community.</p> <p>We continue to need to reduce the risk of cross infection for both those using respite and long-term residents and so reducing the number of individuals coming in and out of the service is essential.</p> <p>Clients who would normally attend a planned respite break have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via respite. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>In addition, the respite beds are being utilised to support hospital discharge and increase bedded capacity in residential care.</p>

	<p>Urgent respite provision is still in place as a mitigation where this is considered appropriate.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that building-based planned respite services will remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> <li>• Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p><b>Review by SMT 30/07/2020 notes:</b> following ongoing work by officers that the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> </ul>
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	<ul style="list-style-type: none"> <li>• Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so. This is in order to provide a period of respite during the day to support Carers as far as is possible to do currently.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite during the day reduce as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p><b>Review by SMT 12/08/2020 notes:</b> that following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> <li>• Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE).</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite during the day reduce as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul>
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	<p><b>Review by SMT on week beginning 24 August 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li><li>• Five building based day services across the County for people with a learning disability have been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE) in order to provide an element of respite to support Carers in their increased role. The bungalow at Newhall, which is a learning disability resource has also supported one individual with respite care.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.</li></ul> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p>
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	<p><b>Review by SMT on week beginning 7 September 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p>
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- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.

**Review by SMT on week beginning 5 October 2020 notes that** following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

	<p><b>Review by SMT 19 October 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed.</p> <p>Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>There is currently no change to this position The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning is currently in the preliminary stages and a location is yet to be fully finalised.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p>
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- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans have been offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.

**Review by SMT week beginning 30 November 2020 notes that** following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).

Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning remains in the preliminary stages and a location is yet to be fully finalised.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of

	<p>planned respite within residential care. Carers emergency plans have been offered. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p> <p><b>Review by SMT week beginning 14 December 2020 notes that</b> Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> the position remains unchanged in that currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Simon Stevens 22/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Risk Management in relation to Residential Care	
Subject of Decision: (i.e. services affected)	Essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of decision taken on 22/03/2020
Key decision? If so have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	To continue to undertake essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People in order to deliver on previously identified risk mitigation and ensure the safety of residents and staff.  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and CMT in line with national guidance re vulnerable groups and social distancing from HM Government.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state	Despite the Coronavirus outbreak and the requirements for people to work from home where possible and manage contact with other individuals through social distancing it is necessary for previously identified fire risk mitigation works to be completed so that residents and staff are supported to operate in a safe environment.  Property colleagues had identified that all non-essential works should cease to protect their staff



<p>how this has been taken into consideration.</p>	<p>from the risk of infection and had suggested that the remaining fire risk mitigation works could be suspended.</p> <p>Further detailed discussions have taken place between Directors from both departments to weigh up the risks to residents and ASC staff associated with not completing the works against the risks to Property staff from undertaking them and it has now been agreed that those fire risk mitigation works and any other essential maintenance will continue to be completed.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>Property and adult social care managers and staff will complete individual risk assessments for each establishment / area of work and seek to ensure that infection control measures and social distancing requirements are maintained whilst the operatives are on site, including ensuring that the work area is closed to access for residents and staff whilst the tradespeople are on the premises.</p>
<p>Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Ongoing risk assessments have taken place to inform the wider mitigation works programme by Property Services and colleagues in health and safety.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>No, this would not have been subject to consultation with service users and the public as it relates to maintenance of residential care homes. It is separate to the decision which has been subject to consultation regarding the long-term strategy for Direct Care Homes for Older People.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>Not applicable as decision relates to maintenance work.</p>

<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>Property fire risk mitigation reports and work progress documents.</p> <p><b>Feedback on original Officer decision:</b></p> <p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework when reaching decisions which take due account of existing legislation and guidance alongside flexible approaches to ensure safety standards are maintained as evidence in the following statement: 'Property and Adult Social Care managers and staff will complete individual risk assessments for each establishment / area of work and seek to ensure that infection control measures and social distancing requirements are maintained whilst the operatives are on site, including ensuring that the work area is closed to access for residents and staff whilst the tradespeople are on the premises.'</p> <p><b>Finance</b> There are no additional costs associated with this decision.</p> <p><b>Legal</b> The proposed work and the risks inherent in either undertaking or discontinuing this has been carefully evaluated. The decision to continue the work is a proportionate response to this evaluation. In the event of new guidance being received regarding the presence of operatives within residential settings the decision should be promptly revisited.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Agreement at <u>Cabinet 23/04/2020</u> and <u>30/07/2020</u> Taken to CMT for discussion w/c 30/03/2020 Discussion with Cllr Wharmby 30/03/2020 Consultation with Cllr Wharmby on Review 19/05/2020 and 27/05/2020 Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>03/09/2020</u>,</p>

	<u>17/09/2020, 1/10/2020, 15/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021</u>
Decision:	<p>Review agreed by CMT 08/04/2020 and SMT 07/04/2020</p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> Fire risk mitigation works are continuing to take place and there have been some delays to the delivery of the work as per the programme plan. Therefore in light of these outstanding issues it is appropriate the arrangements remain in place.</p> <p><b>Review by SMT 16/07/2020:</b> The current position is that the majority of scheduled work has taken place across the Homes for Older People estate, with only a small number of tasks outstanding and will be undertaken from the end of July and should be complete by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic. Restrictions still remain in place for general visiting to the Homes for Older People as outlined in a separate Officer Decision Record, therefore these interim measures need to remain in place.</p> <p><b>Review by SMT 30/07/2020:</b> following ongoing work by officers the current position is that the majority of scheduled work has taken place across the homes for older people estate, with only a small number of tasks outstanding and will be undertaken from the end of July and completed by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p><b>Review by SMT 12/08/2020 notes</b> that following ongoing work by officers. The current position is that the majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be completed 4/09/2020) and replacement of fire</p>

	<p>doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments will be undertaken in August and early September to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works.</p> <p><b>Review by SMT on week beginning 24 August 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be completed 04/09/2020) and replacement of fire doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments will be undertaken throughout August and early September to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that</b> following ongoing work by officers. The current position is that the</p>
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	<p>majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be completed 4 September 2020) and replacement of fire doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment where installation work is due to be completed week commencing 14.09.20, both the old and new fire alarm systems will be run in tandem for a testing period until there is confidence that the new system can be signed off as fully operational. In addition, a replacement of fire doors at 2 other homes (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p>
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	<p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed. This has been completed and any additional items have been issued to the Business Unit for action.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed.</p>
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	<p>This has been completed and any additional items have been issued to the Business Unit for action.</p> <p>Although most homes are now moving into phase 2 of works to be completed, the completion dates are estimates. Our Covid risk assessments allow the home time to deep clean and relocate the residents as progress is made from wing to wing at each Home. This process leads to standing time of at least 72 hours at each home, but can be nearer a week, which in turn delays the completion date. There obviously may also be outbreaks of Covid in the Homes which again delay completion. Phase 2 follows on from the revised Technical Fire Risk Assessment's and any work identified in these.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020.</p> <p><b>Review by SMT on week beginning 19 October 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed. This has been completed and any additional items have been issued to the Business Unit for action.</p>
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Although most homes are now moving into phase 2 of works to be completed, the completion dates are estimates. Our Covid risk assessments allow the home time to deep clean and relocate the residents as progress is made from wing to wing at each Home. This process leads to standing time of at least 72 hours at each home, but can be nearer a week, which in turn delays the completion date. Discussion is currently ongoing as to whether this is necessary and whether it is aligned with current Government guidance.

There obviously may also be outbreaks of Covid in the Homes which again delay completion. Phase 2 follows on from the revised Technical Fire Risk Assessment's and any work identified in these. There was 1 identified home where fire doors were still to be completed, which is Rowthorne HOP in Swanwick There is now an estimated completion date for the fire doors of 18 December 2020. All fire alarms in phase 1 are completed and staffing continues to be maintained at safe levels.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020.

**Review by SMT week beginning 2 November 2020 notes that**

There is no change to this position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** following ongoing work by officers, the fire doors at Rowthorne HOP are not yet completed, however the work continues to have an expected completion date of 18 December 2020.

The homes in the north of the County have only low risk tasks from the phase 2 works which remain incomplete to date, such as follow ups on the Technical Fire Risk Assessments.



	<p>There are some slightly higher risk issues to resolve in the homes situated in the south of the County. It is envisaged that all outstanding issues will be actioned and resolved by Christmas 2020.</p> <p>All the outstanding fire alarm issues have now been resolved and electrical testing is almost complete. Operationally, all homes confirm they can affect an evacuation relating to the highest need compartmentation within 2.5 minutes.</p> <p>There are still some management staff whose fire management training is now outstanding, however as this is now available online any outstanding issues will be addressed over the next few weeks.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>Finally, the risk evaluation exercise is underway, and it is anticipated that within the next 2 weeks it will be completed on all homes which have required a rewire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> following ongoing work by officers, the fire doors at Rowthorne HOP are not yet completed, however the work continues to have an expected completion date of 18 December 2020.</p> <p>All the outstanding fire alarm issues have now been resolved and electrical testing is complete. Operationally, all homes confirm they can affect an evacuation relating to the highest need compartmentation within 2.5 minutes.</p>
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The risk evaluation exercise is now complete, and the findings are that all homes that have had work carried out are now at considerably lower risk because of new fire alarms, fire doors and re-wiring. There remains some non-urgent work that could still be carried out to improve the risk scores further. It is work which does not involve or rely on rewiring; such as replacing kitchen ventilation but that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** all of the phase 1 and 2 work will be completed before Christmas 2020 except for the following:

Goyt Valley House where compartmentation work will be completed by 08 January 2021; Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021; and Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).

The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these will take place on 15 December 2020.

There remains some non-urgent work that could still be carried out to improve the risk scores further. It is work which does not involve or rely on rewiring; such as replacing kitchen ventilation but that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

	<p><b>Review by SMT week beginning 28 December 2020 notes that</b> notes that all of the phase 1 and 2 work has been completed except for the following:</p> <ul style="list-style-type: none"> <li>• Goyt Valley House where compartmentation work will be completed by 08 January 2021;</li> <li>• Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021;</li> <li>• Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).</li> </ul> <p>The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these took place on 15 December 2020. There are still some areas outstanding for clarification which we are working with Health and Safety Colleagues to rectify. We will be revisiting the risk evaluation on 5 January 2021 when it is anticipated that all additional information requested is complete. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required.</p> <p>There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Simon Stevens 22/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care and Health all care packages
Delegated Power Being Exercised: Emergency Powers	
Subject of Decision: (i.e. services affected)	To adjust the client contribution guidance to meet changes in service due to COVID-19
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of a decision approved by CMT on 8 April
Key decision? If so have Democratic Services been notified?	Yes,
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	That client charging for specific scenarios will be as outlined in the attached appendix.  This decision will be subject to a fortnightly review to make sure that the change arrangements appropriately reflect operational service arrangements which are in place in line with national guidance regarding social distancing and supporting vulnerable people throughout the COVID-19 pandemic.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	A number of services have now been closed and some people are prevented from accessing other services. In these circumstances, guidance is required to outline which charging regime applies in these different scenarios.
Alternative Options Considered (if appropriate) and	The only alternative option would have been to continue to charge people, which we could technically have done under our current co-funding scheme. But as individuals are no longer receiving

reasons for rejection of other options	a service, it was thought that they would consider this to be unfair and would give rise to numerous complaints.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	No risk assessment was carried out with regards to the ceasing of charging. But risk assessments were carried out for all people to ensure that they would be safe when their services were removed.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision	No. We would not expect to go to consultation when removing a charge, only when introducing or changing a charging regime.
Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?	No.
Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))	<p>There will be a reduction in income, but this is not expected to be significant, as the number of clients who cease to receive any services is a small proportion of the total client base.</p> <p><b>Feedback on original Officer Decision Principal Social Worker</b>  <a href="https://www.gov.uk/government/publications/corona-virus-covid-19-changes-to-the-care-act-2014/care-act-easements-guidance-for-local-authorities#annex-b-guidance-on-streamlining-assessments-and-reviews">https://www.gov.uk/government/publications/corona-virus-covid-19-changes-to-the-care-act-2014/care-act-easements-guidance-for-local-authorities#annex-b-guidance-on-streamlining-assessments-and-reviews</a></p> <p><i>Local Authorities should always ensure there is sufficient information and advice available in suitable formats to help people understand any financial contributions they are asked to make,</i></p>

	<p><i>including signposting to sources of independent financial information and advice.</i></p> <p><i>Legal approved – 07/04</i>  <i>No comments on ODR received from HR and Corporate Finance</i></p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Consultation with Cllr Wharmby on Review 19/05/2020          Consultation with Cllr Wharmby on Review 27/05/2020          Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>, <u>12/11/2020</u>, <u>26/11/2020</u>, <u>10/12/2020</u>, <u>21/12/2020</u>, <u>7/01/2021</u></p>
<p>Decision:</p>	<p><b>SMT Review 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> There are no changes and the interim arrangements are approved for a further two weeks as position remains the same. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person’s support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place.</p> <p><b>Review by SMT 30/07/2020:</b> Following review by Cabinet Member and ongoing work by officers the current position is that the majority of scheduled</p>

	<p>work has taken place across the homes for older people estate, with only a small number of tasks outstanding and will be undertaken from the end of July and completed by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p><b>Review by SMT 12/08/2020</b> notes that following ongoing work by officers the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place.</p> <p><b>Review by SMT on week beginning 24 August 2020</b> notes that following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p>
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	<p><b>Review by SMT on week beginning 7 September 2020 notes</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging</p>
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	<p>arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020.</p> <p><b>Review by SMT 19 October 2020 notes that</b> there is no change to the above position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>There is no change to this position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> there is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> there is no change to this position and there is not anticipated to be for some time to come.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p>
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	<p><b>Review by SMT week beginning 14 December 2020 notes that</b> this position remains unchanged.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. This position continues to remain unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Julie Vollar	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care and Health
Delegated Power Being Exercised: Emergency powers	
Subject of Decision: (i.e. services affected)	The ability to make discretionary payments in order maintain our Shared Lives carer capacity and reliance with effect from 1 April 2020 to support placements.
Is this a review of a decision? If so, what was the date of the original decision?	Yes, 22 May 2020
Key decision? If so have Democratic Services been notified?	No – as it does not affect significant numbers of people in two or more electoral divisions.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>From 1 April 2020 it is proposed to:</p> <ul style="list-style-type: none"> <li>• Pay full time Shared Lives carers an additional £40 per week.</li> <li>• Pay short break and day support Shared Lives carers the amount which they ordinarily would have earned.</li> </ul> <p>Due to the lack of day and residential short breaks being available to Shared Lives carers they are in effect being asked to provide unpaid care for three days per week 9am-5pm plus the four weeks 28 days per year (pro rata). Over the two months since the suspension of day and residential services this equates to a total of 27 days unpaid work.</p> <p>This proposal would be initially implemented for a period of eight weeks, after which a review will take place with the service manager responsible for Shared Lives and the appropriate Group Manager with Assistant Director oversight on a fortnightly basis. The review would ascertain whether the additional payments need to continue for a further period of time. This would be a delegated decision.</p>

<p>Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	<p>Shared Lives carers provide family based 24 hour accommodation and support primarily for people with learning disabilities.</p> <p>Some Shared Lives carers also provide both day care and overnight short break opportunities in order that carers of people who continue to live in a family home can have a break from their caring roles</p> <p>In order to sustain what can be a demanding role, the current offer to DCC Shared Lives carers who provide family type accommodation includes the following regular short breaks from their caring role</p> <ul style="list-style-type: none"> <li>• three days daytime breaks per week between 9am to 5pm</li> <li>• four weeks residential short breaks</li> </ul> <p>This is typically (though not exclusively) accessed through DCC Direct Care day services and DCC or health residential short breaks provision.</p> <p>The COVID-19 pandemic has resulted in closure of all day and short break services including those run by DCC and the NHS health trust. Consequently, this has curtailed available breaks for Shared Lives carers forcing them to undertake care on a 24/7 basis for the foreseeable future.</p> <p>Those Shared Lives carers who provide regular short breaks for the families/carers of people with learning disabilities and breaks during the day are currently unable to do so due to both the closure of day services and the rules on social distancing. Consequently, they have seen a significant drop in their income.</p> <p>These Shared Lives carers are not able to take advantage of government schemes for the self-employed throughout the pandemic response period and are therefore financially disadvantaged unless DCC makes an additional payment.</p> <p>Despite a recent local marketing and media campaign to highlight this valuable role, recruiting Shared Lives carers has been very difficult in</p>
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	Derbyshire. We are very concerned that without providing some additional support to this valuable resource we will be unable to sustain existing carers throughout the COVID-19 pandemic and may also risk losing those carers who may feel they are no longer able to, or afford to continue in this role. Consequently, we propose to make an additional £40 per week payment to all our Shared Lives carers until such times we can reintroduce our previously agreed respite/short breaks arrangements.
Alternative Options Considered (if appropriate) and reasons for rejection of other options	For short break and day support carers a 'one off' payment was considered, but this was thought to be unfair due to the different level of support that each carer gives. The proposed method better reflects the range of activities undertaken by the carer to support each individual/s they care for.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	The ongoing risk for the department is that if we do not show some recognition of the role carers are undertaking in terms of providing increased support as part of as Shared Lives placement, they may potentially look to end the Shared Lives placement they support. There is a risk of losing some very good carers and the people they are caring for would then have to be place in significantly more expensive care and support packages put in place. For short break and day support carers the risk to them is they receive no or a reduced income and there is no opportunity to take advantage of the government support schemes. The risk to the department is the loss of Shared Lives carers.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate he decision	No

<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>No groups are being negatively impacted as this involves increasing payment to enable individuals to continue to provide support to people with a long-term health condition or disability.</p>
<p>Background/Reports / Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p><b>Feedback on original Officer Decision:</b></p> <p><b>Finance</b></p> <p>The weekly costs of these proposals are estimated to be:</p> <ul style="list-style-type: none"> <li>• Full Time Carers - £1,800</li> <li>• Respite Carers - £1,500</li> </ul> <p>With the total monthly cost being £14,340</p> <p>This would be a commitment against council resources and partially offset from the non-ring-fenced COVID-19 Government Grant. All decisions around meeting COVID-19 costs are unlikely to be fully funded from current Government additional funding available. As such implications will fall on the ability to provide services for the rest of the financial year and into the medium term</p> <p><b>Principal Social Worker</b></p> <p>Shared Lives is an important way we can help support people to stay as independent as possible and our Shared Lives carers require both skill and commitment to values of caring for others. This commitment helps to ensure Shared Lives arrangements are safe, supported and valued. This in turn should support the wellbeing of people who are in a Shared Lives placement. Information about these arrangements need to be appropriately shared in accessible formats.</p> <p><b>Legal</b></p> <p>No implications from a Care Act perspective. It seems to be a financial decision around how much is allocated for this purpose. It is sensible to seek to support these providers, given the market shaping duties under the Care Act.</p>
<p>Consultation with relevant Cabinet</p>	<p><u>Approval of ODR by Cabinet Member 25/06/2020</u> Consultation with Cllr Wharmby on decision 27/05/2020.</p>

Member (s) – please note this is obligatory.	Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u> , <u>25/06/2020</u> , <u>9/07/2020</u> , <u>24/07/2020</u> and <u>6/08/2020</u> , <u>3/09/2020</u> , <u>17/09/2020</u> , <u>1/10/2020</u> , <u>15/10/2020</u> , <u>12/11/2020</u> , <u>26/11/2020</u> , <u>10/12/2020</u> , <u>21/12/2020</u> , <u>7/01/2021</u>
Decision:	<p>Agreed by CMT 22/05/2020.</p> <p><b>Review by SMT 04/06/2020, 18/06/2020 and 2/07/2020:</b> Due to the lack of day and residential short breaks being available to shared lives carers, individuals are in effect being asked to provide unpaid care for 3 days per week 9 to 5 plus and this needs to be recognised formally via additional payments.</p> <p>Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. It is proposed that this arrangement continues.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. These arrangements remain subject to fortnightly review by Senior Managers to ensure they are appropriate. Shared Lives Carers have stepped up and taken on additional responsibilities to support people at home whilst day centre provision has been closed and these payments recognise those additional responsibilities. As noted in the section above day centre provision continues to remain closed, with only limited respite provision in place due to ongoing requirements in relation to social distancing which means that day centres cannot operate at fully capacity.</p> <p>Officers are liaising with carers who may be returning to work to consider if any additional</p>

support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT 30/07/2020:** Following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT 12/08/2020:** The latest review on 12 August 2020 notes following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT on week beginning 24 August 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers



	<p>we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes</b> following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.</p>
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The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.

**Review by SMT on week beginning 5 October 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020

**Review by SMT 19 October 2020 notes that** there is no change to the above position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 02 November 2020.

**Review by SMT week beginning 2 November 2020 notes that** there is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** there is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.

**Review by SMT week beginning 30 November 2020 notes that** there is no change to this

	<p>position and there is not anticipated to be for some time to come.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p> <p><b>Review by SMT week beginning 14 December 2020 notes that</b> payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn.</p> <p>This position remains unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to the day centres. This position remains unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p>
Signature and Date: Helen Jones 22/05/2020	

**DERBYSHIRE COUNTY COUNCIL****CABINET MEMBER****11 March 2021****Report of the Executive Director for Adult Social Care & Health****REVIEW OF URGENT OFFICER DECISIONS  
TAKEN TO SUPPORT COVID-19 RESPONSE****ADULT SOCIAL CARE AND HEALTH****1. Purpose of the Report**

The purpose of the report is to provide the Cabinet Member with an update in relation to those actions which were the subject of Officer's Decisions utilising emergency decision making powers as detailed in the constitution and to provide assurance in relation to the reviews which have been undertaken.

**2. Information and Analysis**

The current challenges relating to the COVID-19 pandemic have necessitated urgent decision-making processes by the Executive Director for Adult Social Care and Health to be implemented to ensure the welfare of service users and the public and to safeguard the interests of the Council. The decisions have been made under the urgent delegated powers to Executive Directors as set out in the Constitution.

In the main, the decisions relate to short-term temporary arrangements which are subject to regular review. This is particularly important where subsequent Government guidance has been issued notably in the area of Adult Social Care. It is intended that as Cabinet is now able to function by meetings being held 'remotely' the need for officers to make urgent decisions will now diminish.

However, it is important that officer decisions are kept under regular review by elected members and officers. At the 4 June 2020 Cabinet meeting it was agreed that Cabinet would formally delegate review decisions to the relevant Cabinet Member (CABCO) meeting as these were meetings held in public, virtually if necessary, in order to ensure maximum transparency. A summary of review decisions made by Cabinet members will be reported to Cabinet

every two months. As a further safeguard any significant reductions in service that have been reviewed and substantially maintained over any eight-week period will be referred to Cabinet as soon as possible after the eight-week period for ratification.

Below, in table 1, is an update on the reviews that have taken place since the last Cabinet Member meeting on 21 December 2020. All review decisions to date have been discussed with the Executive Director and Cabinet Member following review by Senior Management Team.

A copy of the most up to date version of the Officer Decision Records is attached as Appendix 1.

**Table 1: Summary of officer decision record reviews.**

Officer Decision	Review notes and recommendation
Adult Social Care Residential Homes for Older People, closure to visitors (ASCODR 1)	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that due to tier 4 restrictions and subsequently whole of England lockdown measures further introduced on 05 January 2021; closed window visits to residents within care homes are only permissible at this current time due to rising infection levels and a new more transmissible strain of Covid-19. <a href="http://www.gov.uk/guidance/national-lockdown-stay-at-home">www.gov.uk/guidance/national-lockdown-stay-at-home</a></p> <p>Adult Social Care and Health had planned to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing) within care homes, and letters from Derbyshire County Council Adult Social Care and Health had been sent to relatives confirming that development. These plans are now on hold and will re-start once it is safe for indoor contact visiting to take place once more.</p> <p>The national plans to roll out the Covid-19 vaccination programme are progressing.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>

<p>Closure of Older Adults Day Centres and Cessation of service delivery for over 70's in learning disability day Services (ASCODR 2)</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020-2021. The ambition is to open all our Older Adults day services in a phased approach.</p> <p>By re-opening four day services in phase one in to small groups of people; we followed Regulation 11(9) of <i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>, which enabled the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020. We continue to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021. We are currently working on ensuring that the locations where possible to open further day centres in phase 2 meet robust Covid secure requirements.</p> <p>Following a new national lockdown in place from 05 January 2021 due to rising infection rates and a new variant of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential.</p> <p>All day service attendees and/or their carers are being contacted by day service staff to advise them of the new guidelines. Some attendees who are not classed as Clinically Extremely vulnerable have declined to attend at this time or until they have been vaccinated. Attendees have been assured that this will not jeopardise their place moving forwards. We are revisiting establishment risk assessments for all open day services to ensure they still meet covid secure guidelines under the new national lockdown.</p>
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	<p>The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently approximately only 35% of people who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> <li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however again we are reviewing the safety of this option under the new national lockdown.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
<p>Closure of building based Day Centres for people with a Learning Disability (ASCODR 3)</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020-2021.</p> <p>A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.</p>

Based on advice from our legal services; by opening some day services to small groups of People; we followed Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enabled the continuation of day care as a permitted exception to an indoor gathering:

[https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We continue to work with colleagues in health and safety and Public Health in order to be able to open additional centres when possible.

Following a new national lockdown in place from 5 January 2021 due to rising infection rates and a new variant of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential.

All day service attendees and/or their carers are being contacted by the hub practitioners to discuss with them of the new guidelines and to complete further individual risk assessments. Service Users choosing or not able to attend have been assured that this will not jeopardise their place moving forwards. We are revisiting establishment risk assessments for all open day services to ensure they still meet covid secure guidelines under the new national lockdown.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however again we are reviewing the safety of this option under the new national lockdown.
- A small number of people with a learning disability who use building-based day services have previously been referred



	<p>to and have made use of the Community Response Unit's services.</p> <ul style="list-style-type: none"> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
<p>Cessation of planned respite breaks services for Older Adults and people with a Learning Disability (ASCODR 4)</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so, however we are reviewing the safety of continuing this during the new national lockdown.</p> <p>Officers will continue working towards re-opening a centre within the County to offer respite in a Covid-secure environment when it is safe to do so. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans have been offered.</li> </ul>

	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
<p>Fire Risk Mitigation Work (ASCODR 6)</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that all of the phase 1 and 2 work has been completed except for the following:</p> <ul style="list-style-type: none"> <li>• Goyt Valley House where compartmentation work will be completed by 8 January 2021;</li> <li>• Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021;</li> <li>• Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).</li> </ul> <p>The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these took place on 15 December 2020. There are still some areas outstanding for clarification which we are working with Health and Safety Colleagues to rectify. We have revisited the risk evaluation on 5 January 2021 and there remains some follow up work to complete by individual establishments. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required.</p> <p>There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.</p> <p>At the present time, there is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
<p>Financial Charging</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a</p>

	<p>discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place, and the new national lockdown.</p> <p>The position continues to remain unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
<p>Shared Lives carers additional payments</p>	<p>This has been reviewed on twenty-two occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 11 January 2021 notes that following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to the day centres. This position remains unchanged.</p>

	The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.
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### **3. Feedback from Principal Social Worker**

The Principal Social Worker has been engaged and consulted with over the initial decisions and has reviewed these latest updates. The Principal Social Worker is satisfied that the original decisions have been made with due regard for the Department of Health and Social Care Ethical Framework. Where appropriate Care Act easement guidance has been considered and formed part of the decision-making process. The Principal Social Worker is aware of the review processes in place.

### **4. Financial Considerations**

As part of the urgent officer decision-making process, regard has been had to financial implications and these are detailed where appropriate on the original Officer Decisions.

### **5. Human Resources Considerations**

As part of the urgent officer decision-making process, regard has been had to human resources implications and these are detailed where appropriate on the original Officer Decisions.

### **6. Legal Considerations**

The reviews of the Officer Decisions made under powers delegated to officers in accordance with the Constitution have ensured that timely consideration is given to the necessity and proportionality of the continuation of those actions outlined in the Officer Decision Records.

The Council's Constitution provides that "notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The preparation of an Equalities Impact Assessment in relation to all the Officer Decisions made using urgent delegated powers has been undertaken and will continue to inform decision making.

## **7. Equality implications**

As part of the urgent officer decision making process, regard has been given to equality implications within the demand time scales applying. A consolidated Equality Impact Assessment is in development and was reported to the 4 June 2020 Cabinet meeting. Specific EIAs in relation to the decisions that affect visiting restrictions at older people's care homes, the closure of day centres and the closure of respite provision have been developed alongside a more comprehensive covering report.

## **8. Other Considerations**

In preparing this report the relevance of the following factors has been considered: Social Value, Human Rights, equality of opportunity, health, environmental, transport, property, social value and crime and disorder considerations.

## **9. Background Papers**

- Officer Decision Records considered by Cabinet on 23 April 2020, 14 May 2020 and 4 June 2020 and published on the county council website.
- Officer Decision Record considered by Cabinet Member 25 June 2020 and published on the county council website.
- Decision making process during Covid Epidemic – report to Cabinet 4 June 2020
- Review of urgent officer decisions taken to support COVID-19 Response – report to Cabinet Member 11, 25 June and 9 and 24 July 2020.
- Cabinet Report - 30 July and associated Equality Impact Assessments
- Equality Impact Analysis – Urgent decisions in relation to council services, functions and assistance

## **10. Key Decision**

As indicated in reports

## **11. Is it required that the Call-in period be waived in respect of the decisions being proposed within this report?**

No

## **12. Officer's Recommendation**

The Cabinet Member for Adult Social Care and Health is asked to:

- i. Note the review of decisions made under urgent delegated powers arising from the COVID-19 Pandemic; and
- ii. Note that future review decisions will be made on a fortnightly basis by the Cabinet Member for Adult Care.

**Helen Jones  
Executive Director – Adult Social Care & Health  
County Hall  
Matlock**

## Appendix 1 – Copy of Officer Decision Records

DERBYSHIRE COUNTY COUNCIL

### OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Residential Care	
Subject of Decision: (i.e. services affected)	Adult Social Care Residential Homes for Older People, closure to visitors
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of the decision taken on 20 March 2020
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	Decision taken on 20/3/20 to cease to allow general visits from friends and family.  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision)	Government advice at the start of the pandemic advised that people aged 70 and over were required to self –isolate. The expectation of further advice from government as to implications for care homes was anticipated, but with none arriving to inform this initial decision.  The advice in March from the Director of Public Health (DPH) and the written guidance updated on 20/3/2020 and the interpretation provided by the DPH, from advice he had received from Public Health England is

<p>Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	<p>that the words “strongly advised” meant, that we should I put visiting restrictions to homes for older people in place.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>We considered keeping the homes open to restricted visitors (friends and families only), but this seemed insufficient in the light of the guidance and advice received. We considered further social distancing measures. These were to be a letter, to friends/ relatives advising them of the risks, asking them to consider their position and reminding them of hygiene and social distancing measures and the potential to visit outside of the home. This was in fact the position agreed at the 8.30 meeting on the 20/2/2020, however, we reverted subsequently after the advice from the DPH and formally changed the decision at a specially reconvened ASC Gold later in the day. Minutes of both meetings are available.</p>
<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>A risk assessment was not undertaken as the decision was taken urgently in response to national guidance issued by the Government in relation to the COVID-19 Pandemic. Subsequently some adverse impacts have been identified and these have been mitigated against – e.g. by ensuring all DCC HOPs and CCC’s have access to Skype technology so family members can keep in touch.</p> <p>Further mitigations have now been developed to allow visiting to take place in prescribed and limited circumstances as detailed in the SMT update section to this ODR. This reflects changing government guidance both in relation to the easing of the lockdown from 4 July 2020 (which does permit limited contact with other people, but with the importance of keeping your distance from people not in your household) and guidance issued on 22 July 2020 on visiting care homes during coronavirus. From 6 July structured, scheduled visits can now take place outdoors (where possible). This will continue to be reviewed regularly to take account of the local and national circumstances and any further revised guidance. A quick decision may be required in the</p>



	<p>event of a second spike or localised outbreak to retract these arrangements and return to restricting all visits to residential care homes.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, this decision would have been subject to consultation as it would potentially adversely impact on family members, carers and friends of the individual in the home, as well as the person living in the care establishment. This decision had to balance the need to consult with the increased risk to vulnerable groups. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>The decision will have had an impact on the people accessing care; their families and carers and staff employed to provide direct care services. The temporary restrictions to the service, brings with it the potential for further risks to those currently using and those needing the service, since it will be more difficult in some ways to monitor well-being, and identify changes in needs, without the fundamental role family and friends provide in advocating on behalf of, and interpreting the needs of their loved ones. It is also likely to be the case that many of those who visit their loved ones and friends within our care homes may be less likely to be connected digitally, so the usual alternative means of providing services, is available to a lesser degree.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>The guidance which initially supported the decision is: <a href="https://www.gov.uk/government/publications/covid-19-guidance-on-social-distancing-and-for-vulnerable-people/guidance-on-social-distancing-for-everyone-in-the-uk-and-protecting-older-people-and-vulnerable-adults">https://www.gov.uk/government/publications/covid-19-guidance-on-social-distancing-and-for-vulnerable-people/guidance-on-social-distancing-for-everyone-in-the-uk-and-protecting-older-people-and-vulnerable-adults</a></p> <p>Revisions have been made to the visiting arrangements following the publication of this guidance: <a href="https://www.gov.uk/government/publications/staying-">https://www.gov.uk/government/publications/staying-</a></p>

	<p><a href="#"><u>alert-and-safe-social-distancing/staying-alert-and-safe-social-distancing-after-4-july</u></a></p> <p>Further revisions have been made to the visiting arrangements following the publication of this guidance on 22 July 2020:</p> <p><a href="https://www.gov.uk/government/publications/visiting-care-homes-during-coronavirus"><u>https://www.gov.uk/government/publications/visiting-care-homes-during-coronavirus</u></a></p> <p>Further revisions can be made with immediate effect to the visiting arrangements should local infection levels rise; in accordance with the publication of this plan on 18 September 2020:</p> <p><a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021"><u>https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021.</u></a></p> <p><b>Feedback on original Officer Decision:</b></p> <p><b>Principal Social Worker</b></p> <p>The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied this decision has been informed by Government advice for residential care and supported living guidance which states:</p> <p>‘How care homes can minimise the risks of transmission?’</p> <p>Care home providers should stop all visits to residents from friends and family. Medical staff and delivery couriers can still visit, but you should leave a hand sanitiser by the entrance and ask them to wash their hands as soon as they enter the building.’</p> <p>The Principal Social Worker is satisfied that the decision maker has shown due regard for balancing the impact on Human Rights Act Article 8, Care Act 2014 Wellbeing Principle and the Department of Health and Social Care Ethical Framework when reaching this decision.</p>
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	<p>All Adult Social Care homes have been issued with a laptop and staff are supporting remote contact with family and friends via technology including telephone, videos, email and Skype so that essential family contact and links are maintained. All residents have person centred support plans and personal service plans that identify important others and contingency plans developed to ensure contact is promoted.</p> <p><b>Finance</b> There are no additional costs associated with this decision.</p> <p><b>Human Resources</b></p> <p><b>Legal</b> Decision is not time limited – would be beneficial to indicate a review date.</p> <p>Response: This decision will be reviewed every two weeks by SMT as part of RODR process and this will be referenced in Cabinet Report as mitigation</p> <p>Decision has HR implications (Article 8 – family life) report should detail how these rights have been balanced and how residents might be supported in having contact with friends and family, otherwise than direct contact.</p> <p>Response: This is referenced via mitigations provided via Skype and it is acknowledged that this an issue, however it is felt important in the current climate that this has to be balanced against an individual's wellbeing and the risks to a person's health if visiting is permitted within a home increasing chances of transmission of the disease.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>This took place with Cllr Wharmby by phone on 20 March 2020.  Consultation with Cllr Wharmby on Review 19/05/2020  Consultation with Cllr Wharmby on Review 27/05/2020  Consultation with Cllr Wharmby at Cabinet Member  Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u> ,<u>25/06/2020</u> ,<u>9/07/2020</u>,</p>

	<p><u>24/07/2020 and 6/08/2020, 03/09/2020, 17/09/2020, 1/10/2020, 15/10/2020, 29/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021, 21/01/2021</u></p>
Decision:	<p>To close all DCC residential homes with immediate effect on 20/3/2020 and to advise independent sector homes of our position and expectation.</p> <p><b>SMT review: 22/04/2020</b> - Social distancing requirements still in place and therefore need to maintain position. The national guidance now states there is a requirement to allow family members to visit loved ones at the end of life which is being delivered locally. Video calling arrangements are in place and has been positively received. SS is to provide clarity on end of life procedures across all establishments.</p> <p><b>Review by SMT 6/05/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as social distancing requirements are still in place and therefore it is important DCC maintains this position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk of COVID-19.</p> <p>Feedback from staff working in Derbyshire County Council operated residential care homes informed the original decision as some individuals were becoming anxious about the on-going visits from relatives and the risk that posed to individuals living in the home. This remains a concern amongst operational teams in relation to infection control. Nationally, it is recommended that visiting restrictions are in place for care homes due to concerns about the prevalence of the disease in these settings.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally. Direct Care staff are developing a consistent approach to end of life visiting arrangements to facilitate the implementation of this national guidance. We have ensured that each DCC home has video calling facilities to allow family members to keep in regular contact with people living</p>

	<p>in a DCC residential care establishment and this has been received positively received.</p> <p>We have also informed PVI Sector homes of this ongoing position to inform their decision making.</p> <p><b>Review by SMT 21/05/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as social distancing requirements are still in place and therefore it is important DCC maintains this position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk of COVID-19.</p> <p><b>Review by SMT 4/06/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p> <p>As a result of the latest changes to social distancing arrangements introduced by the Government this week officers are exploring how visiting restrictions can be relaxed to enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained. This work will be undertaken in conjunction with Health and Safety and Public Health colleagues to ensure any relaxing of the current arrangements are undertaken in a way that continues to minimise the risk to all parties of infection spread. The outcome of this work will be reported to Cabinet Member and will inform changes to visiting restrictions moving forward.</p> <p><b>Review by SMT 11/06/2020:</b> Hold position as PVI sector currently more broadly is not seeking to relax visiting as a potential risk to the residents in the home</p>
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	<p>in terms of infection prevention control. Officers to undertake a piece of work scoping of what might be possible and under what circumstances.</p> <p><b>Review by SMT 18/06/2020:</b> It is considered necessary to continue with visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p> <p>As a result of the latest changes to social distancing arrangements introduced by the Government this week officers are exploring how visiting restrictions can be relaxed to enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained. This work will be undertaken in conjunction with Health and Safety and Public Health colleagues to ensure any relaxing of the current arrangements are undertaken in a way that continues to minimise the risk to all parties of infection spread. The outcome of this work will be reported to Cabinet Member and will inform changes to visiting restrictions moving forward.</p> <p><b>Review by SMT 2/07/2020:</b> It is considered necessary to continue with general visiting restrictions to DCC care homes as general social distancing requirements are still in place. It is important DCC maintains this overall position in order to protect vulnerable people living within the homes who may have long term or underlying health conditions which puts them at more risk should they contract COVID-19.</p> <p>National guidance has changed to enable family members to visit loved ones at the end of life and this is being delivered locally and this is being facilitated.</p>
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	<p>As a result of the latest changes to social distancing arrangements introduced by the Government officers a range of prescriptive visiting arrangements that enable a relative to meet with a loved one in an appropriate outdoor space, whilst social distancing is maintained has been developed and will be implemented.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the position has been revised so that whilst general visiting restrictions need to remain in place, temporary arrangements have been put in place to enable short visits to take place by family members in homes that have no cases of COVID-19 Guidelines have been developed and these will continue to be reviewed in line with national guidance and reflect the change in Government guidance on 4 July which still encourages those in the shielding cohort or with an underlying health condition to strictly observe social distancing measures and limit contact with people outside of their household. The newly announced testing for Care Home residents (every 28 days) and staff (every week) may impact on the ability to facilitate these visits i.e. if positive test results are returned.</p> <p><b>Review by SMT 30/07/2020 notes:</b> that local guidelines which have been developed are being reviewed so that they are in line with guidance issued by central government on 22 July which outlines an enhanced role by the Director of Public Health in terms of ensuring visiting guidelines are robust and risk assessed. We will be seeking to reflect this recommended best practice within visiting arrangements at residential homes for older people and also working with the private, voluntary and independent care home sector across Derbyshire to ensure they also put appropriate arrangements in place.</p> <p><b>Review by SMT 12/08/2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance.</p>
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	<p>These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p><b>Review by SMT on week beginning 24 August 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health,</p>
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	<p>explaining their enhanced role in relation to care homes.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response public health advice last week which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example a friend may visit one week and a son/ daughter the next week where this is appropriate and in accordance with the residents wishes.</p>
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	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response to public health advice on 14 September 2020 which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example, a friend may visit one week and a son/ daughter the next week where this is appropriate and in accordance with the residents wishes.</p>
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	<p>There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response to public health advice on 14 September 2020 which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example, a friend may visit one week and a son/ daughter the next</p>
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	<p>week where this is appropriate and in accordance with the residents wishes.</p> <p>An additional letter has been sent to all Care Home visitors and Managers on the 28 September 2020 from Dean Wallace Director of Public Health stating that restrictions remain unchanged at this current time, however are subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p><b>Review by SMT on week beginning 19 October 2020 notes that</b> revised guidelines, informed by national guidance issued on 22 July, were agreed by the Assistant Director of Public Health, Director of Adult Social Care (Deputy DASS), and Group Manager Quality &amp; Compliance. These confirm that 1 regular visitor can attend inside or outside of the establishment with appropriate PPE and risk mitigations will be in place. The Unit Managers for each establishment are using an overarching risk assessment completed in conjunction with Health &amp; Safety to develop their own risk management arrangements for each establishment. These revised guidelines were distributed to all DCC residential establishments on 31 July 2020.</p> <p>A letter from the Director of Adult Social Care was also distributed to all DCC residential establishments for them to send on to all nominated relatives of</p>
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	<p>residents explaining the updated guidelines as well as including a copy for their reference. (The guidelines are now available on DCC's <a href="#">website</a>). A letter has also been sent to all homes in Derbyshire (Council and PVI Sector) from the Director of Public Health, explaining their enhanced role in relation to care homes.</p> <p>We have now adjusted our position regarding 'one consistent visitor' in response to public health advice on 14 September 2020 which advised that a greater degree of flexibility may be permitted due to the current level of risk. As a provider we have maintained arrangements for most (unless there are exceptional circumstances) at 1 visitor per week to ensure we can accommodate visits for all that want them but the flexibility will enable these to be visits from different people. It will assist where residents have several people who normally visit them. For example, a friend may visit one week and a son/daughter the next week where this is appropriate and in accordance with the residents wishes.</p> <p>An additional letter has been sent to all Care Home visitors and Managers on the 28 September 2020 from Dean Wallace Director of Public Health stating that restrictions remain unchanged at this current time, however are subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>This restriction has now been instigated in relation to cessation of all care home visiting within the Bolsover; Glossopdale; Chesterfield; Erewash and North East areas of Derbyshire until further notice as advised in a further letter sent from Dean Wallace, Director of Public Health on 13 October 2020. This is due to the</p>
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	<p>infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020 and will take account of the Adult Social Care: COVID Winter Plan 2020-2021.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b> on the 28 September 2020 a letter from Dean Wallace Director of Public Health stated that restrictions remain unchanged at this current time, however these were subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>This restriction was instigated by Dean Wallace, Director of Public Health through a letter on 13 October 2020. in relation to cessation of all care home visiting within the Bolsover; Glossopdale; Chesterfield; Erewash and North East areas of Derbyshire until further notice due to the infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>On the 20 October this was followed by a further letter from Dean Wallace, Director of Public Health outlining the above restriction and due to the raising infection rate further recommended cessation of face to face visiting across the whole of Derbyshire. This recommendation is likely to be impacted further as national guidance develops this week.</p>
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	<p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> on the 28 September 2020 a letter from Dean Wallace Director of Public Health stated that restrictions remained unchanged at that time, however these were subject to change should numbers of infections rise causing Derbyshire to become an area listed by Public Health England's surveillance report as an 'area of intervention'. This would require an immediate move to stop visiting, except in exceptional circumstances such as end of life; in accordance with the Government's new Winter Plan for Social Care:  <a href="https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021">https://www.gov.uk/government/publications/adult-socialcare-coronavirus-covid-19-winter-plan-2020-to-2021/adult-social-care-our-covid-19-winterplan-2020-to-2021</a>.</p> <p>This restriction was instigated by Dean Wallace, Director of Public Health through a letter on 13 October 2020. in relation to cessation of all care home visiting within the Bolsover; Glossopdale; Chesterfield; Erewash and North East areas of Derbyshire until further notice due to the infection rate of Covid-19 in those specific areas rapidly increasing with clusters of cases, outbreaks and sporadic cases across the districts.</p> <p>On the 20 October this was followed by a further letter from Dean Wallace, Director of Public Health outlining the above restriction and due to the raising infection rate further recommended cessation of face to face visiting across the whole of Derbyshire. This recommendation is likely to be impacted further as national guidance develops. Subsequently the whole of England has entered a further lockdown on 5 November 2020 for an initial 4-week period.</p> <p>Derbyshire County Council homes have continued to follow national guidance and are now developing plans for 'closed window visits' in accordance with the</p>
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	<p>local Director of Public Health's advice. Some homes have started these, and some require further work. The environment and layout in some homes does prohibit this. A review is being undertaken on all homes that will struggle to provide an isolated safe internal space to facilitate indoor visits (once permitted again), therefore as part of this review, we will work with our property team to look at options for the supply and instillation of screens in designated visiting rooms.</p> <p>Once restrictions are lifted, in order to ensure visitors conform to infection control procedures, visits are sensitively supervised and the risk assessment and guidance provided to family Carers is clear that hand washing/ sanitising must take place on entry/exit to the home and that PPE must be worn.</p> <p>Our guidance currently states that once face to face visits are allowed; touching should be kept to a minimum. The government's latest guidance states that social distancing must be maintained, but also that consideration should be given to the availability of additional PPE (such as gloves and aprons) if social distancing is difficult to maintain. We anticipate this would be considered in end of life situations and will be reviewing our current guidance and risk assessments to reflect that.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> following the first phase of the Covid-19 Pandemic and the original decision to cease all face to face visits in Care homes, on the 20 October 2020 this was followed by further correspondence from Dean Wallace, Director of Public Health reinforcing additional restrictions due rising infection rates across the County. Cessation of face to face visiting across the whole of Derbyshire was once again instigated following a brief period of visits being supported. Subsequently The whole of England entered further lockdown on 5 November 2020 for an</p>
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	<p>initial 4-week period. Local restrictions from Public Health allow only for closed window visits at this current time due to several outbreaks of Covid-19.</p> <p>When the local Director of Public Health's restrictions are lifted to enable visits to take place once more, should a further outbreak occur; Public Health will once again advise that the home should rapidly move to stop indoor contact visiting. This is with the exception of specific circumstances such as at the end of life, in order to protect vulnerable residents, staff and visitors. These restrictions could continue until such time it is understood that an outbreak has been brought under control and the care home has recovered. The decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team if this occurs.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p> <p><b>Review by SMT week beginning 14 December 2020 notes that</b> the decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team if this occurs. Whilst this remains in place having moved out of the second full lockdown into tier 3 in Derbyshire on 02 December 2020, visitor testing will be a further safety measure as and when homes do re-open to visitors. We plan to roll out visitor testing via lateral flow tests (fast-track testing) once relatives can visit again. A letter has been sent to relatives discussing both this development and the roll out programme of Covid-19 vaccinations across care homes on 02 December 2020 from Derbyshire County Council Adult Social Care and Health.</p> <p>We will update our visitor guidelines in due course and make the necessary preparations to ensure our homes are equipped to facilitate visits safely as and when appropriate to do so following advice from Public Health locally using the tests in accordance with national guidance.</p>
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	<p>The national plans to roll out the Covid-19 vaccination programme are progressing rapidly. At this stage we do not have an exact date for the launch of the programme although we anticipate the roll out of the vaccine will commence in the coming few weeks. The Council is awaiting further information from health colleagues about the implementation of the programme across care homes in Derbyshire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> notes that following the first phase of the Covid-19 Pandemic and the original decision to cease all face to face visits in Care homes, on the 20 October 2020 this was followed by further correspondence from Dean Wallace, Director of Public Health reinforcing additional restrictions due rising infection rates across the County. Cessation of face to face visiting across the whole of Derbyshire was once again instigated following a brief period of visits being supported. Local restrictions from Public Health allow only for closed window visits at this current time due to several outbreaks of Covid-19. The decision to restart indoor contact visiting will be taken in conjunction with the local Health Protection Team when the Covid-19 infection rate comes under control. Whilst restrictions to visiting remain in place having moved out of the second full lockdown into tier 3 in Derbyshire on 02 December 2020, visitor testing will be a further safety measure as and when homes do re-open to visitors.</p> <p>We plan to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing). Letters from Derbyshire County Council Adult Social Care and Health have been sent to relatives discussing this development in addition to the roll out programme of Covid-19 vaccinations across care homes; and the measures we are taking with colleagues in property services to facilitate safe visiting spaces on 2 and 18 December 2020.</p>
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	<p>The national plans to roll out the Covid-19 vaccination programme are progressing rapidly. At this stage we do not have an exact date for the launch of the programme although we anticipate the roll out of the vaccine will commence in the coming few weeks. The Council is awaiting further information from health colleagues about the implementation of the programme across care homes in Derbyshire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p> <p><b>Review by SMT week beginning 11 January 2021 notes that</b> due to tier 4 restrictions and subsequently whole of England lockdown measures further introduced on 05 January 2021; closed window visits to residents within care homes are only permissible at this current time due to rising infection levels and a new more transmissible strain of Covid-19. <a href="http://www.gov.uk/guidance/national-lockdown-stay-at-home">www.gov.uk/guidance/national-lockdown-stay-at-home</a></p> <p>Adult Social Care and Health had planned to roll out visitor Covid-19 testing via lateral flow tests (fast-track testing) within care homes, and letters from Derbyshire County Council Adult Social Care and Health had been sent to relatives confirming that development. These plans are now on hold and will re-start once it is safe for indoor contact visiting to take place once more.</p> <p>The national plans to roll out the Covid-19 vaccination programme are progressing.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Simon Stevens 20/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – Older Adults Day Centres
Is this a review of a decision? If so, what was the date of the original decision?	Yes, original decision was taken on 20/03/2020
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>Closure of Older Adults Day Centres with effect from 5pm on 20/03/2020. Cessation of service delivery for people aged over 70 in LD Day Services with effect from 5pm on 20/03/2020</p> <p><b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.</p>
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	<p>Government and PHE advice in relation to reducing the risk of infection spread in relation to COVID-19 states that those over 70 should self-isolate and from 4 July should seek to minimise contact with people from other households.</p> <p>As the vast majority of users of our older adult's day centres are over 70 years old it is not possible to continue to operate those services as individuals self-isolate to adhere with social distancing requirements.</p> <p>Although there are small numbers of people aged under 70 attending the centres they are also likely to fall into the category of having an underlying</p>

	<p>'high risk' health condition that means they are advised to strongly adhere to social distancing guidelines and reduce contact with others outside of their household.</p> <p>To support the Government guidance for people aged 70 and over to self-isolate and maintain social distancing it is appropriate that our day centres for older adults remain closed and we avoid bringing groups of six or more people together in a closed environment.</p> <p>Similarly we need to cease the attendance for those aged over 70 attending out learning disability day services so that we can follow Government guidance and protect those individuals, other service users and staff from increased risk.</p> <p>From 4 July onwards it is considered appropriate to maintain this position as individuals are advised to limit contact with other people and social distancing measures need to be maintained.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>All individuals have been assessed in relation to ongoing alternative support to enable them to manage without their day centre service. Monitoring of the wellbeing of individuals will also be undertaken during the closure period. Additional support is being offered to Carers where appropriate.</p> <p>From 4 July onwards consideration is being given to linking in with local community activity created by the Community Response Hub, technology solutions and outdoor activities as alternative forms of provision for individuals where this is appropriate.</p>
<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite if appropriate and alternative arrangements are being considered.</p>

	<p>These risk assessments are being reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures are eased.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, it would have been subject to consultation with service users. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>An Equality Impact Analysis has been undertaken and identified that the decision will have had an impact on both older people and their families and carers. This EIA was completed on 30 June and is being kept under review.</p> <p>The temporary suspension of the service, and the ongoing assessment of older people's care packages brings with it the potential for further risks to those who were attending the service. However, this risk needs to balance with the risk of infection from COVID-19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular reviews, and where appropriate care packages have been adjusted to accommodate the temporary closure of the building-based day services. The Community Response Unit, established by the County Council and a range of voluntary organisations and local businesses, has also been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</p>

	<p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>The Council continues to review the access restrictions imposed on all its buildings in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>Staff have been temporarily redeployed to support other service areas responding to the COVID-19 pressures</p> <p><b>Feedback in relation to original Officer decision</b></p> <p><b>Legal</b> Decision is not time limited, if the issue persists in the longer term then report would be beneficial to highlight longer term strategy to manage the needs of the affected cohort.</p> <p>Response: Two-week review process is now in place and captured on RODR pro forma</p> <p>ODR indicates that individual assessments are to be undertaken to ensure affected person receive the support necessary – update and assurance could be given in the report to confirm timescales and outcomes for these assessments</p> <p>Response: This will be detailed in Cabinet report and RODR document, but reviews have taken place and are being actively reviewed by P&amp;P teams every two weeks. If appropriate following an initial RAG rated assessment social work teams are contacting some individuals on a daily basis to check there is no significant change in their circumstances that may require consideration and mitigation.</p>

	<p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the Government's guidance about social distancing, full consideration has been given to contingency discussions and planning for alternative support evidenced by the statements:</p> <p>'All individuals will be assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.'</p> <p>'Monitoring of the wellbeing of individuals will also be undertaken during the closure period.'</p> <p>Co-funding contributions will be suspended for people whose only service is day care but will continue for people who access other community-based services.</p> <p><b>Finance</b> It is estimated that the department will lose approximately £2,800 per week in co-funding contributions. This loss of income will be met from the COVID-19 Emergency Grant.</p> <p><b>Human Resources</b> Staff will be temporarily redeployed to support other service areas responding to the COVID-19 pressures.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion with Cllr Jean Wharmby on 19/03/2020 Consultation with Cllr Wharmby on Review 19/05/2020 Consultation with Cllr Wharmby on Review 27/05/2020 Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>09/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>,</p>



	<u>29/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021, 21/01/2021</u>
Decision:	<p>Agreed  <b>Review agreed by CMT 7/04/2020 and SMT 08/04/2020</b></p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020:</b>  It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p><b>Review by SMT 4/06/2020, 11/06/2020, 18/06/2020:</b>  It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance that prohibits people from meeting indoors in large groups. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>Officers are actively scoping options to see whether individuals who would normally attend a</p>

	<p>day centre can be offered alternative provision which would involve activities based outdoors in line with current social distancing requirements. This needs to be considered on a case by case basis in order to take into account a range of factors specific to an individual that may dictate whether this is feasible as individuals in the shielded group have greater restrictions still in place.</p> <p><b>Review by SMT 2/07/2020:</b> It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance that prohibits people from meeting indoors in large groups.</p> <p>Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p> <p>Consideration is being given to linking in with local community activity created by the Community</p>
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	<p>Response Hub, technology solutions and outdoor activities as alternative forms of provision for individuals where this is appropriate.</p> <p><b>Review by SMT 16/07/2020:</b> following ongoing work by officers the current position is that building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p>Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p><b>Review by SMT 30/07/2020:</b> The latest review notes that a steering group is continuing to develop a plan for the re-opening of day centres. Initial work has included a desktop-based risk assessment and ranking of establishments to identify which could potentially open as part of a phased-approach; and working with voluntary sector providers to share learning around their experiences of safe re-opening.</p>
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	<p>Whilst this work is ongoing the current position is that building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> </ul> <p><b>Review by SMT 12/08/2020:</b> noted that a steering group is continuing to develop a plan for the re-opening of day centres. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. A comms plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Whilst this work is ongoing the current position is that building-based day services will remain closed due to the national government guidance</p>
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	<p>advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> </ul> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers.</p> <p>The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p>
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	<p><b>Review by SMT on week beginning 24 August 2020 notes that</b> a steering group is continuing to develop a plan for the re-opening of day centres. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. A comms plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Whilst this work is ongoing the current position is that the majority of building-based day services will remain closed due to the national government guidance advised against gatherings in indoor spaces unless appropriate social distancing can be maintained and limiting contact with people from outside a household. However, options are being explored with the potential of opening three-day service buildings for Older Adults and three day service buildings for people with a Learning Disability across the County. The aim if possible is to open these by 4 October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for 35% capacity to run. Adult Social Care is working very closely with the private, voluntary and independent sector to establish what an offer of community outreach could look like for those who are unable to be accommodated within the building-based capacity. The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of older adults, and where appropriate care packages have been adjusted</li><li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li></ul>
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	<ul style="list-style-type: none"> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• A working group has been developed including providers in the private, voluntary and independent sector to map out a process which will explore and establish the options for ongoing safe provision of service.</li> <li>• A hub has now been created with the aim of assisting the development of plans to maximise our offer of support taking into account the restrictions and guidance still in place. Once plans are finalised staff can be redeployed effectively.</li> </ul> <p>Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers.</p> <p>The services will not be able to support the same number of people and given the vulnerability of those who attend additional precautions will need to be taken.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that a steering group is</b></p>
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	<p>continuing to develop a plan for the re-opening of day centres.</p> <p>Social distancing, even with the current relaxations, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment.</p> <p>A communication plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening.</p> <p>Options are being explored with the potential of opening three-day service buildings for Older Adults The aim, if possible, is to open these by early October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity and the co-dependency of transport arrangements. We are going to write to all people and / or their family/ carers who accessed this service historically to explain this work with the letters arriving with people during week of 7 September 2020.</p>
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	<p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes</b> that a steering group is continuing to develop a plan for the re-opening of day centres. Currently work is underway in order to get day services open as soon as is safely possible to do so. The aim is to open three by the beginning of November with the rest following shortly after. This is of course subject to any local changes due to the ongoing pandemic.</p> <p>Social distancing will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services.</p> <p>Many of the buildings used as day centres have not been designed, with this in mind, as historically the ethos of the offer is the facilitation of group activity for older people to reduce isolation and increase companionship.</p> <p>A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment.</p>
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	<p>A communication plan is also being developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening if and when this is permitted and safe to do so.</p> <p>Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, the plan being explored would allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity and the co-dependency of transport arrangements.</p> <p>Communications planned to be published week of 7 September 2020, including letters, had been paused whilst clarification was sought on the implementation of the new Laws arising from the introduction of the 'rule of six', which we now know day services are exempt from.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b></p>
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	<p>Three day centres are being considered in the first phase.</p> <p>Property Services and Health and Safety are working towards the buildings being “Covid” compliant by October, but it is likely to be some weeks after that before we can safely have people using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work</p>
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with representatives from voluntary sector providers to share learning around their experiences of safe re-opening if and when this is permitted and safe to do so.

Letters were sent out on Monday 28 September 2020 to people who use day services and their carers stating our plan to re-open 3-day service facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise will commence week beginning 5 October 2020.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

**Review by SMT 19 October 2020 notes that:** a steering group is continuing to develop a plan for the re-opening of day centres. This review has account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.

Three-day centres are being considered in the first phase. Property Services and Health and Safety are working towards the buildings being "Covid" compliant by October, but it is likely to be some weeks after that before we can safely have people

	<p>using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples ; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group will continue to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening and to ensure capacity across Derbyshire.</p> <p>Letters were sent out on Monday 28 September 2020 to people who use day services and their</p>
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	<p>carers stating our plan to re-open 3-day service facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise has commenced and will be completed by the end of October.</p> <p>Queen's Court and Shirevale have both been signed off as COVID -19 secure with Ambervale requiring one further risk assessment due to the day centre being a component of the residential unit. However, exploration is now needed to explore the impact of Tier 2 and Tier 3 local restrictions on these arrangements.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>A prioritisation exercise has commenced and is now completed in several areas. Plans are in place to re-open Queen's Court and Shirevale by mid-November.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Three-day centres are being considered in the first phase. Queen's Court; Shirevale and Ambervale which have been signed off as COVID -19 secure. Work is underway to also open Jubilee which operates in conjunction with Queens Court as 1 service over 2 locations to account for the rurality in the north of the County.</p> <p>Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are</p>
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	<p>being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. However recent Government guidance now only allows for 15 people at any one time, exclusive of staff.</p> <p>We are also exploring transport which has also seen a significant reduction in capacity. With transport there are also now additional rigorous cleaning regimes which must be in place for infection control. There are also interdependencies with ensuring children and young people with disabilities can attend school.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work. The steering group continues to work with representatives from voluntary sector providers to share learning around their experiences of safe re-opening and to ensure capacity across Derbyshire. It may be possible to access private and voluntary sector provision if after the prioritisation exercise; there is an indication that there are more individuals who are deemed to be at the highest priority need to re-access a service than what restrictions allow to be provided for in-house.</p> <p>Letters were sent out on Monday 28 September 2020 to people who use day services and their carers stating our plan to re-open 3-day service</p>
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	<p>facilities across the county at Queen's Court in Buxton; Shirevale in Shirebrook; and Ambervale in Heanor. A prioritisation exercise has commenced and is due to be completed week beginning 09 November 2020. Plans are in place to aim for opening the above 3 services with the addition of Jubilee in the High Peak during November 2020.</p> <p>Based on advice from our legal services; by opening the above day services to small groups of people; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering: <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding them of the risks and the judgment that they must make whether to continue to attend the service or not.</p> <p>Given several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend, or it is deemed not in their best interests to attend; their place will be held for this period of time.</p>
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	<p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Based on current Government guidelines and following advice from our legal services; by opening the above day services to small groups of people; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) are being re-opened in the first phase of opening centres. Queen's Court with Jubilee; Shirevale and Ambervale which have been signed off as COVID -19 secure. It was anticipated that these services would re-open with reduced numbers of people attending during the week beginning 30 November 2020, but due to revised arrangements</p>
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	<p>concerning safe transport of people who might become symptomatic during a session, these services are now due to reopen week beginning 7 December 2020.</p> <p>The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are putting in place safe systems of work around making sure that transport to and from the day services is Covid safe, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service.</p> <p>A communication plan has been developed to ensure people who had been accessing services and/or their family / carer(s) are kept informed of this work and letters were sent out to those people on Monday 28 September 2020 informing them of our re-opening plans.</p> <p>To note a number of those offered a place who have been assessed as highest priority have declined in some areas thus allowing for places to be additionally offered to those assessed as moderate risk.</p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding Service Users and Carers of the risks and the informed decision that they must make whether to continue to attend the service or not.</p>
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Given that several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend, or it is deemed not in their best interests to attend; their place will be held for this period of time.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale which have been signed off as COVID -19 secure. The intention is to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021.

The work we have done to prepare for this has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently looking like only 35% of people

who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.

The following mitigations have been put in place since the original decision to close building-based services was approved, including:

- Regular review of older adults, and where appropriate care packages have been adjusted
- A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.
- Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

**Review by SMT week beginning 28 December 2020 notes that** notes that a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021. The ambition is to open all our Older Adults day services in a phased approach.

Based on current Government guidelines and following advice from our legal services; by re-

	<p>opening four day services in phase one to small groups of people; we are following Regulation 11(9) of <i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>, which enables the continuation of day care as a permitted exception to an indoor gathering: <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020. We continue to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021. We are currently working on ensuring that the locations where possible to open further day centres in phase 2 meet robust Covid secure requirements.</p> <p>The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically being able to attend on any one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.</p> <p>Following a prioritisation exercise for reopening centres in phase 1; a number of those offered a place who had been assessed as highest priority declined in some areas, thus allowing for places to be additionally offered to those assessed as moderate risk. We will need to revisit prioritisation according to people's changing needs in phase 2 reopening.</p> <p>We discussed with all who planned to attend in phase 1 that have health conditions which mean they are clinically vulnerable and clinically</p>
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	<p>extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, (<i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020,</i>) there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others. We will revisit this advice with people within the phase 2 offer.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of older adults, and where appropriate care packages have been adjusted</li> <li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li> <li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p> <p><b>Review by SMT week beginning 11 January 2021 notes that</b> a steering group has continued to develop a plan for the re-opening of day centres. This review has taken account of the recently published Adult Social Care: COVID Winter Plan 2020- 2021. The ambition is to open all our Older Adults day services in a phased approach.</p>
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	<p>By re-opening four day services in phase one in to small groups of people; we followed Regulation 11(9) of <i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i>, which enabled the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf">https://www.legislation.gov.uk/uksi/2020/1200/pdfs/uksi_20201200_en.pdf</a></p> <p>Three-day services (across four locations) have been re-opened in the first phase of re-opening centres. Queen's Court with Jubilee; Shirevale and Ambervale re-opened on Monday 7 December 2020. We continue to review the learning achieved in re-opening these centres in order to roll out phase 2 which is anticipated early in the new year 2021. We are currently working on ensuring that the locations where possible to open further day centres in phase 2 meet robust Covid secure requirements.</p> <p>Following a new national lockdown in place from 05 January 2021 due to rising infection rates and a new variant of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential.</p> <p>All day service attendees and/or their carers are being contacted by day service staff to advise them of the new guidelines. Some attendees who are not classed as Clinically Extremely vulnerable have declined to attend at this time or until they have been vaccinated. Attendees have been assured that this will not jeopardise their place moving forwards. We are revisiting establishment risk assessments for all open day services to ensure they still meet covid secure guidelines under the new national lockdown.</p> <p>The work completed for reopening has revealed that; due to safety measures, there is a significant impact on the capacity of each centre which is currently approximately only 35% of people who attended historically being able to attend on any</p>
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	<p>one day. We have also put in place safe systems of work around making sure that transport to and from the day services is Covid secure, which includes very rigorous cleaning schedules and adjusted ways of working with people to whom we provide a service, including increased use of PPE.</p> <p>The following mitigations have been put in place since the original decision to close building-based services was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of older adults, and where appropriate care packages have been adjusted</li><li>• A small number of older adults who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carer's emergency plans are being offered.</li><li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however again we are reviewing the safety of this option under the new national lockdown.</li></ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Simon Stevens 20/03/2020	



## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – Learning Disability Day Services
Is this a review of a decision? If so, what was the date of the original decision?	Yes, original decision date was 23/03/2020.
Key decision? If so, have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>Closure of building based Day Centres for people with a Learning Disability with effect from 5pm on 23/03/2020.</p> <p>This will mean the closure of DCC run day centres for people with a day service and advice to PVI services that operate in large groups using a building base to close.</p> <p>Other PVI day services that operate in small groups outside and through one to one activity with personal assistance are not at this time being advised to close.</p> <p><b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.</p>
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council	In order to adhere to Government guidance, we need to close our day centres for people with a learning disability and avoid bringing groups of people together in a closed indoor environment where social distancing may not be able to be effectively maintained.

<p>policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>At the start of lockdown, we tried to deliver the service using opportunities to do this in a way that enable social distancing, but this has not proven sustainable.</p> <p>All individuals have been assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.</p> <p>Monitoring of the wellbeing of individuals will also be undertaken during the closure period.</p> <p>From the 17 April, it was agreed that the limited operation of two day centres would take place to support people with complex needs has been considered and approved as appropriate risk mitigation to prevent placement breakdown and reduce the probability of hospital admission.</p> <p>As lockdown measures are eased from 4 July onwards, the following measures are being considered as alternatives if appropriate for the individual.</p> <ul style="list-style-type: none"> <li>• digitally</li> <li>• phone calls to Service users or there their carers</li> <li>• Activity packs to do at home</li> <li>• Shopping</li> <li>• Newsletters, Support letters and photographs</li> <li>• Some farms were offering and delivering food packs to service user's doors and into the local community.</li> </ul> <p>Some private, voluntary and independent sector services have continued to provide alternative provision to support families and carers.</p>

<p>Has a risk assessment been conducted- if so, what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term planning, carer support, carer breakdown, provision of emergency respite if appropriate and alternative arrangements are being considered.</p> <p>These risk assessments are being reviewed on a regular basis to check that they remain appropriate in line with national guidance and consideration of the range of support available as lockdown measures are eased.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>Yes, it would have been subject to consultation with people who access DCC day service provision. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.</p> <p>From 4 July as lockdown measures eased, the intention within Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/ carers, recognising that fewer people will be able to attend a building-based offer on a daily basis and this should be reserved for those most at risk of carer breakdown and those with the highest level of need.</p>

<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>The original decision was taken in response to guidance published by the Department for Health and Social Care concerning the high-risk groups of people who should self-isolate for 12 weeks, and the adhere to the 2-metre social distancing.</p> <p>The decision will have had an impact on both people with a learning disability and their families and carers. The temporary suspension of the service, and the ongoing assessment of people's care packages brings with it the potential for further risks to those who were attending the service. However, this risk needs to balance with the risk of infection from COVID 19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular reviews, and where appropriate care packages have been adjusted to accommodate the temporary closure of the building-based day services. A temporary day service is being provided by the Council for some people living with complex learning disabilities where it was felt appropriate to continue to provide that service. This has been done in accordance with Government guidelines concerning social distancing, use of PPE and infection control measures.</p> <p>An EIA was completed on 30 June and is being kept under review.</p> <p>The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</p> <p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre</p>
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	<p>provision being closed and carers emergency plans are being offered.</p> <p>Whilst the Council continues to review the access restrictions imposed on all its buildings, in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people, it is not expected that building-based services will be returning as previously provided prior to COVID-19.</p> <p>A programme group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and will report back to Cabinet with a position that will update/ override this ODR and put longer term arrangements in place.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p><b>Feedback in relation to original Officer decision</b></p> <p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the Government's guidance about social distancing, full consideration has been given to contingency discussions and planning for alternative support evidenced by the statements:</p> <p>'All individuals will be assessed as to whether they require ongoing alternative support to enable them to manage without their day centre service and this will be provided.'</p> <p>'Monitoring of the wellbeing of individuals will also be undertaken during the closure period.'</p> <p>Co-funding contributions will be suspended for people whose only service is day care but will continue for people who access other community-based services.</p>

	<p><b>Finance</b> It is estimated that the department will lose approximately £5,000 per week in client contributions. This loss of income will be met from the COVID-19 emergency grant.</p> <p><b>Human Resources</b> Staff will be temporarily redeployed to support other service areas responding to the COVID-19 pressures.</p> <p><b>Legal</b> The Council has powers in accordance with s1-6 of the Localism Act 2011 to do that which will be to the 'benefit of the authority, its area or persons resident or present in its area'. The proposed benefit of this action is to support the reduction in COVID-19 infections and reduce the transmission of the virus. Due to the timescales involved it has not been possible to consult affected persons but arrangements have been put in place to assess those current service users who will be impacted by the decision and to ensure that they are provided with alternate support should this be required. An evaluation of the risks of this course of action and the mitigation of these risks and an EIA are being undertaken.</p> <p>The decision is a proportionate and reasonable response to COVID-19 risks. The suspension of this service type, in isolation, where other services will continue on a business as usual basis is possible applying flexibilities under the Care Act 2014. It represents a Stage 2 decision as set out within the Care Act easements: Guidance for local authorities</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion between Helen Jones and Cllr Jean Wharmby on 23/03/2020          Consultation with Cllr Wharmby on Review 19/05/2020          Consultation with Cllr Wharmby on Review 27/05/2020          Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>,</p>

	<p><u>17/09/2020, 1/10/2020, 15/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021, 21/01/2021</u></p>
Decision:	<p>Agreed by CMT 23/03/2020</p> <p>Review agreed by CMT 7/04/2020 and SMT 08/04/2020</p> <p><b>Review by SMT 17/04/2020</b> proposal to utilise two learning disability day service buildings during COVID-19 outbreak was agreed.</p> <p><b>Review by SMT 22/04/2020, 21/05/2020, 6/05/2020, 4/06/2020, 11/06/2020, 18/06/2020:</b> It is considered necessary to keep the closure of day centre provision in place due to ongoing social distancing requirements in line with national Government guidance. Clients who would normally attend a day centre have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via attendance at a day centre.</p> <p>Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>For clients with a complex learning disability, where appropriate, individual arrangements have been put in place to ensure there is an alternative day service provision and this is in place to prevent placement breakdown or avoid hospital admission.</p> <p><b>Review by SMT 2/07/2020:</b> it is considered necessary to keep the closure of day centre provision in place.</p> <p>Everyone who attended a day service has been assessed, contingency plans put into place, with these being reviewed on a two-weekly basis to</p>

ensure social care need is being met. A very small number of adults with learning disability have continued to access some building-based support to prevent family breakdown. This has been complex to manage and has required significant risk assessments to be in place.

Some private, voluntary and independent sector services have continued to provide alternative provision to support families and carers.

A variety of the alternative support being delivered is:

- digitally
- phone calls to Service users or there their carers
- Activity packs to do at home
- Shopping
- Newsletters, Support letters and photographs
- Some farms were offering and delivering food packs to service user's doors and into the local community.

Ongoing work is to consider how these services are re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The intention with Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/ carers, recognising that fewer people will be able



to attend a building-based offer on a daily basis and this should be reserved for those most at risk of carer breakdown and those with the highest level of need.

**Review by SMT 16/07/2020:** Following ongoing work by officers the current position is that learning disability day services will remain closed. A working group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and reported on at an appropriate time. This group is actively reviewing Government guidance, including that issued from 4 July to consider how these services can be re-opened safely and consideration is required in several areas. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to reduce risk of exposure to COVID – 19 for people who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

Several factors are being explored these have been raised nationally through the Association of Directors for Adult Social Services (ADASS). This includes testing, use of face masks, the ability to manage with dignity, social distancing for people who lack capacity to understand the need and requirement for this. The experience of the attendee and their family/ carer to ensure that this remains a positive experience

The intention within Derbyshire is to co-produce “a new offer” with those who have historically used day services/ day activities and their families/

carers, recognising that fewer people will be able to attend a building-based offer on a daily basis and this should be reserved for those most at risk of carer breakdown and those with the highest level of need. People with Learning Disability and their carers will shortly be receiving letters communicating this approach.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

**Review by SMT 30/07/2020:** The latest review on 30 July 2020 notes that a 'hub' of staff is being created to explore specifically the safest and most practicable way of starting to deliver a service again. Training in upskilling staff in order to do so is currently being developed. Following ongoing work by officers the current position is that learning disability day services will remain closed. A working group has been formed to look at options for the future delivery of these services and these will be co-produced with people with a learning disability and reported on at an appropriate time. It will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time and infection control measures will be required to

reduce risk of exposure to COVID – 19 for people who attend these services. Many of the buildings used as day centres have not been designed with this in mind. Detailed risk assessments are required to ensure measures can be put in place to safeguard those who attend, staff and informal carers. Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team on 13 August 2020.

**Review by SMT 12/08/2020:** The latest review on 13 August 2020 notes that the working group has continued to work on options for the future of these services. A 'hub' of staff will be established on the 17 August to co-produce the new offer with people with learning disability, their families and carers. Many of the buildings used as day centres have not been designed with this in mind.

Detailed risk assessments have now been completed recognising it will not be possible for all

services to resume at the same time or at the same levels of attendance as pre-pandemic. Social distancing, even if relaxed, will remain a feature within the Country for some time which indicate building based occupancy will need to reduce to approximately 35%. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment

Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE).
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team on 27 August 2020.

**Review by SMT on 27/08/2020 notes that the working group has continued to explore options for the future of these services. A temporary 'hub' of**

	<p>staff was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.</p> <p>Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic. Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even if relaxed, will remain a feature within the Country for some time which indicate building-based occupancy will need to reduce to approximately 35%. A phased approach is being considered, with site visits being planned to examine further issues relating to safe use of the buildings, and storage of cleaning equipment. Options are being explored with the potential of opening three day service buildings for people with a Learning Disability across the County. The aim if possible is to open these by 4 October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for 35% capacity to run.</p> <p>Transport provision to support people to get to a day centre also needs to be considered in line with social distancing requirements and this may mean there is reduced capacity.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening</li></ul>
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	<p>a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.</p> <ul style="list-style-type: none"> <li>• A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p> <p><b>Review by SMT on week beginning 7 September 2020 notes</b> that the working group has continued to explore options for the future of these services. A temporary 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.</p> <p>Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p>Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even with the current relaxation, will remain a feature within the Country for some time which indicate building.</p> <p>Options are now being explored with the potential of opening three-day service buildings for people</p>
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	<p>with a Learning Disability across the County. The aim if possible is to open these by early October 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan will allow for approximately a 35% capacity. Work is now underway to explore who should access this capacity, the activities to be offered and the co-dependency of transport arrangements.</p> <p>Consideration is being given as to how we can use our existing day services to provide non-building based, activities in local communities for those unable to attending day centres.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.</li><li>• A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li></ul>
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	<ul style="list-style-type: none"> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes</b> that a working group has continued to explore options for the future of these services. A temporary ‘hub’ of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers.</p> <p>Detailed risk assessments have now been completed recognising it will not be possible for all services to resume at the same time or at the same levels of attendance as pre-pandemic.</p> <p>Many of the buildings used as day centres have not been designed with social distancing in mind. Social distancing, even with the current relaxation, will remain a feature within the Country for some time which indicate building.</p> <p>Options have been explored with the potential of opening three-day service buildings for people with a Learning Disability across the County. The aim is to open these by early November 2020. Taking into consideration government guidance regarding distancing and gatherings within indoor spaces, this plan would allow for approximately a 35% capacity. Work continues to explore prioritise who should access this capacity, the activities to be offered and the co-dependency of transport arrangements. Consideration is being given to how we can use our existing day services to provide non-building based, activities in local communities for those unable to attend day centres.</p>
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	<p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li> <li>• The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.</li> <li>• A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p>
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Three day centres are being considered in the first phase. A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with 71 people with a learning disability and/or who are autistic.

Property Services and Health and Safety are working towards the buildings being "Covid" compliant by October, but it is likely to be some weeks after that before we can safely have people using the facilities. This is especially important as we know that many people that we support within day services are very vulnerable, live with vulnerable carers and are often unable to comply with many of the other requirements that are being used to ensure social distancing and infection control. For example; staying 2 metres apart, wearing face masks, queuing, waiting outside a room or building and covering a cough or sneeze.

Ensuring the buildings are COVID -19 compliant is only one aspect. Detailed risk assessments are being compiled which include the following examples; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create "bubbles", ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.

The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- The Parkwood Centre in Alfreton, Whitemoor in Belper and the Outlook Centre in Long Eaton have been providing very limited emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE). In the North East, Carter Lane has been used by two people to prevent crisis and a breakdown of the care at home. In the High Peak two people again have been supported within the Alderbrook building in order to prevent crisis.
- A small number of people with a learning disability who use building-based day services have been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020

**Review by SMT 19 October 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but

also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.

Four-day centres have been considered in the first phase.

Parkwood, Newhall, Alderbrook and additionally No limits. Property Services and Health and Safety have ensured the buildings are COVID -19 compliant. Detailed risk assessments have been compiled which include the following examples ; the recognition of regular cleaning regimes such as surfaces and toilets and the need to train staff to complete this, the creation of individual activity boxes, the creation of groups of staff to create “bubbles”, ensuring staff are able to change from outdoor clothing into clothing just to be worn within the centre and laundry of this clothing.

The work we have done to prepare for this has revealed that; due to safety measures, there will be a significant impact on the capacity of each centre which is currently looking like only 35% of people who attended historically will be able to attend on any one day. We are also exploring transport which has also seen a significant reduction in capacity, requires cleaning and has interdependencies with ensuring children and young people with disabilities are able to attend school.

It is anticipated that these centres will be able to open to reduced numbers on the 2 of November however exploration is now needed to explore the impact of Tier 2 and Tier 3 local restrictions on these arrangements.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November

**Review by SMT week beginning 2 November 2020 notes that** Parkwood, Newhall and No limits are now open to reduced numbers with Alderbrook

planning to open as soon as building repair work has been completed to fix damage from a significant leak.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.

Following intensive risk assessments, the day centres that are Covid safe and currently open to small numbers of people with a learning disability are; Newhall, Parkwood, No Limits, Whitemoor and Outlook; with Alderbrook opening shortly.

The work we have done to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service Users on any day to access individual services unless in completely separate 'bubbles' of 15 using separate areas of a building with separate facilities such as entrances/exits and toilet facilities. This is possible at some of the larger centres such as Outlook in Long Eaton.

Based on advice from our legal services; by opening the above day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which

	<p>enables the continuation of day care as a permitted exception to an indoor gathering: <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p> <p>We are discussing with all who attend that have health conditions which mean they are clinically vulnerable and clinically extremely vulnerable; that whilst it is perfectly lawful for DCC to run the service and for them to leave their homes to attend, there remains increased risks to them should they contract Covid 19 and Government Guidance is for them to minimize contacts with others.</p> <p>Consequently, we are reminding them of the risks and the judgment that they must make whether to continue to attend the service or not.</p> <p>Given several people who attend our day services may not have the capacity to make this decision, Mental Capacity Assessments are being completed and Best Interest Decisions made. Additional risk assessments will also be completed for those who are either Clinically vulnerable or extremely clinically vulnerable that wish to attend. For those who choose not to attend or it is deemed not in their best interests to attend; their place will be held for this period of time.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• In addition to the small amount of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have</li></ul>
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	<p>made use of the Community Response Unit's services.</p> <ul style="list-style-type: none"> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> <li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> This has been reviewed on nineteen occasions by Adult Social Care and Health Senior Management Team and a discussion between the Executive Director and Cabinet Member.</p> <p>The latest review on week beginning 30 November 2020 notes that a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>A 'hub' of practitioners was established on the 17 August to progress reviews and assessments, but also co-produce the new offer with people with learning disability/Autism, their families and carers. This group of practitioners are now actively working with people with a learning disability and/or who are autistic.</p> <p>Based on advice from our legal services; by opening the above day services to small groups of People; we are following Regulation 11(9) of <b><i>The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020</i></b>, which enables the continuation of day care as a permitted exception to an indoor gathering:  <a href="https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf">https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf</a></p>
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	<p>Following intensive risk assessments, the day centres that are Covid safe and currently open to small numbers of people with a learning disability are; Newhall, Parkwood, No Limits, Whitemoor and Outlook. Alderbrook has just opened, and Carter Lane will be opening soon.</p> <p>The work we have done to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service Users on any day to access individual services unless in completely separate 'bubbles' of 15 using separate areas of a building with separate facilities such as entrances/exits and toilet facilities. This is possible at some of the larger centres such as Outlook in Long Eaton.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• In addition to the small amount of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li><li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li></ul>
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The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021. A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by opening some day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enables the continuation of day care as a permitted exception to an indoor gathering: [https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We are working on more being able to open over the coming weeks.

The work we continue to do to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, recent updated Government guidance will allow for no more than 15 service users on any day to access individual services unless in separate 'bubbles' of 15 using separate areas of a building with separate facilities. This may be possible at some of the larger centres. The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.
- In addition to the small number of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).
- A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.
- Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

**Review by SMT week beginning 28 December 2020 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by opening some day services to small groups of People; we are following Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enables the continuation of day care as a permitted exception to an indoor gathering:  
[https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

	<p>A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We continue to work with colleagues in health and safety and Public Health in order to be able to open additional centres shortly.</p> <p>The work we continue to do to enable this has revealed that due to safety measures, there is a significant impact on the capacity of each centre. In addition, Government guidance will allow for no more than 15 service users on any day to access individual services unless in separate 'bubbles' of 15 using separate areas of a building with separate facilities. This may be possible at some of the larger centres.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• In addition to the small number of centres which have opened; staff from other centres continue to provide outreach services to people in places where buildings have not opened, (and in doing so following appropriate guidelines about use of PPE).</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li><li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li></ul>
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The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.

**Review by SMT week beginning 11 January 2021 notes that** a working group has continued to explore options for the future of these services. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021. A 'hub' of practitioners remains actively working with people with a learning disability and/or who are autistic.

Based on advice from our legal services; by opening some day services to small groups of People; we followed Regulation 11(9) of ***The Health Protection (Coronavirus, Restrictions) (England)(No. 4) Regulations 2020***, which enabled the continuation of day care as a permitted exception to an indoor gathering: [https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi\\_20201200\\_en.pdf](https://www.legislation.gov.uk/ukxi/2020/1200/pdfs/ukxi_20201200_en.pdf)

A number of day services are open and receiving people following assessment from the hub, and some are open to prevent crisis to those who have high needs as emergency placements. We continue to work with colleagues in health and safety and Public Health in order to be able to open additional centres when possible.

Following a new national lockdown in place from 05 January 2021 due to rising infection rates and a new variant of Covid-19, Clinically Vulnerable and Clinically Extremely Vulnerable day service users have been advised that they should stay at home in line with government guidance, unless it is deemed their attendance is essential. All day service attendees and/or their carers are being contacted by practitioners in the hub to advise them of the new guidelines and complete further individual risk assessments. Service Users choosing or not able to attend have been assured that this will not jeopardise their place moving forwards. We are revisiting establishment risk

	<p>assessments for all open day services to ensure they still meet covid secure guidelines under the new national lockdown.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people with a learning disability or autism, and where appropriate care packages have been adjusted.</li><li>• Where possible and where capacity allows, we are offering very limited outreach to those who are assessed as high priority that have not been able to attend or have chosen not to attend a centre, however again we are reviewing the safety of this option under the new national lockdown.</li><li>• A small number of people with a learning disability who use building-based day services have previously been referred to and have made use of the Community Response Unit's services.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li><li>• Day centre staff have either supported outreach work or have been redeployed to Older Adults residential establishments.</li></ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Simon Stevens 23/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Day Care - Temporary Closure / cessation of Service	
Subject of Decision: (i.e. services affected)	Service closure – planned respite.
Is this a review of a decision? If so, what was the date of the original decision?	Yes, review of decision made 23/03/2020
Key decision? If so have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and <b>when it will be (further) reviewed</b> ):	Cessation of planned respite breaks services for Older Adults and people with a Learning Disability with effect from 23/03/2020  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and Cabinet Member, being reported to Cabinet Member on a fortnightly basis.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	Government and Public Health England advice in relation to reducing the risk of infection spread in relation to COVID-19 states that those aged 70 and over 70 should self-isolate and adhere to social distancing requirements.  We need to reduce the risk of cross infection for both those using respite and long-term care home residents to reduce the number of individuals coming in and out of the services to reduce risks in relation to infection.  As the vast majority of users of our older adult respite care services are used by people aged 70 and over it is not possible to continue to operate those services safely.

	<p>Similarly, significant numbers of the people using our learning disability respite services are likely to fall into the category of having an underlying 'high risk' health condition that means they would be advised to socially distance and minimise contact with others from outside of their household.</p> <p>In order to protect them and other residents within our residential care homes non-urgent respite provision remains closed.</p>
Alternative Options Considered (if appropriate) and reasons for rejection of other options	All individuals are being monitored and reviewed during the period the provision does not operate as normal to ensure that the withdrawal of planned respite does not lead to significant risks to their health and wellbeing.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	Individual risk assessments have been undertaken in relation to this decision and concerns relating to long term emergency respite if appropriate and alternative arrangements are being considered.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision	Yes it would have been subject to consultation with service users. Consultation did not take place due to national advice being issued from the Government regarding the COVID-19 pandemic response which stated that vulnerable groups needed to undertake social distancing to protect their health and wellbeing. Therefore, an urgent decision needed to be taken.
Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?	<p>The decision will have had an impact on older adults, people with a learning disability and their families and carers. The temporary suspension of the service, and the ongoing assessment of peoples care packages brings with it the potential for further risks to those who make use of the service. However, this risk needs to balance with the risk of infection from COVID 19 and adherence to national guidelines.</p> <p>Mitigations have been put in place through the regular review of people who would normally</p>

	<p>access the provision, and where appropriate care packages have been adjusted to accommodate the temporary cessation of the service Emergency respite services have continued to operate from a number of the Council's establishments, or as an outreach services for some people, to support both older adults and people with a learning disability and their family / carers – particularly in order to reduce the risk of carer breakdown.</p> <p>The Community Response Unit, a partnership between the County Council and a range of voluntary organisations and local businesses, has been established to make sure vulnerable residents are supported through the coronavirus outbreak. Whilst it is not known if people who have previously accessed respite services have been referred to or used the Unit, it is known that older adults and people with a learning disability who use other services such as building-based day care have accessed this Unit's services.</p> <p>Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of the cessation of planned respite and carers emergency plans are being offered.</p> <p>Whilst the Council continues to review the access restrictions imposed on all its buildings, in line with national guidance concerning social distancing and self-isolation requirements for vulnerable people, it is not expected that the building-based planned (bookable) respite service will return to normal operating arrangements in the short to medium term.</p> <p>An EIA was completed on 30 June and is being kept under review.</p>
Background/Reports/Information considered and attached (including Legal, HR, Financial and other	Any excess staffing capacity generated as a result of this cessation of service will be temporarily redeployed to support other service areas responding to the COVID-19 pressures



<p>considerations as required))</p>	<p><b>Feedback on original Officer Decision:</b></p> <p><b>Legal</b></p> <p>Decision is not time limited, if the problem persists in the longer then report would be beneficial to highlight longer term strategy to manage the needs of the affected cohort.</p> <p>Response: Two-week review process is now in place and captured on RODR pro forma</p> <p>ODR indicates that individual assessments are to be undertaken to ensure affected person receive the support necessary – update and assurance could be given in the report to confirm timescales and outcomes for these assessments</p> <p>Response: This will be detailed in Cabinet report and RODR document, but reviews have taken place and are being actively reviewed by P&amp;P teams every two weeks.</p> <p><b>Finance</b></p> <p>There are no additional financial considerations in relation to this proposal.</p> <p><b>Principal Social Worker</b></p> <p>The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework. Whilst this decision was informed by the government’s guidance about social distancing and COVID-19 guidance in relation to residential care and supported living full consideration has been given to contingency discussions and planning for alternative support evidenced by the following statement: ‘All individuals will be monitored and reviewed during the cessation period to ensure that the withdrawal of planned respite doesn’t lead to significant risks to their health and wellbeing’. Guidance has been issued to frontline assessment staff to inform their person-centred conversations when exploring equivalent levels of support. Associated RAG rating records have been regularly completed and updated.</p>
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<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussion between Helen Jones and Cllr Jean Wharmby on 22/03/2020          Consultation with Cllr Wharmby on Review 19/05/2020          Consultation with Cllr Wharmby on Review 27/05/2020          Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>, <u>12/11/20</u>, <u>26/11/2020</u>, <u>10/12/2020</u>, <u>21/12/2020</u>, <u>7/01/2021</u>, <u>21/01/2021</u></p>
<p>Decision:</p>	<p>Agreed  <b>Review agreed by CMT 07/04/2020 and SMT 8/04/2020</b></p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> It is considered necessary to continue to cease planned respite activity due to ongoing social distancing requirements in line with national Government guidance. Clear evidence that spread within care homes is due to bringing people in from the community.</p> <p>We continue to need to reduce the risk of cross infection for both those using respite and long-term residents and so reducing the number of individuals coming in and out of the service is essential.</p> <p>Clients who would normally attend a planned respite break have had their care package reviewed and it is continuing to be reviewed on a minimum of a fortnightly basis to check that no additional support is required as an alternative to the support which would have normally been received via respite. Derbyshire Carers Association is also offering support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</p> <p>In addition, the respite beds are being utilised to support hospital discharge and increase bedded capacity in residential care.</p>

	<p>Urgent respite provision is still in place as a mitigation where this is considered appropriate.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that building-based planned respite services will remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> <li>• Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so.</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase as a result of day centre provision being closed and carers emergency plans are being offered.</li> </ul> <p><b>Review by SMT 30/07/2020 notes:</b> following ongoing work by officers that the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> </ul>
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- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so. This is in order to provide a period of respite during the day to support Carers as far as is possible to do currently.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite during the day reduce as a result of day centre provision being closed and carers emergency plans are being offered.

**Review by SMT 12/08/2020 notes:** that following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted
- Parkwood Centre in Alfreton has been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE).
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite during the day reduce as a result of day centre provision being closed and carers emergency plans are being offered.

	<p><b>Review by SMT on week beginning 24 August 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li><li>• Five building based day services across the County for people with a learning disability have been providing emergency day service provision for those people for whom it has been identified as being appropriate to do so, with staff from other centres providing outreach services to people in place of opening a building (and in doing so following appropriate guidelines about use of PPE) in order to provide an element of respite to support Carers in their increased role. The bungalow at Newhall, which is a learning disability resource has also supported one individual with respite care.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.</li></ul> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p>
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	<p><b>Review by SMT on week beginning 7 September 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"> <li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted</li> <li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.</li> </ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p>
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- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.

**Review by SMT on week beginning 5 October 2020 notes that** following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans are being offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020 and will take account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

	<p><b>Review by SMT 19 October 2020 notes that</b> following ongoing work by officers the current position is that building-based planned respite services will continue to remain closed.</p> <p>Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control). There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>There is currently no change to this position The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).</p> <p>Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning is currently in the preliminary stages and a location is yet to be fully finalised.</p> <p>The following mitigations have been put in place since the original decision was approved, including:</p>
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- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans have been offered.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.

**Review by SMT week beginning 30 November 2020 notes that** following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so (in line with Government guidelines for use of PPE and infection control).

Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning remains in the preliminary stages and a location is yet to be fully finalised.

The following mitigations have been put in place since the original decision was approved, including:

- Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.
- Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of

	<p>planned respite within residential care. Carers emergency plans have been offered. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p> <p><b>Review by SMT week beginning 14 December 2020 notes that</b> Currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> the position remains unchanged in that currently officers are working towards re-opening a centre within the County to offer respite in a Covid-secure environment. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p> <p><b>Review by SMT week beginning 11 January 2021 notes that</b> following ongoing work by officers the current position is that most building-based planned respite services will continue to remain closed. Emergency respite provision continues to be offered, both through building-based services or through outreach services to an individual's home where safe and appropriate to do so, however we are reviewing the safety of continuing this during the new national lockdown.</p> <p>Officers will continue working towards re-opening a centre within the County to offer respite in a Covid-secure environment when it is safe to do so. This planning continues to remain in the preliminary stages and a location is yet to be fully finalised.</p>
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	<p>The following mitigations have been put in place since the original decision was approved, including:</p> <ul style="list-style-type: none"><li>• Regular review of people who need to access respite provision, and where appropriate care packages have been adjusted to reflect the additional care provision.</li><li>• Derbyshire Carers Association has continued to offer support to carers who may have seen their caring duties increase and therefore their ability to have any respite reduce as a result of day centre provision being closed and cessation of planned respite within residential care. Carers emergency plans have been offered.</li></ul> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Simon Stevens 22/03/2020	

## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Simon Stevens	Service: Adult Social Care
Delegated Power Being Exercised: Significant management decisions which could have an adverse or controversial impact on the delivery of services or achievement of agreed targets	
Risk Management in relation to Residential Care	
Subject of Decision: (i.e. services affected)	Essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of decision taken on 22/03/2020
Key decision? If so have Democratic Services been notified?	Yes – it will be significant in terms of its effects on communities living or working in an area comprising two or more electoral divisions in the county area.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	To continue to undertake essential maintenance and specific fire risk mitigation works in our Residential Care Homes for Older People in order to deliver on previously identified risk mitigation and ensure the safety of residents and staff.  <b>Review process</b> Decision is subject to a minimum of fortnightly review by SMT and CMT in line with national guidance re vulnerable groups and social distancing from HM Government.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state	Despite the Coronavirus outbreak and the requirements for people to work from home where possible and manage contact with other individuals through social distancing it is necessary for previously identified fire risk mitigation works to be completed so that residents and staff are supported to operate in a safe environment.  Property colleagues had identified that all non-essential works should cease to protect their staff

<p>how this has been taken into consideration.</p>	<p>from the risk of infection and had suggested that the remaining fire risk mitigation works could be suspended.</p> <p>Further detailed discussions have taken place between Directors from both departments to weigh up the risks to residents and ASC staff associated with not completing the works against the risks to Property staff from undertaking them and it has now been agreed that those fire risk mitigation works and any other essential maintenance will continue to be completed.</p>
<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>Property and adult social care managers and staff will complete individual risk assessments for each establishment / area of work and seek to ensure that infection control measures and social distancing requirements are maintained whilst the operatives are on site, including ensuring that the work area is closed to access for residents and staff whilst the tradespeople are on the premises.</p>
<p>Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated</p>	<p>Ongoing risk assessments have taken place to inform the wider mitigation works programme by Property Services and colleagues in health and safety.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>No, this would not have been subject to consultation with service users and the public as it relates to maintenance of residential care homes. It is separate to the decision which has been subject to consultation regarding the long-term strategy for Direct Care Homes for Older People.</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>Not applicable as decision relates to maintenance work.</p>

<p>Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p>Property fire risk mitigation reports and work progress documents.</p> <p><b>Feedback on original Officer decision:</b></p> <p><b>Principal Social Worker</b> The Principal Social Worker has been engaged and consulted with this decision. The Principal Social Worker is satisfied that this decision has been made with due regard for the Department of Health and Social Care Ethical Framework when reaching decisions which take due account of existing legislation and guidance alongside flexible approaches to ensure safety standards are maintained as evidence in the following statement: 'Property and Adult Social Care managers and staff will complete individual risk assessments for each establishment / area of work and seek to ensure that infection control measures and social distancing requirements are maintained whilst the operatives are on site, including ensuring that the work area is closed to access for residents and staff whilst the tradespeople are on the premises.'</p> <p><b>Finance</b> There are no additional costs associated with this decision.</p> <p><b>Legal</b> The proposed work and the risks inherent in either undertaking or discontinuing this has been carefully evaluated. The decision to continue the work is a proportionate response to this evaluation. In the event of new guidance being received regarding the presence of operatives within residential settings the decision should be promptly revisited.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Agreement at <u>Cabinet 23/04/2020</u> and <u>30/07/2020</u> Taken to CMT for discussion w/c 30/03/2020 Discussion with Cllr Wharmby 30/03/2020 Consultation with Cllr Wharmby on Review 19/05/2020 and 27/05/2020 Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>03/09/2020</u>,</p>

	<u>17/09/2020, 1/10/2020, 15/10/2020, 12/11/20, 26/11/2020, 10/12/2020, 21/12/2020, 7/01/2021, 21/01/2021</u>
Decision:	<p>Review agreed by CMT 08/04/2020 and SMT 07/04/2020</p> <p><b>Review by SMT 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> Fire risk mitigation works are continuing to take place and there have been some delays to the delivery of the work as per the programme plan. Therefore in light of these outstanding issues it is appropriate the arrangements remain in place.</p> <p><b>Review by SMT 16/07/2020:</b> The current position is that the majority of scheduled work has taken place across the Homes for Older People estate, with only a small number of tasks outstanding and will be undertaken from the end of July and should be complete by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic. Restrictions still remain in place for general visiting to the Homes for Older People as outlined in a separate Officer Decision Record, therefore these interim measures need to remain in place.</p> <p><b>Review by SMT 30/07/2020:</b> following ongoing work by officers the current position is that the majority of scheduled work has taken place across the homes for older people estate, with only a small number of tasks outstanding and will be undertaken from the end of July and completed by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p><b>Review by SMT 12/08/2020 notes</b> that following ongoing work by officers. The current position is that the majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be</p>

	<p>completed 4/09/2020) and replacement of fire doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments will be undertaken in August and early September to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works.</p> <p><b>Review by SMT on week beginning 24 August 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be completed 04/09/2020) and replacement of fire doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments will be undertaken throughout August and early September to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020</p> <p><b>Review by SMT on week beginning 7 September 2020 notes that</b> following ongoing</p>
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	<p>work by officers. The current position is that the majority of scheduled work has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment (to be completed 4 September 2020) and replacement of fire doors at another (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate, with only 2 areas of work outstanding – a fire alarm replacement at one establishment where installation work is due to be completed week commencing 14.09.20, both the old and new fire alarm systems will be run in tandem for a testing period until there is confidence that the new system can be signed off as fully operational. In addition, a replacement of fire doors at 2 other homes (date to be confirmed). This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p>
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	<p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed. This has been completed and any additional items have been issued to the Business Unit for action.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. Currently staffing levels are at a safe level. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed.</p>
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	<p>This has been completed and any additional items have been issued to the Business Unit for action.</p> <p>Although most homes are now moving into phase 2 of works to be completed, the completion dates are estimates. Our Covid risk assessments allow the home time to deep clean and relocate the residents as progress is made from wing to wing at each Home. This process leads to standing time of at least 72 hours at each home, but can be nearer a week, which in turn delays the completion date. There obviously may also be outbreaks of Covid in the Homes which again delay completion. Phase 2 follows on from the revised Technical Fire Risk Assessment's and any work identified in these.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020.</p> <p><b>Review by SMT on week beginning 19 October 2020 notes that</b> following ongoing work by officers. The current position is that the majority of scheduled work in 'Phase One' has taken place across the homes for older people estate.</p> <p>Technical Fire Risk assessments continue to be undertaken to ensure all mitigations have been put in place.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>As part of the mitigation works, Fire Risk Assessors have been instructed to check off the phase 1 works and also highlight any further 'wear &amp; tear' issues, so these can also be addressed. This has been completed and any additional items have been issued to the Business Unit for action.</p>
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Although most homes are now moving into phase 2 of works to be completed, the completion dates are estimates. Our Covid risk assessments allow the home time to deep clean and relocate the residents as progress is made from wing to wing at each Home. This process leads to standing time of at least 72 hours at each home, but can be nearer a week, which in turn delays the completion date. Discussion is currently ongoing as to whether this is necessary and whether it is aligned with current Government guidance.

There obviously may also be outbreaks of Covid in the Homes which again delay completion. Phase 2 follows on from the revised Technical Fire Risk Assessment's and any work identified in these. There was 1 identified home where fire doors were still to be completed, which is Rowthorne HOP in Swanwick There is now an estimated completion date for the fire doors of 18 December 2020. All fire alarms in phase 1 are completed and staffing continues to be maintained at safe levels.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020.

**Review by SMT week beginning 2 November 2020 notes that**

There is no change to this position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** following ongoing work by officers, the fire doors at Rowthorne HOP are not yet completed, however the work continues to have an expected completion date of 18 December 2020.

The homes in the north of the County have only low risk tasks from the phase 2 works which remain incomplete to date, such as follow ups on the Technical Fire Risk Assessments.

	<p>There are some slightly higher risk issues to resolve in the homes situated in the south of the County. It is envisaged that all outstanding issues will be actioned and resolved by Christmas 2020.</p> <p>All the outstanding fire alarm issues have now been resolved and electrical testing is almost complete. Operationally, all homes confirm they can affect an evacuation relating to the highest need compartmentation within 2.5 minutes.</p> <p>There are still some management staff whose fire management training is now outstanding, however as this is now available online any outstanding issues will be addressed over the next few weeks.</p> <p>A review of night staff arrangements has also been undertaken to ensure that safe staffing levels can be maintained during planned works. These levels are being reviewed weekly with Service Managers and Group Managers responsible for the establishments.</p> <p>Finally, the risk evaluation exercise is underway, and it is anticipated that within the next 2 weeks it will be completed on all homes which have required a rewire.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> following ongoing work by officers, the fire doors at Rowthorne HOP are not yet completed, however the work continues to have an expected completion date of 18 December 2020.</p> <p>All the outstanding fire alarm issues have now been resolved and electrical testing is complete. Operationally, all homes confirm they can affect an evacuation relating to the highest need compartmentation within 2.5 minutes.</p>
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The risk evaluation exercise is now complete, and the findings are that all homes that have had work carried out are now at considerably lower risk because of new fire alarms, fire doors and re-wiring. There remains some non-urgent work that could still be carried out to improve the risk scores further. It is work which does not involve or rely on rewiring; such as replacing kitchen ventilation but that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** all of the phase 1 and 2 work will be completed before Christmas 2020 except for the following:

Goyt Valley House where compartmentation work will be completed by 08 January 2021; Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021; and Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).

The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these will take place on 15 December 2020.

There remains some non-urgent work that could still be carried out to improve the risk scores further. It is work which does not involve or rely on rewiring; such as replacing kitchen ventilation but that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

	<p><b>Review by SMT week beginning 28 December 2020 notes that</b> notes that all of the phase 1 and 2 work has been completed except for the following:</p> <ul style="list-style-type: none"> <li>• Goyt Valley House where compartmentation work will be completed by 08 January 2021;</li> <li>• Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021;</li> <li>• Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).</li> </ul> <p>The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these took place on 15 December 2020. There are still some areas outstanding for clarification which we are working with Health and Safety Colleagues to rectify. We will be revisiting the risk evaluation on 5 January 2021 when it is anticipated that all additional information requested is complete. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required.</p> <p>There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p> <p><b>Review by SMT week beginning 11 January 2021 notes that</b> all of the phase 1 and 2 work has been completed except for the following:</p> <ul style="list-style-type: none"> <li>• Goyt Valley House where compartmentation work will be completed by 08 January 2021;</li> <li>• Rowthorne where fire doors are now due for completion the 2<sup>nd</sup> week in January 2021;</li> </ul>
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	<ul style="list-style-type: none"> <li>• Ladycross House where fire doors are due for completion the 3<sup>rd</sup> week in January 2021, (delayed due to an outbreak of Covid-19 in the home).</li> </ul> <p>The technical and building risk evaluation exercise has been completed with much improved risk scores for all homes. Operationally all homes have now completed the correct risk assessment and the evaluation of these took place on 15 December 2020. There are still some areas outstanding for clarification which we are working with Health and Safety Colleagues to rectify. We have revisited the risk evaluation on 5 January 2021 and there remains some follow up work to complete by individual establishments. Irrespective of this all homes can affect an evacuation of the highest need compartment within 2.5 minutes as required.</p> <p>There remains some non-urgent work that could still be carried out to improve the risk scores further that will generally be part of the longer-term maintenance programme over the next 1 to 2 years.</p> <p>At the present time, there is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Simon Stevens 22/03/2020	



## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care and Health all care packages
Delegated Power Being Exercised: Emergency Powers	
Subject of Decision: (i.e. services affected)	To adjust the client contribution guidance to meet changes in service due to COVID-19
Is this a review of a decision? If so, what was the date of the original decision?	Yes, this is a review of a decision approved by CMT on 8 April
Key decision? If so have Democratic Services been notified?	Yes,
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	That client charging for specific scenarios will be as outlined in the attached appendix.  This decision will be subject to a fortnightly review to make sure that the change arrangements appropriately reflect operational service arrangements which are in place in line with national guidance regarding social distancing and supporting vulnerable people throughout the COVID-19 pandemic.
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	A number of services have now been closed and some people are prevented from accessing other services. In these circumstances, guidance is required to outline which charging regime applies in these different scenarios.
Alternative Options Considered (if appropriate) and	The only alternative option would have been to continue to charge people, which we could technically have done under our current co-funding scheme. But as individuals are no longer receiving

reasons for rejection of other options	a service, it was thought that they would consider this to be unfair and would give rise to numerous complaints.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	No risk assessment was carried out with regards to the ceasing of charging. But risk assessments were carried out for all people to ensure that they would be safe when their services were removed.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision	No. We would not expect to go to consultation when removing a charge, only when introducing or changing a charging regime.
Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?	No.
Background/Reports/Information considered and attached (including Legal, HR, Financial and other considerations as required))	<p>There will be a reduction in income, but this is not expected to be significant, as the number of clients who cease to receive any services is a small proportion of the total client base.</p> <p><b>Feedback on original Officer Decision Principal Social Worker</b>  <a href="https://www.gov.uk/government/publications/corona-virus-covid-19-changes-to-the-care-act-2014/care-act-easements-guidance-for-local-authorities#annex-b-guidance-on-streamlining-assessments-and-reviews">https://www.gov.uk/government/publications/corona-virus-covid-19-changes-to-the-care-act-2014/care-act-easements-guidance-for-local-authorities#annex-b-guidance-on-streamlining-assessments-and-reviews</a></p> <p><i>Local Authorities should always ensure there is sufficient information and advice available in suitable formats to help people understand any financial contributions they are asked to make,</i></p>

	<p><i>including signposting to sources of independent financial information and advice.</i></p> <p><i>Legal approved – 07/04</i> <i>No comments on ODR received from HR and Corporate Finance</i></p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Consultation with Cllr Wharmby on Review 19/05/2020 Consultation with Cllr Wharmby on Review 27/05/2020 Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u>, <u>25/06/2020</u>, <u>9/07/2020</u>, <u>24/07/2020</u> and <u>6/08/2020</u>, <u>3/09/2020</u>, <u>17/09/2020</u>, <u>1/10/2020</u>, <u>15/10/2020</u>, <u>12/11/2020</u>, <u>26/11/2020</u>, <u>10/12/2020</u>, <u>21/12/2020</u>, <u>7/01/2021</u>, <u>21/01/2021</u></p>
<p>Decision:</p>	<p><b>SMT Review 22/04/2020, 6/05/2020, 21/05/2020, 4/06/2020, 18/06/2020 and 2/07/2020:</b> There are no changes and the interim arrangements are approved for a further two weeks as position remains the same. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person’s support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place.</p> <p><b>Review by SMT 30/07/2020:</b> Following review by Cabinet Member and ongoing work by officers the current position is that the majority of scheduled</p>

	<p>work has taken place across the homes for older people estate, with only a small number of tasks outstanding and will be undertaken from the end of July and completed by the end of August. This work relates to tasks that have been delayed due to disruption with the supply chain for key supplies due to the COVID-19 pandemic.</p> <p><b>Review by SMT 12/08/2020</b> notes that following ongoing work by officers the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place.</p> <p><b>Review by SMT on week beginning 24 August 2020</b> notes that following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.</p>
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	<p><b>Review by SMT on week beginning 7 September 2020 notes</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.</p> <p><b>Review by SMT on week beginning 21 September 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.</p> <p><b>Review by SMT on week beginning 5 October 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging</p>
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	<p>arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. There is currently no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020.</p> <p><b>Review by SMT 19 October 2020 notes that</b> there is no change to the above position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 2 November 2020.</p> <p><b>Review by SMT week beginning 2 November 2020 notes that</b></p> <p>There is no change to this position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.</p> <p><b>Review by SMT week beginning 16 November 2020 notes that</b> there is no change to this position.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.</p> <p><b>Review by SMT week beginning 30 November 2020 notes that</b> there is no change to this position and there is not anticipated to be for some time to come.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.</p>
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	<p><b>Review by SMT week beginning 14 December 2020 notes that</b> this position remains unchanged.</p> <p><b>Review by SMT week beginning 28 December 2020 notes that</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place. This position continues to remain unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.</p> <p><b>Review by SMT week beginning 11 January 2021 notes that</b> following ongoing work by officers, the current position is that these interim charging arrangements need to remain in place to reflect that provision delivered by Derbyshire County Council or private and independent sector providers may not be fully operational or that a person's support requirements has changed. We are continuing to issue payments to providers, for example for a day service as if the person is attending, however we are not charging the person as they have not attended. This means we are doing what we can to support providers whilst services remain closed or have limited operational activity due to social distancing requirements needing to remain in place, and the new national lockdown. The position continues to remain unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
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Signature and Date: Julie Vollar	



## DERBYSHIRE COUNTY COUNCIL

## OFFICER DECISION AND DECISION REVIEW RECORD

Officer: Helen Jones	Service: Adult Social Care and Health
Delegated Power Being Exercised: Emergency powers	
Subject of Decision: (i.e. services affected)	The ability to make discretionary payments in order maintain our Shared Lives carer capacity and reliance with effect from 1 April 2020 to support placements.
Is this a review of a decision? If so, what was the date of the original decision?	Yes, 22 May 2020
Key decision? If so have Democratic Services been notified?	No – as it does not affect significant numbers of people in two or more electoral divisions.
Decision Taken (specify precise details, including the period over which the decision will be in place and when it will be (further) reviewed):	<p>From 1 April 2020 it is proposed to:</p> <ul style="list-style-type: none"> <li>• Pay full time Shared Lives carers an additional £40 per week.</li> <li>• Pay short break and day support Shared Lives carers the amount which they ordinarily would have earned.</li> </ul> <p>Due to the lack of day and residential short breaks being available to Shared Lives carers they are in effect being asked to provide unpaid care for three days per week 9am-5pm plus the four weeks 28 days per year (pro rata). Over the two months since the suspension of day and residential services this equates to a total of 27 days unpaid work.</p> <p>This proposal would be initially implemented for a period of eight weeks, after which a review will take place with the service manager responsible for Shared Lives and the appropriate Group Manager with Assistant Director oversight on a fortnightly basis. The review would ascertain whether the additional payments need to continue for a further period of time. This would be a delegated decision.</p>

<p>Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.</p>	<p>Shared Lives carers provide family based 24 hour accommodation and support primarily for people with learning disabilities.</p> <p>Some Shared Lives carers also provide both day care and overnight short break opportunities in order that carers of people who continue to live in a family home can have a break from their caring roles</p> <p>In order to sustain what can be a demanding role, the current offer to DCC Shared Lives carers who provide family type accommodation includes the following regular short breaks from their caring role</p> <ul style="list-style-type: none"> <li>• three days daytime breaks per week between 9am to 5pm</li> <li>• four weeks residential short breaks</li> </ul> <p>This is typically (though not exclusively) accessed through DCC Direct Care day services and DCC or health residential short breaks provision.</p> <p>The COVID-19 pandemic has resulted in closure of all day and short break services including those run by DCC and the NHS health trust. Consequently, this has curtailed available breaks for Shared Lives carers forcing them to undertake care on a 24/7 basis for the foreseeable future.</p> <p>Those Shared Lives carers who provide regular short breaks for the families/carers of people with learning disabilities and breaks during the day are currently unable to do so due to both the closure of day services and the rules on social distancing. Consequently, they have seen a significant drop in their income.</p> <p>These Shared Lives carers are not able to take advantage of government schemes for the self-employed throughout the pandemic response period and are therefore financially disadvantaged unless DCC makes an additional payment.</p> <p>Despite a recent local marketing and media campaign to highlight this valuable role, recruiting Shared Lives carers has been very difficult in</p>
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	Derbyshire. We are very concerned that without providing some additional support to this valuable resource we will be unable to sustain existing carers throughout the COVID-19 pandemic and may also risk losing those carers who may feel they are no longer able to, or afford to continue in this role. Consequently, we propose to make an additional £40 per week payment to all our Shared Lives carers until such times we can reintroduce our previously agreed respite/short breaks arrangements.
Alternative Options Considered (if appropriate) and reasons for rejection of other options	For short break and day support carers a 'one off' payment was considered, but this was thought to be unfair due to the different level of support that each carer gives. The proposed method better reflects the range of activities undertaken by the carer to support each individual/s they care for.
Has a risk assessment been conducted- if so what are the potential adverse impacts identified and how will these be mitigated	The ongoing risk for the department is that if we do not show some recognition of the role carers are undertaking in terms of providing increased support as part of as Shared Lives placement, they may potentially look to end the Shared Lives placement they support. There is a risk of losing some very good carers and the people they are caring for would then have to be place in significantly more expensive care and support packages put in place. For short break and day support carers the risk to them is they receive no or a reduced income and there is no opportunity to take advantage of the government support schemes. The risk to the department is the loss of Shared Lives carers.
Would the decision normally have been the subject of consultation with service users and the public. If so, explain why this is not practicable and the steps that have or will be taken to communicate he decision	No

<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>No groups are being negatively impacted as this involves increasing payment to enable individuals to continue to provide support to people with a long-term health condition or disability.</p>
<p>Background/Reports / Information considered and attached (including Legal, HR, Financial and other considerations as required))</p>	<p><b>Feedback on original Officer Decision:</b></p> <p><b>Finance</b></p> <p>The weekly costs of these proposals are estimated to be:</p> <ul style="list-style-type: none"> <li>• Full Time Carers - £1,800</li> <li>• Respite Carers - £1,500</li> </ul> <p>With the total monthly cost being £14,340</p> <p>This would be a commitment against council resources and partially offset from the non-ring-fenced COVID-19 Government Grant. All decisions around meeting COVID-19 costs are unlikely to be fully funded from current Government additional funding available. As such implications will fall on the ability to provide services for the rest of the financial year and into the medium term</p> <p><b>Principal Social Worker</b></p> <p>Shared Lives is an important way we can help support people to stay as independent as possible and our Shared Lives carers require both skill and commitment to values of caring for others. This commitment helps to ensure Shared Lives arrangements are safe, supported and valued. This in turn should support the wellbeing of people who are in a Shared Lives placement. Information about these arrangements need to be appropriately shared in accessible formats.</p> <p><b>Legal</b></p> <p>No implications from a Care Act perspective. It seems to be a financial decision around how much is allocated for this purpose. It is sensible to seek to support these providers, given the market shaping duties under the Care Act.</p>
<p>Consultation with relevant Cabinet</p>	<p><u>Approval of ODR by Cabinet Member 25/06/2020</u>  Consultation with Cllr Wharmby on decision 27/05/2020.</p>

Member (s) – please note this is obligatory.	Consultation with Cllr Wharmby at Cabinet Member Committee <u>11/06/2020</u> , <u>25/06/2020</u> , <u>9/07/2020</u> , <u>24/07/2020</u> and <u>6/08/2020</u> , <u>3/09/2020</u> , <u>17/09/2020</u> , <u>1/10/2020</u> , <u>15/10/2020</u> , <u>12/11/2020</u> , <u>26/11/2020</u> , <u>10/12/2020</u> , <u>21/12/2020</u> , <u>7/01/2021</u> , <u>21/01/2021</u>
Decision:	<p>Agreed by CMT 22/05/2020.</p> <p><b>Review by SMT 04/06/2020, 18/06/2020 and 2/07/2020:</b> Due to the lack of day and residential short breaks being available to shared lives carers, individuals are in effect being asked to provide unpaid care for 3 days per week 9 to 5 plus and this needs to be recognised formally via additional payments.</p> <p>Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. It is proposed that this arrangement continues.</p> <p><b>Review by SMT 16/07/2020:</b> Following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. These arrangements remain subject to fortnightly review by Senior Managers to ensure they are appropriate. Shared Lives Carers have stepped up and taken on additional responsibilities to support people at home whilst day centre provision has been closed and these payments recognise those additional responsibilities. As noted in the section above day centre provision continues to remain closed, with only limited respite provision in place due to ongoing requirements in relation to social distancing which means that day centres cannot operate at fully capacity.</p>

Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT 30/07/2020:** Following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT 12/08/2020:** The latest review on 12 August 2020 notes following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carer will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required.

**Review by SMT on week beginning 24 August 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any

additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team on 10 September 2020.

**Review by SMT on week beginning 7**

**September 2020 notes** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 21 September 2020.

**Review by SMT on week beginning 21**

**September 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 5 October 2020.

**Review by SMT on week beginning 5 October 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who may be returning to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. There is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 19 October 2020

**Review by SMT 19 October 2020 notes that** there is no change to the above position. The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 02 November 2020.

**Review by SMT week beginning 2 November 2020 notes that** there is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 16 November 2020.

**Review by SMT week beginning 16 November 2020 notes that** there is no change to this position.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 30 November 2020.

**Review by SMT week beginning 30 November 2020 notes that** there is no change to this



position and there is not anticipated to be for some time to come.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 14 December 2020.

**Review by SMT week beginning 14 December 2020 notes that** payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn.

This position remains unchanged.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 28 December 2020.

**Review by SMT week beginning 28 December 2020 notes that** following ongoing work by officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.

Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to the day centres. This position remains unchanged.

The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 11 January 2021.

**Review by SMT week beginning 11 January 2021 notes that** following ongoing work by

	<p>officers the current position is that the additional payments to Shared Lives carers need to remain in place for a further period. This review has taken account of the newly published Adult Social Care: COVID Winter Plan 2020- 2021.</p> <p>Payments to full time-Shared Lives carers will continue at £40 per week and short break and day support Shared Lives carers will continue to receive the amount they ordinarily earn. Officers are liaising with carers who have returned to work to consider if any additional support needs to be put in place instead of providing this payment, and for full time carers we are seeking to liaise with them in terms of accessing respite provision if required. Payments are also being reviewed as and when people with a learning disability and or are autistic return to the day centres. This position remains unchanged.</p> <p>The next review of this decision will take place by Adult Care Senior Management Team in the week beginning 25 January 2021.</p>
Signature and Date: Helen Jones 22/05/2020	

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2020**

**Report of the Executive Director for Children's Services**

**CHILDREN'S SERVICES CAPITAL PROGRAMME 2020-21 EXPANSION  
PROJECT AT THE ECCLESBOURNE SCHOOL (YOUNG PEOPLE)**

**1. Purpose of Report**

To approve the funding for projects to expand The Ecclesbourne School in response to housing growth in its normal area.

**2. Information and Analysis**

There is significant housing growth in the normal area of The Ecclesbourne School. The School has a masterplan to expand and improve its provision to meet the increased demand for places. It took the decision however to move forward with its building plans, ahead of the receipt of the Section 106 funding, on the basis that it would be repaid once those funds were received by the council. The most recent projects were an expansion of the sixth form block at a cost of £1,151,105 and the improvement to the science block costing £507,075 – making a total of £1,658,180.

On 20 July 2017 (minute number 212/17), Cabinet approved the allocation of £52,980 S106 funding towards the science block project and on 22 February 2018 (minute number 60/18) Cabinet also approved the allocation of £70,637.75 towards the sixth form block. The total already approved therefore is £123,617.75. The council has received £1,163,701.07 in Section 106 from the developers of the Kedleston Road site under planning reference AVA/2014/0928. A final S106 contribution of £477,636 plus indexation from this planning reference will be paid in June 2021 and will be the subject of a separate report. The proposal is to allocate the funds received to the projects at The Ecclesbourne School.

### **3. Financial Considerations**

The financial considerations are as explained in section 2 of the report

The projects were procured and managed by the Trust and the payment of the funding will be the subject of a legal agreement between DCC and the Trust to ensure that the funds have been used in accordance with the Section 106 agreement.

### **4. Property Considerations**

The Ecclesbourne School has academy status and, having been a foundation school, owns the school site. The County Council retains its responsibility for pupil places planning and securing Section 106 funding to achieve expansion at both maintained and academy schools.

### **5. Social Value Considerations**

This funding is to ensure that there is sufficient capacity at the school involved by responding to the housing growth in the area.

### **6. Other Considerations**

In preparing this report the relevance of the following factors has been considered:- prevention of crime & disorder, equality of opportunity, environmental, health, legal & human rights, human resources and transport considerations.

### **7. Key Decision**      Yes

### **8. Call-in**

Is it necessary for the call-in period to be waived in respect of the decisions being proposed in the report? No

### **9. Background Papers**

These are held on file in the Children's Services Development Section.

### **10. Officer Recommendation**

It is recommended that Cabinet approves the allocation of £1,163,701.07 in Section 106 funding to repay The Ecclesbourne School for the sixth form and science block projects.

**Jane Parfremment**  
**Executive Director for Children's Services**

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**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Children's Services**

**CHILDREN'S SERVICES – PROPOSED ALTERATION OF THE LOWER AGE LIMIT OF BRACKENFIELD SEND SCHOOL**

**1. Purpose of Report**

- i) To advise Cabinet of the outcome of an initial consultation carried out by Brackenfield School on a proposal to alter the school's lower age limit from 4 years to 3 years so that it can offer nursery provision;
- ii) To seek Cabinet's approval of the publication by the Local Authority of a formal statutory proposal to lower the school's age limit, as described above.

**2. Information and Analysis**

The Authority proposes to alter the lower age limit of Brackenfield School from 4 years to 3 years by extending the provision to include both Foundation Stage 1 and Foundation Stage 2 children. The Authority has confirmed that the school already has adequate staffing and premises for a maximum 8-place Nursery. If implemented, this proposal will allow the school to offer families a seamless and comprehensive education program for children from 3 years. The school has carried out a pre-publication consultation and 44 responses were received. The summary of those responses is at Appendix 1. The Head teacher has reported that Governors and staff are supportive of the proposed alteration of the school's lower age limit and that the Governing Body are therefore eager for the Authority to publish the proposal (a copy of which is appended to this report as Appendix 2) in accordance with the formal statutory process prescribed by the School Organisation

(Prescribed Alterations to Maintained Schools) (England) Regulations 2013.

### **3. Financial Considerations**

It is proposed to locate the nursery within the existing early years setting and there will be no additional building or staff costs.

### **4. Human Resources Considerations**

The school has Early Years trained staff already on the teaching and support staff.

### **5. Legal Considerations**

A local authority which is proposing to make a prescribed alteration to a maintained school is required to publish its proposals in accordance with section 19 of the Education and Inspections Act 2006. The School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013 (“the Regulations”) and relevant DfE statutory guidance (‘Making Prescribed Alterations to Maintained Schools’ (April 2016)) sets out the procedure for making a prescribed alteration, which includes altering the lower age limit of a community school, including the addition of nursery provision. The Regulations require the publication of the Authority’s proposal on its website and a notification of the proposal (including the website where the proposals are published) in a local newspaper. Once the proposals have been so published, there follows a four week representation / formal consultation period, during which time any person may make representations to the Authority on the proposal. Within two months of the end of the representation / formal consultation period, the Authority is required to make a decision as to whether or not to implement the proposal. Should a decision not be made by the Authority within this time frame, the proposal must be sent to the Office of the Schools Adjudicator for determination.

### **6. Social Value Considerations**

Research has shown that when schools provide Early Years education, pupils make improved progress as they move between Early Years and Key Stage 1, allowing for consistent progress. The transition becomes a smooth and effective process allowing the children to settle more quickly. Staff can get to know the parents/carers on entry and build on this partnership in the ensuing years; it provides the continuity of education and provision through a single leadership team and governing body.



## **7. Other Considerations**

In preparing this report the relevance of the following factors has been considered: - prevention of crime & disorder, equality of opportunity, environmental, health, human rights, property and transport considerations.

## **8. Key Decision**

No

## **9. Call-in**

Is it necessary for the call-in period to be waived in respect of the decisions being proposed in the report?

No

## **10. Background Papers**

These are held on file in the Children's Services Development Section.

## **11. Officer Recommendation**

That Cabinet notes the response to the informal consultation carried out by Brackenfield School and approves the publication by the Authority of a formal statutory proposal to lower the school's age limit from 4 years to 3 years so that it can offer nursery provision.

**Jane Parfremment**  
**Executive Director for Children's Services**

## Appendix 1

### Summary of responses

The school's consultation ran from the 15<sup>th</sup> December 2020 to 26<sup>th</sup> January 2021. A copy of the consultation was advertised on the school website. The school notified all the parents of existing pupils, all members of staff, The Local Authority, Head teachers and Governing bodies of schools within a two-mile radius and other pre-school or playgroups within a two-mile radius.

The school received 44 responses to the consultation.

44 responses were received which support the proposal to be taken to a full consultation; which is a 100% of responses.

Of these 44 responses, the following points were also raised:

- 10 responses specifically commented on how this would address a need within EYFS provision to provide specialist support / increase options and support for families with children with SEND / support the wider community
- 15 responses specifically commented on how this would be a positive move forward for the school / how well the school would be able to provide provision for 3-year-olds.
- 1 comment was related to equity of provision for the 15 hours universal provision.
- 2 comments commented on the current infrastructure at Brackenfield and whether more pupils would impact this. The school has projected that they could accommodate a maximum of eight 3-year-olds from September 2021.

Within the received responses, other comments for consideration were raised as below:

- 1 response asked for Brackenfield to consider post 16+ provision as well.
- 1 response asked for an EYFS specific class to be considered. This would be the provision offered. EYFS children would be accommodated in specific EYFS classes.

## **Appendix 2**

### **Derbyshire County Council**

#### **Statutory Proposal by Derbyshire County Council to alter the lower age limit of Brackenfield School from 4 years to 3 years**

##### **Introduction**

Notice is given in accordance with section 19(1) of the Education and Inspections Act 2006 that Derbyshire County Council (“the Local Authority”) proposes to make a prescribed alteration to Brackenfield School, Bracken Road, Long Eaton, Nottinghamshire, NG10 4DA, namely altering the lower age limit of the school from 4 years to 3 years so as to add nursery provision with effect from 1<sup>st</sup> September 2021.

##### **Context**

Brackenfield is a South Derbyshire special school for children from 4-16 years old. Over the last 3 years they have streamlined their offer to become a specialist school for pupils with communication and comprehension difficulties. All pupils are significantly cognitively impaired, operating developmentally between 0 – 6 years of age. The school support pupils’ learning and journey into adulthood through a curriculum based on life skills and skill generalisation. Pupils are not taught in chronological age groups, they are placed in class groups dependent on their cognitive, sensory, social emotional and communication needs. Classes are organised into pathways. All pupils are placed in a pathway however some may have some of their education in another pathway based on their individual needs. Pupils may move pathways in their school career or even in a year.

##### **Proposal**

The Local Authority is proposing to alter the lower age limit of the school from 4 years to 3 years by extending the provision to include both Foundation Stage 1 and Foundation Stage 2 children (from the age of 3 instead of 4). The school already has adequate staffing and premises to make this alteration without significant changes being required and with minimal disruption to the existing provision.

This means that, if the proposal is implemented, the school will be able to offer families a seamless and comprehensive education programme for children from the age of 3 years.

The Local Authority anticipates that, working with the existing premises and staff, the school will be able to offer the full government entitlement of 15 hours up to 27.5 hours of provision of free early years education.

If the proposal is implemented, it is anticipated that the school will be able to accommodate 8 three/four year-olds within the setting.

## **Staff**

If the proposal is implemented, the school will utilise the existing highly qualified staff, which includes both teachers, STLAs and LSAs that are trained in early years provision and staff that have extensive experience of working with this age group. If required; the school would also recruit new staff to support this provision or the existing provision within the school.

The school could accommodate up to 8 3-year-olds from September 2021. The school runs its academic year from June to June which means that these pupils could have transition and visit days prior to the summer holidays. This supports parental anxiety and allows pupils to have some familiarity with the space, staff, school and peers.

The staffing ratio depends on the needs of the children and the requirements within their EHCPs but the expected model would be a teacher; two STLAs and potentially an LSA as well. In addition to these class staff the school employs Health and Personal Care staff to further support with medical, health and personal and intimate care needs. There is also a well established Children's Service Team which provides extensive early help and support to families.

## **Funding**

The Authority receives funding from the Government, in the form of the High Needs Spending Block for children with EHCPs where Brackenfield SEND School has been named within Section I. All pupils would have access to 15 hours of provision and up to the full-time provision at Brackenfield which is currently 27.5 hours. The school would be funded 50% of the place fee and top-up fee for any child attending less than 2.5 days a week and 100% of any child attending more than 2.5 days a week.

If the proposal is implemented, the school will receive its funding for eligible children from the on roll date. For pupils that fell within the 112 bought

Derbyshire County Council places additional funding for the top up would be given to school. Any pupil falling outside the 112 bought places would secure the placement fee and site specific funding in addition to the top up funding. Top up funding amounts are discussed between the Headteacher and LA on consultation.

Following the statutory consultation, the responses will be reported to Cabinet to inform a decision on the proposal.

### **Statutory Consultation**

The consultation on this proposal will run for four weeks from Monday 19<sup>th</sup> April to Friday 14<sup>th</sup> May 2021.

The consultation document will be available on request from the e-mail below and will also be on the school website and on the DCC website:  
[www.derbyshire.gov.uk](http://www.derbyshire.gov.uk) .

Any comments, observations or objections in relation to this proposal should be sent to –

Mrs Jenny Webster  
Block C, Chatsworth Hall  
Chesterfield Road  
Matlock  
DE4 3FW  
Or by e-mail to; [jenny.webster@derbyshire.gov.uk](mailto:jenny.webster@derbyshire.gov.uk)

The deadline for submitting responses to this consultation is **Friday 14<sup>th</sup> May 2021**.

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Authors: Sue Pegg (x36040)/Jenny Webster (x36537)

Agenda Item No.6(r)

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Children's Services**

**PROPOSED CONVERSION OF MICKLEY INFANT SCHOOL TO A  
PRIMARY SCHOOL**

**1. Purpose of Report**

To report the outcome of the non-statutory consultation on the growth of Mickley Infant School to primary status and seek permission to proceed to the issue of a Statutory Notice.

**2. Information and Analysis**

Mickley Infant and Nursery School currently provides education for children aged 3 to 7 years. The school was designed as an infant only site to accommodate 40 infant age pupils and a 20 place nursery. The nursery numbers are fluid dependent on the number of reception children already in school as the class is run as an Early Years Foundation Stage (EYFS) unit. The school also offers 30 hour funded places for those parents who can access this. The core facilities (hall and dining) accommodate their existing pupils. There is no library or separate physical education facility.

The expansion of the school would support the housing development in the locality with land potentially being available next to the school or at the rear of the school as it backs onto farmland.

The school and local community recognises and supports the need for the expansion of the age range to become a primary school and last year a petition in support of the expansion was forwarded to the

Cabinet Member for Young People. Currently most children attend Shirland Primary School for their junior provision.

The estate where Mickley Infant & Nursery School is situated has poor public transport links and this is exacerbated by the fact that the bus that they currently use has been stopped in the evenings. Historically, the parents have organised and funded this but it is no longer financially viable. As a result, parents are struggling to ensure that their children arrive and return from Shirland Primary School in time for the school day. As a response to this, Mickley Infant School has been forced to shorten its school day as parents could not be in two places at once.

There is a planning application for 120 dwellings in the normal area of the school which would yield an additional 24 primary school aged children. Shirland Primary School is unable to expand any further and following the DfE Building Bulletin 103 guidance on accommodation requirements, Mickley Infant School has space to become a Primary School with a pupil admission number of 10 and a capacity of 70.

A non-statutory consultation took place between 24<sup>th</sup> September 2020 and 12 November 2020 to seek the views of interested parties before reporting to Cabinet and a copy of that consultation paper is attached at Appendix A.

There were 34 responses to the consultation all of which supported the expansion. There were common themes to the comments and therefore they have been grouped together.

### **General**

- 21 respondents simply provided general support for the proposal as being in the best interests of children, the school and the community.

### **Quality**

- 9 respondents expressed satisfaction at the quality of the existing school provision and would welcome the opportunity to continue their child's education there if the school were a primary.

### **Continuity**

- 8 respondents identified that by extending the schools age range, the children would have continuity in learning and relationships with school staff

### **Transportation**



25 respondents expressed concerns around distance and travel; numerous features were cited in particular:

- 11 respondents reported their concern for having no means of transportation and the current poor transportation links
- 3 respondents highlighted current safety concerns around travelling on foot to the alternate primary provision
- 17 respondents noted their struggles, pressures or upcoming concerns in getting siblings of differing ages to different schools that are not close in distance

**Summary** – The consultation demonstrated overwhelming support for proceeding with the conversion of the school to a primary. The next stage of the consultation process is to issue a Statutory Notice, a copy of which is attached at Appendix B. The consultation on the Statutory Notice would take place over a 4 week period from 19<sup>th</sup> April 2021 to 18<sup>th</sup> May 2021. The outcome of that consultation will be reported to Cabinet.

### **3. Financial Considerations**

A feasibility has been instructed for the additional accommodation which could be phased. The cost of the expansion will be confirmed once the design has been agreed however it is expected that the first phase would be in the region of £1M. Funding will be the subject of a separate report to Cabinet.

### **4. Legal Consideration**

The proposal is subject to the School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013 and the Department for Education guidance publication - Making significant changes ('prescribed alteration') to maintained schools published in October 2018. Although there is no longer a prescribed 'pre-publication' consultation period for prescribed alterations, there is a strong expectation on schools and the Local Authority to consult interested parties in developing their proposal prior to publication as part of a duty under public law to act rationally and take into account all relevant considerations. The non-statutory consultation took place between 24<sup>th</sup> September and 12<sup>th</sup> November 2019 which was a 6 week period to take account of the 1 week half term break and extended due to Covid 19.

### **5. Property Considerations**

Mickley Infant School is owned by Derbyshire County Council. The works will be procured and carried out through County Property.

## **6. Social Value considerations**

The Authority has a statutory duty to provide sufficient places for the pupils in the County and this conversion will address the needs of the parish of Mickley. A primary school is able to organise and co-ordinate the teaching across the primary phase, and there is no need for a transition between infant and junior schools; the transition from infant to junior can be unsettling for some children and impact on educational progress, parents may have children in both infant and junior schools at separate locations thus presenting travel and time issues in getting children to school.

## **7. Other Considerations**

In preparing this report the relevance of the following factors has been considered: Prevention of Crime & Disorder, Equality of Opportunity and Environmental, Health, Human Resources and Transport Considerations.

## **8. Background Papers**

These are held on file in the Children's Services Development Section and County Property.

## **9. Key Decision**

No.

## **10. Call-in.** Is it necessary for the call-in period to be waived in respect of the decisions being proposed in this report?

No.

## **11. Strategic Director's Recommendations**

To consider the outcome of the non-statutory consultation and to approve the issue of the Statutory Notice for the conversion of Mickley School to a Primary whilst planning and funding is sought.

**Jane Parfremment, Executive Director for Children's Services**

## **Appendix A**

### **The proposed conversion of Mickley Infant School to a Primary School**

Mickley Infant and Nursery School currently provides education for children aged 3 to 7 years. The school was designed as an Infant only site to accommodate 40 infant age pupils and a 20 place nursery. The nursery numbers are fluid dependent on the number of Reception children already in school as the class is run as an Early Years Foundation Unit. The school also offers 30 hour funded places for those parents who can access this. The core facilities (hall and dining) accommodate their existing pupils. There is no library or separate physical education facility. The expansion of the school would support the housing development in the locality with land potentially being available next to the school or at the rear of the school backing as it backs onto farmland.

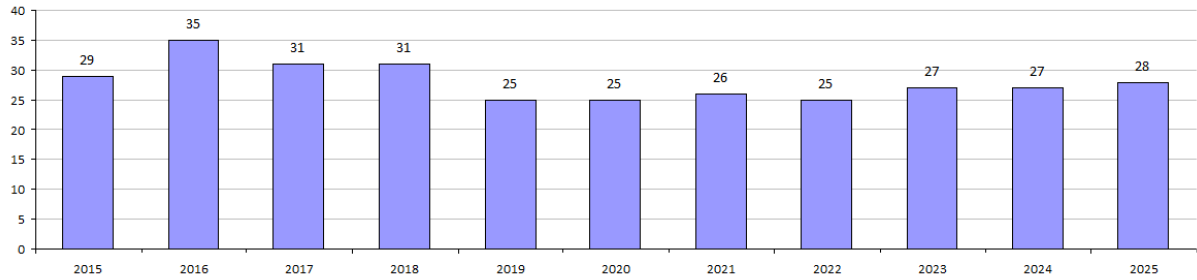
The school and local community recognise and support the need for the expansion of the age range to become a primary school and last year a petition in support of the expansion was forwarded to the Cabinet Member for Young People. Currently most children attend Shirland Primary School for their junior provision.

The estate where Mickley Infant & Nursery School is situated has poor public transport links and this is exacerbated by the fact that the bus that they currently use has been stopped in the evenings (The parents historically have organised and funded this, but it is no longer financially viable). Due to this, children who have siblings that attend Shirland Primary School will be struggling at the start and end of each school day. As a response to this, Mickley Infant school has been forced to shorten its school day as parents cannot be in two places at once.

There is a planning application for 120 dwellings, which would yield an additional 24 primary school aged children. Shirland Primary School is unable to expand any further and according to Building Bulletin 103, Mickley Infant School has space to become a Primary School with a pupil admission number of 10 and a capacity of 70.

The current projections are shown below:

### Historic NOR and Projections



The planning area projections are:

Dfee	SchoolName	SchoolStatus	PAN	Capacity	NOR	ProjYr1	ProjYr2	ProjYr3	ProjYr4	ProjYr5
2314	Mickley Infant School	Community	20	40	25	26	25	27	27	28
2223	Shirland Primary School	Community	20	199	195	193	183	175	180	179
2266	Wessington Primary School	Community	10	70	74	72	68	71	78	82

Shirland Primary School and Wessington Primary school are both on restricted sites and unable to expand any further. There is currently a scheme to increase the capacity at Shirland Primary School which increases the pupil admission number to 30, funded primarily by S106 however this will be limit of expansion.

In order to enable Mickley Infant and Nursery School to progress into a Primary School with a pupil admission number of 10, the following accommodation would be required:

Two 55 sqm KS2 classrooms

Two KS2 toilets

Staffroom

Group Room

Catering have confirmed that the existing infrastructure would be able to accommodate the additional numbers.

If this consultation indicates that there is continued support for the conversion, the proposal will be to submit a request to commence the process for a statutory consultation to increase the age range of Mickley Infant school from 7 to 11 thus changing the status from an infant school to primary school.

Under normal circumstances, this non statutory consultation would run for 4 weeks however given the issues relating to Covid 19, to give interested parties longer to consider the proposal, it will run for 6 weeks in term time from Thursday 24<sup>th</sup> September to Thursday 12<sup>th</sup> November.

Please email your thoughts on this proposal to [jenny.webster@derbyshire.gov.uk](mailto:jenny.webster@derbyshire.gov.uk) or alternatively hand them into the school office in a sealed envelope marked CONSULTATION for the attention of Jenny Webster (Childrens Services).

## **Appendix B**

### **Statutory Notice for the Proposal by Derbyshire County Council for the Conversion of Mickley Infant School to a Primary School.**

Notice is given in accordance with the School Organisation (Prescribed Alteration to Maintained Schools) (England) Regulation 2013 that Derbyshire County Council, Smedley Street, Matlock, Derbyshire DE4 3AG intends to make a prescribed alteration to Mickley Infant School, 6 Milton Avenue, Stretton, Alfreton, Derbyshire, DE55 6GG.

#### **It is proposed that the age range changes from 4 – 7 to 4 – 11**

Mickley Infant and Nursery School currently provides education for children aged 3 to 7 years. The school was designed as an infant only site to accommodate 40 infant age pupils and a 20 place nursery. The nursery numbers are fluid dependent on the number of Reception children already in school as the class is run as an Early Years Foundation Unit. The school also offers 30 hour funded places for those parents who can access this.

The core facilities (hall and dining) accommodate their existing pupils. There is no library or separate physical education facility. The expansion of the school would support the housing development in the locality with land potentially being available next to the school or at the rear of the school as it backs onto farmland.

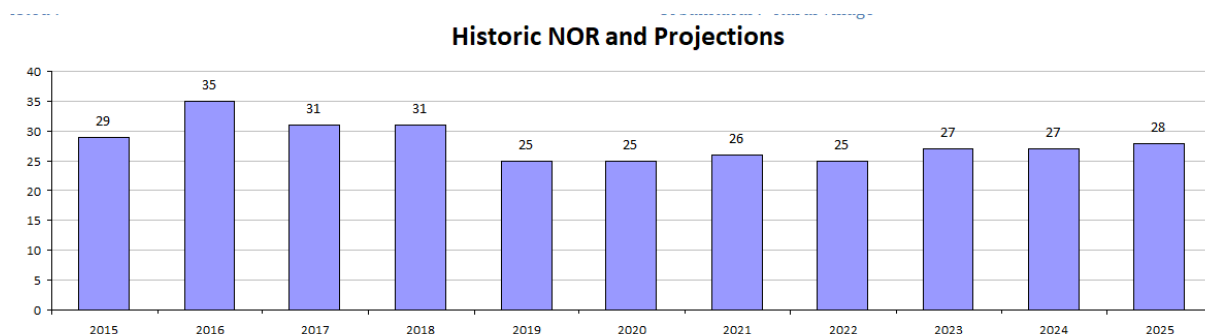
In earlier consultation the school and local community have recognised and supported the need for the expansion of the age range to become a primary school and last year a petition in support of the expansion was forwarded to the Cabinet Member for Young People.

Currently most children attend Shirland Primary School for their junior provision. The estate where Mickley Infant & Nursery School is situated has poor public transport links and this is exacerbated by the fact that the bus that they currently use has been stopped in the evenings. Historically, the parents organised and funded this but it is no longer financially viable. As a result, parents are struggling to ensure that their children arrive and return from Shirland Primary School in time for the school day. As a response to this, Mickley Infant School has been forced to shorten its school day as parents could not be in two places at once.

There is a planning application for 120 dwellings, which would yield an additional 24 primary school aged children. Shirland Primary School is

unable to expand any further and following the Department for Education Building Bulletin 103 guidance on accommodation requirements, Mickley Infant School has space to become a Primary School with a pupil admission number of 10 and a capacity of 70.

The current projections are shown below:



The planning area projections are:

Dfee	SchoolName	SchoolStatus	PAN	Capacity	NOR	ProjYr1	ProjYr2	ProjYr3	ProjYr4	ProjYr5
2314	Mickley Infant School	Community	20	40	25	26	25	27	27	28
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Shirland Primary School and Wessington Primary school are both on restricted sites and unable to expand any further. There is currently a scheme to increase the capacity at Shirland Primary School which increases the pupil admission number to 30, funded primarily by funding from housing developers however this will be limit of expansion.

In order to enable Mickley Infant and Nursery School to progress into a Primary School with a pupil admission number of 10, the following accommodation would be required:

- Two 55 sqm KS2 classrooms
- Two KS2 toilets
- Staffroom
- Group Room

Once the consultation is concluded, the outcome will be reported to Cabinet.

**The consultation on this proposal will be from 19<sup>th</sup> April 2021 to 18<sup>th</sup> May 2021.**

The consultation document is available on the Derbyshire County Council website at [www.derbyshire.gov.uk/council/have-your-say](http://www.derbyshire.gov.uk/council/have-your-say) or by request from Jenny Webster on Tel: 01629 536537 or by e-mail to [jenny.webster@derbyshire.gov.uk](mailto:jenny.webster@derbyshire.gov.uk)

Within four weeks of the publication of this notice, any person may object to or comment on the proposal by writing to the address or by sending an e-mail to the contact details below.

Jenny Webster , Assistant Education Officer, Block C, Chatsworth Hall,  
Chesterfield Road, Matlock, Derbyshire DE4 3FW or  
[jenny.webster@derbyshire.gov.uk](mailto:jenny.webster@derbyshire.gov.uk)

**The deadline for receiving responses is Tuesday 18<sup>th</sup> May 2021.**

Head of Development

Date of Publication

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**DERBYSHIRE COUNTY COUNCIL****CABINET****11 March 2021****Report of the Executive Director for Children's Services****EARLY YEARS FUNDING SETTLEMENT 2021-22  
(YOUNG PEOPLE)****1. Purpose of the Report**

To inform Cabinet of the Early Years settlement of the Dedicated Schools Grant (DSG) and the related decisions of the Schools Forum, and to seek approval to the Early Years funding formula for 2021-22.

**2. Information and Analysis****2.1 Early Years Settlement – Key Points**

The early years funding rates for 2021-22 were confirmed by the Department for Education on 17th December 2020. The key points for each element are as follows:

**Universal/additional hours for 3 and 4 year olds** - By way of background, for 2020-21, Derbyshire's hourly rate under the DfE's Early Years Funding Formula (EYFF), excluding protection, is £4.24. However, our actual rate including protection (£0.15) is £4.39 per hour.

For 2021-22 all LAs received a £0.06 increase on their unprotected EYFF rate, with a minimum funding level, including protection, of £4.44. Derbyshire's unprotected EYFF rate for 2021-22 is therefore £4.30, the ongoing protection (£0.14) raises our actual rate to the £4.44 minimum. This rate results in a year on year increase of £0.05 (£4.44 less £4.39). This rate will be applied to both the universal and extended hours for eligible 3 and 4 year olds.

**Funding for disadvantaged 2 year olds** – the rates for all LAs have increased by £0.08 per hour, Derbyshire's 2021-22 rate being £5.36 (from

£5.28) an increase of 1.52%. This funding is provided as part of a national scheme to support eligible pupils access childcare.

**Early Years' Pupil Premium (EYPP)** - the DfE have also confirmed that the national rate for 2021-22 will be £0.53 per eligible child per hour, unchanged from 2020-21. The EYPP gives providers extra funding to support eligible 3 & 4 year olds for up to 15 hours per week.

**Disability Access Fund (DAF)** - the rate is unchanged from 2020-21 at £615 per eligible child per year and supports 3 & 4 years olds in receipt of nursery entitlement and Disability Living Allowance to access provision.

**Maintained Nursery Schools (MNS) grant** – supports the higher operational costs of nursery schools. The £0.998m for 2021-22 is very close to the 2020-21 figure (£1.000m). However, part of the MNS has been published as indicative and part as conditional. The MNS allocation for April 2021 to August 2021 (£0.416m) is indicative and will be updated to reflect actual hours of provision. The MNS for September 2021 to March 2022 (£0.582m) is conditional i.e. may be subject to change and local authorities are advised to treat it as unconfirmed. The DfE have said that they will say more about this in early 2021. The government's stated commitment to the long-term funding of maintained nursery schools is unchanged.

No other technical changes have been announced and hence LAs will continue to have to meet the passporting test. This test requires that at least 95% of the universal and additional hours funding for 3 and 4 year olds must be delegated to providers, with a maximum of 5% allowed to be held centrally. The levels of Early Years central budgets are a matter for the Schools Forum and these were considered and agreed at its meeting on 28<sup>th</sup> January 2021. The early years formula is a matter for the Authority. LAs' formulae must continue to include a basic hourly rate and a deprivation indicator, in addition LAs can include indicators for rurality/sparsity, flexibility, quality and a lump sum for nursery schools.

## **2.2 Early Years Settlement – Financial Details**

Details of the settlement are provided in Table 1 overleaf. The December announcement included indicative figures based on January 2020 census data; the actual 2021-22 allocations would normally be updated to reflect a combination of January 2021 (5/12ths) and January 2022 (7/12ths) census information. However, this position is under review in the light of Covid-19 and other arrangements may apply.

**Table 1 – Early Years Settlement 2021-22**

Item	2021-22	2020-21	
<b>Early Years Block:</b>			
No. 3 & 4 year olds – universal prov'n (part time equiv, PTE)	9,795.12	9.975.29	
Universal entitlement - 3 & 4 year old hourly rate	£4.44	£4.39	
<b>Sub total universal hours 3&amp;4 yr old funding (£m)</b>	<b>24.789</b>	<b>24.961</b>	
No. 3 & 4 year olds – add'l 15 hours for working parents (PTE)	4,364.81	4,099.92	
Additional entitlement - 3 & 4 year old hourly rate	£4.44	£4.39	
<b>Sub total additional hours 3&amp;4 yr old funding (£m)</b>	<b>11.046</b>	<b>10.259</b>	
<b>Total hours 3&amp; 4 yr old funding – Passporting baseline</b>	<b>35.835</b>	<b>35.220</b>	a
Other Early Years allocations:			
Maintained Nursery School (MNS) Grant (£m)	0.998	1.000	
Funding for disadvantaged 2 year olds (£m)	4.397	4.371	
Early Years Pupil Premium (£m)	0.381	0.298	
Early Years Disability Access Fund (£m)	0.179	0.149	
<b>Sub total – Other EY allocations</b>	<b>5.955</b>	<b>5.818</b>	b
<b>TOTAL EARLY YEARS BLOCK (£m)</b>	<b>41.790</b>	<b>41.038</b>	c=b+a

It is proposed that the £0.05 increase in the funding rate for 3 and 4 year olds be passed on in full and added to the hourly rates paid to providers locally. The impact of this increase, based on the hours in Table 1, would be as follows:

**Table 2 – Early Years Delegated Allocations 2021-22**

	Nursery schools	Nursery units	PVI	Total	
Proposed Universal hourly rate	<b>£4.16</b>	<b>£4.16</b>	<b>£4.16</b>	-	
Proposed Enhanced hourly rate	£0.54	£0.00	£0.00	-	
<b>Proposed Overall hourly rate</b>	<b>£4.70</b>	<b>£4.16</b>	<b>£4.16</b>	-	A
3&4 year olds universal hrs	562.80	3,294.28	5,938.04	9,795.12	B
3&4 year olds additional hrs	200.81	773.51	3,390.49	4,364.81	C
<b>Delegated funding</b>	£m	£m	£m	£m	
Universal allocation	1.508	7.811	14.080	23.399	=Ax570hrs
Additional hours allocation	0.538	1.834	8.040	10.412	=Ax570hrs
<b>Sub total - hourly rate allocations</b>	<b>2.046</b>	<b>9.645</b>	<b>22.120</b>	<b>33.811</b>	
Formula supplements:					
Lump sum	0.719	0.000	0.000	0.719	
Deprivation	0.054	0.508	0.325	0.887	
Rates	0.073	0.000	0.000	0.073	
<b>Sub total - formula supplements</b>	<b>0.846</b>	<b>0.508</b>	<b>0.325</b>	<b>1.679</b>	
Contingency – delegated	<b>0.010</b>	<b>0.000</b>	<b>0.000</b>	<b>0.010</b>	
<b>Total delegated funding</b>	<b>2.902</b>	<b>10.153</b>	<b>22.445</b>	<b>35.500</b>	

No other changes are proposed to the EYFF formula, hence funding for lump sums and deprivation would remain unchanged from 2020-21.

### 2.3 Early Years – Centrally held funding

At its meeting on 28<sup>th</sup> January 2021 the Forum agreed the central budgets set out in Table 3 below.

**Table 3 – Central Early Years Budgets 2021-22**

	<b>Approved 2021-22</b>	<b>Approved 2020-21</b>
Service	<b>£m</b>	<b>£m</b>
Early Years Improvement Service	1.177	1.184
Early Years SEN service	0.153	0.145
Nursery school licences	0.003	0.003
<b>Total</b>	<b>1.333</b>	<b>1.332</b>

Cabinet is asked to note the decisions of the Schools Forum in respect of central early years spend for 2021-22.

### 2.4 Early Years – Overall funding and passporting tests

The overall cost of the allocations in sections 2.2 and 2.3 fully allocate the grant, excluding the Pupil Premium, 2 year old funding and DAF.

**Table 4 – Summary of estimated income and proposed spend 2021-22**

	<b>Cost £m</b>
Delegated Allocations per Table 2	35.500
Central Budgets per Table 3	1.333
<b>Total spend</b>	<b>36.833</b>
Universal/additional hours grant – Table 1	35.835
Maintained Nursery School grant	0.998
<b>Total grant</b>	<b>36.833</b>

Table 5 below shows that the proposals meet the government's 95% passporting test.

**Table 5 – Estimated impact of allocations on passporting test**

	<b>£m</b>
Delegated Allocations per Table 2	35.500
Less funded by MNS grant	(0.998)
Delegated spend for passporting purposes	34.502
Universal/additional hours income – Table 1	35.835
<b>Percentage of funds passported</b>	<b>96.3%</b>

## **2.5 Other Matters**

The volatility in the January census data carries financial risks for the Council. The January 2021 census drives the Early Years DSG allocation for the period January to August 2021, hence if attendances were abnormally low in January 2021 this would reduce the DSG allocation for this period. This would become a problem if actual provision were to rise later in the spring and summer as the consequential increase in payments to providers would create a gap between funding and costs. The DfE's proposed protection arrangements would top-up councils' allocations up to 85% of their January 2020 census level, provided a local authority can provide evidence for increased attendance during the spring term.

### **3. Other Considerations**

In preparing this report, the relevance of the following factors has been considered: - prevention of crime & disorder, equality of opportunity, and environmental, health, social value, human rights, human resources, property and transport considerations.

### **4. Background Papers**

Files held within Children's Services Finance.

### **5. Key Decision**

Yes.

### **6. Call-in**

Is it required that the call-in period be waived in respect of the decisions being proposed within this report?      No

### **7. Officer's Recommendations** That Cabinet considers this report and:

- (i) Notes the Early Years settlement for 2021-22;
- (ii) Agrees the increase in Derbyshire's universal rate to £4.16 from April 2021;
- (iii) Agrees that the enhanced hourly rate for nursery schools and the nursery school lump sum and deprivation allocations remain unchanged for 2021-22;
- (iv) Notes the central early years budgets approved by the Schools Forum as set out in section 2.3; and
- (v) Notes that the proposals meet the government's early years passporting test as set out in section 2.4.

**Jane Parfremment**  
**Executive Director for Children's Services**

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Author: Chris Allcock (x36514)

Agenda Item No:

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Children's Services**

**CENTRAL SCHOOL SERVICES BLOCK AND  
PUPIL GROWTH FUND SETTLEMENT 2021-22  
(YOUNG PEOPLE)**

**1. Purpose of the Report**

To inform Cabinet of the Central School Services Block (CSSB) and Pupil Growth settlements for 2021-22 and the decisions of Schools Forum regarding their allocation.

**2. Information and Analysis**

**2.1 Central School Services Block (CSSB)**

Details of local authorities' (LA) 2021-22 CSSB allocations were published on 17<sup>th</sup> December 2020.

This block of the Dedicated Schools Grant (DSG) was introduced in 2018-19 to fund local authorities for the statutory duties that they hold for both maintained schools and academies. The CSSB brings together funding:

- (i) previously allocated through the retained duties element of the former Education Services Grant for defined services;
- (ii) for ongoing central functions for schools and academies; and
- (iii) for historic commitments previously held within the Schools Block.

Funding for ongoing responsibilities - items (i) and (ii) above - is based on a per-pupil allocation whilst funding for historic commitments reflects previous LA spend.

The determination of budgets funded by the CSSB is a matter for the School Forum rather than the County Council. The Schools Forum considered the allocation of funding for 2021-22 at its meetings in December 2020 and January 2021.

The additional consideration in January was necessary as a result of the DfE allocating funding in respect of employers' pension contributions in respect of centrally employed teaching staff. From September 2019 employers' pension contribution for teachers rose from 16.48% to 23.68% of gross pay. In 2020-21 LAs received a specific grant to cover these costs but for 2021-22 funding has now been included in the CSSB instead.

**Table 1 – CSSB Grant 2021-22**

	2021-22	2020-21
NFF multiplier (per pupil)	£31.72	£29.80
Pensions addition (per pupil) multiplier -	£6.73	-
October 2020/2019 pupil counts	98,603.50	97,700.00
Ongoing responsibilities including pensions	£3,127,703	£2,911,072
Historic commitments	£1,111,680	£1,389,600
<b>Total CSSB funding</b>	<b>£4,902,985</b>	<b>£4,300,672</b>

Other than the pension change, the key points to note are a 6.44% increase in the per-pupil funding rate for ongoing responsibilities and a 20% reduction in the historic commitments element. The reduction in LAs' historic allocations had been expected and this trend is expected to be reflected in future years' settlements.

As a result of the decisions by Schools Forum CSSB funding has been allocated as follows for 2021-22.

**Table 2 – Agreed Central Schools Services Block Allocations 2021-22**

Item	Approved
	£
<b><u>Ongoing responsibilities</u></b>	
Admissions Service	559,000
Schools Forum	41,000
Former Education Services Grant Retained Duties	1,680,000
Copyright Licences for schools and academies	617,738
Pensions for centrally funded teachers	169,000
<b><u>Historic Commitments</u></b>	
Contribution to LA's Early Help Offer	1,111,680
Total allocations	4,178,418
Balance	724,567

The balance will remain unallocated in order to provide a contribution to the Authority's DSG reserves during 2021-22. Cabinet is asked to note the decisions of the Schools Forum in respect of the CSSB for 2021-22.



## 2.2 Pupil Growth Fund

Local authorities' DSG allocations now include pupil growth funding as an element of the Schools Block. The distribution of this funding is also a matter for the Schools Forum rather than the County Council. The fund is used to support schools with in-year increases in pupils, including new free schools, as well as contributing to the extra costs of meeting national Key Stage 1 class size requirements.

Allocations for 2021-22 were announced on 17<sup>th</sup> December 2020 and are based on a formula which looks at increases in pupil numbers as measured at Middle Super Output Area (MSOA) level: net reductions at MSOA level would be ignored. The allocations for 2021-22 have been based on the increases between October 2020 and 2019 pupil census data with each additional primary pupil attracting £1,455 and each secondary pupil £2,175. In addition, LAs receive £68,700 for each new institution registered for the first time on the October 2020 census. Derbyshire has one such qualifying academy, Highfield Farm, and the £68,700 reflects the part year lump sum (7/12<sup>ths</sup> of £117,800) which is payable from September 2021.

Derbyshire's Pupil Growth funding for 2020-21 is shown in Table 3 below:

**Table 3 – Pupil Growth allocation 2021-22**

	<b>Pupil Increase</b>	<b>2021-22 Rate</b>	<b>Pupil growth funding</b>
Primary	421.0	£1,455	£612,555
Secondary	834.0	£2,175	£1,813,950
Sub total			£2,426,505
New Institutions – Highfield Farm			£68,700
Total allocation			£2,495,205

The allocation of this grant was considered by the Schools Forum at its meeting on 28<sup>th</sup> January 2021 and the following sums approved.

**Table 4 – Pupil Growth Budgets 2021-22**

<b><u>Item</u></b>	<b>£m</b>
Mainstream formula budgets	0.615
Infant Class Sizes	1.128
In year pupil growth	0.250
Free Schools	0.500
Total budgets	2.493
Balance	0.002

**Mainstream formula budgets (£0.615m)** – Cabinet considered the allocation of Schools Block funding at its meeting on 21<sup>st</sup> January 2021. The report identified a shortfall between the schools block quantum for 2021-22 and the total cost of schools’ budgets, based on the national funding formula. This contribution from the pupil growth fund, agreed by the Schools Forum following a special briefing on 8<sup>th</sup> January 2021, was one of the measures that closed the shortfall.

**Key Stage 1 Class size (£1.128m)** – this supports infant and primary schools to meet national infant (Key Stage 1) class size requirements. The current (2020-21) budget for this purpose is £1.128m and this sum has been retained in 2021-22.

**In year pupil increases (£0.250m)** - the need for support arises from the lagged nature of schools and academies’ funding. For example, children admitted in September 2020 would not generate additional funding until the start of the 2021-22 financial year (LA maintained schools) or 2021/22 academic year (academies). This leaves the school and academy having to support any in-year additional costs for seven and twelve months respectively. N.B. The Department for Education (DfE) publication “Schools revenue funding 2020 to 2021 Operational guide” only allows support to be provided where in-year increases in numbers arise as a direct consequence of a basic need issue i.e. where the Authority requires the school or academy to admit a significant number of children. The guidance specifically prohibits supporting general growth due to popularity as this should be managed through lagged funding.

The 2020-21 budget for this purpose is £0.250m and the Schools Forum agreed to approve the same allocation for 2021-22.

**New Free Schools (£0.500m)** - Two new primary free schools, The Mease at Hilton and Chellaston Fields, opened in September 2019, Highfields Farm opened in September 2020 and Clover Leys at Boulton Moor is planned to open in September 2021. A further 4 primary schools (Drakelow, Clowne North, Staveley and Radbourne Primary) are planned under the DfE’s Free School Presumption process for September 2023 & 2024.

There are three further schools which are currently under consideration for direct funding from the DfE, namely: New House Farm, Micklover, The Avenue, Wingerworth and Infinity Park, a secondary school near Chellaston. If approved, these three schools would not have a claim on DSG funding.

Under the Education Act 2011 and the national academy framework, LAs are responsible for funding the pre and post opening set up costs and formula support of free schools agreed under the Presumptive process. Pre-opening support provides funding for a free school to meet some initial

costs in advance of the school opening e.g. employing key staff. The amount of support ranges from £0.172m to £0.212m, the lower figure is more usual where a single Trust opens more than one school in the same local authority in the same academic year and reflects an expectation that there will be some economies of scale in the planning stages.

Post-opening support recognises that in the initial years after opening, the mainstream formula allocation is unlikely to provide sufficient resources to run a school. Post opening support comes in two forms:

- (i) Leadership - provides an average of £13,500 per empty cohort per annum, the maximum a school could claim would be £0.283m during the first six years.
- (ii) Resources - helps provide additional resources and is a flat rate £250 per additional pupil, a total cost of £0.053 for a 210 pupil school.

The maximum total cost of support for each primary school is therefore £0.548m excluding any support under the National Funding Formula for in year pupil increases.

In terms of in-year formula support, children starting a free school for the first time in September would only trigger DSG resources from the following April. In the interim the Authority is required to fund the formula budget of the school relating to these children for the period September to March from the pupil growth fund.

The precise level of any support will depend upon the schools' admission profiles, the pupils' characteristics i.e. the levels of deprivation and prior attainment and the formula multipliers used by the local authority to fund its schools and academies.

In order to ensure resources are in place to contribute to the various types of costs the Authority holds a reserve within the DSG. The balance of this reserve at 31<sup>st</sup> March 2021 is forecast to be £2.261m and the agreed £0.500m contribution for 2021-22 will increase this to £2.761m. Further contributions from the DSG will be required from future years' settlements to fund fully the pre and post opening support costs for all the new free schools and, if possible, the in-year formula support as well. The objective is to avoid, or at least minimise, any future impact of these support costs on other schools and academies' budgets.

### **3. Other Considerations**

In preparing this report, the relevance of the following factors has been considered: - prevention of crime & disorder, equality of opportunity, and

environmental, health, social value, human rights, human resources, property and transport considerations.

#### **4. Background Papers**

Files held within Children's Services Finance.

#### **5. Key Decision**

Yes.

#### **6. Call-in**

Is it required that the call-in period be waived in respect of the decisions being proposed within this report? No

#### **7. Officer's Recommendations** That Cabinet considers this report and:

- (i) Notes the Central School Services Block and Pupil Growth settlements for 2021-22;
- (ii) Notes the decisions of Schools Forum regarding the allocation of these grants; and
- (iii) Notes the contribution that the CSSB is expected to make towards DSG reserves in 2021-22.

**Jane Parfremment**  
**Executive Director for Children's Services**

Author: Jill Beacham (x36549)

Agenda Item No.6(u)

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Children's Services**

**CHILDREN'S SERVICES CAPITAL PROGRAMME 2020-21- FURTHER  
ALLOCATION (YOUNG PEOPLE)**

**1. Purpose of Report**

To note allocations approved under delegated powers by the Executive Director for Children's Services. To approve a further allocation to the Children's Services Capital Programme 2020-21 for Dronfield Henry Fanshawe School.

**2. Information and Analysis**

**Dronfield Henry Fanshawe School** – There is a 3 classroom temporary block at the school that has reached the end of its economic life. It has been on the 'buildings at risk' register for some years awaiting funding. The school is popular and the numbers on roll are high therefore it is necessary to replace this accommodation to meet the school's need for teaching accommodation. A project has been identified to replace the 3 classrooms at a cost of £550,000. A contribution from the School towards the project is to be agreed.

**3. Financial Considerations**

The financial considerations are explained in Section 2 above.

On 30 July 2020, Cabinet approved the Children's Services Capital Programme 2020-21 which left a balance of **£3,821,794** from the 2020-21 School Condition allocation and an overall unallocated balance of **£6,062,026**.

On 5 August 2020, the DfE announced an additional School Condition Allocation for 2020-21 of £3,322,356 which increased the 2020-21 School Condition allocation to £7,144,150 and the overall unallocated balance to £9,384,382.

On 6 October 2020 the Cabinet Member for Young People approved a further allocation from the Children's Services Capital Programme 2020-21 School Condition of £350,000 for a classroom project at Kilburn Junior School leaving a balance of £6,794,150 from the 2020-21 School Condition allocation. Noted approvals totalling £232,000 were made under delegated powers by the Executive Director for Children's Services for allocations from the 2019-20 Children's Services Capital budget leaving a balance of £6,794,150 from the 2020-21 School Condition allocation and an overall unallocated balance of £8,802,382.

Further approvals made under delegated powers by the Executive Director for Children's Services and Children's Services Head of Development for allocations from the Children's Services Capital budget are set out in Appendix A. The allocations total £382,394 (£886 allocated from 2019-20 BN, £380,050 from SCA 2020-21 and £1,458 from 2017-18 savings) leaving a balance of £6,414,100 from the 2020-21 School Condition allocation and an overall unallocated balance of £8,421,446.

If the allocation £550,000 for Dronfield Henry Fanshaw School is approved from the 2020-21 School Condition allocation this will leave a balance of **£5,864,100** from the 2020-21 School Condition allocation and an overall unallocated balance of **£7,871,446** available for future allocations.

The balance represents unallocated funds from the current 2020-21 Children's Services Capital budget together with unallocated funds from previous years Capital budgets. Work is in hand to provide a full breakdown of funds by years and this will be reported to Cabinet at a future date.

#### **4. Social Value Considerations**

This funding for Henry Fanshawe is to ensure that there is sufficient capacity at the school and meet the Council's statutory duty.

#### **5. Other Considerations**

In preparing this report the relevance of the following factors has been considered:- prevention of crime & disorder, equality of opportunity, environmental, health, legal & human rights, human resources, property and transport considerations.

**6. Key Decision**      No

**7. Call-in**

Is it necessary for the call-in period to be waived in respect of the decisions being proposed in the report? No

**8. Background Papers**

These are held on file in the Children's Services Development Section.

**9. Officer Recommendation**

It is recommended that the Cabinet:

1. Notes the allocations made under delegated powers by the Executive Director for Children's Services from the Children's Services Capital Budget totalling £370,265.
2. Notes the allocations made under delegated powers by the Children's Services Head of Development from the Children's Services Capital Budget totalling £12,129.
3. Approves the allocation of £550,000 to Dronfield Henry Fanshawe for a replacement teaching block

**Jane Parfremment**  
**Executive Director for Children's Services**

**Appendix A:**

## Approvals by the Executive Director for Children's Services

School	Scheme	Funding Yr/Type	Approved	Amount
Ashgate Croft School	Classroom block – additional funding.	2020-21 SC	07/09/20	£59,000
Clowne Infant School	Window & door replacement – additional funding.	2020-21 SC	07/09/20	£22,827
Highfields Spencer Academy	New school – additional funding for FFE	2020-21 SC	07/09/20	£40,000
Brockwell Junior School	Entrance & office – additional funding	2020-21 SC	17/09/20	£60,438
Newhall Junior School	Roof coating	2020-21 SC	04/11/20	£100,000
South Normanton Nursery School	Extension – additional funding	2020-21 SC	04/12/20	£30,000
Overseal Primary School	Entrance – additional funding	2020-21 SC	22/12/20	£58,000
Total				£370,265

## Approvals by Children's Services Head of Development

School	Scheme	Funding Yr/Type	Approved	Amount £
Springwell Community College	Internal remodelling scheme – additional funding	2019-2020 BN	06/10/20	£886
Spire Infant School	Modular classroom – additional funding	2020-21 SC	03/11/20	£9,785
Whittington Green School	Fire alarm -additional funding	2017/18 Savings	15/01/21	£1,458
Total				£12,129



**DERBYSHIRE COUNTY COUNCIL****CABINET****11 March 2021****Report of the Executive Director for Children's Services****HIGH NEEDS BLOCK FUNDING SETTLEMENT 2021-22  
(YOUNG PEOPLE)****1. Purpose of the Report**

To inform Cabinet of the High Needs Block (HNB) settlement of the Dedicated Schools Grant (DSG) for 2021-22 and seek approval to its allocation.

**2. Information and Analysis****2.1 High Needs Settlement**

Details of local authorities' 2021-22 high needs allocations were published on 17<sup>th</sup> December 2020. Derbyshire's high needs funding will increase by £9.194m (+11.53%) from £79.771m to £88.965m. It should be noted that the 2021-22 allocation incorporates the former Teachers Pay Employers' Contribution Grant (TPECG) specific grant. This grant helped fund the increase in employers' superannuation contributions for teachers which rose from 16.48% to 23.68% of gross pay in September 2019. Adjusting for this technical change, the like for like increase in high needs funding is approximately 10%. A summary of the high needs allocation is provided in Appendix 1.

**2.2 Background**

Despite recent national increases in funding, the high needs blocks of many LAs, including Derbyshire, remain under financial pressure. Locally, the latest 2020-21 spending projection forecasts that Derbyshire's high needs costs are expected to exceed the budget by £4.707m, most of the additional costs being accounted for by increased top up payments to schools and other providers. In recent years the Authority has seen demand for support rise consistently and the costs of responding to these demands are summarised in Table 1 overleaf.

**Table 1 – Spend on top-ups over time**

	F'cast	Actual	Actual	Actual
Sector	2020-21	2019-20	2018-19	2017-18
	£k	£k	£k	£k
Nursery	422	531	243	229
Primary	11,112	9,706	7,371	6,796
Secondary	7,491	6,506	6,191	6,364
Special - Derbyshire schls & academies	13,709	12,370	11,618	11,035
Special - Other LAs/Independent and Non Maintained schools	14,787	12,449	8,953	8,162
Post 16	3,794	3,000	3,369	2,830
Total	51,315	44,562	37,745	35,416

The impact of this increase in spend has been to reduce the Authority's DSG reserves, such that there will be an overall DSG deficit at 31<sup>st</sup> March 2021 of around £1.500m. As the only planned contribution in 2021-22 towards this deficit from the other DSG blocks is £0.725m from the Central School Services Block, it is important that high needs spend is kept within the annual grant, if an increase in the accumulated deficit is to be avoided.

### **2.3 High Needs Places**

There are two types of places, the first is pre and post 16 SEN places in academies and post 16 places in LA maintained mainstream schools and special schools, college places and post 16 Charitable and Commercial Providers (CCPs). These are all funded by the Education and Skills Funding Agency (ESFA) by deducting monies from LAs' gross HNB allocations. The second type covers pre 16 places in LA special schools, Enhanced Resource provision in LA maintained schools and LA Pupil Referral Units.

Work has been ongoing for several weeks with providers to establish the number of places required. As a result of this work the places which the LA has agreed to fund from the HNB next year are shown in Appendix 2. The total estimated cost is £15.261m, an increase of £0.585m compared with 2020-21, the majority of the increase being for additional places in maintained special school provision.

### **2.4 Top up funding [also known as Element 3]**

These sums, which are over and above the place values, are paid to providers for children and students for which the LA is the responsible body i.e. the "home" Authority. Top up rates vary depending on the type of institution and the individual child's needs being met

Mainstream schools receive top up funding for children supported either by an Education Health and Care Plan (EHCP) or Graduated Response for an

Individual Pupil (GRIP) arrangement with most of the allocations funding extra support staff time. Given the announcement of a pay freeze in the public sector for most staff, it is proposed that the allocations for 2021-22 continue to be funded at 2020 rates.

Outside of the high needs block, mainstream schools have seen per pupil increases of at least 1.5% in 2021-22 as a result of the Minimum Funding Guarantee. Similarly, the early years report to this Cabinet, if approved, would see increases in the hourly rates for 3 and 4 year old of between 1.08% and 1.22%.

Given the increases for other sectors it is equitable to fund special schools, enhanced resource (ER) schools and support centres for inflation too. Whilst the demographic pressures in top up spend means there is limited scope for an inflationary increase, on balance it is considered essential. The lack of an increase would risk a loss of provision which could result in some children being placed in the independent and non-maintained sectors, potentially increasing overall costs.

Following discussions at the December and January Schools Forums, it is proposed that the top ups for the above maintained sectors be increased as follows:

Special Schools - 1.45% (top ups 59.3% of total funding)  
 Support Centres - 2.23% (top ups 38.5% of total funding)  
 ER Schools - 1.47% (top ups 58.5% of total funding)

The differential percentages reflect the fact that each sector has a mix of place and top up funding. All three sectors would see an inflationary increase of 0.86% in their resources, equivalent to the full year impact of the 2020 teachers' pay award plus an element for prices inflation. Details of the proposed top up rates for 2021-22 are provided in Appendix 3.

The estimated cost of top ups is summarised in Table 2 below.

**Table 2 – Estimated Element 3 top ups 2021-22**

<b>Item</b>	<b>£m</b>
Early years	0.549
Primary schools and academies	11.346
Secondary schools and academies	7.512
Post 16 Colleges and ESPs	4.039
Special schools and academies	17.059
PRUs including SEN	2.396
Other LA, Ind. & Non Maintained Special Schools	11.976
Demographic/prices contingency (balance of grant)	1.492
<b>Total</b>	<b>56.369</b>

The allocations in Table 2 reflect the anticipated costs next year, based on 2020-21 spend adjusted for inflation, together with £1.492m – effectively the balance of the HNB grant – held as a demographic and prices contingency.

## 2.5 High Needs Services and other budgets

In addition to the monies delegated to providers in 2.3 and 2.4 above, funding is held centrally to enable some services to be free to schools and academies at the point of delivery. These central budgets also fund a range of other costs including contingencies, contributions to LA-funded services e.g. education psychology services, contributions to high cost complex placements and some limited transport costs. The estimated allocations for 2021-22 total £17.336m, figures for each service area are set out in Appendix 4. It should be noted that services’ budgets have not been increased for inflation and will be expected to absorb any increase in costs next year from efficiencies and savings for example, savings through staff turnover and reductions in the costs of officer travel.

## 2.6 Summary of allocations

The total allocations in this report are summarised in Table 3 below.

**Table 3 – Summary of allocations**

<b>Budget</b>	<b>£m</b>
Places - Appendix 2	15.261
Top ups – section 2.4.	56.369
Centrally held budgets section 2.5/Appendix 4	17.335
Total proposed budgets	88.965
HNB grant - Appendix 1	88.965
Balance	-

It should be noted that under the DfE’s deficit recovery guidance: “Dedicated schools grant (DSG) management plan template - Guidance for local authorities”, LAs that reported a deficit on their DSG account at 31<sup>st</sup> March 2020 were required to publish a deficit recovery plan by 30<sup>th</sup> June 2020. If a similar approach is adopted by the DfE for 2020-21, the Authority will have to produce its recovery plan by the end of June 2021.

## 2.7 Special Schools De-delegation

Funding in respect of redundancy costs and services previously funded by the Education Services Grant (ESG) have been top-sliced from LA mainstream schools’ budgets. For LA special schools, decisions on whether or not to top-slice resources is a matter for the special school LA-maintained sector representative on the Schools Forum. The proposed top-slice rates for special schools for 2021-22 are set out in Table 4.

**Table 4 – Top-slice charges for Special Schools 2021-22**

<b>Sector/multiplier</b>	<b>Per Pre 16 Place</b>		<b>Total</b>
	<b>Redundancy</b>	<b>Former ESG Services</b>	
2020-21 charge	£28.90	£20.55	£49.45
2021-22 gross charge	£23.80	£34.79	£58.59
Less use of reserves	-	-	(£8.40)
2021-22 net charge	-	-	£50.19

The overall gross charge to schools has been abated by the use of accumulated reserves from previous years' de-delegation arrangements. De-delegation has been operating since 2013 with any underspend against the funds collected being carried forward in a reserve at the end of each year. At 31<sup>st</sup> March 2020 the re-pooled reserve stood at £1.013m and this figure is forecast to increase to around £1.381m by the end of the current financial year.

In order to minimise the increase in charges to schools for 2021-22, it is proposed to release funding from the reserve such that the overall per-place increase is no more than 1.5%. This approach, and the resultant percentage increase, are both in line with the approach adopted for mainstream schools. The proposed approach would utilise only £0.004m of the reserve.

At the meeting of the Schools Forum in October 2020, the special school representative agreed that funding should be top-sliced for the sector for 2021-22. The decision to accept responsibility for costs funded from top-sliced resources is a matter for Cabinet. It is recommended that Cabinet agrees to the Forum's request for 2021-22 in respect of special schools.

### **3. Other Considerations**

In preparing this report, the relevance of the following factors has been considered: - prevention of crime & disorder, equality of opportunity, and environmental, health, social value, human rights, human resources, property and transport considerations.

### **4. Background Papers**

Files held within Children's Services Finance.

### **5. Key Decision**

Yes.

## **6. Call-in**

Is it required that the call-in period be waived in respect of the decisions being proposed within this report? No

## **7. Officer's Recommendations** That Cabinet considers this report and:

- (i) Notes the High Needs Block settlement for 2021-22;
- (ii) Agrees the places to be funded in Appendix 2;
- (iii) Approves the top up budgets in section 2.4 and the associated top up rates in Appendix 3;
- (iv) Approves the centrally held budgets in Appendix 4;
- (v) Agrees to top-slice funding for LA maintained special schools in respect of redundancy costs and former ESG funded services as set out in section 2.7.

**Jane Parfremment**  
**Executive Director for Children's Services**

	2020-21			2021-22		
	Count	Multiplier	Total	Count	Multiplier	Total
	£	£	£m	£	£	£m
Population aged 2-18	145,998	155.85	22.754	148,497	181.74	26.988
Health/Disab. - Children with bad health	670	4,145.10	2.777	670	4,872.38	3.264
Health/Disab. - Children claiming DLA	5,527	651.95	3.603	5,928	713.24	4.228
Deprivation – Current free meals	16,744	286.30	4.794	21,422	268.27	5.747
Deprivation – IDACI Band F*	15,404	45.20	0.696	11,811	55.03	0.650
Deprivation – IDACI Band E	7,618	58.79	0.448	16,028	72.57	1.163
Deprivation – IDACI Band D	8,939	80.24	0.717	5,922	99.13	0.587
Deprivation – IDACI Band C	8,430	85.87	0.724	7,363	105.27	0.775
Deprivation – IDACI Band B	8,182	94.01	0.769	6,940	117.28	0.814
Deprivation – IDACI Band A	766	126.74	0.097	1,674	155.23	0.260
Low Attainment @ Key Stage 2	1,384	2,590.23	3.585	1,323	3,241.14	4.288
Low Attainment @ Key Stage 4	1,842	1,904.34	3.508	1,664	2,380.89	3.962
Historic spend			33.032			33.032
Sub total			77.504			85.758
Memo item - £ per population (2-18)			£524.70			£577.51
Hospital Education			0.055			0.289
Basic entitlement	1,084	4,000.00	4.336	1,145	4,660.00	5.336
Import/export adjustment	-354	6,000.00	-2.124	-403	6,000.00	-2.418
<b>Total High Needs Block</b>			<b>79.771</b>			<b>88.965</b>
<b>Increase (£m)</b>			<b>9.799</b>			<b>9.194</b>
<b>Increase (%)</b>			<b>+14.00</b>			<b>+11.53%</b>

\* IDACI – Income Deprivation Affecting Children Index

High Needs Places 2021-22			Appendix 2						
DfE	School	Type	Places 2020-21		Places 2021-22		Budget		Change
			April	Sept	April	Sept	2020-21	2021-22	0
	<b>ERS</b>								
2025	Springfield Junior School	Academy	8.00	8.00	8.00	11.00	48,000	58,500	10,500
2026	New Whittington Community Primary School	Academy	9.00	9.00	9.00	10.00	54,000	57,500	3,500
2036	Dunston Primary and Nursery Academy	Academy	6.00	6.00	6.00	6.00	36,000	36,000	0
2037	Langley Mill Academy	Academy	6.00	6.00	6.00	8.00	36,000	43,000	7,000
2116	Aldercar Infant School	Academy	8.00	6.00	6.00	4.00	41,000	29,000	-12,000
2356	Elmsleigh Infant & Nursery School	Academy	16.00	16.00	16.00	16.00	96,000	96,000	0
4004	Outwood Academy Newbold	Academy	16.00	16.00	16.00	17.00	96,000	99,500	3,500
4052	The Long Eaton School	Academy	11.00	11.00	11.00	11.00	66,000	66,000	0
5410a	The Pingle Academy(Area)	Academy	29.00	29.00	29.00	29.00	174,000	174,000	0
5410c	The Pingle Academy(Autism)	Academy	15.00	15.00	15.00	15.00	90,000	90,000	0
4013	Hope Valley College(Post 16)	Academy	22.00	18.00	18.00	18.00	116,000	108,000	-8,000
2011	Brampton Primary School	Maintained	14.00	14.00	14.00	14.00	84,000	84,000	0
2013	Chapel-en-le-Frith CofE VC Primary School	Maintained	19.00	19.00	19.00	19.00	114,000	114,000	0
2190	Pilsley Primary School	Maintained	9.00	10.00	10.00	10.00	57,500	60,000	2,500
2268	Whaley Bridge Primary School	Maintained	10.00	8.00	8.00	8.00	53,000	48,000	-5,000
2333	Ashbourne Hilltop Primary and Nursery School	Maintained	5.00	5.00	5.00	4.00	30,000	26,500	-3,500
4019	Chapel-en-le-Frith High School	Maintained	34.00	34.00	34.00	34.00	204,000	204,000	0
4074	William Allitt School	Maintained	1.00	0.00	0.00	0.00	2,500	0	-2,500
4089b	Aldercar High School(HI)	Maintained	10.00	8.00	8.00	8.00	53,000	48,000	-5,000
4089d	Aldercar High School(Phys)	Maintained	1.00	1.00	1.00	1.00	6,000	6,000	0
4173	Tibshelf Community School	Maintained	19.00	18.00	18.00	15.00	110,500	97,500	-13,000
	<b>Total ERS</b>		<b>268.00</b>	<b>257.00</b>	<b>257.00</b>	<b>258.00</b>	<b>1,567,500</b>	<b>1,545,500</b>	<b>-22,000</b>
	<b>Special</b>								
7001	Holbrook School for Autism	Academy	120.00	127.00	127.00	128.00	1,240,833	1,275,833	35,000
7006	Ashgate Croft School	Academy	139.00	139.00	139.00	142.00	1,390,000	1,407,500	17,500
7012	Stubbin Wood School	Academy	135.00	150.00	150.00	165.00	1,437,500	1,587,500	150,000
7014	Bennerley Fields School	Academy	88.00	88.00	88.00	91.00	880,000	897,500	17,500
7017	Peak School	Academy	55.00	60.00	60.00	65.00	579,167	629,167	50,000
7019	Stanton Vale School	Academy	85.00	93.00	93.00	90.00	896,667	912,500	15,833
7000	Holly House Special School	Maintained	43.00	43.00	43.00	43.00	430,000	430,000	0
7005	Brackenfield Special School	Maintained	72.00	82.00	82.00	112.00	778,333	995,000	216,667
7009	Swanwick School and Sports College	Maintained	82.00	82.00	82.00	85.00	820,000	837,500	17,500
7018	Alfreton Park Community Special School	Maintained	85.00	87.00	87.00	90.00	861,667	887,500	25,833
	<b>Total Special</b>		<b>904.00</b>	<b>951.00</b>	<b>951.00</b>	<b>1,011.00</b>	<b>9,314,167</b>	<b>9,860,000</b>	<b>545,833</b>
	<b>Support Centres</b>								
1106	South Derbyshire Support Centre	Academy	16.00	26.00	26.00	26.00	218,333	260,000	41,667
1102	Amber Valley and Erewash Support Centre	Academy	156.00	156.00	156.00	150.00	1,560,000	1,525,000	-35,000
1111	North East Derbyshire Support Centre	Academy	100.00	100.00	100.00	100.00	1,000,000	1,000,000	0
	<b>Total Support Centres</b>		<b>272.00</b>	<b>282.00</b>	<b>282.00</b>	<b>276.00</b>	<b>2,778,333</b>	<b>2,785,000</b>	<b>6,667</b>



High Needs Places 2021-22			Appendix 2						
DfE	School	Type	Places 2020-21		Places 2021-22		Budget		Change
			April	Sept	April	Sept	2020-21	2021-22	0
	Post 16		April	August	April	August			
4000	Swanwick Hall School	Academy	1.00	0.00	0.00	1.00	2,000	4,000	2,000
4004s	Outwood Academy Newbold	Academy	0.00	1.00	1.00	1.00	4,000	6,000	2,000
4009	John Port Spencer Academy	Academy	0.00	0.00	0.00	2.00	0	8,000	8,000
4012	Glossopdale School	Academy	1.00	1.00	1.00	1.00	6,000	6,000	0
4052s	The Long Eaton School	Academy	1.00	1.00	1.00	1.00	6,000	6,000	0
4196	Brookfield Community School	Academy	1.00	1.00	1.00	1.00	6,000	6,000	0
4500	Queen Elizabeth's Grammar School	Academy	0.00	3.00	3.00	3.00	12,000	18,000	6,000
5400	Netherthorpe School	Academy	0.00	1.00	1.00	3.00	4,000	14,000	10,000
5401	The Ecclesbourne School	Academy	0.00	6.00	6.00	4.00	24,000	28,000	4,000
5408	Heanor Gate Science College	Academy	0.00	0.00	0.00	2.00	0	8,000	8,000
5409	Friesland School	Academy	0.00	0.00	0.00	1.00	0	4,000	4,000
5410	The Pingle Academy	Academy	2.00	2.00	2.00	1.00	12,000	8,000	-4,000
5413	St Mary's Catholic High School, A Catholic Vc	Academy	2.00	2.00	2.00	4.00	12,000	20,000	8,000
5416	The Ripley Academy	Academy	0.00	0.00	0.00	1.00	0	4,000	4,000
4089	Aldercar High School	Maintained	25.00	13.00	13.00	9.00	102,000	62,000	-40,000
4174	Highfields School	Maintained	3.00	2.00	2.00	1.00	14,000	8,000	-6,000
4505	Anthony Gell School	Maintained	3.00	2.00	2.00	2.00	14,000	12,000	-2,000
4509	Dronfield Henry Fanshawe School	Maintained	1.00	2.00	2.00	1.00	10,000	8,000	-2,000
4510	Buxton Community School	Maintained	1.00	1.00	1.00	0.00	6,000	2,000	-4,000
5411	Lady Manners School	Maintained	1.00	3.00	3.00	4.00	14,000	22,000	8,000
	<b>Total Post 16</b>		<b>42.00</b>	<b>41.00</b>	<b>41.00</b>	<b>43.00</b>	<b>248,000</b>	<b>254,000</b>	<b>6,000</b>
	<b>FE</b>								
8000	Chesterfield College	FE	67.00	67.00	67.00	67.00	402,000	402,000	0
133811	University of Derby	FE	61.00	61.00	61.00	73.00	366,000	414,000	48,000
	<b>Total FE</b>		<b>128.00</b>	<b>128.00</b>	<b>128.00</b>	<b>140.00</b>	<b>768,000</b>	<b>816,000</b>	<b>48,000</b>
	<b>Total Derbyshire</b>		<b>1,614.00</b>	<b>1,659.00</b>	<b>1,659.00</b>	<b>1,728.00</b>	<b>14,676,000</b>	<b>15,260,500</b>	<b>584,500</b>
	File High Needs Places 21-22.xls								

Special School Profile	Descriptor	2021-22 £	2020-21 £
MSI	Multi-Sensory Impairment	52,474.67	51,726.12
ECB	Extremely Challenging Behaviour	52,474.67	51,726.12
HD	High Dependency	25,729.67	25,362.63
SEMHD	Social Emotional & Mental Health Difficulties	25,729.67	25,362.63
ELD	Extreme Learning Difficulty	18,452.44	18,189.21
SEBD	Severe Emotional & Behavioural Difficulty	17,292.32	17,045.64
ECOM	Extreme Communication Difficulty	16,430.16	16,195.78
COM	Autism/Communication Difficulty	12,009.23	11,837.92
EBD	Emotional & Behavioural Difficulty	11,733.97	11,566.58
SSI	Severe Sight Impairment	6,228.46	6,139.61
PHYS	Severe Physical Impairment	6,228.46	6,139.61
SHI	Severe Hearing Impairment	6,228.46	6,139.61
SLD	Severe Learning Difficulty	6,228.46	6,139.61
OLD	Other Learning Difficulty	1,824.06	1,798.04

Pupil Referral Unit and ER School proposed top ups rates 2021-22

PRU Profile	2021-22 £	2020-21 £
General rate	8,337.00	8,155.00
Alternative Provision	3,388.00	3,314.00
ER School Profile	£	£
A – Area ERS	6,949.67	6,849.26
B – Deaf/Hearing Impaired ERS	8,369.46	8,248.53
C – Autism ERS	9,704.29	9,564.08
D – Physical Impairment ERS	21,035.63	20,731.70

	<b>2021-22 Budget</b>
<b>Budget</b>	<b>£m</b>
Access and inclusion	0.634
Behaviour Support	1.557
Positive Play	0.205
Derbyshire Nurture	0.321
Integrated Pathways	4.039
Excluded Pupils claw-back	(0.436)
Hospital tuition	0.082
Virtual school	1.018
PRU transport (PRU to provision)	0.510
Contribution to education psychology service	0.400
Contribution to early years SEN service	0.624
Specialist SEN services	7.313
Vulnerable Children's Fund/SEN contingency	0.650
Other	0.339
Contribution to SEN transport	0.080
<b>Total</b>	<b>17.336</b>

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Author: Paula Williams (voip 32969)

Agenda Item No.6(w)

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Report of the Executive Director for Children's Services**

**SUFFICIENCY OF EDUCATIONAL PROVISION FOR CHILDREN WITH  
SPECIAL EDUCATIONAL NEEDS AND DISABILITY (SEND)**

**1 Purpose of Report**

The purpose of this report is to review the sufficiency of current and future requirements for educational provision for children and young people with special educational needs across Derbyshire.

In 2017, the Department for Education (DfE) allocated a £215m fund from 2018 – 2021 to support local authorities to invest in provision for children and young people with special educational needs and disabilities (SEND) between birth and 25 years, to improve the quality and range of provision available to families within the local authority. Further investments of £50m in May 2018 and £100m in December 2018 were made, totaling £365m.

Derbyshire's share of this is £2,177,809 and after the four small projects below there is a remaining balance of £2,134,531.

- Elmsleigh Infant School - £15,388 – for the creation of a purpose-built sensory room for 14 children in the Enhanced Resource Provision of the school, and for the wider use of other pupils in the school as deemed appropriate by teaching staff.

- Elmsleigh Infant School - £3,500 - for conversion of an old staff room area to create a 'quiet learning' room with individual working spaces for independent work for up to eight children at a time. The space is intended for pupils of all abilities but will specifically benefit those who will need access to opportunities for quiet individual work experiencing barriers to learning associated with sensory processing and attention issues within the classroom and wider school environment.
- Blackwell Primary School - £8,890 – for providing specific resources and equipment to create a sensory area, initially for two children presently in school, and for future pupils with complex sensory and social, emotional and mental health needs, in order to maximise their opportunity to be included in the school and to develop their ability to self-regulate in a safe space and therefore equip them in the long-term to manage the environmental demands of a mainstream school environment.
- Springfield Junior School - £15,500 (estimated figure) - for the creation of a purpose-built sensory room for 14 children in the Enhanced Resource Provision of the school, and for the wider use of other pupils in the school as deemed appropriate by teaching staff.

Derbyshire's longer term proposal for this grant is to fund developments prioritized as part of a county-wide strategic, evidence based study. The desktop sufficiency analysis was conducted between July and November 2020 before a call for views from a wide range of stakeholders.

This SEND sufficiency study is part of Theme 5 of the SEND Strategic Transformation Plan within Children's Services.

The purpose of this report is to present this county-wide study and the resulting findings of the Call for Views to Derbyshire Cabinet for comment and an agreement to proceed with formal consultation/direction of travel on the proposed plans to increase provision.

## **2 Information and Analysis**

The full SEND Sufficiency strategic study can be found in Appendix 1 and the questions from the Call for Views in Appendix 2.

The main findings can be summarized as:

- There are plans to build approximately 25,000 homes across Derbyshire in the next few years which suggests a rise of around 10,000 pupils of school age.
- The general school population is predicted to rise until academic year 2021/2022 but then begin to fall and show a steady decline until 2025.
- Numbers of children requiring support at School Support level are expected to rise due to this population growth.
- Derbyshire's proportion of education and health care plans (EHCPs) has remained relatively stable over the past four years, but the numbers of EHCPs continues to rise as the population grows.
- The innovative funding approach of Graduated Response to Individual Pupils (GRIP) has met children's needs early and resulted in a higher rate of School Support across Derbyshire.

The main recommendations can be summarized as:

1. Developing a county specialist provision plan. This should take account of the previous rise in places and predicted rising numbers over the next three years and include plans to increase provision across the county's special schools and enhanced resources in line with predicted numbers.
2. An investigation of provision for autism across the county and development of an autism strategy to address needs across the county.
3. Development of a strategy and collective responsibility model for those children and young people with Social, Emotional and Mental Health (SEMH) needs.
4. That the report is considered alongside current discussions taking place regarding alternative provision available to support children and young people across Derbyshire.
5. To complete the review the impact of Graduated Response to Individual Pupils (GRIP) funding on the ability of schools and settings to meet need early and maintain the stability of a lower rate of EHCPs.
6. A further investigation into the rising numbers of children in early years requiring an EHCP.

7. Making sure that the numbers of young people who are not accessing Education, Employment or Training (NEET) but continue to be supported by an EHCP have their situations reviewed as a matter of urgency.

It is proposed a county wide plan will be developed based on the following principles:

- Wherever possible, children with special educational needs and disability will be educated as close to home as possible to ensure their place in their local community, and to reduce daily or unnecessary travelling.
- The sufficiency plan will aim to increase the choice of provision available to families within their local area.
- Where possible and appropriate children will be educated within their local community mainstream provision, alongside their peers.

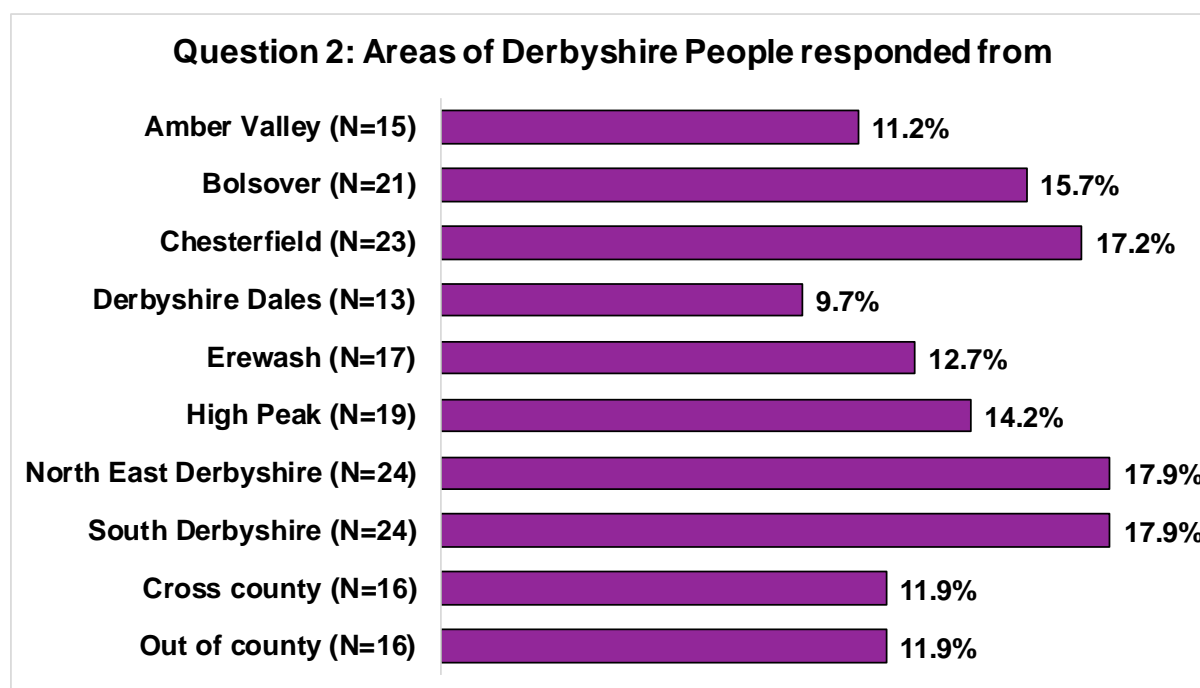
There were 134 respondents to the survey

#### Respondents

Type of respondent	No	%
Child/young person (please see separate survey)	0	0.0%
Parent/carer	55	41%
School in Derbyshire (maintained, academy or free school)	28	20.9%
School outside Derbyshire (maintained, academy or free school)	1	0.7%
Early years provider within Derbyshire	3	2.2%
Further education college within Derbyshire	6	4.5%
Further education college outside Derbyshire	1	0.7%
Independent non maintained special school within Derbyshire	2	1.5%
Independent non maintained special school outside Derbyshire	2	1.5%
Derbyshire County Council services	25	18.7%
Other local authorities	1	0.7%
Other	10	7.5%
<b>Totals</b>	<b>134</b>	<b>100.0%</b>



The highest proportion of respondents were from parents and carers followed by schools in Derbyshire, then Derbyshire Council services.



The highest proportion of respondents came from the North East Derbyshire and South Derbyshire locality area closely followed by Chesterfield and then Bolsover. 11.9% of respondents were from outside Derbyshire and 11.9% of respondents considered they were responding from the perspective of two localities, for example living in a different area to where their children attended school.

## 2a The Principles of Provision

Response to the Principles of the Sufficiency Report	Agreed	Disagreed	Did not know	No reply
Wherever possible, children with special educational needs and disability will be educated as close to home as possible to ensure their place in their local community, and to reduce daily or unnecessary travelling	91.0%	6.7%	2.2%	0
The sufficiency plan will aim to increase the choice of provision available to families within their local area	94.0%	0.7%	5.2%	0
Where possible and appropriate children will be educated within their	79.9%	11.2%	8.2%	0.7%

local community mainstream provision, alongside their peers

There was very high agreement with the principles of the sufficiency report with over 90% agreeing that children should be educated close to home where possible and that choice of provision should be increased. Just over ten percent of respondents disagreed with the principle that children should be educated alongside their mainstream peers where possible and appropriate and approximately four fifths, almost 80% were in agreement.

**2b A need for new strategies**

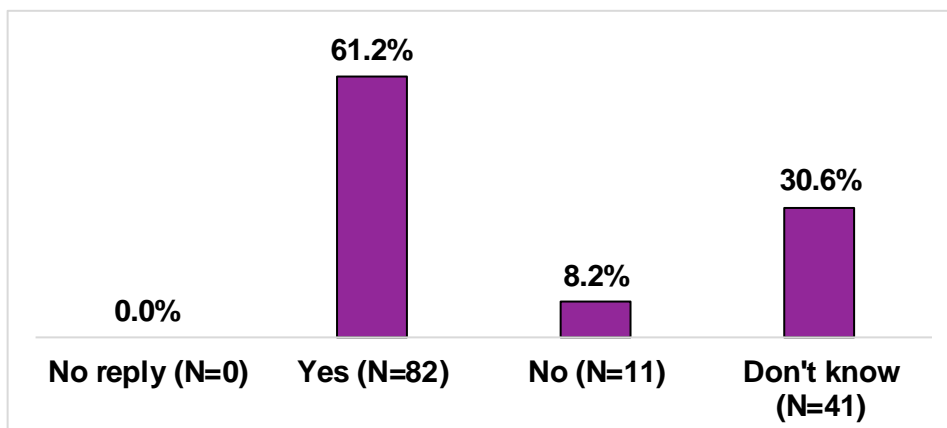
	Agreed	Disagreed	Did not know	No reply
Autism	93.3%	2.2%	4.5%	0
SEMH	96.3%	0	3.7%	0

There was a very high level of support for the identification of a need for a strategic response to the areas of Autism and Social, Emotional and Mental Health Needs.

**2c Graduated Response to Individual Provision (GRIP)**

Derbyshire has in place an innovative approach to funding schools and settings early in order that they are able to meet need sooner and without a statutory plan; this is known as Graduated Response to Individual Pupils (GRIP). This means more children are have needs met at SEN Support by GRIP without the need for an EHCP.

Views were gathered about whether GRIP funding impacts on the ability of schools to meet needs early. Not all respondents to the Call for Views will have experience of GRIP and expectedly 30.6% returned an answer of don't know.

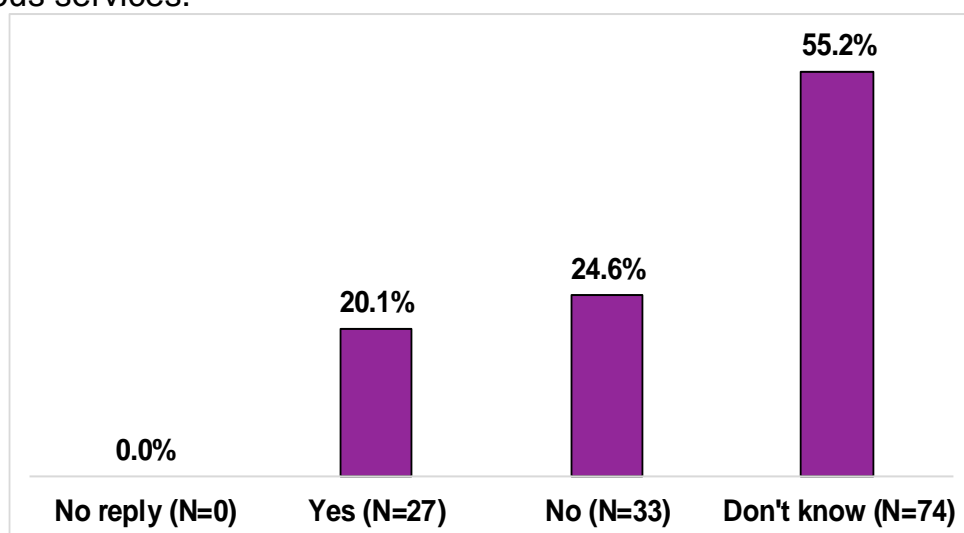


From those who did feel able to respond (61.2%), a range of comments and views were received with an even mix of positive and negative comments about the approach. Those who saw the approach positively commented that it has supported children earlier and allows schools flexibility in meeting need. Where comments were negative, most related to the process of application and allocation of GRIP funding, with a smaller number raising concern that it should not replace a necessary EHCP. There were a number of comments assigning value to the principle of meeting needs quickly through this approach but coupled with concerns about the administration of GRIP as rather unwieldy.

This range of comments supports the findings of the sufficiency report and the current work taking place across the SEND transformation place where a review of GRIP administration and effectiveness is taking place.

## 2d Early Years

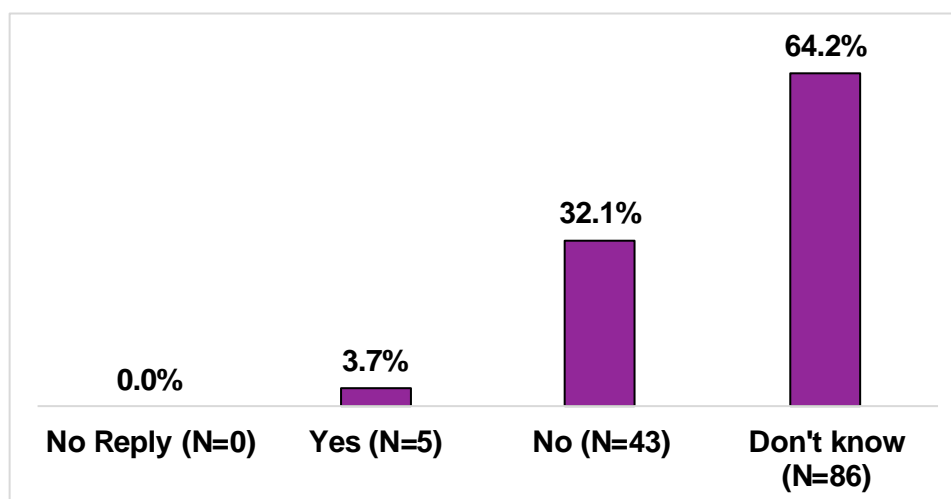
Not all respondents to the Call for Views will have experience of current Early Years provision and expectedly 55.2% returned an answer of don't know. Where participants did feel able to respond to this area of the survey, 20.1% feel the current provisions in place meet need and 24.6% do not. The comments made alongside these views cover a range of topics and include earlier support, matters of diagnosis, availability of service, misunderstanding of need, funding matters, locality specific matters and historical concerns about previous services.



The sufficiency report identified a rising trend in the numbers of requests for formal assessment of needs which has grown significantly in Early Years. A recommendation is that further work is needed in this area to identify reasons and actions. The findings of this survey support this view.

## 2e Provision for Young Adults 19-25

Not all respondents to the Call for Views will have experience of current post school age provision and expectedly 64.2% returned an answer of don't know. Where participants did feel able to respond to this area of the survey, only 3.7% feel the current provisions in place meet need and 32.1% do not.

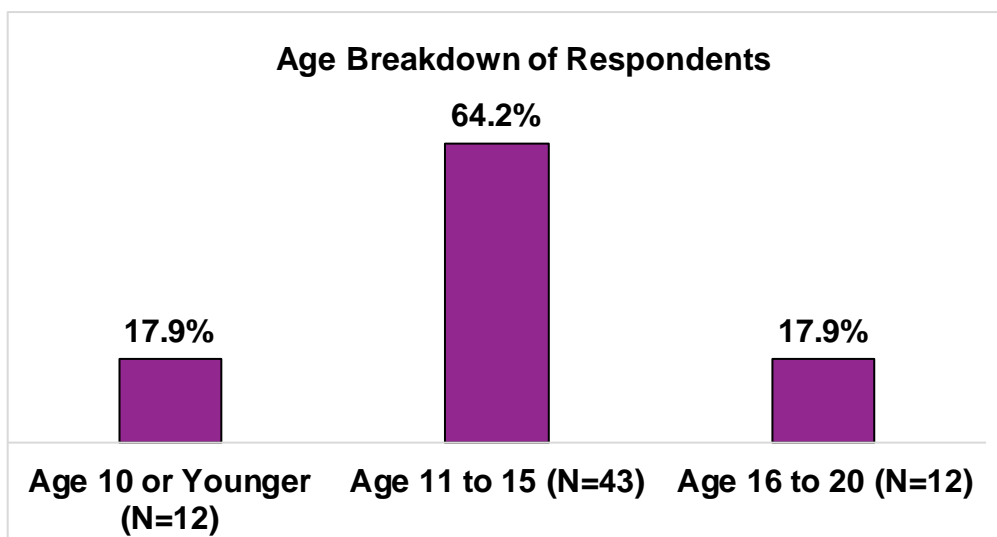


The sufficiency report identified that internal data suggests current provision from age 16 onwards is broadly meeting need but this view is brought into question by the survey, particularly for the group of young adults 19-25. As for Early Years the comments made alongside these views cover a range of topics and include calls for more preparation for adulthood, work on life skills, worries about those not in education, employment or training (NEET), navigation of the local offer, limited progression routes particularly within the county, limited provision and locality specific concerns.

It can be concluded that further work is needed on this area and outside of the sufficiency report work Preparation for Adulthood (PfA) is currently a themed area, targeted within the SEND transformation plan, aligned to the Achieving Great Futures work within Adult Services. Work on a new co-produced strategy has begun and support has been secured from the Department for Education to guide developments. The findings of this survey will be shared and contribute to this work.

## 2f The Views of Children and Young People

A version of the Call for Views questions was adapted and sent on to young people's groups and responses for 67 young people was collated, the majority of which (64.2%) were of secondary school age.



Responses came from attendees at educational provisions, five of which were special schools, one college and two mainstream schools. 56.7% of views came from one special provision. Although this limits the range of responses it does give insight into views which can be further investigated through more formal and differing methods of consultation with children and young people at a later stage.

A summary of the views of this sample of children and young people can be seen below. Although the majority of respondents who replied were attending specialist provision, there was support for more choice nearer home and over a third responded positively to the idea of attending their local school with local children. There were also some noticeable correlations regarding the opinion of provision for Early Years and Post 19.

### The Views of Children and Young People

Response of children and young people	Yes	No	Did not know	No reply
Would you like to go to a school near where you live so that you don't have to travel too far	46.3%	22.4%	13.4%	17.9%
Do you think you should have more choice about where you go to school, near where you live?	49.3%	25.4%	4.5%	20.9%
Would you like the chance to go to your local school, near where you live, with other local children?	37.3%	37.3%	7.5%	17.9%
Should there be a new plan to help children with autism or	64.2%	16.4%	7.5%	11.9%

children who need extra help with their social emotional and mental health

Does it matter how soon/quickly you get help at school or college?	62.7%	28.4%	3.0%	6.0%
Do you think children with SEND under 5 have enough help?	23.9%	32.8%	16.4%	26.9%
Do you think young people with SEND age 19-25 have enough help?	26.9%	40.3%	13.4%	19.4%

Children and young people were asked if they had any ideas to make school better and their comments were grouped to those below and above age 11. For those below 11 the most popular answers were related to having more computers in school. For the older students of those that answered this question 34% said no, indicating a level of satisfaction with their current provision. There were a number of other responses that related to food and playground equipment and one comment relating to autism recorded below;

*'Provide an autism resource center in every school, which is specifically for SEND children where they have a safe, quiet place to work.'*

## **2g General Comments**

General Comments on SEND provision that were outside of matters already raised were also recorded within the survey. Where these responses do not relate to the themes already discussed they can be grouped into themes as below and will be used to contribute to both plans for specialist provision and the further developments taking place within the SEND transformation plan.

- A need for more specialist placements to meet a wider variety of needs
- Ensuring provision does not mean long journeys
- An increase in alternative provision
- Investment in enhanced resources
- A reduction in the number of placements outside the county
- Creating confident inclusivity and flexibility within mainstream provision, including training needs and whole school approaches
- Better and wider understanding and acceptance of a variety of different needs
- Extended hours provision
- A need for supported internships and further work with employers

## **2h Next Steps**

With Cabinet agreement, a further formal consultation with all stakeholders will take place on the plan resulting from this study.

Although a version of the Call for Views questions was adapted and sent on to young people's groups, the response to this was limited in the time available and if a formal consultation is agreed, a version will be coproduced with young people to ensure views can be gathered and recorded.

## **3 Financial Considerations**

Derbyshire has completed four small projects with funding to date and spent amounts to a total of £43,278, leaving a remaining balance of £2,134,531.

It is anticipated that the sufficiency study and resulting provision will complete spend of this allocated funding and in so doing will allow greater local choice for families.

Any increase in provision will also include ongoing place planning funding which will come from the High Needs Budget within the Dedicated Schools Grant. Over time it is hoped this will reduce requests for high cost provision within independent settings both within and outside Derbyshire's county borders and so reduce pressures on the High Needs Budget and associated transport costs.

## **4 Legal, Human Rights and Equality of Opportunity Considerations**

Consideration should be given at all stages of the decision making process to the Public sector equality duty. In accordance with this, a full equalities impact report will be completed as part of the formal consultation.

An increase in the choice of placement would help ensure equality of opportunity to access education across the county.

## **5 Human Resources Considerations**

An increase in specialist provision will have potential implications for academy chief executive officers, headteachers and governors dependent upon where provision is placed.

## **6 Health Considerations**

An increase in the choice of placement and any growth of places will need to be included within the SEND joint commissioning strategy currently under development and form part of the discussions at the

SEND Joint Commissioning hub to aid planning by health commissioners to meet the health needs of the SEND population.

## **7 Transport Considerations**

When children with needs travel over distance to access suitable education, there are associated transport costs. By creating more choice of local provision it is envisaged that transport costs will be reduced as children travel over shorter distances to access suitable education.

## **8 Social Value Considerations**

The opportunity for children to gain cultural capital, inspected under the Ofsted Inspection Framework introduced in September 2019, is provided when children are in school. The aim of increasing provision is to ensure that all children, especially the most vulnerable are offered a school in their locality quickly, is consistent with the inspection of schools' curriculum intent in the Ofsted framework, to:

'...give all learners, particularly the most disadvantaged and those with special educational needs and/or disabilities (SEND) or high needs, the knowledge and cultural capital they need to succeed in life.'

## **9 Background Papers**

SEND Code of Practice  
SEND Capital Grant – DfE guidance.

**10 Key Decision?** Yes

**11 Is it necessary to waive the call-in period?** No

## **12 Executive Director's Recommendation**

- That cabinet note this county-wide study and the resulting findings of the Call for Views
- That cabinet agree to proceed with formal consultation/direction of travel on the proposed plans to increase provision.

**Jane Parfremment**  
**Executive Director for Children's Services**



Sufficiency assessment

# **Specialist Provision in Derbyshire to 2023**

A projection of future requirements for Derbyshire Council  
November 2020

# Specialist Provision to 2023

A projection of future requirements for  
Derbyshire County Council

## 1. Introduction

In the autumn of 2018, ISOS Partnership was commissioned by Derbyshire County Council, working with partners and members of the county's Special Educational Needs and Disability (SEND) Strategic Board, to undertake a strategic review of the support, services and provision for children and young people with high needs in Derbyshire. The scope of this work, and the definition of "high needs", included children and young people aged from birth to 25 with SEND, both with statutory education, health and care plans (EHCP) and non-statutory SEN support, and those requiring additional inclusion support or alternative provision (AP).

The review sought to:

- a. **gather evidence** and views on the current needs, trends and likely future demand for support, services and provision for children and young people with SEND;
- b. **shape options and recommendations** for meeting the needs of children, young people and families in Derbyshire in the future; and
- c. **work collaboratively, iteratively and in a spirit of co-production** with partners and stakeholders to build consensus and agree solutions to meet current and future needs and achieve good outcomes for children and young people in Derbyshire.

In June 2019 the report was published with the following findings:

- A need and opportunity to revisit and redefine the role of the enhanced resources provision (ERSs).
- The offer of special schools has developed in response to different priorities
- Pupils with certain types of needs are having to be educated outside the local area, particularly older pupils with complex combinations of social, emotional or mental health need (SEMH) and communication or interaction needs.
- There would be value in revisiting what the special school offer in Derbyshire should be in light of current and future needs

Amongst the resulting actions the following were identified to address these findings:

- Theme 5 of the SEND Strategic Transformation Plan addresses the strategic planning of specialist provision across Derbyshire.

- A sufficiency exercise concerned with specialist provision for pupils with special educational needs and/or disability (SEND).

This sufficiency exercise sits within the context of the overarching Strategy for Special Educational Needs and Disabilities in Derbyshire 2020-2023, to develop provision for all children and young people in Derbyshire who require care and support which was approved by the SEND Strategic Board in January 2020.

## 2. Context

### National headline facts and figures from the 2020 national pupil projections

- The **nursery and primary school** population has been rising since 2009 but has now plateaued, a drop in births in 2013 feeds into the main school population, and is projected to drop for the whole projection period to 2030.
- The **secondary school** population began rising in 2016 and is projected to continue increasing until 2024 before gradually dropping until the end of the projection period.
- The population in **special schools** has been increasing for a number of years, at least partly driven by the increase in the overall population, and this is projected to continue until 2024, before also very gradually dropping.

This report will enable the Local Authority to plan and ensure the sufficiency of specialist school placements.

Currently, specialist placements in Derbyshire consist of four main types of provision:

1. Enhanced resource provision located on mainstream school sites
2. Academies and maintained special school provision located in Derbyshire.
3. Academies and maintained special school provision located in other local authorities.
4. Special schools in the independent non-maintained sector (inside and outside of the county).

This reports forecasts what provision is required to meet demand. It considers the number and type of setting required if the current ratio between enhanced, local special school and independent non-maintained provision were projected into the future in line with the anticipated projections for placements.

## 3. The sufficiency process

A SEND sufficiency exercise was conducted between July and October 2020. This has involved an examination of:

- current strategies, policies and plans that may impact on demand.
- Demographics, which help to predict changes and trends in population growth.
- The categories and size of SEND population.
- The current numbers of specialist placements and the designation of provision.
- The current cost of specialist provision.
- The potential gap in future provision in terms of numbers, type of need and the revenue cost of growth in provision.

#### 4. Current strategies and policies

There are a number of key strategies, plans and approaches in Derbyshire which interface with the impact and outcome of this sufficiency assessment. These strategies include:

- The housing strategy contained within the eight local plans of the Derbyshire borough and district councils.
- Derbyshire County Council school place planning approach.
- The SEND Strategy 2020-2023.
- The SEND Strategic Transformation Plan.
- The Derbyshire County Council Children's Services Plan.
- The Joint Strategic Needs Assessment.

The following is a summary of the headlines from relevant sections of these strategic documents and is representative of the position at a particular point in time.

##### a) Housing

Housing strategy is the responsibility of the borough and district councils and each of the eight planning authorities within Derbyshire has a published plan laying out its housing strategy for the next five to ten years.

The government has set ambitious housing targets for local planning authorities and Derbyshire is no exception. The table below represents the five year housing targets for the planning authorities in the county amounting to 25,006 dwellings.

- |                                     |      |
|-------------------------------------|------|
| • Amber Valley District Council     | 4193 |
| • Bolsover District Council         | 2418 |
| • Chesterfield Borough Council      | 1360 |
| • Derbyshire Dales District Council | 2681 |
| • Erewash Borough Council           | 2326 |

• High Peak Borough Council	1750
• North East Derbyshire District Council	3151
• South Derbyshire District Council	7127
<b>Total</b>	<b>25,006</b>

Under the Derbyshire County Council developer protocol, the number of pupils generated by housing per 100 dwellings is estimated below;

	No of pupils generated per 100 dwellings
Primary age pupils	20
Secondary age pupils	15
Post 16 age pupils	6
<b>Total</b>	<b>41</b>
	No of pupils if housing targets met
Primary age pupils	5001
Secondary age pupils	3750
Post 16 age pupils	1500
<b>Total</b>	<b>10,251</b>

NB Not all the pupils will be new to the County but South Derbyshire in particular is classed as a high growth area in the UK.

## **b) School place planning**

Derbyshire County Council produces school projections on an annual basis from a combination of NHS birth data, national statistics, school census data and knowledge of the localised trends at particular schools including the impact of parental preference. The projections together with the knowledge of housing growth inform the approach to pupil place planning in individual schools in the county.

## **c) SEND strategy and transformation plan**

The Joint Area SEND Strategy 2020-2023 and transformation plan are underpinned by a collective vision for children and young people with additional needs;

*‘Our vision is that all children and young people with SEND lead fulfilled lives; where they achieve well, are included in their local community socially, access meaningful employment and lead as independent, physically and emotionally healthy lives as possible.’*

This strategy and plan are closely aligned to other development work across Children’s Services designed to enhance closer collective local area partnerships including Early Help, Joined Up Care Derbyshire, Achieving Great Futures and Future in Mind.

## **d) Derbyshire County Council Children’s Services Plan**

SEND is a priority of Derbyshire County Council and included within its Children's Services Plan'.

### **e) SEND Joint Commissioning Strategy**

Derbyshire County Council and Derby and Derbyshire Clinical Commissioning Group (CCG) are currently developing a new SEND Joint Commissioning Strategy. This sufficiency report will inform the commissioning strategy.

### **f) Joint Strategic Needs Analysis (JSNA)**

The Joint Strategic Needs Assessment (JSNA) considers the current and future health & social care needs of the Derbyshire community. This is currently under review.

#### ***Implications for Derbyshire of current strategic findings***

- 1. It is expected that up to approximately 25,000 new homes will be built in Derbyshire over the next 5-10 years.*
- 2. Derbyshire has a graduated range of provision for pupils with SEND, from mainstream, enhanced resources to special school provision. Future provision needs to be in line with the County's strategic approach.*
- 3. Derbyshire's inclusion/SEND strategy, is based on the notion of improving the offer for children and young people including the use of specialist provision and continually improving the graduated response made by schools, council services, partners and specialist providers.*

## **5. Demographics**

In order to predict future school place requirements, an analysis of population growth has been carried out which considers the:

- overall population and the trend in the birth rate.
- School age population and predicted growth.
- Growth in numbers of pupils with SEND.
- trends to predict future growth requirements.

## 6. About Derbyshire

0-19 population of approximately **170,000** (22% of the population)

**Large diverse county** which encircles the unitary authority of Derby City

**Two tier** authority comprising **8** borough councils

Derbyshire is a **largely rural** county with many sparsely populated areas alongside larger **built-up urban conurbations**

Children's services operates across **6 geographical localities** - largely coterminous with the borough council boundaries, with the exception of the Derbyshire Dales

**One Sustainability and Transformation Partnership (STP)** board covers both Derbyshire County

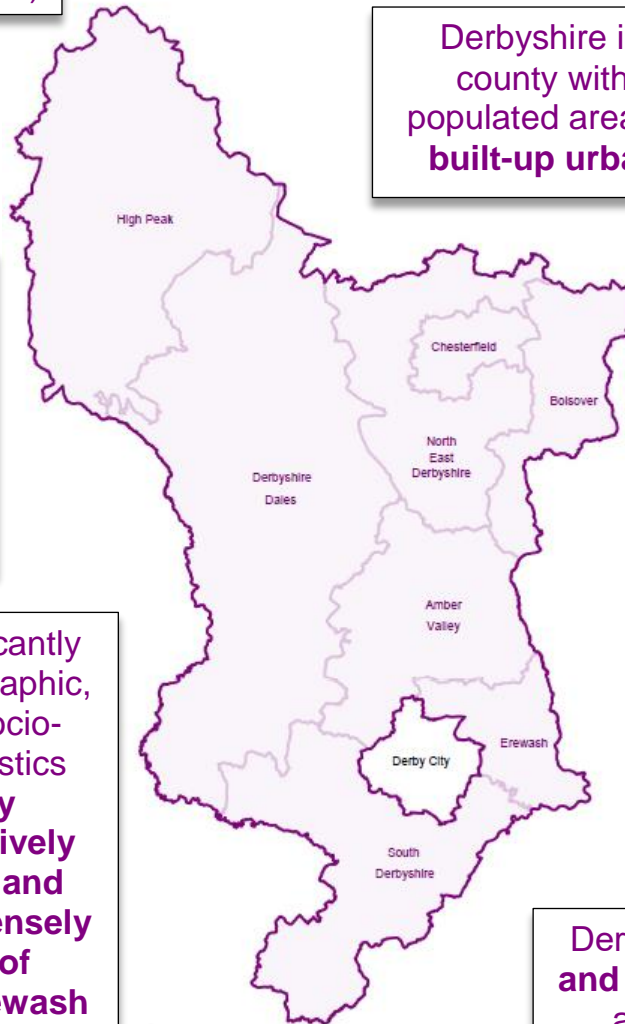
There are **two clinical commissioning groups (CCGs)** in the local authority footprint (not coterminous)

Localities vary significantly in terms of their geographic, demographic and socio-economic characteristics from the **sparsely populated and relatively affluent High Peak and North Dales** to the **densely populated areas of Chesterfield and Erewash** which include some of the

Derbyshire's **BAME and EAL** populations are lower than

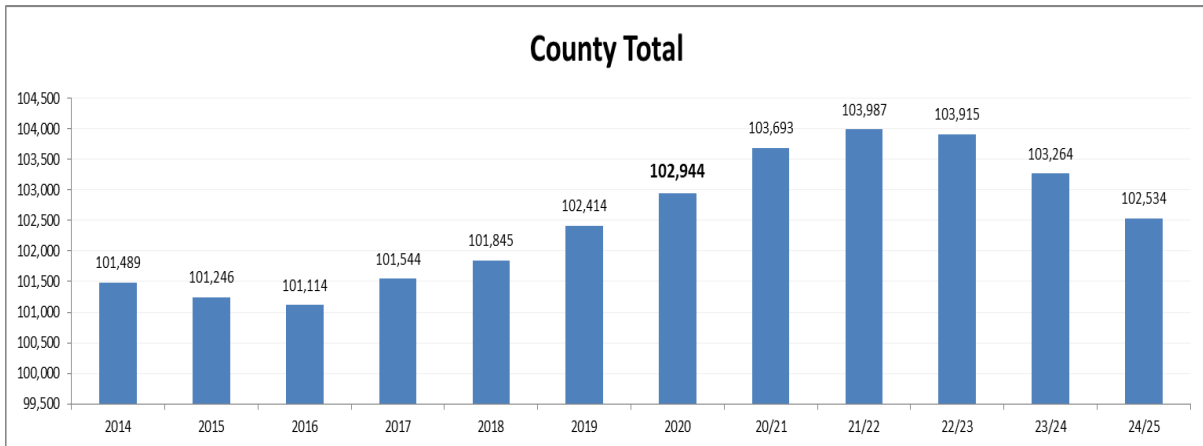
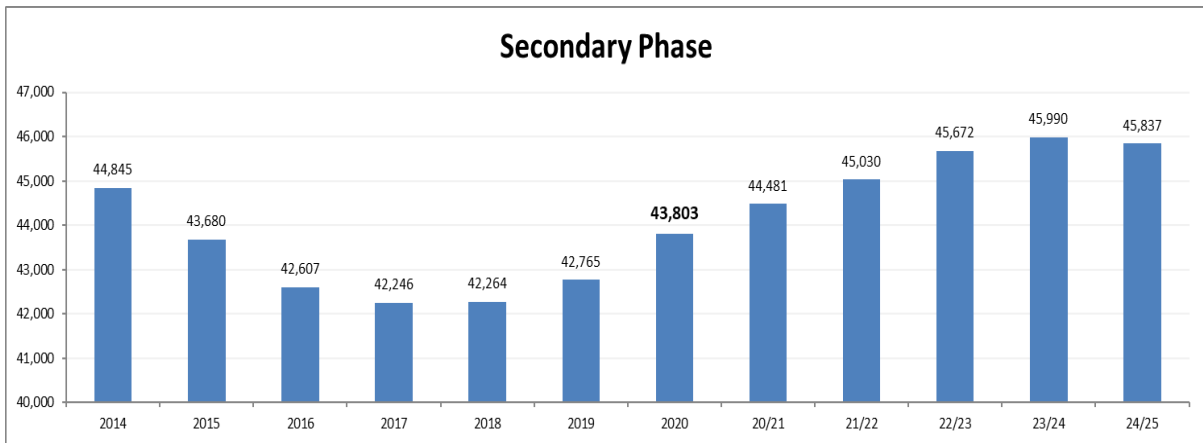
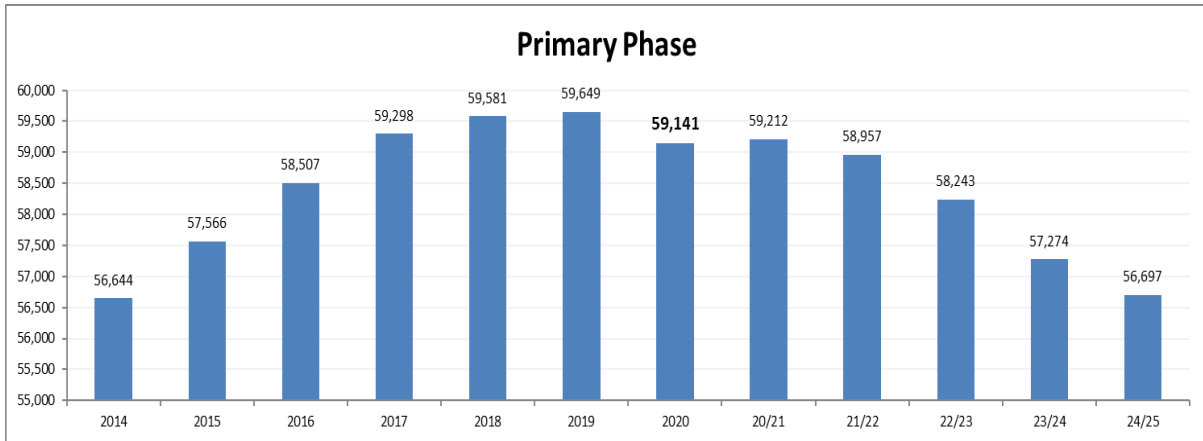
The **English Indices of Multiple Deprivation (IMD)** ranks all Lower Super Output Areas (LSOAs) in England from the most deprived to the least deprived. Of the 151 Upper Tier Local Authorities in England, Derbyshire ranks **103** (1 being the most deprived)

Four of the six localities experience low rates of social mobility, being identified in the 2017 social mobility rankings as "**cold-spots**"



## 7. The School Population

The following are the graphs on school projections for the next 5 years across primary and secondary mainstream settings.



The total school population up to the age of 19 in Derbyshire is projected to rise by 1.2% by 2023. However, this projection only covers the children of school age from age 3 to 19. The SEND Code of Practice and EHCP assessment process covers children and young people from 0 to 25. In order to more accurately predict provision needs we must also consider Early Years and Post 19 EHCP numbers.

School census information which guides our analysis of reported need only covers children with EHCPs in school settings and not those children and young people placed outside the county.

The annual data collection that occurs by central government and used to benchmark national numbers of EHCPs is collected in February and cannot



be used here to indicate numbers during July at the time when other data has been collated.

In order to more accurately predict the numbers of children who may require specialist provision over the next three years we must consolidate this information into a workable rationale.

On July 31 2020 Derbyshire were maintaining 3,872 EHCPs, of which 116 were to support young people aged 19-25 who do not require a place within schools. Post school provision is explored further later in this report. 3,756 children between the ages of 0 and 19 were supported by an EHCP on July 31 2020, and we can assume that those of pre-school age will require provision within our school system over the next 3 years. For this reason the figure of 3,756 has been used to more accurately predict the number of places and so level of provision Derbyshire will need in the future.

If current trends continue, this will mean a rise in numbers of approximately 286 children and young people with SEND needs in schools. Those with EHCPs will rise by approximately 42 more children. Derbyshire has a firm foundation of provision for children with EHCPs in mainstream school but it is likely that a proportion of these will require more specialist provision.

Table 1 below shows population projections in Derbyshire based on data provided by the Office for National Statistics as at 2020.

<b>Table 1 Population predictions</b>	<b>2019/20</b>	<b>2023</b>	<b>Growth</b>
Overall population of Derbyshire	802,253	820,749	14,496 1.8%
Total school aged pupils (aged 3 to 19)	107,277	108, 523	1,246
Pupils as a % of overall population	13.3%	13.2%	1.2%
Total schools aged pupils with SEN in Derbyshire settings (SEN Support and EHCP)	16,513	16,713	200
% of pupils with SEN	15.4%	15.4%	1.2%
Total school aged pupils with SEN Support in Derbyshire settings	13,813	13,999	186
% of pupils with SEN Support	12.9%	12.9%	1.3%
Total school aged pupils with an EHCP maintained by Derbyshire County Council	3,756	3798	42
% of pupils with an EHCP	3.5%	3.5%	1.1%
<p><i>Source:</i></p> <ol style="list-style-type: none"> <li><i>Office for National Statistics</i></li> <li><i>Pupil data for 2020 is taken from the January School Census provided by DCC.</i></li> </ol> <p><b>Note:</b> Pupil population projection for 2023 should be based on a methodology that is consistent with that used by DCC's Development Team (School Planning, Admissions and Appeals).</p>			

### ***The implications of demographic predictions in Derbyshire***

- 1. Evidence suggests that the overall population of Derbyshire will rise by 1.8% over the next three years.*
- 2. The number of school-aged children is predicted to rise by approximately 1,259; 1.2%*
- 3. It is predicted that the number of pupils with SEND will rise by 186 during this time. This represents the total increase in SEND and includes approximately 42 pupils with EHC plans as well as those pupils receiving SEN Support in mainstream schools.*

In order to plan for the rising number of children and young people that will need support for SEND in Derbyshire by 2023, current need, provision and cost must be reviewed.

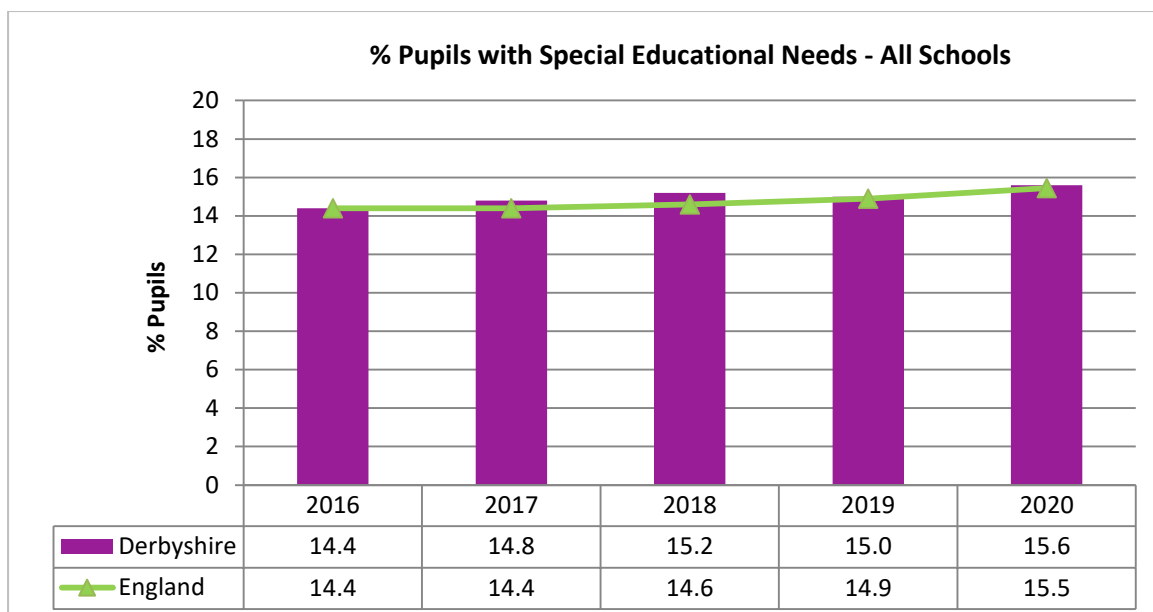
## **8. Needs analysis**

This report has considered a range of pupil led SEND information including:

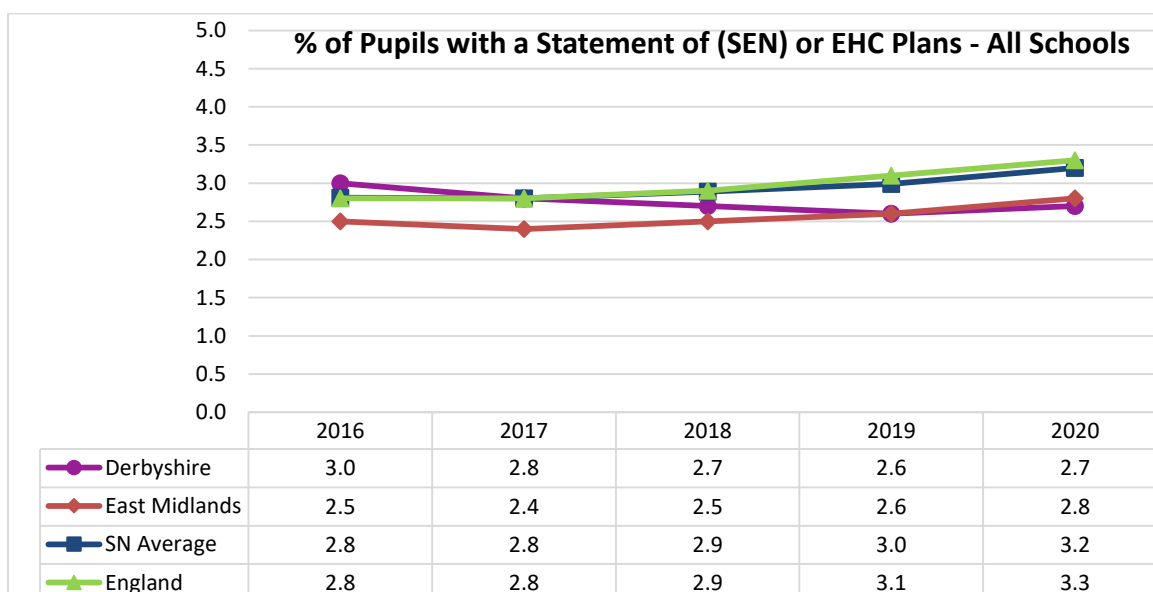
- Pupils accessing SEND support in mainstream settings.
- The number of pupils with an Education Health and Care Plan.
- An analysis of the needs of SEND pupils accessing mainstream and specialist provision

### **a) Numbers of children and young people identified with needs**

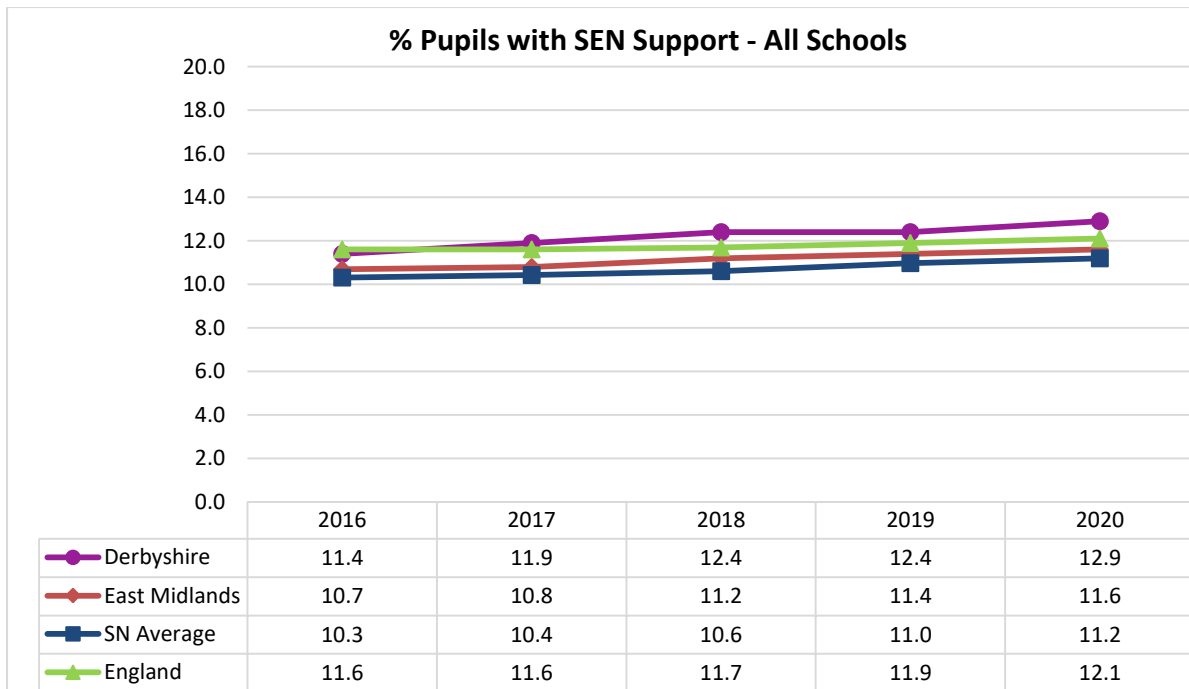
In 2020, 15.6% of pupils in Derbyshire have a plan of SEND provision; having either an Education Health & Care Plan or receiving SEN support. This compares to an average of 15.5% nationally. Since 2016, both Derbyshire and England have seen a gradual increase in the overall SEN rate.



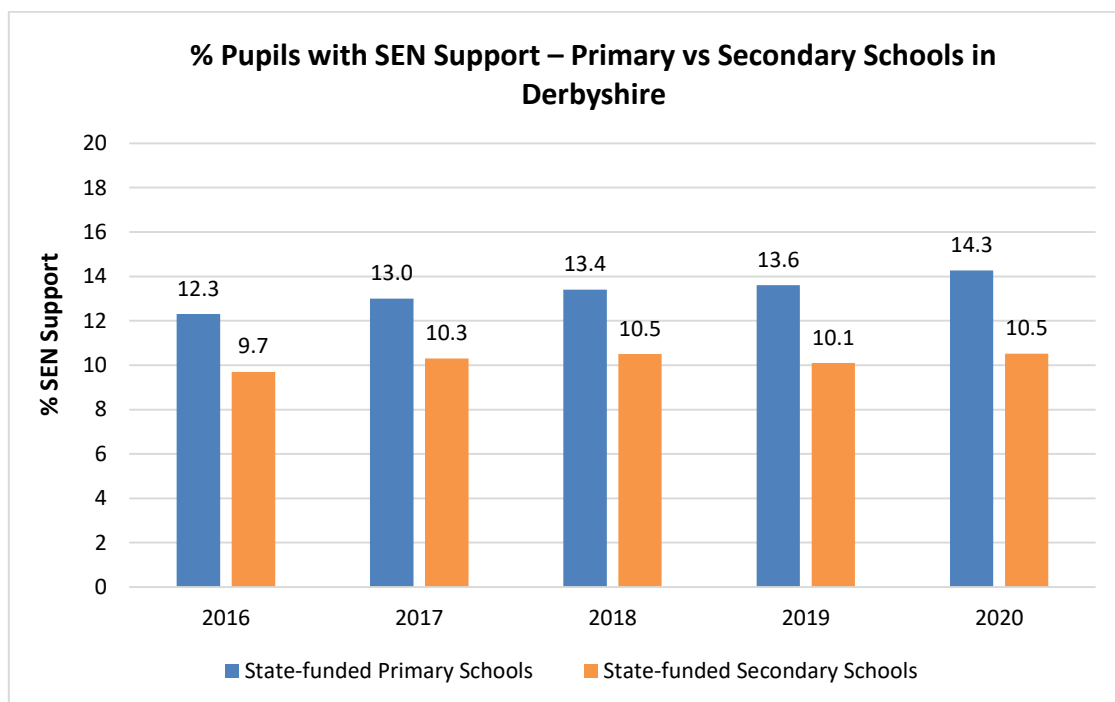
The proportion of pupils with Education, Health and Care Plans (EHCP) in Derbyshire is currently 2.7%, similar to the East Midlands regional average but significantly lower than the England (3.3%) and Statistical Neighbour (3.2%) averages. Since 2016, the rate in Derbyshire has decreased by 0.3 percentage points. In the same period, all other benchmark groups have however, seen an increase in the rate.



In contrast, the proportion of pupils receiving SEN Support in Derbyshire continues to grow, increasing by 1.5 percentage points between 2016 (11.4%) and 2020 (12.9%). Nationally, the rate has increased by 0.5 percentage points from 11.6% to 12.1%; 0.8 percentage points lower than the rate in Derbyshire.



In Derbyshire, the increase in the proportion of pupils with SEN Support is higher in primary phase schools than it is in secondary schools. Between 2016 and 2020 the proportion of pupils receiving SEN support in state-funded Primary Schools increased by 2.0 percentage points from 12.3% to 14.3%. In Secondary Schools the rate increased by 0.8 percentage points from 9.7% to 10.5%.



Derbyshire has in place an innovative approach to funding schools and settings early in order that they are able to meet need sooner and without a statutory plan; this is known as Graduated Response to Individual Pupils

(GRIP). This means more children are having needs met at SEN Support by GRIP without the need for an EHCP.

### Placements of children and young people with an EHCP

Placement	Mainstream	Special school	Educated elsewhere	Total school age out of mainstream
Derbyshire	48%	32%	8%	40%
England	39%	37%	3%	40%

Currently Derbyshire's EHCP population is mainly placed within mainstream provision with almost half (48%) accessing their local mainstream school. This is significantly higher than the national average of 39% and indicates parental preference carries a level of confidence in the providers to meet need. Less children than the national average access special school provision but a higher percentage are accessing their education elsewhere through more bespoke programmes. Those of school age with EHCPs receiving an education outside of mainstream is in line with the national average. Where special provision is full, more bespoke programmes are in use to meet need.

Currently Derbyshire's 2020 data shows that:

- the number of pupils in Derbyshire with a Statement/EHC plan (2.7%) is below the national average (3.3%) and regional average (2.8).
- This has fallen slightly since 2016 (from 3.0% to 2.7%).
- The number of pupils at SEN Support; 12.9% is higher than the national average of 12.1% and the regional average of 11.6%.

#### **b) Analysis of identified presenting need**

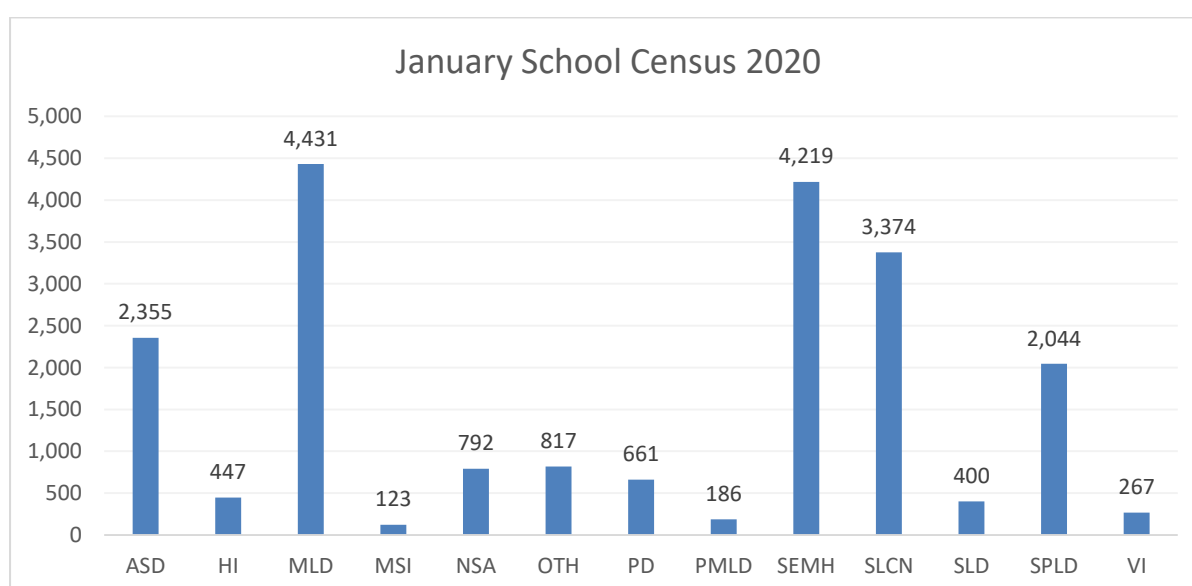
In January 2020 the highest presenting need identified by schools was moderate learning difficulties (MLD) followed closely by social, emotional or mental health needs (SEMH)

#### **c) Number and percentage of pupils by primary need**

The table below shows that moderate learning difficulties remain the most common type of need for pupils in both primary and secondary schools in Derbyshire. The number and proportion of pupils with this type of need has been steadily decreasing however, with needs such as social, emotional & mental health and autism showing increased prevalence.

## Number and Percentage of all Pupils by Primary Need

PRIMARY NEED	Total No. Pupils Jan 19	All Pupils Jan 19	Total No. Pupils Jan 20	All Pupils Jan 20	Direction
Social Emotional and Mental Health Needs (SEMH)	2831	18.2%	3096	19.1%	↑
Autism (ASC/ASD)	1876	12.1%	2115	13.0%	↑
Severe Learning Difficulty (SLD)	293	1.9%	301	1.9%	↑/↔
Moderate Learning Difficulty (MLD)	3977	25.6%	3767	23.2%	↓
Physical Disability (PD)	539	3.5%	514	3.2%	↓
Speech Language or Communication Difficulty (SLCN)	2402	15.4%	2531	15.6%	↑
Hearing Impairment (HI)	373	2.4%	375	2.3%	↓
Muti Sensory Impairment (MSI)	56	0.4%	75	0.5%	↑
Specific Learning Difficulty (SpLD)	1612	10.4%	1799	11.1%	↑
Visual Impairment (VI)	184	1.2%	206	1.3%	↑
Profound & Multiple Learning Difficulties (PMLD)	181	1.2%	162	1.0%	↓
Other Disability (OTH)	550	3.5%	610	3.8%	↑
No Specialist Assessment of Need (NSA)	678	4.4%	682	4.2%	↓
<b>TOTAL</b>	<b>15,552</b>	<b>100%</b>	<b>16,233</b>	<b>100%</b>	



Looking at differences in prevalence between primary and secondary schools in Derbyshire (see table below), speech, language and communication needs

(SLCN) shows the greatest disparity in need; 22.0% of primary pupils have SLCN as their primary need compared to 5.7% of secondary pupils (Jan 2020). This is likely to be due to a significant number of speech and language needs which manifest in younger children, receiving a more precise definition or diagnosis as the children get older. As a consequence, some needs are more prevalent in older children (eg Autism, SEMH). A considerably larger proportion of secondary school pupils (19.7%) have a specific learning difficulty compared to primary school pupils (7.1%) due to the identification of this need taking time to become evident as severe and persistent, then to become more formally diagnosed.

### Primary need for all pupils with SEND – national and regional figures

Primary Need	2019			2020		
	Derbyshire	England	East Midlands	Derbyshire	England	East Midlands
Autistic Spectrum Condition	12.1	11.0	11.5	13.0	11.8	12.5
Hearing Impairment	2.4	1.8	1.8	2.3	1.8	1.8
Moderate Learning Difficulty	25.6	20.4	25.2	23.2	19.2	23.5
Multi Sensory Impairment	0.4	0.3	0.3	0.5	0.3	0.3
No Specialist Assessment of Need	4.4	3.3	3.0	4.2	3.2	2.9
Other Difficulty/ Disability	3.5	4.4	4.5	3.8	4.2	4.4
Physical Disability	3.5	2.9	3.2	3.2	2.9	3.1
Profound and Multiple Learning Difficulty	1.2	0.9	1.0	1.0	0.8	0.9
Social, Emotional and Mental Health	18.2	17.1	17.3	19.1	17.8	18.2
Speech, Language & Communication Needs	15.4	21.7	16.5	15.6	21.9	16.8
Severe Learning Difficulties	1.9	2.7	2.7	1.9	2.7	2.6
Specific Learning Difficulty	10.4	12.5	11.8	11.1	12.4	11.8
Visual Impairment	1.2	1.1	1.3	1.3	1.0	1.2

For the past two years the pattern on identification in Derbyshire schools has maintained a similar trend. In comparison with national figures the difference is most noticeable for children with physical disabilities, moderate learning difficulties, SEMH needs and autism. Derbyshire identifies lower numbers of children with the primary need of speech, language and communication, specific learning difficulties or severe learning difficulties.

There are fewer children with needs categorised as other, but more awaiting specialist assessment of need.

## Number and percentage of primary and secondary aged pupils by primary need

PRIMARY NEED	Primary	Primary	Primary	Primary	Secondary	Secondary	Secondary	Secondary
	Jan 19	Jan 19	Jan 20	Jan 20	Jan 19	Jan 19	Jan 20	Jan 20
Social Emotional and Mental Health Needs (SEMH)	1670	17.9%	1795	18.5%	1071	20.2%	1206	21.7%
Autism (ASC/ASD)	854	9.1%	965	10.0%	665	12.5%	757	13.6%
Severe Learning Difficulty (SLD)	81	0.9%	69	0.7%	46	0.9%	35	0.6%
Moderate Learning Difficulty (MLD)	2532	27.1%	2457	25.3%	1403	26.5%	1279	23.0%
Physical Disability (PD)	339	3.6%	314	3.2%	165	3.1%	174	3.1%
Speech Language or Communication Difficulty (SLCN)	2020	21.6%	2133	22.0%	318	6.0%	318	5.7%
Hearing Impairment (HI)	225	2.4%	220	2.3%	145	2.7%	151	2.7%
Muti Sensory Impairment (MSI)	30	0.3%	34	0.4%	22	0.4%	35	0.6%
Specific Learning Difficulty (SpLD)	594	6.4%	686	7.1%	995	18.8%	1097	19.7%
Visual Impairment (VI)	110	1.2%	124	1.3%	69	1.3%	77	1.4%
Profound & Multiple Learning Difficulties (PMLD)	54	0.6%	55	0.6%	5	0.1%	3	0.1%
Other Disability (OTH)	320	3.4%	344	3.5%	222	4.2%	250	4.5%
No Specialist Assessment of Need (NSA)	505	5.4%	498	5.1%	173	3.3%	184	3.3%
<b>TOTAL</b>	<b>9,334</b>	<b>100%</b>	<b>9,694</b>	<b>100%</b>	<b>5,299</b>	<b>100%</b>	<b>5,566</b>	<b>100%</b>

For pupils in special schools, the most common type of need is autistic spectrum condition. Data for Derbyshire shows that the number of pupils with such needs attending special schools has increased significantly since 2010 from 126 pupils (17.9% of the special school population) to 393 pupils in 2020 (40.4% of the special school population).

## Number and percentage of pupils in special schools by primary need

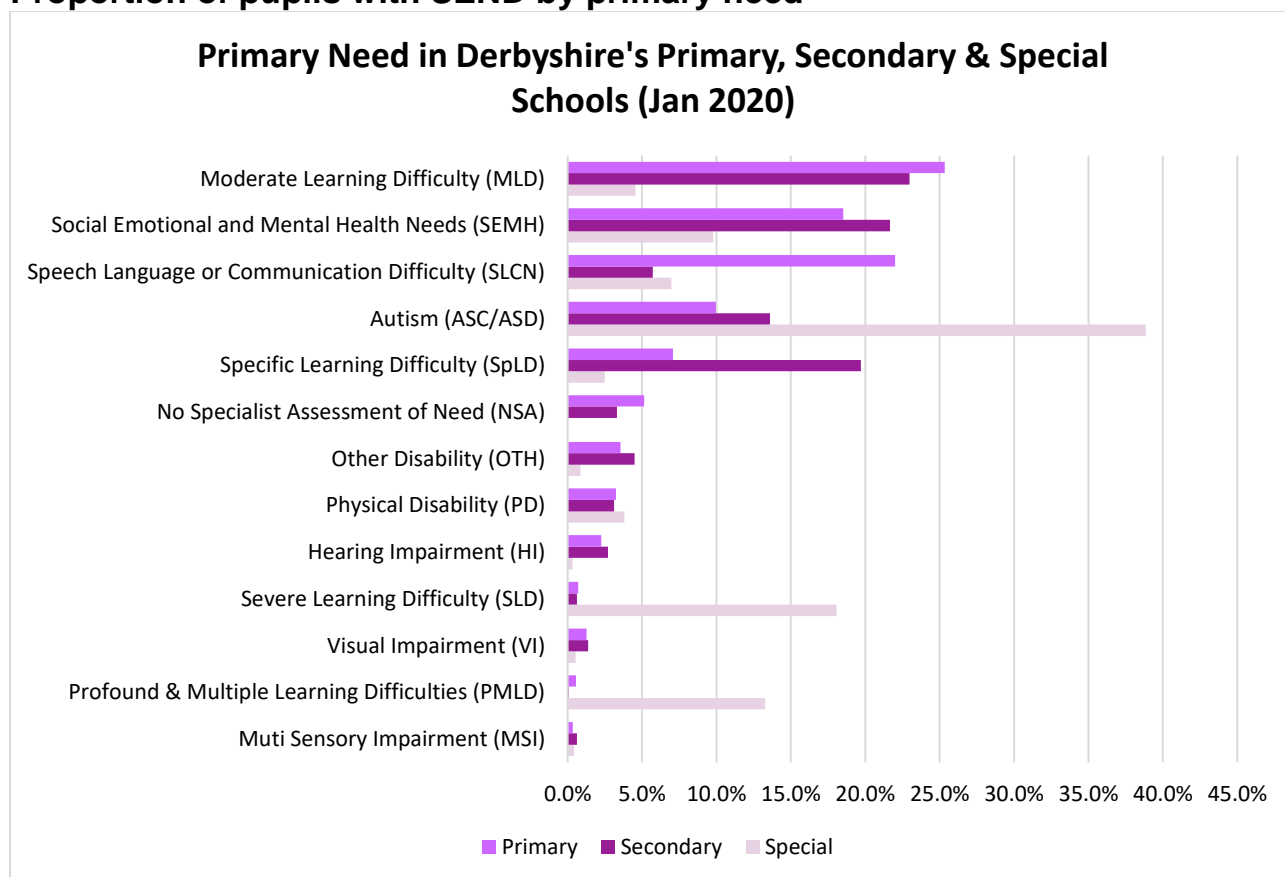
PRIMARY NEED	Special Jan 19	Special Jan 19	Special Jan 20	Special Jan 20	Direction
Social Emotional and Mental Health Needs (SEMH)	90	9.8%	95	9.8%	↑
Autism (ASC/ASD)	357	38.8%	393	40.4%	↑



Severe Learning Difficulty (SLD)	166	18.1%	197	20.2%	↑
Moderate Learning Difficulty (MLD)	42	4.6%	31	3.2%	↓
Physical Disability (PD)	35	3.8%	26	2.7%	↓
Speech Language or Communication Difficulty (SLCN)	64	7.0%	80	8.2%	↑
Hearing Impairment (HI)	3	0.3%	4	0.4%	↑
Muti Sensory Impairment (MSI)	4	0.4%	6	0.6%	↑
Specific Learning Difficulty (SpLD)	23	2.5%	16	1.6%	↓
Visual Impairment (VI)	5	0.5%	5	0.5%	↔
Profound & Multiple Learning Difficulties (PMLD)	122	13.3%	104	10.7%	↓
Other Disability (OTH)	9	0.9%	16	1.6%	↑
No Specialist Assessment of Need (NSA)	0	0.0%	0	0.0%	↔
<b>TOTAL</b>	<b>920</b>	<b>100%</b>	<b>973</b>	<b>100%</b>	

For each type of identified need, there are proportionally fewer children and young people accessing the most specialist settings in line with the expectation that a graduated response to need is in place across the system. For the higher levels of needs (severe learning difficulties/profound and multiple learning difficulties) a higher proportion of children and young people require and access the more specialist settings. Currently there is an anomaly for those children and young people with autism spectrum condition. A much higher proportion are having their needs met in specialist settings which may indicate mainstream settings are not yet fully equipped to provide a graduated response to this type of need and so allow more children and young people to remain in their local mainstream setting. This suggests further investigation may be appropriate with children, young people, families and schools to develop a more autism friendly system for the future.

## Proportion of pupils with SEND by primary need



### ***Summary of implications for Derbyshire from the needs and current Derbyshire special school places***

1. *The percentage of children and young people with SEND in Derbyshire is 15.6%, slightly above the national average of 15.5%.*
2. *Many Derbyshire school leaders are experienced and skilled at meeting the majority of need within the school system at the graduated response level called SEN Support for most kinds of need but this does not appear to be the case for those children with autism where many receive their education in the special sector.*
3. *Annual national data collections show the percentage of children and young people requiring a formal assessment of need resulting in an EHCP has remained largely unchanged since 2017 and has been between 2.6% and 2.8%, currently 2.7%. Local figures indicate this is beginning to increase*

4. *Derbyshire has an innovative and well-regarded school funding model in place called GRIP which allows children and young people to have their needs met without the need for an EHCP. Consequently, the percentage of children and young people being supported at School Support has risen to 12.9% against a national average of 12.1%.*
5. *Derbyshire mainstream schools which includes enhanced resources, are supporting a high proportion of children with identified MLD, 25.3% in primary and 23% in secondary. In primary schools the next highest need is SLCN (22%), in secondary schools it is SEMH needs (21.7%)*
6. *Special schools are supporting a disproportionate amount of children and young people with autism at 40.4% of the special school population. Severe learning difficulty (20.2%) and PMLD (10.7%) are the next highest levels of need.*
7. *There has been a growth in special school placements over the past year from 920 to 973.*
8. *The numbers of children needing an EHCP are predicted to rise over the next three years by approximately 46. The majority are likely to have their needs met in mainstream provision but a smaller proportion are likely to need more specialist settings. This rise is based on projections from population growth and does not include any as yet unidentified needs of the existing population.*

## **9. Current special school and mainstream resource provision in Derbyshire for SEND**

This review has explored the type and range of specialist provision currently being utilised for Derbyshire's children and Young People, including:

- Special schools within Derbyshire
- Enhanced mainstream provision within Derbyshire
- Independent non-LA maintained special schools
- Further Education Colleges.
- Early Years Provision

### **a) Special School provision in Derbyshire for SEND**

Each year all local authorities publish the places they intend to commission in Derbyshire special schools for the following academic year. The places are not exclusively Derbyshire places, they are the places commissioned for that school and are available to any local authority. Moreover, the number commissioned includes all of the children already in the school, regardless of their residence

Every year the Education and Skills Funding Agency (ESFA) carry out an import/export adjustment across local authorities for this reason. For example,

if Derbyshire place 75 pupils in Staffordshire special schools and Staffordshire place 25 pupils in Derbyshire special schools, the ESFA will take the balance of 50 out of Derbyshire's high needs places and give that funding to Staffordshire. The same adjustment is made for all cross border places in all local authorities.

Numbers vary all the time as placements are agreed throughout the year when an EHCP assessment is complete and of course, children move home. Places originally commissioned and published in the previous autumn term may not match exactly but are usually close. Schools are also free to commission places with other local authorities

Derbyshire is currently a net exporter of special school places.

### Derbyshire's current export/import placement information

EXPORT ANALYSIS	Maintained Special Schools		Maintained Schools		FE Institutions		Non Maintained Special Schools		Specialist Post 16 Institutions		TOTAL
Derbyshire	912	81.9%	2449	96.9%	95	27.5%		0.0%		0.0%	
Derbyshire pupils in Other LAs:											
Sheffield	4	0.4%	1	0.0%	4	1.2%					
Birmingham	1	0.1%		0.0%	1	0.3%					
Staffordshire	82	7.4%	16	0.6%	15	4.3%					
Manchester	2	0.2%		0.0%	5	1.4%					
Oldham	1	0.1%		0.0%		0.0%					
Rochdale	1	0.1%		0.0%		0.0%					
Stockport	3	0.3%		0.0%	3	0.9%					
Tameside	1	0.1%	3	0.1%	4	1.2%					
Rotherham	0	0.0%	2	0.1%	1	0.3%					
Nottingham	10	0.9%	2	0.1%	6	1.7%					
Derby	66	5.9%	22	0.9%	172	49.9%					
Leicestershire	14	1.3%	9	0.4%	7	2.0%					
Nottinghamshire	16	1.4%	23	0.9%	21	6.1%					
Cheshire East	0	0.0%		0.0%	6	1.7%					
Southend-on-Sea	0	0.0%		0.0%	5	1.4%					
ESFA							33	100.0%	42	100.0%	
Total Exported	201	18.1%	78	3.1%	250	72.5%	33	100.0%	42	100.0%	
Total Derbyshire	1113		2527		345		33		42		4060
IMPORT ANALYSIS	Maintained Special Schools		Maintained Schools		FE Institutions						
Derbyshire	912	89.9%	2449	97.2%	95	75.4%					
Other LA pupils in Derbyshire:											
Stockport		0.0%	3	0.1%		0.0%					

Tameside		0.0%	2	0.1%		0.0%					
Doncaster	1	0.1%		0.0%		0.0%					
Rotherham		0.0%	1	0.0%		0.0%					
Sheffield	1	0.1%	14	0.6%	4	3.2%					
Derby	74	7.3%	21	0.8%	1	0.8%					
Leicestershire	1	0.1%	7	0.3%		0.0%					
Staffordshire	1	0.1%	6	0.2%	17	13.5%					
Nottinghamshire	21	2.1%	10	0.4%		0.0%					
Nottingham	2	0.2%	2	0.1%		0.0%					
Cheshire East	1	0.1%	4	0.2%	5	4.0%					
Lincolnshire		0.0%	1	0.0%		0.0%					
Stoke on Trent		0.0%		0.0%	4	3.2%					
Total Imported	102	10.1%	71	2.8%	31	24.6%					
Total Derbyshire	1014		2520		126						3660
NET EXPORT	-99		-7		219		33		-42		-400

There are transport costs associated with provision placements outside the county area to note in the table below

	Total cost of transport	Within county costs (not including special schools)			Out of county costs		
		No of pupils	Annual cost	Per pupil unit cost	No of pupils	Annual cost	Per pupil unit cost
Pre - 16 placement	£7,747,571	67	£1,456,339	£21,736.40	246	£6,291,232	£25,574.11
Post - 16 placement	£2,479,889	36	£932,027	£25,889.64	78	£1,547,862	£19,844.38

The population within Derbyshire Special Schools has increased by 47 in the last year and by 3 over the year before. The increases have been to accommodate profound and multiple learning difficulties, severe learning difficulties and autism needs (at a primary age).

#### Derbyshire located special schools - commissioned places 2018-21

NAME OF SCHOOL	2018-19	2019-20	2020-21	SCHOOL TYPE	PUPIL PROFILE	AGE RANGE
Alfreton Park	85	85	87	Maintained	SLD	2-19
Ashgate Croft	138	139	139	Academy	SLD	4-19
Bennerley Fields	87	88	88	Academy	SLD	2-19
Brackenfield	72	72	82	Maintained	MLD	4-16
Holbrook	120	120	127	Academy	ASD/ASD Hub Primary	2-19
Holly House	43	43	43	Maintained	SEMH	7-14
Peak	52	55	60	Academy	PMLD	2-19
Stanton Vale	85	85	93	Academy	PMLD	2-19

Stubbin Wood	132	135	150	Academy	SLD	2-19
Swanwick School and Sports College	82	82	82	Maintained	MLD/ASD Hub Secondary	4-16
<b>TOTALS</b>	<b>896</b>	<b>904</b>	<b>951</b>			

## b) Mainstream school enhanced resource provision in Derbyshire for SEND

Conversely there has been a fall in numbers at mainstream enhanced resources which support children and young people with needs to remain in local mainstream provision.

### Derbyshire located enhanced resource (ER) schools - commissioned places 2018-21

NAME OF SCHOOL	2018-19 (places filled 1.9.18)	2019-20 (places filled 28.2.20)	2020-21 (places filled 6.11.20)	SCHOOL TYPE	PUPIL PROFILE	AGE RANGE
Aldercar High	9(12)	10(9)	9(8)	MAINTAINED	PI/HI	11-16
Aldercar Infants	8(7)	8(5)	6(4)	MAINTAINED	HI	3-7
Brampton Primary	14(14)	14(14)	14(14)	MAINTAINED	ASC	3-11
Chapel High	36(32)	34(31)	34(34)	MAINTAINED	LDD	11-16
Chapel Primary	19(19)	19(19)	19(21)	MAINTAINED	ASC	3-11
Dunston Primary	6(5.5)	6(6)	6(7)	ACADEMY	PI	5-11
Elmsleigh Infants	16(10)	16(14)	16(12)	MAINTAINED	ASC	3-7
Ashbourne Hilltop Primary	5(4)	5(3)	5(3)	MAINTAINED	PI	3-11
Glossopdale now closed	0(0)	0(0)	0(0)	MAINTAINED	LDD	11-16
Hope Valley College	22(25)	22(16)	18(17)	ACADEMY	LDD	16-18
Langley Mill Juniors	5(2)	6(6)	6(7)	ACADEMY	HI	7-11
New Whittington Primary	10(7.5)	10(8)	9(10)	ACADEMY	HI	5-11
Long Eaton	10(11)	11(11)	11(12)	ACADEMY	ASC	11-16
Outwood Academy	16(15)	16(16)	16(17)	ACADEMY	ASC	11-16
Pilsley Primary	9(10)	9(10)	10(10)	MAINTAINED	ASC	5-11
Queen Elizabeth's Grammer School- now closed	5(0)	0(0)	0(0)		LDD	11-16
Spire Nursery	14(5.5fte)	14(4fte)	14(4fte)	MAINTAINED	LDD/PI	2-5
Springfield Junior	12(5)	9(11)	8(10)	ACADEMY	ASC	7-11
Stubbin Wood Nursery	8.5(6 fte)	8.5(6.5fte)	8.5(3.5fte)	MAINTAINED	LDD/PI	2-5
The Pingle ARC	15(10)	15(14)	15(14)	ACADEMY	ASC	11-16

The Pingle ERS	29(24)	29(28)	29(30)	ACADEMY	LDD	11-16
Tibshelf	17(19)	20(21)	18(18)	MAINTAINED	ASD	11-16
Whaley Bridge	10(10)	10(7)	8(7)	MAINTAINED	LDD	5-11
William Allitt – now closed	3	1(0)			LDD	11-16
<b>Totals</b>	<b>290.5 (243)</b>	<b>291.5 (259.5)</b>	<b>279.5 (262.5)</b>			

Places have reduced to meet hearing/physical impairment needs, learning difficulty needs at primary/post 16, and autism needs at secondary. The provision to support children and young people with autism has not been increased at enhanced resources, and although the numbers in the special school population have grown significantly, capacity has not been fully utilised.

### c) Independent non-maintained provision in Derbyshire for SEND

The number of placements at independent non-maintained schools (INMS) has increased by 36 places since 2018 and is continuing to do so, increasing by a further 25 places since January 2020 to July 2020.

#### Derbyshire children and young people in independent and non-maintained special schools 2018-21

School Name	Designation	1/1/18	1/1/19	1/1/20	14/7/20
Alderwasley Hall	ASC	19	21	26	33
Appleford School	SpLD	0	0	1	1
Ashcroft School	SEMH	1	1	1	1
Beech Hall School	Mainstream	0	0	1	1
Bladon House	SLD/ASC	2	3	1	1
Brantwood Specialist School	ASC	5	7	7	7
Cambian Spring Hill	SEMH	0	0	0	1
Chase House School	SEMH	0	1	2	2
Cheadle Hulme School	ASC	0	0	0	1
David Lewis School	SLD/Complex Developmental Delay	1	1	1	1
Dawn House	SLCN	13	11	8	9
Dorothy Goodman School	Mainstream	0	1	0	0

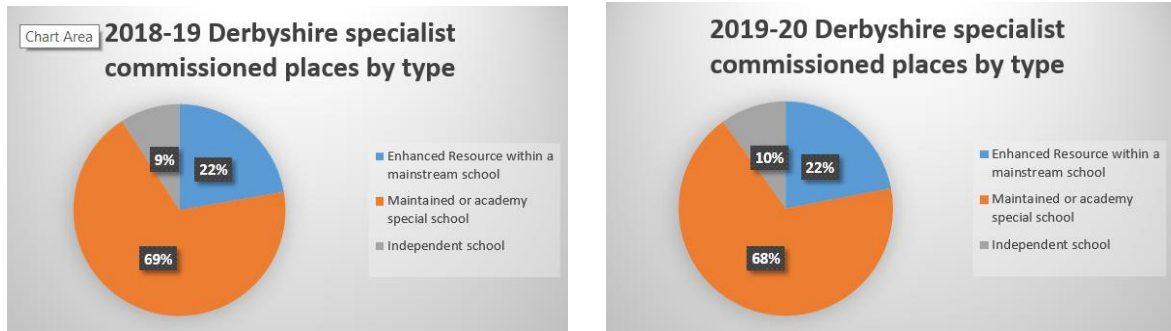
Eastwood Grange	SEMH	2	3	7	7
Gryphon School (Leicestershire)	SEMH	0	0	1	1
High Grange	ASC	3	4	4	4
High Peak School	ASC	1	1	3	4
Hillcrest Shifnal	SEMH	1	0	0	1
Inscape House	ASC	1	1	1	2
Lewis Charlton	SEMH	4	5	4	5
Longdon Hall School	SEMH	1	1	1	1
Longdon Park	ASC	3	11	9	15
Maple Hayes	SpLD	3	2	1	1
New Direction	SEMH	6	7	7	7
NISAI remote learning	Bespoke	0	0	0	1
PACES	PD	2	3	2	2
Pontville School	SLCD	0	1	2	2
REAL Education	SEMH	7	7	8	8
Royal School for the Deaf Derby	HI	7	12	15	14
Rugeley School	SLD/Complex Developmental Delay	0	0	0	1
Sutherland House	ASC	3	4	4	4
Swalcliffe Park	ASC	1	1	1	1
The Grange Therapeutic School	SEMH	1	1	1	1
The Linnet Independent Learning Centre	SEMH	6	5	7	8
The Roaches	SEMH	0	0	1	2
The Robert Ogden School	ASC	3	3	3	3
Westbourne School	SEMH	0	0	1	2
William Henry Smith School	SEMH	0	0	1	1
Wilsic Hall	SEMH	0	0	0	1



<b>TOTALS</b>	<b>96</b>	<b>119</b>	<b>132</b>	<b>157</b>
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Numbers appear to have grown in the areas of SEMH and for places in two specific schools for autism; Alderwardsley with a rise from 19 to 33 since 2018 and Longdon Park, from 3 to 15.

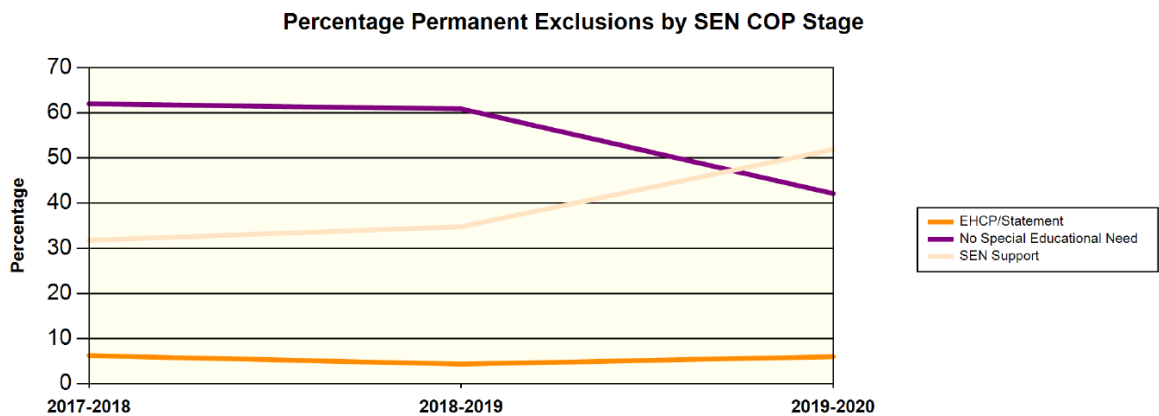
**Table of commissioned places between 2018-2020**



Overall commissioning of specialist placements has grown across special schools and independent special schools but not within enhanced resources attached to mainstream provision.

**Derbyshire Exclusions**

Permanent Exclusions	2016-2017		2017-2018		2018-2019		2019-2020	
	No. of Excl.	%	No. of Excl.	%	No. of Excl.	%	No. of Excl.	%
SEN COP status								
EHCP	18	12.7%	12	6.3%	7	4.4%	8	6%
SEN	49	34.6%	61	31.8%	56	34.8%	69	51.9%
Support								
No SEN	75	52.8%	119	62%	98	60.1%	56	42.1%

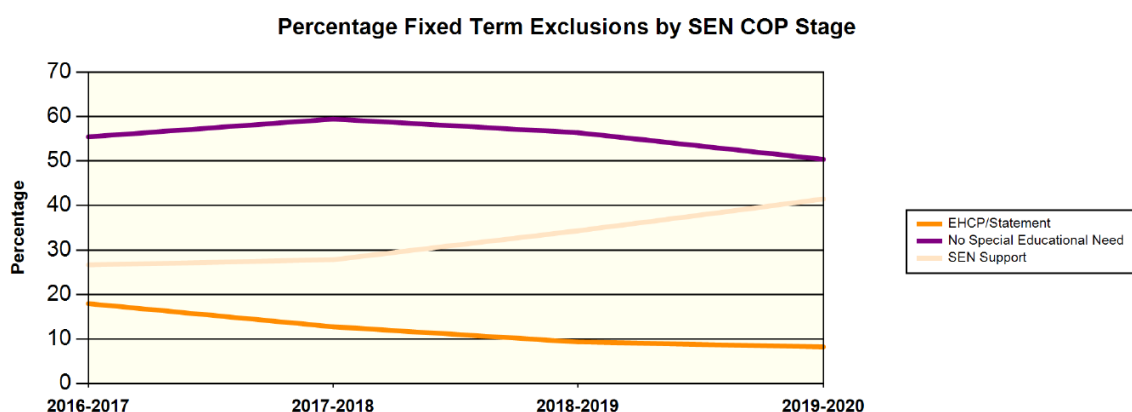


Children and young people with an EHCP receive a review of provision on an annual basis. The result of these reviews can be; no change to provision; to

provide additional guidance, training and resources to maintain placement in local provision; or to agree a change of provision where the child or young person's needs can no longer be met in the setting. Consequently permanent exclusions for this group of children should be rare and unusual. There has been a decline in permanent exclusions over the past three years for those with EHCPs but at 6% this remains higher than would be expected.

The number of permanent exclusions for those children and young people who have been identified at SEN Support level is high and has grown over the past 3 years to now make up over half of all permanent exclusions in Derbyshire. The rate of permanent exclusion for this group continues to rise and is now considerably higher than for those with no additional needs. This is a worrying trend and indicates that much more work is needed to improve the graduated response for those children whose needs can be met in mainstream settings without the need for an EHCP. This work should be closely aligned to developments within the Early Help offer, now embedded within localities, to provide a collective response to meeting needs.

<b>Fixed Term Exclusions</b>	<b>2016-2017</b>		<b>2017-2018</b>		<b>2018-2019</b>		<b>2019-2020</b>	
SEN COP status	No. of Excl.	%	No. of Excl.	%	No. of Excl.	%	No. of Excl.	%
EHCP	918	18%	621	12.7%	452	9.3%	320	8.2%
SEN Support	1362	26.7%	1356	27.8%	1662	34.3%	1617	41.5%
No SEN	2833	55.4%	2896	59.5%	2729	56.3%	1964	50.4%



Fixed term exclusions for children and young people with EHCPs have declined over the last three years in Derbyshire from 18% to 8.2% but this remains higher than would be expected in an inclusive system. The rate of fixed term exclusions for those children and young people receiving SEN Support has increased significantly over the past three years from 26.7% to 41.5%. This further indicates a need for more investigation regarding the confidence of schools to meet need and acceptance to maintain inclusive education for children and young people with identified support needs.

### **Summary of implications for Derbyshire from the needs and current Derbyshire special school places**

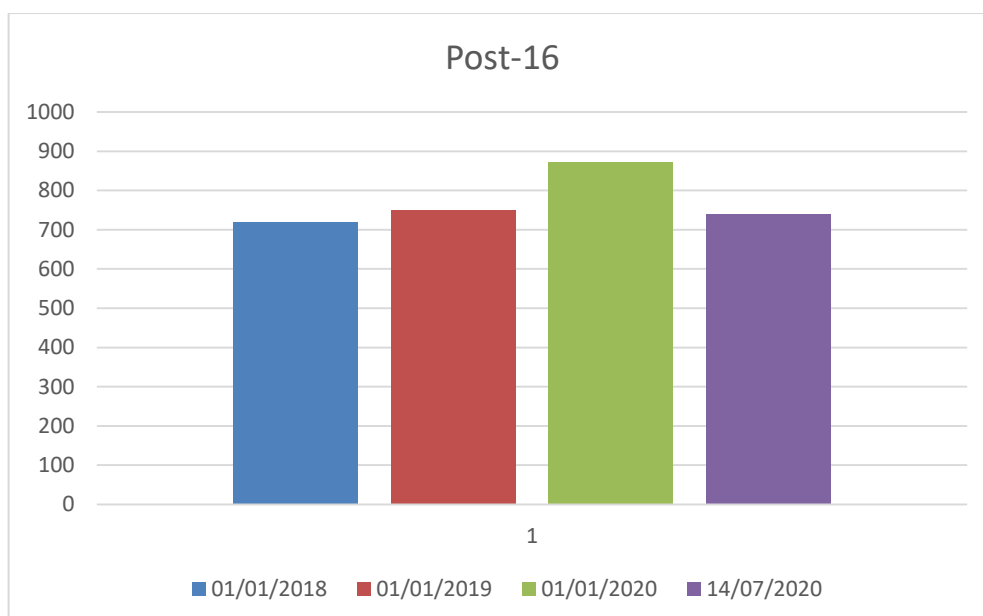
1. Derbyshire located special school places have risen over the past year by 47 from 904 to 951. However, the number of places commissioned from mainstream enhanced resources has fallen by 13 from 291.5 to 279.5.
2. At the same time the number of pupils receiving their education at Independent non- maintained schools has risen from 119 to 157. The majority of increases are in the need of autism.
3. Derbyshire has the greatest rises in SEND places associated with autism but the numbers of children supported in mainstream settings for this need has not grown in line with this trend and has decreased for enhanced resources.
4. There is a worrying number of children and young people with needs being excluded from schools, either permanently or for fixed term periods. This is in decline for those with the highest levels of need and requiring an EHCP but accelerating most acutely for those requiring SEN Support.

#### **d) Post 16 specialist provision in Derbyshire**

The tables below indicate that the number of young people being supported by an Education Health and Care Plan has increased since 2018 by 153 from 720 to 873. Between January 2020 and July 2020, that number has fallen by 134 to 739 as more colleges utilise high needs funding and meet needs without a plan. This is a similar approach to the GRIP funding in mainstream schools, allowing colleges to meet needs earlier.

#### **Derbyshire Young People Supported by an Education Health and Care Plan at Post 16 Provision 2018-21**

	<b>1/1/18</b>	<b>1/1/19</b>	<b>1/1/20</b>	<b>14/7/20</b>
Number of young people with an EHCP	720 (358 EHCPs 362 Statements)	751	873	739



The young people supported by EHCPs between ages 16 and 25 are placed at a high number of different settings across and outside the county. The majority are attending mainstream further education. Six young people are educated at home by parents, and 28 have an EHCP but are not actually in education employment or training.

### Derbyshire young people supported by an EHCP at Post 16 provision 2018-20

Summary of provision (for a full list of all providers, please see Appendix 1)

Type	Provider Name	Number of Pupils			
		01/01/2018	01/01/2019	01/01/2020	14/07/2020
Academy Total		17	26	30	29
Alternative Provision Total		3	5	10	10
Apprenticeship Total		1	1	3	3
Custodial Centre Total		1	1	1	1
Elective Home Education Total		0	2	5	6
Education Elsewhere Total		1	1	4	4
Enhanced Resource Place Total		1	2	0	0
F E College Placement Total		52	112	142	127
Further Education Total		103	257	331	289
General F E/ H E Tertiary Total		2	2	3	2
Ind Special School Total		5	19	17	18
Ind.Mainstream School Total		0	1	1	1
Mainstream School Total		20	38	26	24
Maintained Special School Total		31	94	93	89
Nat Teaching & Advisory Service Total		1	1	1	0
NEET Total		0	19	37	28

Non Maint Special School Total	4	7	9	9
Not A School Total	2	11	20	19
Other Total	91	76	46	30
Other Independent School Total	3	8	10	7
Other L A Academy Total	0	2	3	3
Other L A E R S Total	3	1	1	1
Other L A Mainstream Total	1	7	11	11
Other L A Special Academy Total	1	3	3	2
Other L A Special Nursery Total	0	1	0	0
Other L A Special School Total	13	36	39	35
Other Not Listed Here Total	1	2	2	1
Service Seeking - Sen Total	11	18	6	2
Special Post 16 Provision Total	16	21	15	12
Supported Internship Total	1	2	2	1
Traineeship Total	0	3	5	5
Work Based Learning Total	2	11	5	4
Hospital Total	0	0	0	1
<b>Grand Total</b>	<b>387</b>	<b>790</b>	<b>881</b>	<b>774</b>

The young people supported by EHCPs between ages 16 and 25 are placed at a high number of different settings across and outside the county. The majority are attending mainstream further education. Six young people are educated at home by parents, and 28 have an EHCP but are not actually in education employment or training.

Age at start of academic year	16/17	17/18	18/19	19/20	20/21 Predicted total
16	81	77	108	129	
17	48	80	74	96	
18	46	41	59	65	
19	53	50	41	47	
20	46	45	46	29	
21	9	26	27	21	
22	4	4	16	5	
23	0	4	4	4	
24	0	0	1	0	
25	1	0	0	0	
<b>Total</b>	<b>288</b>	<b>327</b>	<b>378</b>	<b>396</b>	<b>420</b>

The table above relates to Post 16 high needs learners in all types of college and training facilities. Not all 16 to 18 year old high needs learners will have an EHCP and their needs are met through a graduated response by the providers.

During 2019/2020 placements for these learners have been at:

- 20 mainstream colleges and training providers
- 8 different specialist colleges

The annual increase in numbers has varied between 4.7% and 15.6% and there has been an increase of almost 46% over five years. The current year's increase is 6% and an average percentage increase across the previous five years is 9.2%. It is anticipated a total the region of 420 high need learners will require provision in 2020-21. Based on current information, 25-38 additional high needs places may be required.

The numbers will be affected by whether an EHCP is ceased or maintained for young people aged 19 and over.

Children's Services are currently working closely with Adult Social Care to deliver the Achieving Great Futures initiative which will be aligned to strategic developments in Preparation for Adulthood.

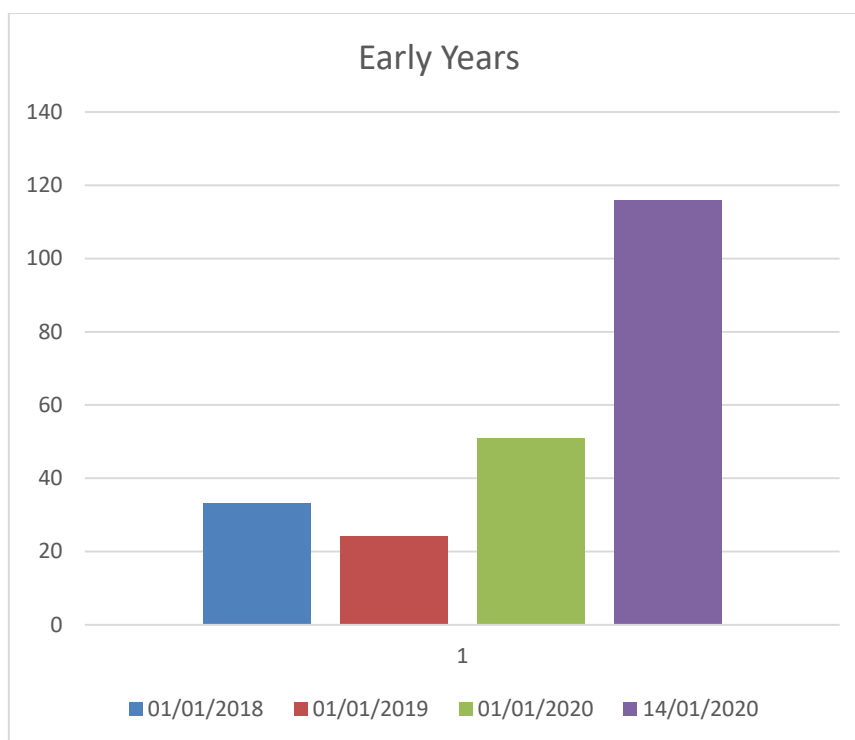
### e) Early years provision in Derbyshire for SEND

#### Derbyshire children supported by an EHCP in Early Years settings 2018-21

	1/1/18	1/1/19	1/1/20	14/7/20
No. of children with an EHCP	33	24	51	116

The number of children in early years settings supported by an EHCP has increased significantly since 2018 from 33 to 116, and has more than doubled between January and July of 2020.

The Early Years Inclusion Fund (EYIF) duty was established by the government in 2017 to provide early intervention and support for young children with special educational needs in early years settings. In Derbyshire, this funding stream has been used to encourage inclusion at foundation stage in mainstream settings. The message from practitioners is that the majority of children's needs can be met with EYIF in mainstream. Despite this, after an initial downturn for three consecutive years, this year, there has been a significant increase in the number of EHCPs in early years.



There are young children with complex needs for whom specialist provision is appropriate, not least of which because of parental preference. The biggest cohort in this group come through Derbyshire portage service, who primarily work with children who have a birth diagnosis and often very complex and multiple needs.

Specialist provision is noticeably sparse in the south of the county and the geographic distribution of specialist provision is unbalanced.

The nature of referrals to the EYSEN specialist teaching service has changed dramatically over the past 10 years. There has been a reversal from majority of referrals being birth diagnosis to now the vast majority children with social communication needs. Service opinion is that this does not demand additional special school places but rather the investment in training and advising foundation stage mainstream staff.

There are some children, usually those with very complex profound and multiple learning difficulties, or severe learning difficulties alongside autism who may need specialist provision.

#### Presenting need of children in Early Years settings with an EHCP

Presenting Need	Number of Pupils			
	01/01/2018	01/01/2019	01/01/2020	14/07/2020
	8			0
Autism (ASC)	5	4	9	41
Hearing Impairment (HI)	4	2		3

Moderate Learning Difficulty (MLD)	1	2	3	3
No Specialist Assessment of Need (NSA)	8	5	10	17
Other Disability (OTH)	2	2	3	6
Physical Disability (PD)	7	4	9	15
Profound & Multiple Learning Difficulties (PMLD)	2	2	7	10
Severe Learning Difficulty (SLD)	3	2	4	7
Social Emotional and Mental Health Needs (SEMH)		1		
Speech Language or Communication Difficulty (SLCN)	6		5	11
Visual Impairment (VI)	2	2	3	3
<b>Total</b>	<b>40</b>	<b>26</b>	<b>53</b>	<b>116</b>

The NHS is under an obligation, in accordance with the 2015 SEN Code of Practice, to notify the local authority if they are aware of children under five years with SEND. Historically, this pathway has been unclear but by autumn 2020 new pathways will be established for notification and referral. This should allow more detailed information on children who may require placements within 5 years of notification.

#### **Summary of implications from the analysis of post 16 and Early Years provision**

1. There are a range of post 16 providers across Derbyshire who appear to be meeting demand, which although it has fluctuated recent years, is demonstrating a steady increase.
2. There are a number of young people not in education, employment or training who continue to be supported by an EHCP and should have their positions reviewed.
3. The number of children within early years supported by an EHCP has increased significantly even with the introduction of the EYIG.
4. 35% of all EHCPs in early years are for a primary need of autism.

## **10. The dedicated schools' grant high needs funding block and costs of provision**



Derbyshire's schools block of the dedicated schools grant (DSG) is larger than would be expected given the county's population as there are more small schools for the number of primary children, and thus more lump sum funding. This is important as it means Derbyshire receives 50 to 60 extra lump sum allocations in the national funding formula which, at £117,800 per school, equates to between £5.89 million and £7.07million.

The high needs block baseline of £77.504 million is before two adjustments. The first is the addition of basic entitlement places funding (there are 1,084 children in special schools and support centres) which, at £4,000 each, adds £4.34 million.

It is also before the import/export adjustment and, as a net exporter, the 458 children in other local authority schools (£6k per child) reduces Derbyshire funding by £2.748 million. The total high needs budget is £79.147million which is £77.504 million plus £4.34 million and minus £2.748 million.

The table below compares the percentage of DSG provided to the high needs budget (22/27) and the high needs budget per pupil for shire local authorities and our shire statistical neighbours (16/27) which is the most reliable benchmarking tool.

Shires	High Needs Budget (HNB)	Total Dedicated Schools Grant (DSG)	HNB % of DSG	Shire Rank (27)	HNB per Young Person (2-18)	Shire Rank (27)
Buckinghamshire	89,957,409	472,648,016	19.03%	1	£739.38	1
Cambridgeshire	75,410,195	490,299,470	15.38%	5	£574.96	7
Cumbria	48,623,089	383,320,615	12.68%	25	£551.68	13
<b>Derbyshire</b>	<b>79,146,725</b>	<b>592,877,989</b>	<b>13.35%</b>	<b>22</b>	<b>£542.11</b>	<b>16</b>
Devon	76,122,043	539,965,693	14.10%	12	£537.76	18
Dorset	38,584,885	259,728,073	14.86%	8	£579.12	6
East Sussex	59,008,363	386,876,993	15.25%	6	£566.39	9
Essex	162,067,327	1,167,413,733	13.88%	15	£539.45	17
Gloucestershire	66,375,573	480,567,514	13.81%	16	£535.37	19
Hampshire	135,148,656	1,017,435,133	13.28%	23	£494.29	25
Hertfordshire	131,284,031	1,023,070,197	12.83%	24	£498.48	24
Kent	222,852,942	1,308,240,478	17.03%	3	£680.69	2
Lancashire	134,984,270	1,004,295,899	13.44%	21	£566.64	8
Leicestershire	74,823,627	531,864,911	14.07%	13	£555.10	11
Lincolnshire	92,942,742	594,816,544	15.63%	4	£660.74	3
Norfolk	93,311,282	646,969,143	14.42%	9	£564.32	10
North Yorkshire	55,273,214	445,825,176	12.40%	26	£487.65	27
Northamptonshire	89,055,133	647,488,334	13.75%	17	£547.94	14
Nottinghamshire	77,985,128	643,668,236	12.12%	27	£489.37	26
Oxfordshire	73,132,675	510,703,168	14.32%	11	£528.77	21
Somerset	58,377,862	406,153,262	14.37%	10	£543.02	15
Staffordshire	89,350,829	656,042,606	13.62%	19	£552.60	12
Suffolk	75,829,462	554,224,495	13.68%	18	£517.86	23
Surrey	159,926,567	907,043,167	17.63%	2	£629.33	4

Warwickshire	68,528,914	455,939,040	15.03%	7	£625.81	5
West Sussex	88,876,298	636,459,547	13.96%	14	£524.37	22
Worcestershire	60,101,074	441,565,572	13.61%	20	£532.38	20
Shire Total	2,477,080,315	17,205,503,004	14.40%		£562.70	
Stat N total (incl DCC)	1,057,109,259	7,439,730,006	14.21%		£575.36	

The tables below show the increasing amounts of this funding spent on the rising number of placements at special schools since 2013/14 (when SEND reforms were introduced) and the current total amounts provided to meet the range of needs on roll.

Special Schools Costs							
	2013/ 2014	2014/ 2015	2015/ 2016	2016/ 2017	2017/ 2018	2018/ 2019	2019/ 2020
No. of pupils	737	765	783	794	797	826	842
Average pupil top up (£k)	£10.4k	£11.0k	£12.9k	£12.8k	£12.9k	£13.3k	£13.5k
Total Cost (£m)	£7.7m	£8.4m	£10.1m	£10.2m	£10.3m	£11.0m	£11.4m
Yr on yr change (£m)	-	+£0.7m	+£1.7m	+£0.1m	+£0.1m	+£0.7m	+£0.4m

Special School Top Up Rates 20-21		
Range of profiles used	Descriptor	Total
MSI	Multi-Sensory Impairment	51,726.12
ECB	Extremely Challenging Behaviour	51,726.12
HD	High Dependency	25,362.63
SEMHD	Social Emotional & Mental Health Difficulties	25,362.63
ELD	Extreme Learning Difficulty	18,189.21
SEBD	Severe Emotional & Behavioural Difficulty	17,045.64
ECOM	Extreme Communication Difficulty	16,195.78
COM	Autism/Communication Difficulty	11,837.92
EBD	Emotional & Behavioural Difficulty	11,566.58
SSI	Severe Sight Impairment	6,139.61
PHYS	Severe Physical Impairment	6,139.61
SHI	Severe Hearing Impairment	6,139.61
SLD	Severe Learning Difficulty	6,139.61

OLD

Other Learning Difficulty

1,798.04

A range of need profiles are used across special schools that have evolved within Derbyshire to allocate funding. The highest amounts are currently allocated to children and young people with multi-sensory needs or extremely challenging behaviour with significantly less spent on severe learning difficulties. The ISOS high needs review recommended that the funding model to special schools should be reviewed and this is included in the SEND strategic transformation plan. The profiles used to allocate funding need to be reduced and aligned to the SEND Code of Practice coding.

Enhanced Resources Top Up Rates	Added to £10,000
A – Area ERS	6,849.26
B – Deaf/Hearing Impaired ERS	8,248.53
C – Autism ERS	9,564.08
D – Physical Impairment ERS	20,731.70

The highest amounts of funding are allocated to those enhanced resources that support children with physical impairments

#### Analysis of 2019-20 spend by type of need

Type of Need	Total Top Up	%	FTE	%	Average Top Up
<b>Primary</b>					
Autism & Communication Needs Impairment	£3,201,956	36.5%	580	35.8%	£5,520
Emotional & Behavioural Difficulties	£818,420	9.3%	120	7.4%	£6,824
Learning Difficulties	£1,744,172	19.9%	334	20.6%	£5,216
Other	£1,935,128	22.1%	391	24.1%	£4,948
Unknown (mainly leavers)	£70,790	0.8%	16	1.0%	£4,436
<b>PRIMARY TOTAL</b>	<b>£8,770,856</b>	<b>100.0%</b>	<b>1,620</b>	<b>100.0%</b>	<b>£5,414</b>
<b>Secondary</b>					
Autism & Communication Needs Impairment	£1,400,636	26.6%	254	27.3%	£5,525
Emotional & Behavioural Difficulties	£647,964	12.3%	89	9.6%	£7,286
Learning Difficulties	£1,231,925	23.4%	199	21.5%	£6,176
Other	£1,115,697	21.2%	231	24.9%	£4,827
Unknown (mainly leavers)	£13,928	0.3%	5	0.6%	£2,674
<b>SECONDARY TOTAL</b>	<b>£5,274,283</b>	<b>100.0%</b>	<b>927</b>	<b>100.0%</b>	<b>£5,688</b>

<b>Special</b>					
Autism & Communication Needs	£5,392,529	47.4%	381	45.3%	£14,139
Severe Impairment Emotional & Behavioural Difficulties	£2,243,599	19.7%	233	27.7%	£9,617
Learning Difficulties	£1,711,140	15.1%	95	11.3%	£17,977
	£2,019,088	17.8%	133	15.7%	£15,231
<b>SPECIAL TOTAL</b>	<b>£11,366,356</b>	<b>100.0%</b>	<b>842</b>	<b>100.0%</b>	<b>£13,492</b>
<b>Enhanced Resources</b>					
Autism & Communication Needs	£1,149,208	55.6%	126	52.4%	£9,109
Impairment	£420,050	20.3%	38	15.8%	£11,066
Other	£499,289	24.1%	77	31.8%	£6,523
<b>ER TOTAL</b>	<b>£2,068,548</b>	<b>100.0%</b>	<b>241</b>	<b>100.0%</b>	<b>£8,595</b>

N.B. Special – add £10,000 place value; enhanced resource add £6,000 place value. Derbyshire is spending most on supporting autism needs in primary and secondary schools but children and young people continue to move from mainstream to special provision both inside and outside the county.

<b>Independent Non-Maintained Special Schools</b>							
<b>Number of Places</b>	<b>2013/ 2014</b>	<b>2014/ 2015</b>	<b>2015/ 2016</b>	<b>2016/ 2017</b>	<b>2017/ 2018</b>	<b>2018/ 2019</b>	<b>2019/ 2020</b>
Mainstream (Day)	6	6	10	9	10	6	10
Mainstream (Residential)	1	1	1	-	-	1	1
Special (Day)	79	81	83	89	88	108	115
Special (Residential)	5	4	5	4	6	8	7
<b>TOTAL</b>	<b>91</b>	<b>92</b>	<b>99</b>	<b>102</b>	<b>104</b>	<b>123</b>	<b>133</b>
Annual average cost (£k)	£41.9k	£42.7k	£39.1k	£44.2k	£46.3k	£48.1k	£49.2k
Total Cost £m	£3.8m	£3.9m	£3.9m	£4.5m	£4.8m	£5.9m	£6.9m
<b>Yr on yr change (£m)</b>	<b>-</b>	<b>+£0.1m</b>	<b>-</b>	<b>+£0.6m</b>	<b>+£0.3m</b>	<b>+£1.1m</b>	<b>+£1.0m</b>

### Summary of INMS costs by placement type 2019

Need	30/9/19	Cost	Average
	1	16,745	£16,745
Autism	54	£3,099,657	£57,401
Awaiting advice	1	£46,705	£46,705
BESD	39	£2,139,910	£54,869

EBD	1	£28,100	£28,100
HI	11	£342,893	£31,172
MLD	2	£79,392	£39,696
None	1	£38,912	£38,912
PD	3	£71,961	£23,987
SEBD	1	£73,822	£73,822
SEMH	1	£67,350	£67,350
SLCN	4	£244,763	£61,191
SLD	4	£149,555	£37,389
(blank)	4	£110,144	£27,536
Not specified	4	£252,695	£63,174
VI	1	£22,128	£22,128
Not Known	1	£66,702	£66,702
<b>Grand Total</b>	<b>133</b>	<b>£6,851,434</b>	<b>£51,515</b>

The number of places at Independent Non-Maintained Special provision (INMS) has increased every year since the SEN reforms of 2014. Numbers have risen from 91 to 133 during this time. The total expenditure on this kind of provision has almost doubled in this time from £3.8 million to £6.9 million and average costs of placements has also risen up by approximately £10,000 per place since 2013/14. The range of placement costs for INMS ranges from £16,745 to £73,822, creating an average of cost of £51,515 per place.

Within current recording most is spent on 54 placements for autism at just over £3 million and an average cost per placement of £57,401. Next recorded highest cost is for 39 behaviour emotional and social difficulties (BESD) placements at a total of just over £2 million and an average placement cost of £54,869. However, due to category changes brought in by the SEN Code of Practice and the variety of profiles used within Derbyshire another three categories should also be considered alongside BESD to be meeting the same need; emotional behavioural difficulties (EBD), social emotional behavioural difficulties (SEBD), and the most recently used social emotional and mental health needs (SEMH). This variety of titles are all indicating the same category of need, now more accurately called social emotional and mental health needs. The total number of independent provider places for this kind of need is 42 at a total cost of £2,309,182 and an average placement cost of £54,981.

There are 11 children and young people are placed in provision totalling £515,158 and recorded as; awaiting advice, none, blank, not specified, not known. The average cost of these placements is £46,833.

The high needs budget is currently under pressure and was in deficit last year by approximately £3m.

***Summary of implications for Derbyshire from the analysis of the high needs block and costs of provision***

- 1. The average cost of a special school placement in Derbyshire is £23,492*
- 2. The average cost of a specialist Enhanced Resource placement in Derbyshire is £14,595.*
- 3. The cost of a place in an INMS placement ranges from £16,745 to £73,822 with an average of £51,515*
- 4. £6.9 million is spent on independent specialist provision in Derbyshire for 133 children and young people. This number has increased from 91 in 2014*

*Most is spent on supporting autism needs across the three specialist provision types*

- 5. The next highest amount of funding is spent supporting SEMH.*

## **11. Any projected shortfall in Derbyshire's provision for children and young people with SEND?**

Derbyshire's school population will continue to grow and the special educational needs population will grow as a result. Those children and young people requiring the highest levels of support through an EHCP will increase by approximately 42 over the next three years and a proportion of those will need places in specialist provision.

The numbers in Derbyshire's special provisions and local independent specialist provisions has been increasing in recent years. Last year special school places increased by 47 and independent specialist places by 24 and capacity is now stretched. Although there is a need to address the support at graduated response and the promotion of inclusive schooling for some specific areas of need, there will be a need to plan an increase in places available as part of the Derbyshire specialist estate. The rise in placement in independent settings should be further investigated to ensure new placements offered in the areas of need (autism and SEMH) create provision which meets expectations of delivery and becomes parental choice in the future.

## 12. Summary, conclusions and recommendations

- The strategic SEND high needs review identified a need to action a sufficiency exercise concerned with specialist provision for pupils across Derbyshire.
- Derbyshire has a graduated range of provision for pupils with SEND, from mainstream, enhanced resources to special school provision. Future provision needs to be in line with the county's strategic approach.
- There are a number of other strategic reports and plans which impact on the sufficiency of SEND provision across Derbyshire. These include district house building plans, school place planning, the local Joint Strategic Needs Analysis with locality health partners and strategic SEND planning.
- Derbyshire's inclusion/SEND strategy, is based on the notion of improving the offer for children and young people with a more strategic approach which includes both planned specialist provision within the county and a better graduated response made by schools, partners and specialist providers.
- There are plans to build approximately 25,000 new homes across Derbyshire in the next five to ten years which suggests a rise of just over 10,000 pupils of school age.
- The general school population is predicted to rise until academic year 2021/2022 but then begin to fall and show a steady decline until 2025.
- In line with the school population, numbers of children requiring SEND support at EHCP level are expected to rise and are predicted at 286 by 2023, 42 of these requiring plans. This does not account for young people with as yet unidentified needs.
- Derbyshire's rate of EHCPs has remained relatively stable over the past four years but there are indications that this is beginning to rise and current number is closer to the national average of 3.3%
- The innovative funding approach of GRIP has met children's needs without the need for an EHCP resulting in a higher rate of school support across Derbyshire at 12.9% compared to the national average of 12.1%. However, the rising number of EHCPs indicates the work underway to review this approach is timely.
- The highest presenting need across Derbyshire is MLD at 23.3%, followed by SEMH at 19.1% and autism at 13%

- Within mainstream primary schools the highest presenting need of MLD (25.3%) is followed by SLCN (22%).
- Within mainstream secondary schools the highest presenting need of MLD is followed by SEMH needs (21.7%)
- The population of special schools has a disproportionate number of children and young people with autism as their presenting need (40.4%) compared to the populations within mainstream provision (primary 10%, secondary 13.6%). This is also disproportionate to the special school population where it is double the number of children identified in the next highest category of need; SLD (20.2%); and almost four times the number with PMLD (10.7%). This indicates that the graduated response for this kind of need is not fully established within mainstream provisions to support those who wish to have their needs met within their locality school. Further training and provision within the mainstream sector is required for schools to improve graduated response for autism, providing autism friendly environments in mainstream and allowing children and parents to feel confident to access their education in their locality school.
- The number of places offered within special school provision has risen over the past year by 47 to 951. These have been to meet a range of identified needs.
- The number of places in enhanced resources to support mainstream access for children and young people with needs has decreased by 12 over the last year. There has been no increase in the offer of enhanced resource support for the presenting need of autism.
- Derbyshire has placed increasing numbers of children and young people at independent non-maintained settings since 2018. This has increased from 96 to 157, an increase of 16 places for autism and 8 places for Social emotional and mental health needs.
- In Derbyshire the cost of a place in an INMS placement ranges from £16,745 to £73,822 with an average of £51,515
- The numbers of young people with EHCPs who are aged over 16 has fluctuated over the past three years but remained at levels where a range of providers have been able to offer suitable placements.
- The number of high needs learners supported by post 16 providers has grown by 90 young people since 2018
- There are a number of young people not in education, employment or training who continue to be supported by an EHCP and should have their positions reviewed.



- The number of children having their needs met through an EHCP prior to school age has increased significantly during the past two years from 33 in January 2018 to 51 in January 2020 and further still to 116 in July of 2020. The highest presenting need for this age of children is autism.
- Early Years inclusion fund supports settings to meet needs prior to an EHCP successfully across Derbyshire. The majority of referrals for this support have been to support needs associated with social communication.
- There are a worrying number of children and young people with needs being excluded from schools, either permanently or for fixed term periods. This is in decline for those with the highest levels of need and requiring an EHCP but accelerating most acutely for those requiring SEN support.
- Derbyshire is spending most on supporting autism needs in primary and secondary schools but children and young people are still moving from mainstream to special.
- The next highest amount of funding is spent supporting SEMH.
- The average cost of a special school placement in Derbyshire is £23,492
- The average cost of a specialist enhanced resource placement in Derbyshire is £14,595.
- The cost of a place in an INMS placement ranges from £16,745 to £73,822 with an average of £51,515
- £6.9 million is spent on independent specialist provision in Derbyshire for 133 children and young people. This number has increased from 91 in 2014

## Recommendations

8. Development of a county specialist provision plan which takes account of the previous rises in places, predicted rising numbers over the next three years. This should include plans to increase provision across the county's special schools and enhanced resources in line with predicted numbers. The plan should ensure a more appropriate mix of support and provision at different levels for meeting need, particularly the role of enhanced resources in supporting children to remain closely linked to mainstream provision.

9. An investigation of provision for autism across the county and development of an autism strategy to address needs across the county that
- Seeks the views of children, young people and their parents about what makes good inclusive practice for autism.
  - Reviews the outcomes for children and young people with autism who attend all types of provision.
  - Reviews the services across education, health and social care which support inclusion of children and young people with autism.
  - Investigates the reasons why children move to specialist provision and the impact that has on their outcomes.
  - Promotes the development inclusive practice within mainstream settings and allows more children and young people to access education within their locality.
- This investigation be linked closely with a new piece of work begun by Derby and Derbyshire Clinical Commissioning Group to review and address the rising numbers of referrals to the neuro-developmental pathway in the south of the county.
10. Development of a strategy and collective responsibility model for those children and young people with SEMH as identified in the SEND transformation plan to ensure all partners contribute to a graduated response to need and enable schools, particularly in the secondary sector to feel confident to meet increased demands and reduce exclusions relating to needs in this area. This strategy to build upon the positive developments made with schools to deliver Early Help through locality partnerships and to take account of the work already in place as a result of the Joined Up Care Derbyshire and Future in Mind initiatives.
11. This report is considered alongside current discussions taking place regarding alternative provision available to support children and young people across Derbyshire.
12. Complete the review the impact of GRIP funding on the ability of schools and settings to meet need early and the continued lower numbers of EHCPs and recommend next steps to promote inclusion using early funding models.
13. Further investigation into the rising numbers of children in Early Years requiring an EHCP to meet need and the effectiveness of the Inclusion Support Fund to meet need prior to formal schooling
14. Ensure that the numbers of young people who are not accessing Education, Employment or Training (NEET) but continue to be supported by an EHCP have their situations reviewed as a matter of urgency.

## Appendix 1

### Derbyshire young people supported by an EHCP at Post 16 provision 2018-20

Type	Provider Name	Number of Pupils			
		01/01/ 2018	01/01/ 2019	01/01/ 2020	14/07/ 2020
<b>Academy</b>	Brookfield Community School	1	1	1	1
	Hope Valley College	10	19	13	12
	Netherthorpe School	1	0	1	1
	Queen Elizabeth's Grammar School	0	2	3	3
	St Mary's Catholic High School: A Catholic Voluntary Academy	3	2	2	2
	Swanwick Hall School	0	1	1	1
	The Ecclesbourne School	0	1	4	4
	The Long Eaton School	1	0	1	1
	Outwood Academy Newbold	0	0	1	1
	Heanor Gate Science College	0	0	2	2
	St Philip Howard Catholic Voluntary Academy	0	0	1	1
	John Port School	1	0	0	0
<b>Academy Total</b>		<b>17</b>	<b>26</b>	<b>30</b>	<b>29</b>
<b>Alternative Provision</b>	Alternative Provision - SEN	1	0	1	0
	Forest Lodge	1	2	3	2
	In2Work	0	0	0	1
	Juniper Training	0	0	1	1
	REAL Alternative Provision School	1	1	2	3
	Virtual School NEET	0	1	1	1
	Works4U (Stalybridge)	0	0	1	1
	Education Otherwise	0	0	1	1
Genesis Academy East Midlands	0	1	0	0	
<b>Alternative Provision Total</b>		<b>3</b>	<b>5</b>	<b>10</b>	<b>10</b>
<b>Apprenticeship</b>	SEN2: Apprenticeship	1	1	3	3
<b>Apprenticeship Total</b>		<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>
<b>Custodial Centre</b>	Alternative Provision - Youth Offending	1	1	1	1
<b>Custodial Centre Total</b>		<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Educ At Home By Parents</b>	Elective Home Education	0	2	5	6
<b>Educ At Home By Parents Total</b>		<b>0</b>	<b>2</b>	<b>5</b>	<b>6</b>
<b>Education Elsewhere</b>	Shirebrook ACE Centre	1	1	4	4
<b>Education Elsewhere Total</b>		<b>1</b>	<b>1</b>	<b>4</b>	<b>4</b>

<b>Enhanced Resource Place</b>	Aldercar High School	1	2	0	0
<b>Enhanced Resource Place Total</b>		<b>1</b>	<b>2</b>	<b>0</b>	<b>0</b>
<b>F E College Placement</b>	Bilborough College	1	0	0	0
	Broomfield College of A & H	4	2	1	0
	Burton and South Derbyshire College	5	20	32	31
	Buxton and Leek College	9	27	31	29
	Central College Nottingham	1	1	1	1
	Chesterfield College	1	1	0	0
	Confetti	4	8	8	7
	Derby College	5	11	8	5
	Derby College - Prince Charles Avenue Campus	1	3	2	1
	Derby College- Joseph Wright Campus	2	1	2	1
	Derwen College	0	3	2	2
	Inspire Learning	0	1	0	1
	Landmarks College	5	7	12	9
	North Nottinghamshire College	1	0	1	1
	Nottingham College	0	0	0	1
	Nottingham Trent University (Brackenhurst Campus)	0	1	1	1
	Percy Hedley Foundation	1	1	0	0
	South Staffordshire College	0	1	3	2
	Stephenson College	8	11	16	13
	Tameside College	2	4	10	10
	The Manchester College	0	1	3	4
	Total People Ltd	0	4	0	0
	Transition2	2	3	5	4
	University College Birmingham	0	1	1	1
	Loughborough College	0	0	1	1
	Reaseheath College	0	0	1	1
	Rotherham College Dinnington Campus	0	0	1	1
<b>F E College Placement Total</b>		<b>52</b>	<b>112</b>	<b>142</b>	<b>127</b>
<b>Further Education</b>	Central College	2	2	2	2
	Chesterfield College	35	89	123	113
	Derby College	17	75	115	99
	Derby College - Ilkeston	7	5	4	3
	Derby College - Pride Park Campus	8	13	9	3
	Derby College- Broomfield Hall Campus	19	24	20	18
	Juniper Training	2	4	2	1
	Macclesfield College	0	5	7	6

	Marple Sixth Form College	4	10	7	6
	Nottingham College	5	10	10	9
	Stockport College	0	1	1	1
	The Sheffield College	2	6	3	2
	Unknown	0	0	1	1
	West Nottinghamshire College	2	12	25	23
	YMCA Derbyshire	0	0	1	1
	Aquinas College	0	0	1	1
	YMCA Training	0	1	0	0
<b>Further Education Total</b>		<b>103</b>	<b>257</b>	<b>331</b>	<b>289</b>
<b>General F E/ H E Tertiary</b>	Chameleon School of Construction Ltd	0	1	3	2
	Lincoln College	1	0	0	0
	University of Derby Buxton	1	1	0	0
<b>General F E/ H E Tertiary Total</b>		<b>2</b>	<b>2</b>	<b>3</b>	<b>2</b>
<b>Ind Special School</b>	Alderwasley Hall School	2	5	6	6
	Brantwood Specialist School	1	2	2	2
	High Grange School	0	2	1	1
	Lewis Charlton Learning Centre	0	2	0	0
	Longdon Park School	0	3	2	2
	New Direction School	1	2	2	2
	Royal National College for the Blind	1	2	1	1
	Eastwood Grange School	0	0	3	3
	Cambian Spring Hill	0	0	0	1
	Bladon House School	0	1	0	0
<b>Ind Special School Total</b>		<b>5</b>	<b>19</b>	<b>17</b>	<b>18</b>
<b>Ind.Mainstream School</b>	Gordonstoun	0	1	1	1
<b>Ind.Mainstream School Total</b>		<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Mainstream School</b>	Aldercar High School	13	23	14	14
	Anthony Gell School	0	2	1	1
	Buxton Community School	1	2	1	1
	Dronfield Henry Fanshawe School	0	1	1	1
	Glossopdale School	1	1	1	1
	Highfields School	1	3	1	1
	Lady Manners School	0	1	4	4
	New Mills School	1	1	0	0
	The Pingle Academy	1	4	2	1
	Tupton Hall School	1	0	1	0
	Friesland School	1	0	0	0
<b>Mainstream School Total</b>		<b>20</b>	<b>38</b>	<b>26</b>	<b>24</b>
<b>Maintained Special School</b>	Alfreton Park Community Special School	7	15	12	12
	Ashgate Croft School	11	29	27	26

	Heaton School	0	1	1	1
	Holbrook School for Autism	4	11	11	12
	Peak School	4	7	9	8
	Stanton Vale School	3	9	12	11
	Stubbin Wood School	1	22	21	19
	Swanwick School and Sports College	1	0	0	0
<b>Maintained Special School Total</b>		<b>31</b>	<b>94</b>	<b>93</b>	<b>89</b>
<b>Nat Teaching &amp; Advisory Service</b>	Alternative Provision - SEN	1	1	1	0
<b>Nat Teaching &amp; Advisory Service Total</b>		<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>
<b>NEET</b>	SEN2: NEET	0	17	36	27
	Virtual School NEET	0	2	1	1
<b>NEET Total</b>		<b>0</b>	<b>19</b>	<b>37</b>	<b>28</b>
<b>Non Maint Special School</b>	David Lewis School	1	1	1	1
	Dawn House School	1	1	2	2
	Hollybank School	1	0	0	0
	Paces High Green School for Conductive Education	1	1	1	1
	Percy Hedley School	0	1	0	0
	Royal School for the Deaf Derby	0	1	2	2
	Sutherland House School	0	2	2	2
	Inscape House School	0	0	1	1
<b>Non Maint Special School Total</b>		<b>4</b>	<b>7</b>	<b>9</b>	<b>9</b>
<b>Not A School</b>	Alternative Provision - SEN	2	9	18	17
	SEN2: NEET	0	1	0	0
	Virtual School NEET	0	1	2	2
<b>Not A School Total</b>		<b>2</b>	<b>11</b>	<b>20</b>	<b>19</b>
<b>Other</b>	Other	91	76	45	30
	Virtual School NEET	0	0	1	0
<b>Other Total</b>		<b>91</b>	<b>76</b>	<b>46</b>	<b>30</b>
<b>Other Independent School</b>	Ackworth School	0	1	0	0
	Juniper Training	0	1	3	2
	R.E.A.L Independent Schools	2	2	4	3
	RNIB Charity trading as RNIB College Loughborough	0	3	2	2
	Royal College Manchester (Seashell Trust)	1	0	0	0
	Trax Academy	0	0	1	0
	Abbotsholme School	0	1	0	0
<b>Other Independent School Total</b>		<b>3</b>	<b>8</b>	<b>10</b>	<b>7</b>
<b>Other L A Academy</b>	Lees Brook Community School	0	1	0	0
	Saint Benedict, A Catholic Voluntary Academy	0	0	1	1
	Landau Forte College	0	0	1	1

	King Egbert School	0	0	1	1
	Chellaston Academy	0	1	0	0
<b>Other L A Academy Total</b>		<b>0</b>	<b>2</b>	<b>3</b>	<b>3</b>
<b>Other L A E R S</b>	Allestree Woodlands School	1	0	0	0
	Saint Benedict, A Catholic Voluntary Academy	2	1	1	1
<b>Other L A E R S Total</b>		<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Other L A Mainstream</b>	Ashby School	0	1	1	1
	King Edward VII School	0	2	2	2
	Lees Brook Community School	0	3	5	5
	The Brunts Academy	1	1	0	0
	Ysgol Bryn Clwyd	0	0	1	1
	Ashfield Comprehensive School	0	0	2	2
<b>Other L A Mainstream Total</b>		<b>1</b>	<b>7</b>	<b>11</b>	<b>11</b>
<b>Other L A Special Academy</b>	Foxwood Academy	0	1	1	0
	Nethergate Academy	0	1	1	1
	The Beech Academy	1	1	1	1
<b>Other L A Special Academy Total</b>		<b>1</b>	<b>3</b>	<b>3</b>	<b>2</b>
<b>Other L A Special Nursery</b>	Round Oak School	0	1	0	0
<b>Other L A Special Nursery Total</b>		<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>Other L A Special School</b>	Castle Hill High School	1	1	1	0
	Cromwell High School	1	0	0	0
	Fountaindale School	2	3	1	1
	Foxwood Academy	0	0	1	1
	Ivy House School	1	1	0	0
	Queen's Croft High School	0	1	1	0
	St Andrew's Academy	0	3	6	6
	St Martins School	2	7	6	6
	The Fountains High School	5	16	18	17
	Two Rivers High School	0	2	2	1
	Yeoman Park Academy	1	2	1	1
	St Giles School	0	0	1	1
	The Meadows School	0	0	1	1
<b>Other L A Special School Total</b>		<b>13</b>	<b>36</b>	<b>39</b>	<b>35</b>
<b>Other Not Listed Here</b>	Juniper Training	0	1	1	0
	Other School Not In List	1	0	0	0
	Virtual School NEET	0	1	1	1
<b>Other Not Listed Here Total</b>		<b>1</b>	<b>2</b>	<b>2</b>	<b>1</b>
<b>Service Seeking - Sen</b>	Awaiting Placement	11	18	6	2
<b>Service Seeking - Sen Total</b>		<b>11</b>	<b>18</b>	<b>6</b>	<b>2</b>
	Foxes Academy	0	0	2	2

<b>Special Post 16 Provision</b>	Freeman College	1	2	2	2
	Landmarks	1	1	0	0
	Portland Academy	1	1	0	0
	Portland College	13	17	11	8
<b>Special Post 16 Provision Total</b>		<b>16</b>	<b>21</b>	<b>15</b>	<b>12</b>
<b>Supported Internship</b>	Chesterfield College	1	1	1	1
	Portland College	0	1	1	0
<b>Supported Internship Total</b>		<b>1</b>	<b>2</b>	<b>2</b>	<b>1</b>
<b>Traineeship</b>	DART Training	0	1	1	1
	SEN2: Traineeship	0	1	1	1
	Chesterfield FC Community Trust	0	1	3	3
<b>Traineeship Total</b>		<b>0</b>	<b>3</b>	<b>5</b>	<b>5</b>
<b>Work Based Learning</b>	DART Training	0	5	3	3
	Juniper Training	0	0	0	1
	Pure Innovations (Stockport)	0	2	0	0
	SEN2: Traineeship	1	1	1	0
	SHIFT Media Training Ltd	1	1	0	0
	Unknown	0	1	1	0
	Chesterfield FC Community Trust	0	1	0	0
<b>Work Based Learning Total</b>		<b>2</b>	<b>11</b>	<b>5</b>	<b>4</b>
<b>Hospital</b>	Alternative Provision - Youth Offending	0	0	0	1
<b>Hospital Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>
<b>Grand Total</b>		<b>387</b>	<b>790</b>	<b>881</b>	<b>774</b>



1. Type of respondent:

- 1.Young person, the area you live in - locality (mandatory) name (optional)
- 2.Parent / carer, the area you live in - locality (mandatory) name (optional)
- 3.School in Derbyshire (maintained or academy or free school) locality (mandatory) name (optional)
- 4.School outside Derbyshire (maintained, academy or free school) locality (mandatory) name (optional)
- 5.Early years provider within Derbyshire, locality (mandatory) name (optional)
- 6.FE college within Derbyshire, locality (mandatory) name (optional)
- 7.FE college outside of Derbyshire, locality (mandatory) name (optional)
- 8.Independent non maintained special school in the county, locality (mandatory) name (optional)
- 9.Independent non maintained special school outside of Derbyshire, locality (mandatory) name (optional)
- 10.Derbyshire County Council services, locality (mandatory), service (mandatory), name (optional)
- 11.Other local authorities, locality (mandatory) name (optional)
- 12.Other (please give details) locality (mandatory), organisation name (mandatory), name (optional)

2. Do you agree that children with special educational needs and disabilities should be able to access education that meets their needs as close to home as possible, to ensure their place in their local community and reduce daily travelling? Yes / No / Don't know

3. Do you believe Derbyshire County Council should aim to increase the choice of education available to families of children and young people with special educational needs and disabilities within their local area? Yes / No / Don't know

4. Do you believe that where possible and appropriate children with special educational needs and disabilities should be educated within or have access to their local mainstream educational setting, alongside their peers? Yes / No/ Don't know

5. Do you agree that Derbyshire should develop a new strategy to better meet the needs of children and young people with autism? Yes / No / Don't know

6. Do you agree that Derbyshire should develop a new strategy to better meet the needs of children and young people who need support with their social, emotional or mental health development? Yes / No /Don't know

Derbyshire has in place an innovative approach to funding schools and settings early in order that they are able to meet need sooner and without a statutory plan; this is known as Graduated Response to Individual Pupils (GRIP). This means more children are having needs met at SEN Support by GRIP without the need for an EHCP.

7. Do you have any views about how GRIP funding impacts on the ability of schools and settings to meet needs early? Yes / No / Don't know  
[If yes or no please provide additional information - ]

8. Do you believe Derbyshire's Early Years (0-5) approach meets the needs of children with special needs and disabilities well? Yes / No / Don't know  
[If yes or no please provide additional information - ]

9. Do you believe the approaches in place for young people beyond school age (19-25) in Derbyshire meet the needs of all young adults with special needs and disabilities well? Yes / No/ Don't know  
[If yes or no please provide additional information - ]

10. Please include below any further comments which you may have.

Author: Smruti Mehta (Children's Services)

Agenda Item No.6 (x)

## **DERBYSHIRE COUNTY COUNCIL**

### **CABINET**

**11 March 2021**

#### **Report of the Executive Director for Children's Services**

#### **Derbyshire Short Break Principles Proposal**

### **1 Purpose of Report**

To outline to cabinet the findings from reviews of Derbyshire's short break offer for disabled children and their families.

To propose a vision from which to develop Derbyshire's short break strategy and offer to meet the future needs of children and families.

To seek Cabinet's approval to commence engagement and consultation with Stakeholders on proposals to develop the Council's short break strategy for disabled children and their families.

To seek approval to delegate decision making on the application of the service to the Cabinet Member and Executive Director, Children's Services.

### **2 Information and Analysis**

Recent reviews have identified a number of opportunities to improve efficiency, impact and appropriate service delivery to support the changing needs of disabled children and their families in Derbyshire. A summary of relevant reviews and opportunities identified are outlined below.

2.1 *ISOS review 2018/19 titled 'support, services and provision for children and young people with high needs in Derbyshire'.*

This review identified several opportunities with the potential to improve outcomes for families and children's outcomes and improve service delivery. Those that are most relevant to the Short Break strategy are identified below.

- Preventative support to increase capacity across the system.
- Develop services to meet future needs across education, health and care services.
- Improvements in transparency and communication of high needs support.
- Supporting children and young people across ages and transitions to a successful and fulfilling life.

## 2.2 *Newton review 2019, titled 'transformation of the older adults & whole life disability pathways across Derbyshire'.*

This review identified several opportunities with the potential to improve outcomes for families and children's outcomes and improve service delivery. Those that are most relevant to the Short Break strategy are identified below.

- Develop a culture promoting independence.
- Develop support that recognises and supports individual's life-long care, enabling children to remain in the care of their families.
- Enabling Derbyshire services to be less paternalistic.
- Ensure more efficient use of workforce capacity whilst enabling greater access to wider community support networks.
- Involvement of all stakeholders to deliver any change in delivery and culture.

## 2.3 *2019/2020 internal review investigating Short Break services.*

The review investigated Short Break services and Derbyshire's offer to children and families in Derbyshire.

Services included the support provided by Derbyshire's in house overnight short break provision at Peak Lodge, Spire Lodge and The Getaway. The review considered the role of the Outback service and disabled children's social work team.

Data was analysed to identify the current profile and potential future needs profile of disabled children and young people in Derbyshire.

An engagement was held which included meetings with parent support groups, private and voluntary organisations and public engagement which gathered the child's voice and adults view. Key findings are outlined below.

2.3.1 Public engagement during Spring term 2020 gathered the views of adults and children regarding the 'current' short break offer in Derbyshire.

Parents/carers of disabled children tell us they require robust packages of individualised flexible support to develop their resilience, support lifelong needs, and develop skills & knowledge to support them with the care of their child in the home environment.

Children told us about the range of activities they enjoy, how this helps them to make new friends, have fun and learning new things. This reflects the parents view in supporting the child to develop independence and life skills.

### 2.3.2 Data and future needs

Data indicates that over the next 10 years no significant changes to the current number of disabled children and their diagnosed primary needs in Derbyshire. Although local professionals suggest that there is an increase in the identification of more complex and challenging needs (Appendix 1).

### 2.3.3 In-House overnight short break provision:

This is currently provided in Derbyshire by Ofsted registered provision at Peak Lodge, The Getaway and Spire Lodge.

The Spire Lodge building has reached the end of its useful service and has become uneconomical to maintain. This was highlighted previously to cabinet in 2016 and 2018 (Appendix 3).

On reviewing the different current in-house facilities, Spire Lodge design is clearly not conducive for future service delivery and demands, therefore a like for like replacement is not appropriate. The impact of any future design upon service delivery and flexibility is clear, evidenced when reviewing Spire's layout compared to the more modern two bed hub and spoke build at Peak Lodge.

Findings identified the need for ongoing high quality flexible overnight provision facilitated with packages of support for high need / complex children and families.

It is proposed that consultation explore the development of Spire Lodge's replacement which may be on a different site and require the closure of current provision. If the closure of current provision is required all efforts will be made to ensure continuity of service. This provides an opportunity to make more efficient and effective use of bed capacity and develop a potential flagship opportunity, providing packages of support to prevent family breakdown and crisis.

The consultation will also consider the opportunities for further creation of joined up social care and education provision. Including the potential for future usage of the Childrens Services site at Ashbrook which is located next to Ashgate Croft School. Childrens Services are working with PSP Derbyshire

LLP (Public Sector Plc Derbyshire Limited Liability Partnership) to undertake initial feasibility studies of suitable sites.

#### 2.3.4 In-House overnight short break emergency provision:

The current in-house short break service offer provides no dedicated emergency bed capacity. The need for swift accessible placement provision to support families in crisis is placing pressures on current services. Analysis shows that Derbyshires requirement to provide emergency provision impacts upon the current use of Spire Lodge and reduces bed capacity by up to 26% per year (See appendix 2).

Children in receipt of overnight provision at Spire Lodge have complex needs, often with diagnosed challenging behaviours. These children and young people find it difficult to cope with any change, especially change at very short notice. The families also must manage and cope with the short notice change in overnight support. These factors place the whole family in increased risk of crisis and family breakdown.

Analysis and feedback suggests that disabled children and their families require significant levels of support from several different services when they are in crisis. The consultation will explore whether the development of a dedicated emergency provision will facilitate and support families to work through the crisis where possible without the child needing to be accommodated full time permanently; and support the family post crisis to continue to build their resilience and skills.

#### 2.3.5 The Outback service

The Outback service is a Care Quality Commission (“CQC”) registered provision that works closely with the disabled children’s social work team to provide a flexible and responsive service which meets the needs of Derbyshire’s young people and their families. This support is focused largely on the North of the county.

The types of support and intervention provided by The Outback service include statutory/court directed work such as supervised family time and parenting assessments, ‘short term pieces of work’ that support children in need and child protection plans and crisis support.

The Outback aims to provide young people and their families with bespoke, individual packages of support that are designed to achieve identified aims/outcomes, based upon assessed need. Offering this type of support in family homes, can be effective in supporting the prevention of family breakdown by empowering families to build their own skills and resilience. This type of intervention is consistent with the findings from recent service review and evidenced to be effective in preventing the need for more dependency developing care.

Consultation will explore the development of this service to be county wide and identify further possible high need support that could target and support families.

## 2.4 Derbyshire offer and short break statement

There is a statutory requirement to review and update the short break statement. There are opportunities to improve the transparency of assessment and communication of Derbyshire's offer to children and families, improving empowerment and independence as well as ensure services consider whole family and whole life support. This will be considered within the engagement and consultation process.

## 2.5 Challenges and opportunities

The key findings outlined above identify several challenges brought about by current and potential future demands on services. Services that are supporting some of our most complex children and families, improving outcomes and life-long opportunities. Challenges for services to support our disabled children to lead 'ordinary lives' through the improved independence and reduce dependency on Childrens and then Adult Services.

Future service provision has an opportunity to benefit from cultural and operational change, adapting service provision to the future needs of children with disability, families and communities across Derbyshire.

The opportunities identified above offer the potential to deliver services that support families and children with a whole family approach, ensure support packages provide a long-term view which enables families to be independent, supported and enabled. Empowering families and children by ensuring greater transparency of service provision and support.

## 3 Vision and principles

The future vision to be consulted upon seeks to:

- Strengthen whole families with a lifelong view, rather than simply providing 'care' for the children.
- Supporting independence.
- Increasing stability and resilience in families and communities to enable disabled children to continue to grow and develop within their own families and communities.
- Enable families to provide sustainable empowered care into adulthood as would be the case with any other child.

Service development needs to be responsive to children's and families changing needs. Consultation on any change needs to be carried out in

partnership with stakeholders to understand needs, engage service users and ensure transparency of future service delivery.

The lack of a strategic emergency placement provision and ageing provision at Spire Lodge has a significant impact on service delivery, staff, families, children and cost in Derbyshire. Current and future demand requires a flexible and dynamic high quality in-house overnight provision which is supported by a high need offer that provides targeted packages of support. This has potential to develop whole family resilience, prevent crisis as well as support out of crisis thus enabling county wide high need support that is responsive to need, engaging and empowering the whole family, and developing lifelong independence.

Opportunities currently being investigated and will require engagement and consultation with all stakeholders within the vision are identified below:

- The proposed vision for short break services
- The supporting short break statement
- Spire Lodge site replacement, redesign
  - possible flagship build that supports future service demands and family needs as well as provide emergency provision and crisis support). Closure of the Spire site, potential build opportunities at a number of different venues which require full investigation. Potential opportunities to develop links with local special school, share resources, skills and knowledge.
  - Consult and investigate options at the Ashbrook Site, opening a dialogue with Ashgate croft school.
- A flexible dynamic overnight short break bed offer, supporting independence, family resilience and meet our statutory responsibilities.
- Emergency overnight provision strategy
- Processes and assessment utilised by the disabled children's social work team, that support families to access services.
- Development of the outback services county wide and investigate other high need packages of support to reduce the risk of family breakdown and crisis.

Appendix 5 provides the outlined for the 12 weeks consultation plan

Appendix 6 illustrates current thinking regards a potential flexible dynamic home and potential flagship build strategy.

Further detail will be provided when these proposals are developed and shared with cabinet when appropriate.

#### **4 Financial Considerations**



Cabinet previously approved capital of £4.125M on the 26th January 2016 and 25th January 2018 to replace the Spire Lodge and Outback services and remains earmarked for any work on the services provided (Appendix 3).

Further detail will be provided when these proposals are developed and shared with cabinet when appropriate.

## **5 Legal and Human Rights Considerations**

Appendix 4 provides a summary overview of the relevant legislation that will be considered as any proposal is developed. Considerations will be made and shared with cabinet when appropriate.

There must be a participatory approach to commissioning decisions in relation to short breaks involving children, young people and families at every stage of the decision-making process. In addition to the Common Law duty to consult, S.19 of the Children and Families Act 2014 requires regard to the views, wishes and feelings of children, young people and their parents in decisions that affect them

## **6 Human Resources Considerations**

Any impact upon Derbyshire workforce will be investigated fully and reported as appropriate. Where staff engagement is referenced within this report, refers to engagement within any operational review and improvements that will improve outcomes for children and families.

Considerations will be made and shared with cabinet when appropriate.

## **7 Equality of Opportunity Considerations**

Development of all these proposals and potential Short Break developments will require extensive partnership working with families, children and providers to ensure their views are considered.

Any changes will consider and develop appropriate Equality Impact Assessments which will be shared with cabinet when appropriate.

## **8 Property Considerations**

PSP involvement in supporting the initial feasibility study of the Spire Lodge and Outback Site.

Any impact upon Derbyshire property assets will be investigated fully and reported in due course.

## **9 Other Considerations**

The vision outlined above requires significant engagement and consultation with a wide range of stakeholders to ensure future service delivery, the proposed consultation will provide a comprehensive picture of the service and the needs of service users which in turn, will enable clear decision making regarding further plans, by the Cabinet member.

Appendix 5 outlines a draft strategy for potential consultations that will continue to be developed. The purpose of this principles paper is to gain cabinet approval to move forward and develop further this vision and potential proposals with authorisation to carry out consultation and engagements as required to enable the service to report back to cabinet with final proposals and plans to realise the vision.

## **10 Key Decision?**

No

## **11 Is it necessary to waive the call-in period?**

No.

## **12 Executive Director's Recommendation**

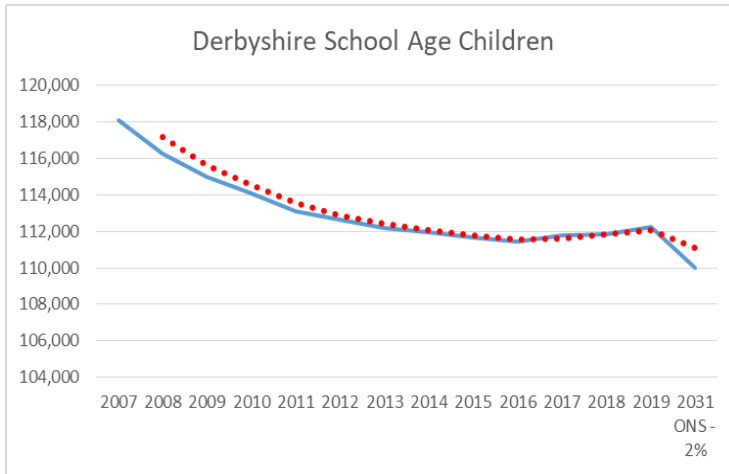
That Cabinet agrees:

1. key principles of the Community Offer Proposal
2. for the programme of consultation on proposals on all three areas, regarding the Council's short break strategy as detailed in the report to be commenced and the consultation outcomes and conclusion to inform and support the decision making as detailed below
3. Cabinet delegate all decision making of the service delivery arrangements to Cabinet Member and Executive Director of Children's Services.

**Jane Parfremment**  
**Executive Director for Children's Services**

## Appendix 1

A number of internal and external reviews, including consultation with parents and children has identified the following:

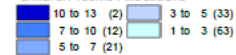


Population: The number of school age children is expected to reduce by 2% by 2031 as reported by the Office for National Statistics (ONS).

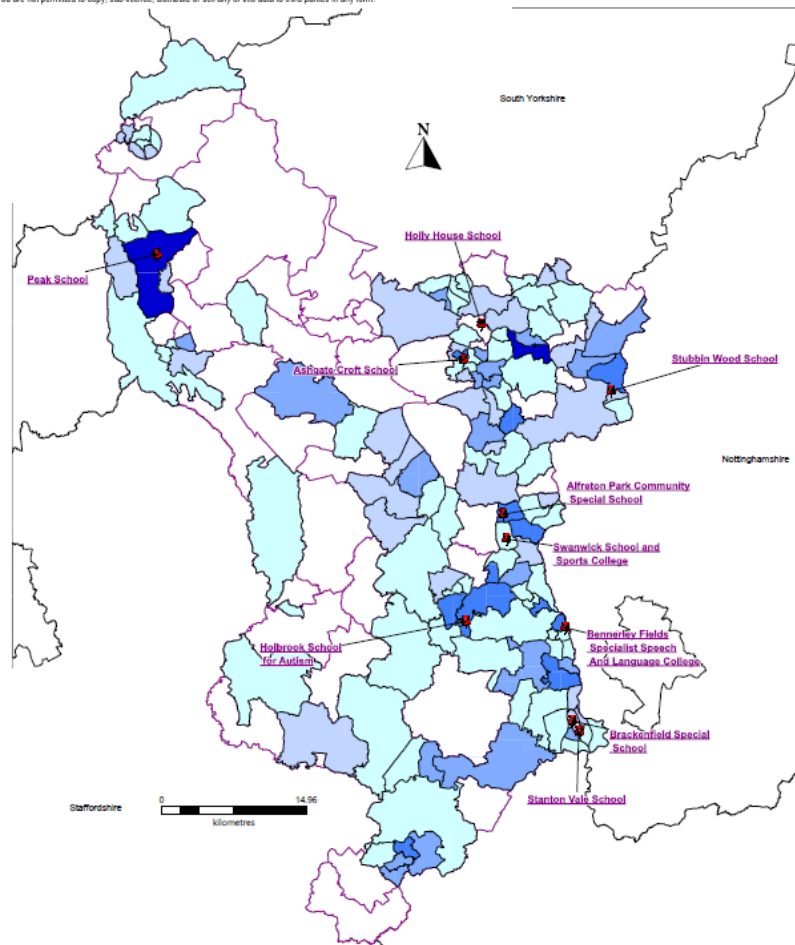


### Number of Disabled Children based in Derbyshire and Derbyshire Special Schools

This measure is based on the Social Care Disabled Children Teams Allocations



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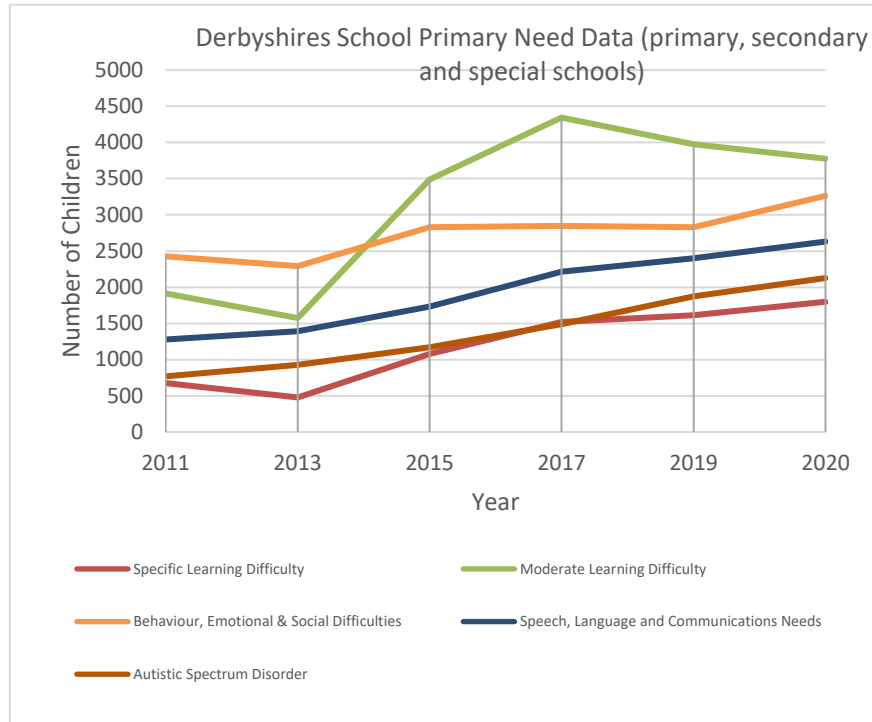


March 2020 a map of disabled children identified the strategic advantages of overnight short break service provision in areas with greatest potential demand.

This reflected the localities of the current in house provision high peak (Peak Lodge), Chesterfield / Bolsover and North East (Spire Lodge) and Amber Valley / Erewash (The Getaway)

SEND primary needs: By reviewing the annual SEND return from schools the variation in primary needs over time for children and young people in Derbyshire reflects the national trends.

Future pressures on Childrens Services, Derbyshire data show only gradual increase or decreases in key diagnosis.



Families when spoken to request more community-based support to build whole family resilience, lifelong support, information and peer support. This reflected feedback from services, previous studies and consultations.

Operationally it was reported that over recent years pressures on services had changed towards individuals with more complex needs and challenging behaviours.

Public engagement took place during Spring term 2020 including the following results.

### Summary of Parent Feedback

Group activities, PA's and one to one support came out as the most needed type of short break.

Parents want their children to experience new things, grow in confidence and make friends outside of the family unit.

Parents are clear that short breaks are needed in school holidays and weekends and that they need to be held locally and at appropriate times or funding is in place to pay for PA's or one to one support to take them further afield.

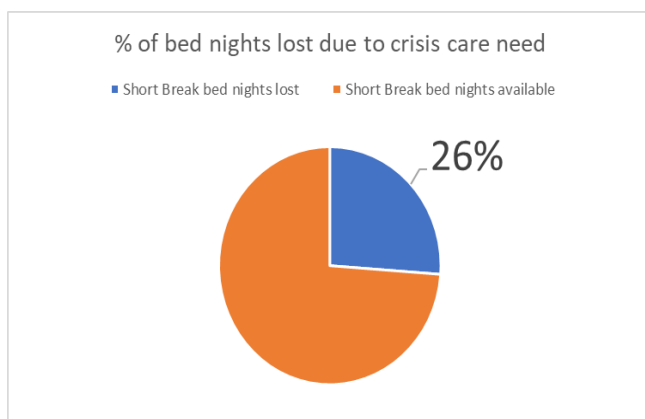
Parents feedback that there is a lack of information regarding short breaks, funding and access criteria / frameworks. Parents feel this is due to poor websites, lack of advertising and the lack of knowledge within schools or social care.

- Meetings with Support Groups and Parents - 5 support groups arranged by four different organisations across the County and met with 32 parents and carers.
- Short Break Survey Children and Young People - 25 responses
- Short Break Survey Adults - 31 responses

## Appendix 2

### Emergency Care provision for children with disabilities

Spire Lodge is utilised as a crisis home for children with disabilities. Emergency provision requires the immediate cancellation of some or all short breaks offered to young people and families at Spire Lodge. During these times of cancellation, the families are offered alternative provision with reduced nights and community outreach support.



The impact of a crisis placement at Spire Lodge are summarised below.

Between April 2018 and November 2020 26% of **all** short break bed nights were lost due to emergency / crisis provision.

Impact on families / young person creating an increased risk of crisis.

- Increase in challenging behaviour
- Parents/carers not able to spend quality time with other siblings or partners putting strain on family relationships
- Parent/carers felt that they had no rest from the '24hr' care they gave their child.

Challenges felt by staff

- Change of use in the home for particularly challenging individuals
- Supporting families and children with changes in routine and support

Costs

- Private companies with specialist skills are brought in to support the individual
- Staff expenses, different methods of service delivery

Regulatory

- Dialogue with Ofsted regarding registration

### Appendix 3

26 01 2016 Cabinet extract

Spire Lodge - £1.9m Spire lodge is the poorest facility the Authority has that houses children with Disabilities. It has a backlog of maintenance in excess of £150,000. Further improvements would be required to meet current standards but there would remain fundamental deficiencies. This project is to provide a purpose built facility on a new site.

<https://www.derbyshire.gov.uk/site-elements/documents/pdf/council/meetings-decisions/meetings/cabinet/26-1-2016-prudential-code.pdf>

25 01 2018 Cabinet extract

Spire Lodge - £2.225m

The existing facilities at The Outback and Spire Lodge are not suitable to meet the need of disabled children with more challenging behaviour and complex needs. The proposed integrated Spire Lodge facility will enable children with these needs to be accommodated by the authority. Children with these needs are currently placed in private, often out of county facilities, that can cost up to £500,000 per child. Typically, these children require support packages costing £300,000-£350,000 per year. This scheme will deliver cost efficiencies. The two additional high needs long term beds will generate an annual saving to the authority of £200,000. A business case demonstrating this is being finalised.

<https://www.derbyshire.gov.uk/site-elements/documents/pdf/council/meetings-decisions/meetings/cabinet/2018-01-25-prudential-code.pdf>

## **Appendix 4 Legal Summary**

### **Chronically Sick and Disabled Persons Act 1970 section 2(4)**

Short breaks to be provided where an assessment shows that they are 'necessary' to meet the child's needs.

Once deemed necessary Local Authority has a duty to provide an appropriate level of support to meet those needs, this can be discharged through direct payments.

'Direct payments should be sufficient to enable the recipient lawfully to secure a service of a standard that the council considers is reasonable to fulfil the needs for the service to which the payments relate'.

### **Children Act 1989 (para 6)**

Sets out a range of support services including the right to permanent or temporary residential accommodation, if a child needs it. It forms the legal basis for residential short breaks.

Section 20(1) relates to 'specific' duty to residential short breaks where families are at crisis point.

Section 17(6) or section 20(4) references 'not specific duty' to residential short breaks for non-crisis families.

'Direct payments cannot be used to purchase prolonged periods of residential care (being capped at a maximum of four consecutive weeks in any period of 12 months)'.

Definition of 'disabled' in section 17(11), which reads as follows; 'a child is disabled if he is blind, deaf or dumb or suffers from mental disorder of any kind or is substantially and permanently handicapped by illness, injury or congenital deformity.' para 6 of schedule 2 provides that (emphasis added):

'(1) Every local authority shall provide services designed—

(a) to minimise the effect on disabled children within their area of their disabilities;

(b) to give such children the opportunity to lead lives which are as normal as possible; and

(c) to assist individuals who provide care for such children to continue to do so, or to do so more effectively, by giving them breaks from caring.'

### **Education Act 1996 section 507A**

Requires local authorities to secure 'sufficient recreational leisure-time activities which are for the improvement of their well-being' for 13-25 year olds with learning difficulties or disabilities, subject to the same 'so far as reasonably practicable' qualification as found in regulation 4 of the 2011 Regulations.

### **Human Rights Act 1998 section 6**

It is unlawful for a public body (including a local authority) to breach the rights enjoyed by their residents under the European Convention on Human Rights ('ECHR'). These rights include the right to be free from inhuman and degrading treatment (Article 3 ECHR) and the right not to be discriminated against in the enjoyment of the other Convention rights (Article 14 ECHR).



### **Children Act 2004**

Refers to the need both to safeguard and to promote children's welfare.

Section 11 of the 2004 Act will therefore be relevant to all commissioning decisions in relation to short breaks, in particular the level of budget to be allocated to this area compared with other areas

### **Children and Young Persons Act 2008 section 25**

'to assist individuals who provide care for [disabled] children to continue to do so, or to do so more effectively, by giving them breaks from caring.'

### **Equality Act 2010**

Section 149 local authorities of the needs specified under the 'public sector equality duty' PSED when budgets are set and commissioning decisions are taken.

'Due regard' to a series of needs, specifically being the need to:

- Eliminate discrimination
- Advance equality of opportunity; and
- Foster good relations between different groups.

### **The Breaks for Carers of Disabled Children Regulations 2011**

1 Requires local authorities to have regard to the needs of carers: **Regulation 3**

(a) at crisis point, and

(b) who would be able to provide care for their disabled child more effectively if breaks from caring were given to them to allow them to:

(i) undertake education, training or any regular leisure activity,

(ii) meet the needs of other children in the family more effectively, or

(iii) carry out day to day tasks which they must perform in order to run their household'

2 Local Authority Sufficiency Duty: **Regulation 4**

'provide, so far as is reasonably practicable, a range of services which is sufficient to assist carers to continue to provide care or to do so more effectively'

Sufficiency duty, a local authority must know:

- the population of disabled children in its area and the level of need in that population, and
- the level of service currently available to meet that need.

Informed by this evidence the local authority must exercise its judgment as to whether the range of services is:

'sufficient to assist carers to continue to provide care or to do so more effectively'.

Range of services must include:

- day-time care in the homes of disabled children or elsewhere
- overnight care in the homes of disabled children or elsewhere
- educational or leisure activities for disabled children outside their homes
- services available to assist carers in the evenings, at weekends and during the school holidays.

3 'short breaks services statement' **Regulations 5**

This is an important aid to transparency and fairness in the allocation of short breaks. Through the statement, families should know:

- the range of services provided
- any eligibility criteria
- how the range of services is designed to meet the needs of carers in [the] area.

The statement must also show that the sufficiency duty imposed by Regulation 4 has been met – by setting out:

‘how the range of service is designed to meet the needs of carers in [the] area’.  
‘updated when necessary, for example when any eligibility criteria change’

**Section 8** gives local authorities a power to assist any person who provides childcare, including by providing financial assistance. This would allow local authorities to meet the additional costs of childcare for disabled children, where these go beyond what providers ought to accommodate under their reasonable adjustment’s duty under the Equality Act 2010.

#### **Children and Families Act 2014.**

Section 5 local authorities to shape the market in services in their area. As such local authorities must take steps to ensure there is a sufficient supply of short breaks to meet local need as disabled young people transition to adulthood.

Section 27 ‘process duty’ adds is a clear requirement to consider the question of sufficiency in all relevant decision making, including budget setting.

Section 36 - EHC assessment, advice being obtained in relation to the child’s social care needs

Transition to adult hood - A number of duties to carry out transition assessments, including duties in relation to children and their family carers as the child approaches their 18th birthday. Important duties to ensure the continuation of provision from children’s services until the full process of transition under the Care Act 2014 has been completed.

Section 19 requires regard to the views, wishes and feelings of children, young people and parents in decisions which affect them.

#### **UN Convention on the Rights of the Child (‘UN CRC’) 1989 and the UN Convention on the Rights of Persons with Disabilities (‘the Disability Convention’) 2006.**

Important for local authorities to keep in mind:

- the duty to ensure that disabled children’s best interests are treated as a primary consideration in all decisions which affect them
- children’s rights to age-appropriate play, leisure and recreational activities
- the right to support for disabled children’s full inclusion and participation in the community

## Appendix 5

### Draft Short Break Services and potential 12 weeks consultation strategy Consultation and engagement planning template

#### 1. Introduction and overview

During 2021 the Short Break programme of service developments will require consultation and engagement on a number of interdependent proposals.

These include:

- The proposed vision for short break services
  - Clear direction, provide transparency
- The supporting short break statement
  - Statutory duty
- Spire Lodge site replacement, redesign
  - possible flagship build that supports future service demands and family needs as well as provide emergency provision and crisis support). Closure of the Spire site, potential build opportunities at a number of different venues which require full investigation. Potential opportunities to develop links with local special school, share resources, skills and knowledge.
  - Consult and investigate options at the Ashbrook Site
- A flexible dynamic overnight short break bed offer
  - supporting independence, family resilience and meet our statutory responsibilities.
- Emergency overnight provision strategy
  - Solution to a gap in service identified
- Processes and assessment utilised by the disabled children's social work team, that support families to access services.
  - Improve transparency and whole family involvement within decision making
- Develop the outback services county wide and investigate other high need packages of support to reduce the risk of family breakdown and crisis.
  - Solution to a gap in service provision identified and potential additional high need support services

#### 2. Timescales

Considering the number of consultations proposed and the amount of interdependence of the individual items it is proposed that all these are consulted on at the same time. The benefits of this approach are that any work groups and forums that are accessed or set up can benefit all areas being investigated. Due to the level of interdependency between the issues it would be more challenging and difficult to develop and understand by holding these items in separation. E.g the overnight provision will be impacted by decisions on the emergency provision and additional high need support and this will impact upon how any flexible bed model many work, this in turn will influence any supporting assessment and referral procedures and will need to be communicated through the short break statement.

Currently timescales for 2021 are to consult during Summer term and report any findings and proposals to cabinet in the Autumn term.

### 3. Resourcing and co-ordination / Stakeholder identification

Section		Methods	Stakeholders
In house overnight short break	Currently 3 homes across Derbyshire <ul style="list-style-type: none"> <li>• Service delivery</li> <li>• Potential flagship build replacement for Spire lodge</li> </ul>	<ul style="list-style-type: none"> <li>• Public consultation, <ul style="list-style-type: none"> <li>○ Targeted</li> <li>○ General</li> </ul> </li> <li>• Staff engagement,</li> </ul>	<ul style="list-style-type: none"> <li>• Families with a disabled child</li> <li>• Children with disability</li> <li>• Parent carer voice</li> <li>• Parent groups</li> </ul>
Outback service	Targeted high-level family support		<ul style="list-style-type: none"> <li>• Private voluntary and independent providers</li> </ul>
High need support	Preventative support reducing family crisis etc	<ul style="list-style-type: none"> <li>• Forum</li> </ul>	<ul style="list-style-type: none"> <li>• Derbyshire Staff <ul style="list-style-type: none"> <li>○ Social care team</li> <li>○ Operational teams of current service</li> <li>○ Wider Children's Service's</li> </ul> </li> </ul>
Short Break statement	Statement and wider offer to disabled children and families	<ul style="list-style-type: none"> <li>• Task groups</li> </ul>	
Derbyshire's offer to families	Graduated response, assessment, support		<ul style="list-style-type: none"> <li>• Public</li> <li>• Local communities</li> </ul>
Social care	Statutory assessment, transparency, linkage to high needs support		

#### 4. Stakeholder identification and mapping continued

Groups consulted	Consultation method						Communications					
	Public consultation:	*Targeted – service users	*General – wider public	Staff engagement	Forum	Task groups	DCC Website	Social media channels	Local Offer website	Internal communications	Partner publications / Communications	
Families with a disabled child		x	x		x	x	x	x	x		x	
Children with disability		x	x		x	x	x	x	x		x	
Parent carer voice		x	x		x	x	x	x	x			
Parent groups		x	x		x	x	x	x	x		x	
Private voluntary and independent providers		x	x		x	x	x	x	x		x	
Derbyshire Staff												
o Social care team				x	x	x				x		
o Operational teams of current service				x	x	x				x		
o Wider Children’s Service’s				x						x		
o Education (Schools – Ashgate Croft)				x								
Public			x				x	x				
Local communities			x				x	x				

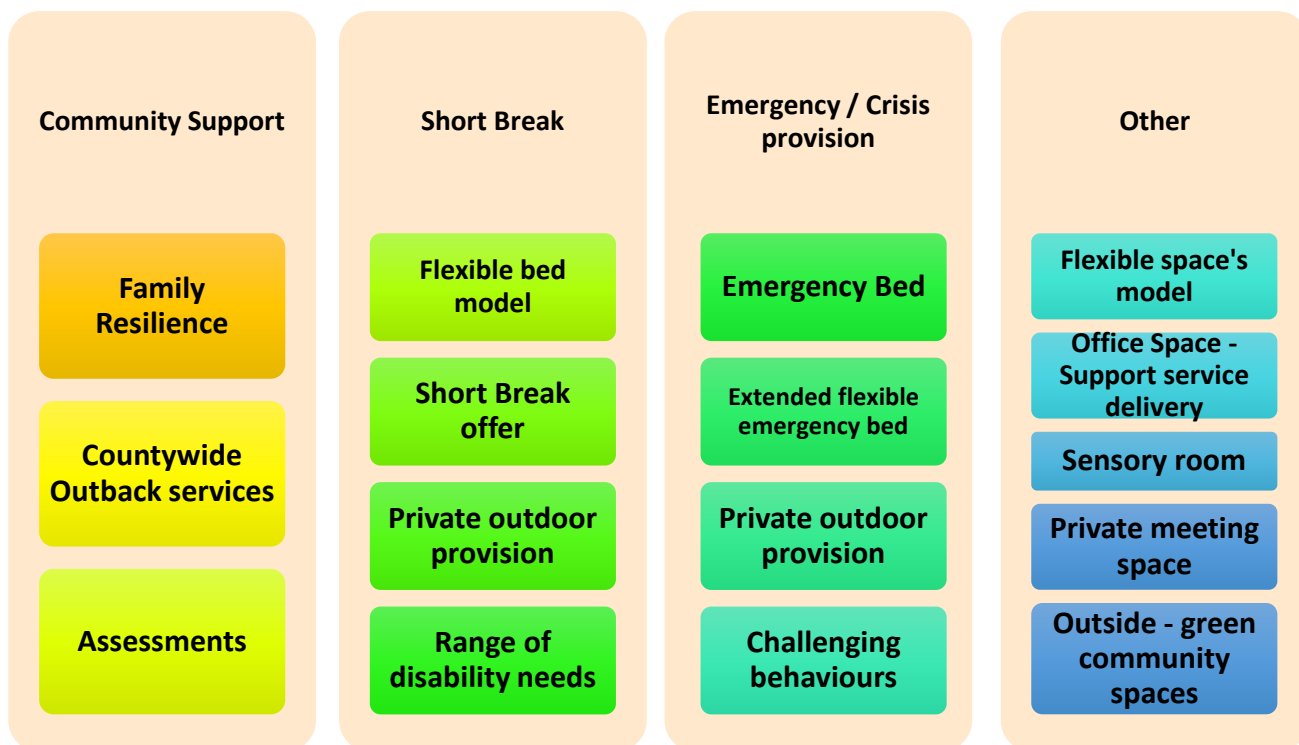
#### 5. Equalities

Full Equality Impact Assessments will be developed

#### 6. Monitoring and review

Regular checks will be made throughout the year with update, changes and recommendations passed via the Short Break Board group and communicated as and when required

Appendix 6  
Potential flexible dynamic home



## Potential flagship build strategy



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Author: Iain Peel (32750)

Agenda Item No.6(y)

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**11 March 2021**

**Joint Report of the Executive Director for Children's Services and  
Director of Legal Services**

**Report on the COVID19 Testing Programmes in Maintained Schools with  
Secondary Aged Children (A) and Primary Aged Children (Including  
Maintained Nursery Schools) (B)**

**1. Purpose of the Report**

To report to Cabinet about the arrangements for COVID19 testing programmes in maintained schools with secondary aged children and schools with primary aged children, and maintained nursery schools.

**2. Information and Analysis**

**(A)** On 15 December 2020, the Department for Education (DfE) announced their intention to introduce a staff and student COVID-19 testing programme for schools with secondary age children. Over the Christmas period 2020, the DfE released more information about the programme and with an introduction date of week beginning 4 January 2021 if schools were ready to do so. The purpose of the voluntary programme was to identify asymptomatic staff or students and to require them to self-isolate which in turn will reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City).

The testing programme is a supervised self-administered programme which is to be undertaken on school sites. Whilst the

DfE provided support materials and webinars for schools, Derbyshire County Council initially advised maintained schools with secondary age students to pause the introduction of the programme pending:

- clarification with regards to insurance since maintained schools had no insurance in place for this activity (self-administered tests and administered swabbing), a significant excess falls to Derbyshire County Council to cover in the event of a claim. Similarly, there is no insurance cover in place for Derbyshire maintained schools in the event of a data protection breach and, therefore, this posed a further financial risk to the Council;
- whilst the DfE guidance includes provision for serial testing to release pupils from self-isolation, the legislation does not currently allow for direct contacts to cease isolation if they are taking part in serial testing, therefore, the legal basis was not clear.

The Council has sought to mitigate these risks completely by seeking a letter of comfort from the Department for Education which replicates the indemnities provided in the letter of comfort received from the Secretary of State for Health when the Council commenced community testing, however, this has not been forthcoming. Whilst the DfE has still not provided a letter of comfort, the Council will continue to pursue the Department for Education for this. Further enquiries are also being made to establish whether the letter of comfort received from the Secretary of State for Health for community testing extends to testing in schools.

In the meantime, the Council has balanced the current risk and in consideration of the attached risk assessment has determined that the public health risk of not approving the roll out of self-administered testing in Derbyshire County Council maintained schools with secondary age students outweighs the financial risk of proceeding with the testing. This was agreed by Corporate Management Team (CMT) on 18 January 2021 (**Appendix A**).

- (B)** On 18 January 2021, the DfE launched several webinars for schools with primary age children to learn about the government's strategy for primary school staff testing, including testing of staff in maintained nursery schools. This is a home testing strategy whereby staff use tests twice per week at home and not on a school site. No primary age children should be tested although the government is investigating whether this should be extended to primary age children at some time in the future. The purpose of this testing strategy is to identify asymptomatic staff and to require them to take a confirmatory

PCR COVID-19 test to determine whether they are carrying the virus, which in turn will reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City).

During the week of 18 January 2021, the DfE released training materials and guidance for primary schools and testing kits were dispatched to schools to start testing from the week beginning 25 January 2021 if they were ready to do so. After initially advising primary schools to pause whilst the Council further investigated issues such as insurance, staff and pupil isolation, human resources issues in schools, the recommendation was that schools start when they are ready to do so.

The testing must be carried out in accordance with DfE guidance, standard operating procedures and mitigation measures set out in the risk assessment.

Derbyshire's maintained schools now have insurance in place for this activity (self-administered tests), although there is a significant excess which falls to the Council to cover in the event of a claim. The Council needs to balance the risk of claims (financial and reputational) against the risk of not proceeding with the testing (public health and reputational).

There is no insurance cover in place for Derbyshire's maintained schools with primary age children in the event of a data protection breach and, therefore, this poses a further financial risk to the Council.

As with secondary school testing the Council has sought to mitigate these risks completely by seeking a letter of comfort from the Department for Education, this has similarly not been forthcoming.

In the meantime, the Council has balanced the current risk and in consideration of the attached risk assessment has determined that the public health risk of not approving the roll out of self-administered testing for staff in Derbyshire's maintained primary schools and maintained bursary schools outweighs the financial risk of proceeding with the testing. This was agreed by CMT on 1 February 2021 (**Appendix B**).

### **3. Financial Considerations**

The costs associated with the testing of children in school will be met from either government, through the supply of testing kits, or the school

themselves in terms of the administration of the tests. The liability which may fall on the council, rather than schools delegated budgets, is associated with any potential claim against the excess that exists on its insurance policy. Whilst the excess per claim is £1m it is not considered likely that any liability that may arise would be significant due to the self-administered nature of the testing approved within this report.

#### **4. Legal Considerations.**

The Council's Constitution provides that "notwithstanding any other provision of the Constitution, Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee."

The Improvement and Scrutiny Procedure Rules states:

"13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council's or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and, therefore, not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency. Decisions taken as a matter of urgency should be reported to the next available meeting of the Council, together with the reasons for urgency."

The Council has powers in accordance with S1-6 of the Localism Act 2011 to do that which will be to the 'benefit of the authority, its area or persons resident or present in its area.' The proposed benefit of this action is to identify those pupils identifying as asymptomatic whilst having Covid-19 and to thereby reduce the spread of the infection.

It is important that schools process the data related to this exercise appropriately and undertake their roles as Data Controllers effectively. The DfE have been asked to provide their Data Privacy Impact Assessment and their Legitimate Interest Assessment so that these can be shared with schools to assist in their own assessments relating to data privacy.

Schools are also being supported in relation to the effective use of consent forms to ensure that consent is appropriately obtained and that information necessary to support safe testing is available at the point of testing.

In relation to the secondary school testing it is proposed that only the self – administered testing should commence initially. It is acknowledged that some persons with protected characteristics may not therefore be able to benefit from the education-based testing regime which may in turn impact adversely on their access to educational provision. The council continues to seek clarification from the Department for Education in relation to the procedures to be employed to administer the testing safely and to identify processes by which all children and staff can benefit from testing. The council is aware that some school leaders have expressed concerns as to how their staff and volunteers are able to administer the tests safely and do not consider they are in a position to commence any other than self- administered testing at present.

An equalities impact assessment should be undertaken promptly to ensure that any adverse impact can be fully identified, and mitigations put in place.

## **5. Human Resource Considerations**

Testing will be made available to all staff members in school. However, it is purely voluntary whether staff wish to participate in the testing or not and therefore is not a condition of their employment.

For schools with secondary age children, the DfE guidance advised them to assemble a team to carry out the testing consisting of seven different roles. Four roles to be performed by existing school staff and the remaining roles resourced from volunteers from the wider school community or agencies where required. Maintained schools have been advised of appropriate rates of pay where remuneration is required for undertaking a role.

For schools with primary age children and Nursery Schools, the DfE identified two roles within school to support the testing programme of 'COVID-19 Co-ordinator and 'Registration Assistant'. The guidance envisaged that as the burden to support testing was much lighter than in secondary schools the responsibilities could be fulfilled as part of existing roles already within the school and could be performed by one person.

The DfE have made training available to staff in schools with primary age children and Nursery Schools on how to carry out the lateral flow test

correctly which staff will need to access prior to commencing the testing which is designed to be undertaken at home.

All members of the 'testing team' in schools with secondary age children will need to have completed the training before commencing their role in the testing.

**6. Executive Director's Recommendation**

It is recommended that Cabinet receive the report and note the decision to progress with self – administered COVID-19 testing in maintained schools with secondary age students for staff and pupils and maintained schools with primary age children and maintained nursery schools (staff only).

**Jane Parfremment  
Executive Director  
Children's Services**

**Helen Barrington  
Director of Legal Services**

**DERBYSHIRE COUNTY COUNCIL  
OFFICER DECISION AND DECISION REVIEW RECORD**

Officer: Jane Parfremment <i>*For emergency powers, this would be the Executive Director</i>		Service: Children's Services
Delegated Power Being Exercised: <i>*The delegation detailed in the Constitution to the specified officer or emergency powers</i>		
Subject of Decision: (i.e. services affected)	Lateral Flow Device (LFD) Testing in DCC Schools	
Is this a review of a decision? If so, what was the date of the original decision?	No	
Key decision? If so have Democratic Services been notified?	No	
Decision Taken (specify precise details, including the period over which the decision will be in place and <b>when it will be (further) reviewed</b> ):	<p>To provide schools with secondary age students which are maintained by DCC with authority to proceed with general asymptomatic twice weekly self-administered LFD testing for students and staff without waiting for the DfE letter of comfort.</p> <p>The testing can start with immediate effect and must be carried out in accordance with DfE guidance, standard operating procedures and mitigation measures set out in the attached risk assessment.</p> <p>To be reviewed in two weeks to review incidents and processes.</p>	
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	<p>To identify asymptomatic staff or students and to require them to self-isolate which in turn will reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City). Pilots have shown how rapid testing can be used effectively and have positive impacts in schools and colleges.</p>	

<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>Continue to advise the schools to wait for DfE letter of comfort until testing can commence.</p> <p>This could lead to:</p> <ul style="list-style-type: none"> <li>• Internal political reputational damage</li> <li>• schools starting to test anyway without DCC control or guidance</li> <li>• increased spread of COVID within school settings</li> <li>• increased risk of teacher's absence</li> <li>• lessons impacted</li> <li>• Academies seen as on front foot</li> </ul> <p>The risk of delaying LFD testing is slightly higher than the risks associated with testing.</p> <p>To support daily LFD testing of pupils in England, specifically to prevent whole year groups from having to self-isolate (serial testing). However, this is not proposed for the following reasons:</p> <ul style="list-style-type: none"> <li>• LFD tests are not licensed for serial testing in this way by the regulator (the Medicines and Healthcare Products Regulatory Agency)</li> <li>• Whilst the DfE guidance includes provision for serial testing to release pupils from self-isolation, the legislation does not currently allow for direct contacts to cease isolation if they are taking part in serial testing, therefore the legal basis is not clear</li> <li>• From a Public Health perspective – PHE East Midlands has confirmed that direct contacts in school settings should not be advised to stop self-isolating if they are participating in serial LFD testing</li> <li>• NHS Test and Trace are offering the correct advice in relation to self-isolation as the national public health guidance stands and within the current legislative/legal context</li> </ul>
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<p>Has a risk assessment been conducted ?- if so what are the potential adverse impacts identified and how will these be mitigated?</p>	<div data-bbox="810 192 874 259" data-label="Image"> </div> <div data-bbox="734 259 954 320" data-label="Text"> <p>2021 01 10 LFT School Setting Risk .</p> </div> <div data-bbox="722 369 1385 728" data-label="Text"> <p>DCC schools have insurance in place for this activity (self-administered tests and administered swabbing), although there is a significant excess which falls to DCC to cover in the event of a claim. The Council needs to balance the risk of claims (financial and reputational) against the risk of not proceeding with the testing (public health and reputational).</p> </div> <div data-bbox="722 766 1393 922" data-label="Text"> <p>There is no insurance cover in place for DCC schools in the event of a data protection breach and therefore this poses a further financial risk to the Council.</p> </div> <div data-bbox="722 963 1372 1599" data-label="Text"> <p>The Council has sought to mitigate these risks completely by seeking a letter of comfort from the Department for Education which replicates the indemnities provided in the letter of comfort received from the Secretary of State for Health when the Council commenced community testing, however this has not been forthcoming. The Council will continue to pursue the Department for Education for the letter of comfort. Further enquiries are also being made to establish whether the letter of comfort received from the Secretary of State for Health extends to testing in schools.</p> </div> <div data-bbox="722 1637 1390 1957" data-label="Text"> <p>In the meantime, the Council has balanced the current risk and in consideration of the attached risk assessment has determined that the public health risk of not approving the roll out of self-administered testing in DCC secondary schools outweighs the financial risk of proceeding with the testing.</p> </div>
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<p>Would the decision normally have been the subject of consultation with service users and the public? If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>No</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>It is proposed that only the self – administered testing should commence initially. It is acknowledged that some persons with protected characteristics may not therefore be able to benefit from the education based testing regime which may in turn impact adversely on their access to educational provision. The council continues to seek clarification from the Department for Education in relation to the procedures to be employed to administer the testing safely and to identify processes by which all children and staff can benefit from testing. The council is aware that some school leaders have expressed concerns as to how their staff and volunteers are able to administer the tests safely and do not consider they are in a position to commence any other than self- administered testing at present. An equalities impact assessment should be undertaken to ensure that any adverse impact can be fully identified and mitigations put in place.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial, Equality and other considerations as required))</p>	<p>On 15 December 2020, the Government announced that in order to safeguard the health of the teaching workforce and keep as many staff and pupils in school and college as possible, it would be making rapid-result coronavirus (COVID-19) tests available to schools and colleges at the beginning of 2021.</p> <p>The Government has already commenced the provision of rapid-result testing kits and PPE to secondary schools and FE colleges:</p>

	<ul style="list-style-type: none"> <li>•for the school and college workforce to conduct weekly testing - this will help identify those who are carrying the virus without displaying symptoms, reducing the risk of transmission</li> <li>•for students and pupils as well as the school and college workforce to conduct daily testing for those identified as close contacts of workforce colleagues, students or pupils that have tested positive - this ensures that they do not need to isolate and are able to continue to benefit from high quality, face to face education</li> </ul> <p>It is not a mandatory requirement for schools to carry out testing, but secondary schools and colleges are strongly encouraged to participate to reduce the risk of transmission of the virus.</p> <p>A testing handbook has been issued to support the testing programme.</p> <p><b>Legal considerations</b></p> <p>The Council’s Constitution provides that “notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee.”</p> <p>The Improvement and Scrutiny Procedure Rules states:</p>
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	<p>“13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council’s or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency. Decisions taken as a matter of urgency should be reported to the next available meeting of the Council, together with the reasons for urgency.”</p> <p>The Council has powers in accordance with S1-6 of the Localism Act 2011 to do that which will be to the ‘benefit of the authority, its area or persons resident or present in its area.’ The proposed benefit of this action is to identify those students identifying as asymptomatic whilst having Covid-19 and to thereby reduce the spread of the infection.</p> <p>An equalities impact assessment should be undertaken in order to identify those persons with protected characteristics who may be impacted by limiting the testing regime to self-administered testing only. This decision should be reviewed in the light of the EIA to ensure that all appropriate mitigations have been considered and implemented.</p> <p>It is important that schools process the data related to this exercise appropriately</p>
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	<p>and undertake their roles as Data Controllers effectively. The DfE have been asked to provide their Data Privacy Impact Assessment and their Legitimate Interest Assessment so that these can be shared with schools to assist in their own assessments relating to data privacy.</p> <p>Schools are also being supported in relation to the effective use of consent forms to ensure that consent is appropriately obtained and that information necessary to support safe testing is available at the point of testing.</p> <p><b>Financial Considerations</b></p> <p>The costs associated with the testing of children in school will be met from either Government, through the supply of testing kits, or the school themselves in terms of the administration of the tests. The liability which may fall on the council, rather than schools delegated budgets, is associated with any potential claim against the excess that exists on it's insurance policy. Whilst the excess per claim is £1m it is not considered likely that any liability that may arise would be significant due to the self-administered nature of the testing approved within this report.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussed and agreed with Councillor Dale on 19 January 2021.</p>
<p>Approval of Chair of appropriate Improvement and Scrutiny Committee where call in is intended to be waived – please note this is obligatory in those circumstances</p>	<p>Councillor Musson, Chair of Improvement and Scrutiny Committee – People has approved the waiver of the call in due to the urgent nature of the decision required.</p>
<p>Decision:</p>	<p>Approved</p>
<p>Signature and Date:</p>	



21<sup>st</sup> January 2021

**DERBYSHIRE COUNTY COUNCIL  
OFFICER DECISION AND DECISION REVIEW RECORD**

Officer: Jane Parfremment <i>*For emergency powers, this would be the Executive Director</i>		Service: Children's Services
Delegated Power Being Exercised: <i>*The delegation detailed in the Constitution to the specified officer or emergency powers</i>		
Subject of Decision: (i.e. services affected)	Lateral Flow Device (LFD) Testing staff at DCC maintained Primary Schools and maintained nursery schools	
Is this a review of a decision? If so, what was the date of the original decision?	No	
Key decision? If so have Democratic Services been notified?	No	
Decision Taken (specify precise details, including the period over which the decision will be in place and <b>when it will be (further) reviewed</b> ):	<p>To provide primary schools and nurseries which are maintained by DCC with authority to proceed with general asymptomatic twice weekly self-administered LFD testing for staff without waiting for the DfE letter of comfort.</p> <p>The testing can start with immediate effect and must be carried out in accordance with DfE guidance, standard operating procedures and mitigation measures set out in the attached risk assessment.</p> <p>To be reviewed in two weeks to review incidents and processes.</p>	
Reasons for the Decision (specify all reasons for taking the decisions including where necessary reference to Council policy and anticipated impact of the decision) Where the decision is subject to statutory guidance please state how this has been taken into consideration.	<p>To identify asymptomatic staff and to require them to self-isolate which in turn will reduce the spread of the virus, protect the most vulnerable and drive down the infection rate across the county area of Derbyshire (excluding Derby City). Pilots have shown how rapid testing can be used effectively and have positive impacts in schools and colleges.</p>	

<p>Alternative Options Considered (if appropriate) and reasons for rejection of other options</p>	<p>Continue to advise the schools to wait for DfE letter of comfort until testing can commence.</p> <p>This could lead to:</p> <ul style="list-style-type: none"> <li>• Internal political reputational damage</li> <li>• schools starting to test anyway without DCC control or guidance</li> <li>• increased spread of COVID within school settings</li> <li>• increased risk of teacher's absence</li> <li>• lessons impacted</li> <li>• Academies seen as on front foot</li> </ul> <p>The risk of delaying LFD testing is slightly higher than the risks associated with testing.</p>
<p>Has a risk assessment been conducted ?- if so what are the potential adverse impacts identified and how will these be mitigated?</p>	<p>DCC schools have insurance in place for this activity (self-administered tests), although there is a significant excess which falls to DCC to cover in the event of a claim. The Council needs to balance the risk of claims (financial and reputational) against the risk of not proceeding with the testing (public health and reputational).</p> <p>There is no insurance cover in place for DCC schools in the event of a data protection breach and therefore this poses a further financial risk to the Council.</p> <p>The Council has sought to mitigate these risks completely by seeking a letter of comfort from the Department for Education which replicates the indemnities provided in the letter of comfort received from the Secretary of State for Health when the Council commenced community testing, however this has not been forthcoming. The Council will continue to pursue the Department for Education for the letter of</p>



	<p>comfort. Further enquiries are also being made to establish whether the letter of comfort received from the Secretary of State for Health extends to testing in schools.</p> <p>In the meantime, the Council has balanced the current risk and in consideration of the attached risk assessment has determined that the public health risk of not approving the roll out of self-administered testing for staff in DCC primary schools and early years settings outweighs the financial risk of proceeding with the testing.</p>
<p>Would the decision normally have been the subject of consultation with service users and the public? If so, explain why this is not practicable and the steps that have or will be taken to communicate the decision</p>	<p>No</p>
<p>Has any adverse impact on groups with protected characteristics been identified and if so, how will these be mitigated?</p>	<p>The proposed tests will be made available to all staff.</p>
<p>Background/Reports/Information considered and attached (including Legal, HR, Financial, Equality and other considerations as required))</p>	<p><b>Legal considerations</b></p> <p>The Council’s Constitution provides that “notwithstanding any other provision of the Constitution Strategic Directors shall have the power, after discussion, if practicable, with the Leader of the Council or the relevant Cabinet Member or Chair, to take such actions deemed to be necessary and expedient in matters requiring urgent consideration and which, because of the timescale involved, or the need to safeguard the interests of the County Council, cannot</p>

	<p>be dealt with by submission to the next following meeting of the Council, Cabinet, Cabinet Member or Committee.”</p> <p>The Improvement and Scrutiny Procedure Rules states:  “13(6) The call-in procedure set out above shall not apply where the decision being taken by Cabinet is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the Council’s or the public interest. All reports recommending that decisions be taken should say whether or not it is proposed that call-in be waived. The record of the decision, and notice by which it is made public, shall state whether in the opinion of the decision-making person or body, the decision is an urgent one, and therefore not subject to call-in. The Chairman of the appropriate Improvement and Scrutiny Committee should agree both the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency. Decisions taken as a matter of urgency should be reported to the next available meeting of the Council, together with the reasons for urgency.”</p> <p>The Council has powers in accordance with S1-6 of the Localism Act 2011 to do that which will be to the ‘benefit of the authority, its area or persons resident or present in its area.’ The proposed benefit of this action is to identify those pupils identifying as asymptomatic whilst having Covid-19 and to thereby reduce the spread of the infection.</p> <p>It is important that schools process the data related to this exercise appropriately and undertake their roles</p>
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	<p>as Data Controllers effectively. The DfE have been asked to provide their Data Privacy Impact Assessment and their Legitimate Interest Assessment so that these can be shared with schools to assist in their own assessments relating to data privacy.</p> <p>Schools are also being supported in relation to the effective use of consent forms to ensure that consent is appropriately obtained and that information necessary to support safe testing is available at the point of testing.</p> <p><b>Financial Considerations</b></p> <p>The costs associated with the testing of children in school will be met from either Government, through the supply of testing kits, or the school themselves in terms of the administration of the tests. The liability which may fall on the council, rather than schools delegated budgets, is associated with any potential claim against the excess that exists on it's insurance policy. Whilst the excess per claim is £1m it is not considered likely that any liability that may arise would be significant due to the self-administered nature of the testing approved within this report.</p>
<p>Consultation with relevant Cabinet Member (s) – please note this is obligatory.</p>	<p>Discussed and agreed with Cllr Dale by Iain Peel, Service Director (Schools &amp; Learning) 27 January 2021</p>
<p>Approval of Chair of appropriate Improvement and Scrutiny Committee where call in is intended to be waived – please note this is obligatory in those circumstances</p>	<p>Discussed and agreed with Cllr Musson by Roy Ackrill (Commissioning, Communities And Policy, Improvement &amp; Scrutiny) – 27 January 2021</p>
<p>Decision:</p>	<p>Approved</p>
<p>Signature and Date:</p>	



JANE PARFREMENT  
28<sup>th</sup> January 2021

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